



ASIC
Australian Securities &
Investments Commission

User guide

ASIC Connect

**How to register financial advisers
(including time-share advisers) with ASIC**

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

November 2023



How to register financial advisers

- You will need to use [ASIC Connect](#) to register financial advisers (including time-share advisers) with ASIC.
- You can apply to register up to 25 financial advisers per transaction.
- You can only register a financial adviser with ASIC after you have appointed the financial adviser to the Financial Advisers Register.
- Further assistance about using [ASIC Connect](#) can be accessed via the  button at the top right-hand side of the screen.
- If you're unable to select the next button, please ensure you have provided all mandatory information on the screen.
- Visit our [support page](#) for more user guides about other [ASIC Connect](#) transactions.
- The terms 'relevant provider' and 'financial adviser' are used interchangeably in this user-guide and in the *Registration of relevant providers* form.

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

Get started

Visit our website at www.asic.gov.au.
 Click on the blue **ASIC Connect** box.
 This will take you to ASIC Connect.

The screenshot shows the ASIC website homepage. At the top, there is a search bar and navigation tabs for 'For business', 'For finance professionals', and 'For consumers'. A red box highlights the 'ASIC Connect' button in the right-hand navigation area. Below the navigation, there are several content blocks including 'Find out more' with links like 'Registering a business name', 'Registering a company', and 'Using our online services'. There is also a 'NEW DIRECTIONS ASIC Annual Forum 2022' banner. The 'For business' section includes links for 'Renewing your business name', 'Changes to your company', 'Sole trader vs company', and 'Small business'. The 'For finance professionals' section includes 'Your obligations as an AFS licensee', 'Financial advisers register', 'Do you need a credit licence?', and 'Find a regulatory document'. The 'For consumers' section includes 'moneysmart.gov.au', 'Free calculators, tips and guidance', and 'Find unclaimed money'. A 'News centre' section lists recent media releases. The right-hand side features a 'Search our registers' section with links to 'Companies and organisations', 'Business names', 'Professional registers', and 'Banned and disqualified'. Below this is the 'ASIC Regulatory Portal', 'Pay now - business name renewals', and 'Use our online services' section with a dropdown menu and a 'Login' button. At the bottom, there is a footer with 'Information Publication Scheme | Freedom of information | Copyright | Privacy | Contact us | Accessibility | Site map' and a 'Connect with us' button.

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How to register financial advisers

Log in to ASIC Connect

Go to ASIC Connect and select Log in. If you do not have an ASIC Connect account select Sign up.



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[How to register financial advisers](#)

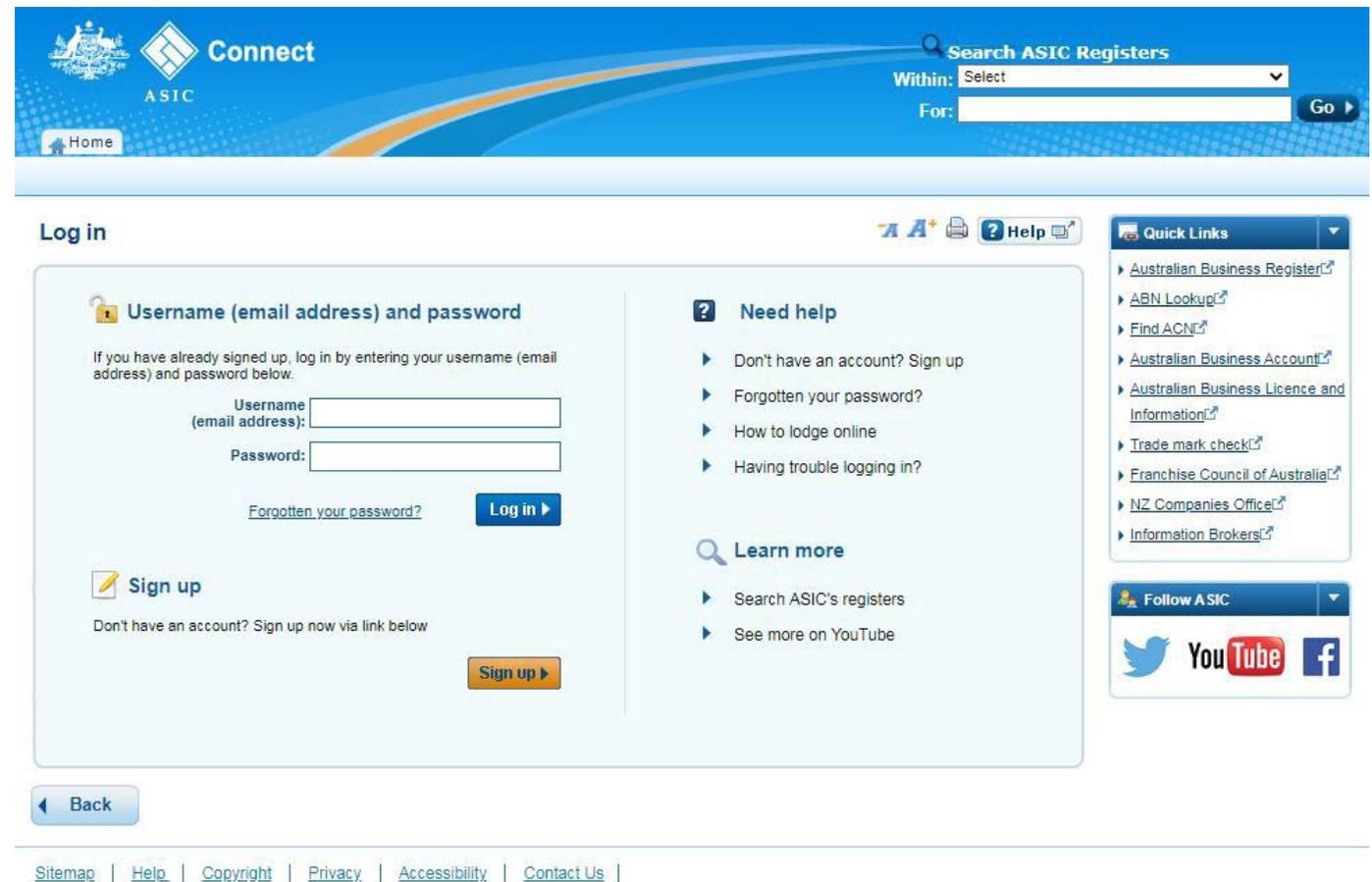
Log in

Log in using your email address and password.

Note: You can only apply to register a financial adviser with ASIC after you have appointed the financial adviser to the Financial Advisers Register.

The user guide below will show you how to appoint a financial adviser to the Financial Advisers Register.

[How to appoint a financial adviser](#)



The screenshot shows the ASIC Connect login interface. At the top, there is a navigation bar with the ASIC logo, a search bar for ASIC registers, and a home button. The main content area is titled 'Log in' and contains a login form with fields for 'Username (email address)' and 'Password', a 'Log in' button, and a 'Sign up' button. To the right of the form is a 'Need help' section with links for account creation and password recovery, and a 'Learn more' section with links to search registers and YouTube. On the far right, there are 'Quick Links' and 'Follow ASIC' sections with social media icons for Twitter, YouTube, and Facebook. A 'Back' button is located at the bottom left of the main content area. The footer contains a list of links: Sitemap, Help, Copyright, Privacy, Accessibility, and Contact Us.

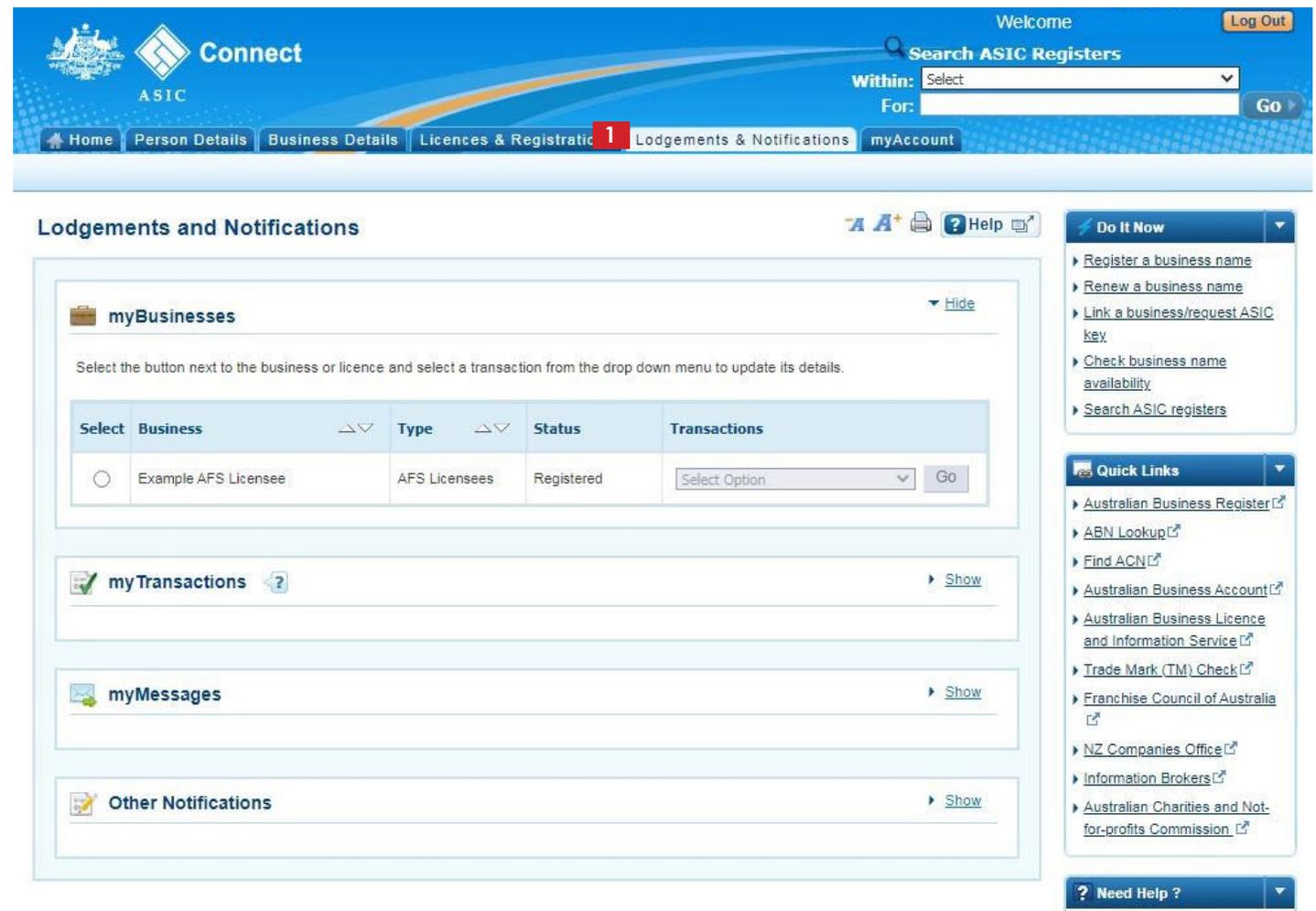
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[How to register financial advisers](#)

Home

Once you have logged in, the home screen will be visible.

1. Select the **Lodgements & Notifications** tab at the top of the page.



Welcome Log Out

Search ASIC Registers

Within: For: Go

Home Person Details Business Details Licences & Registrations **1** Lodgements & Notifications myAccount

Lodgements and Notifications

myBusinesses Hide

Select the button next to the business or licence and select a transaction from the drop down menu to update its details.

Select	Business	Type	Status	Transactions
<input type="radio"/>	Example AFS Licensee	AFS Licensees	Registered	<input type="text" value="Select Option"/> Go

myTransactions Show

myMessages Show

Other Notifications Show

Do It Now

- Register a business name
- Renew a business name
- Link a business/request ASIC key
- Check business name availability
- Search ASIC registers

Quick Links

- Australian Business Register
- ABN Lookup
- Find ACN
- Australian Business Account
- Australian Business Licence and Information Service
- Trade Mark (TM) Check
- Franchise Council of Australia
- NZ Companies Office
- Information Brokers
- Australian Charities and Not-for-profits Commission

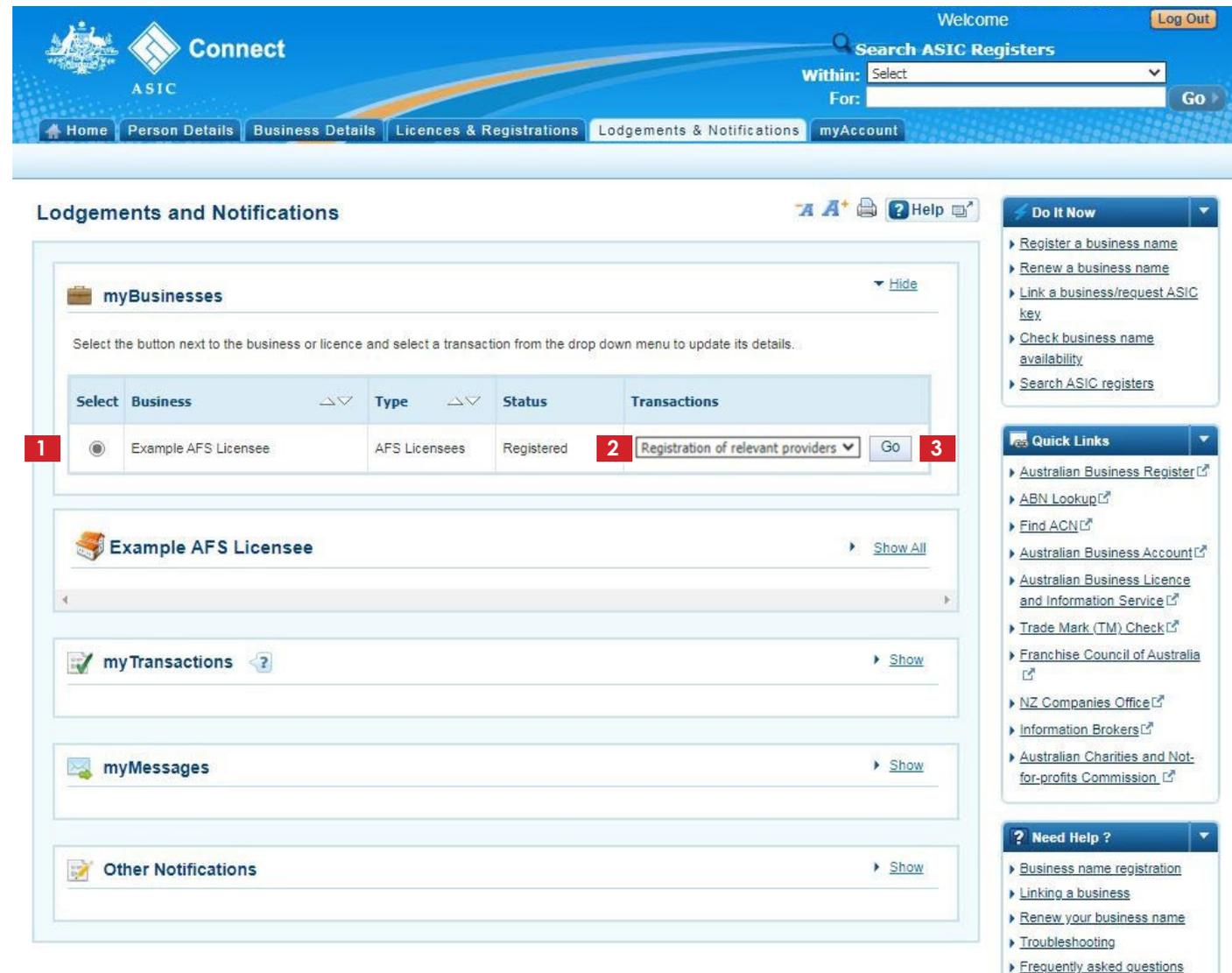
Need Help?

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[How to register financial advisers](#)

Register financial advisers (1)

1. Select the radio button next to the financial adviser you want to register.
2. In the transactions column, select *Registration of relevant providers*. This is the name of the transaction you use to register financial advisers (including time-share advisers) with ASIC.
3. Select **Go** to proceed.



Welcome Log Out

Search ASIC Registers

Within: Select

For: Go

Home Person Details Business Details Licences & Registrations Lodgements & Notifications myAccount

Lodgements and Notifications

myBusinesses Hide

Select the button next to the business or licence and select a transaction from the drop down menu to update its details.

Select	Business	Type	Status	Transactions
<input checked="" type="radio"/>	Example AFS Licensee	AFS Licensees	Registered	Registration of relevant providers Go

Example AFS Licensee Show All

myTransactions Show

myMessages Show

Other Notifications Show

Do It Now

- Register a business name
- Renew a business name
- Link a business/request ASIC key
- Check business name availability
- Search ASIC registers

Quick Links

- Australian Business Register
- ABN Lookup
- Find ACN
- Australian Business Account
- Australian Business Licence and Information Service
- Trade Mark (TM) Check
- Franchise Council of Australia
- NZ Companies Office
- Information Brokers
- Australian Charities and Not-for-profits Commission

Need Help ?

- Business name registration
- Linking a business
- Renew your business name
- Troubleshooting
- Frequently asked questions

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

[How to register financial advisers](#)



Register financial advisers (2)

1. You can register a financial adviser who is an AFS licensee or a financial adviser who is not an AFS licensee using this form.
2. Ensure you have the key information required to progress with the registration application.
3. Select **Next** to continue.

1 Registering a relevant provider*

This application is used by Australian Financial Services (AFS) licensees to register:

- a relevant provider who is not an AFS licensee; or
- a relevant provider who is also an AFS licensee.

Important information

- Relevant providers (including time-share advisers) must be registered with ASIC to provide personal advice to retail clients on relevant financial products.
- Provisional relevant providers cannot be registered with ASIC.
- AFS licensees are required to register their relevant providers with ASIC.
- AFS licensees can only apply to register relevant providers they have appointed and who are recorded on the Financial Advisers Register.
- If a relevant provider is authorised by two or more AFS licensees, each authorising AFS licensee can apply to register the relevant provider with ASIC at any given time, however the relevant provider must be registered by at least one AFS licensee before they provide any personal advice to retail clients on relevant financial products.

2 Key information required

If the relevant provider is not the AFS licensee completing the registration application, you will need to provide the following information for each relevant provider that you are applying to register:

- representative number (the relevant provider will have a representative number if they have been appointed as a representative before). This can be located on the [Authorised Representatives Register](#) or [Financial Advisers Register](#).
- the relevant provider's principal place of business.
- the relevant provider's email address.
- the relevant provider's phone or mobile number.
- a declaration by the AFS licensee:
 - a. that the relevant provider has given the AFS licensee a declaration that the relevant provider is a fit and proper person to provide personal advice to retail clients in relation to relevant financial products.
 - b. as to whether the AFS licensee is aware of any reason why the relevant provider might not be a fit and proper person to provide personal advice to retail clients in relation to relevant financial products.
 - c. that, if applicable, the relevant provider has met the relevant education and training standards set out in s921B of the Corporations Act.
 - d. that the relevant provider is a qualified tax relevant provider (as defined in a 910A of the Corporations Act 2001) if they provide, or intend to provide, a tax (financial) advice service. For more information, see [INFO38](#).

If the relevant provider is also the AFS licensee completing the registration application, you will need to obtain the following information to complete the registration application:

- representative number (the relevant provider will have a representative number if they have been appointed as a representative before). This can be checked on the [Authorised Representatives Register](#) or [Financial Advisers Register](#).
- relevant provider's principal place of business.
- relevant provider's email address.
- the relevant provider's phone or mobile number.
- declaration from the relevant provider that:
 - a. they are a fit and proper person to provide personal advice to retail clients in relation to relevant financial products
 - b. if applicable, they have met the relevant education and training standards set out in s921B of the Corporations Act
 - c. they are a qualified tax relevant provider (as defined in a 910A of the Corporations Act 2001) if the relevant provider provides, or intends to provide, a tax (financial) advice service. For more information, see [INFO38](#).

3

[Next](#)

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Representative details

1. You need to provide the representative number of the financial adviser you are applying to register with ASIC.
2. Please enter their number in the box. If you are not sure of their number, select the link 'Search Financial Adviser Register' to search the Financial Adviser Register for it.
3. Select **Next** to continue.

The screenshot shows the ASIC Connect interface for registering a provider. The top navigation bar includes 'Home', 'Person Details', 'Business Details', 'Licences & Registrations', 'Lodgements & Notifications', and 'myAccount'. The user is logged in as 'Chelsea Wilhelm'. The main heading is 'Registration of relevant providers'. Below this is a progress bar with steps: 'Representative details', 'Addresses', 'Declarations', 'Review', 'Declare', 'Payment', and 'Confirm'. The current step is 'Representative details'. A transaction reference number '1-CL1JXVP' is displayed. The business details shown are: 'Business: Example AFS Licensee', 'Type: AFS licensee', and 'Licence number: 000031501'. The 'Representative details' section is marked as a 'Mandatory field' and contains the instruction: 'Enter the number of the representative you are registering.' Below this is an input field for the 'Representative number' and a link to 'Search Financial Adviser Register'. A red box with the number '1' highlights the input field. At the bottom of the form are 'Cancel' and 'Next' buttons. A red box with the number '2' highlights the 'Next' button. On the right side, there are sections for 'Do It Now' (with links like 'Register a business name'), 'Quick Links' (with links like 'Australian Business Register'), and 'Need Help?' (with links like 'Business name registration'). At the bottom, there are social media links for Twitter, YouTube, and Facebook, and a footer with 'Sitemap', 'Help', 'Copyright', 'Privacy', 'Accessibility', 'Accessibility Settings', 'Service Availability', and 'Contact Us'.

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Addresses

1. Enter the principal place of business for this financial adviser.
2. Select the **Add/Edit** button to add/edit the principal place of business.
3. Enter the email address for this financial adviser. This will be used to send ASIC notices and correspondence only. This information will not be available to the public.
4. Select the **Add/Edit** button to add/edit the email address.
5. Enter the phone number for the financial adviser. Providing a phone number is optional. This information will not be available to the public.
6. Select the **Add/Edit** button to add/edit the phone number.
7. Select **Next** to continue.

Registration of relevant providers

Transaction reference number: 1-CL1JXWP

Business: Example AFS Licensee
Type: AFS licensee
Licence number: 000031501

Representative details
Representative number: 005150069
Name: Example Adviser

Addresses - Financial Adviser appointment **Mandatory fields**

The principal place of business, email address and phone number for this financial adviser is pre-populated based on information provided to ASIC during the appointment process. Please review the information and ensure it is accurate and for the financial adviser themselves. ASIC will use the email address to send registration notices to the financial adviser. See [how we use your information](#) for more details.

Clicking Add/Edit will expand the form below the table.

Address type	Address details	This address is	Actions
* Principal place of business/practice	1 Example St, Example ACT 3000	Available to the public	Edit
* Email	example@example.com	Not available to the public	Edit
Phone number (Optional)		Not available to the public	Add

Navigation: [Back](#) [Cancel](#) [Save & Exit](#) [Next](#)

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Declarations for financial advisers

1. For each financial adviser, the AFS licensee will need to provide certain declarations.

For additional information and/or guidance in relation to the declarations required by the AFS licensee and/or the financial adviser, please visit ASIC's website.

[INFO 276 FAQs: Registration for relevant providers](#)

[INFO 277 Registration of relevant providers: Guidance on making declarations](#)

2. Select **Next** to continue.

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The screenshot shows the ASIC Connect portal interface. At the top, there is a navigation bar with 'Connect' and 'ASIC' logos, and a search bar for ASIC registers. Below the navigation bar, the main content area is titled 'Registration of relevant providers' and shows a progress bar with steps: 'Representation details', 'Addresses', 'Declarations', 'Review', 'Declare', 'Payment', and 'Confirm'. The 'Declarations' step is currently active. A red callout box with the number '1' points to the 'Declaration for relevant provider - Example Adviser' section. This section contains several questions with radio button options for the user to select. The questions are:

- At the date of this application, has the relevant provider given you a declaration that they are a fit and proper person to provide personal advice to retail clients in relation to relevant financial products?
 - I declare I have received the relevant provider's declaration that they are a fit and proper person to provide personal advice to retail clients in relation to relevant financial products, having regard to all relevant matters including those matters specified in subsections 921U(a)-(g) and 921U(i)-(k) of the Corporations Act.
 - I declare I have not received a declaration from the relevant provider that they are a fit and proper person to provide personal advice to retail clients in relation to relevant financial products, having regard to all relevant matters including those matters specified in subsections 921U(a)-(g) and 921U(i)-(k) of the Corporations Act.
- At the date of this application, are you aware of any reason why the relevant provider might not be a fit and proper person to provide personal advice to retail clients in relation to relevant financial products?
 - I declare that I am not aware of any reason why the relevant provider is not a fit and proper person to provide personal advice to retail clients in relation to relevant financial products, having regard to all relevant matters including those specified in subsections 921U(a)-(g) and 921U(i)-(k) of the Corporations Act.
 - I declare that I am aware of a reason why the relevant provider might not be a fit and proper person to provide personal advice to retail clients in relation to relevant financial products, having regard to all relevant matters including those specified in subsections 921U(a)-(g) and 921U(i)-(k) of the Corporations Act.
- At the date of this application, has the relevant provider met the education and training standard in section 921B(2)? (See the guidance link above for when section 921B(2) may not apply.)
 - I declare that the relevant provider has met the education and training standard contained in section 921B(2).
 - I declare that the relevant provider has not met the education and training standard contained in section 921B(2).
 - Section 921B(2) does not apply to the relevant provider.
- At the date of this application, has the relevant provider met the education and training standard in section 921B(3)?
 - I declare that the relevant provider has met the education and training standard contained in section 921B(3).
 - I declare that the relevant provider has not met the education and training standard contained in section 921B(3).
- At the date of this application, has the relevant provider met the education and training standard in section 921B(4)? (See the guidance link above for when section 921B(4) may not apply.)
 - I declare that the relevant provider has met the education and training standard contained in section 921B(4).
 - I declare that the relevant provider has not met the education and training standard contained in section 921B(4).
 - Section 921B(4) does not apply to the relevant provider.
- If the relevant provider provides, or is to provide, a tax (financial) advice service, the relevant provider must be a qualified tax relevant provider as defined in section 91DA of the Corporations Act.
 - I declare that the relevant provider provides, or is to provide, a tax (financial) advice service, and is a qualified tax relevant provider as defined in section 91DA of the Corporations Act.
 - I declare the relevant provider provides, or is to provide, a tax (financial) advice service, and is not a qualified tax relevant provider as defined in section 91DA of the Corporations Act.
 - The relevant provider does not provide, and is not to provide, a tax (financial) advice service.

 At the bottom of the form, there are buttons for 'Back', 'Cancel', 'Save & Exit', and 'Next'. A red callout box with the number '2' points to the 'Next' button.

[How to register financial advisers](#)



Review

Check that the information you have entered is correct.

1. Select **+Add another** to add more financial advisers.
2. You can apply to register up to 25 financial advisers per transaction.
3. Select **Edit/Review** if the information has been entered incorrectly for a financial adviser.
4. Select **Remove** to remove financial advisers.
5. When all financial advisers have been added correctly, select **Next** to continue.

Registration of financial advisers

Transaction reference number: 1-BRMAD4B

Business: Example AFS Licensee
Type: AFS licensee
Licence number: 000031501

Representative details ✓ Addresses ✓ Declarations ✓ **Review** Declare Payment Confirm

Registrations ?

Representative number	Name	Status	Role	Fees ?	Actions
005150876	Example Example	Complete	Financial adviser	Lodgement fee: \$	Edit/Review 2 Remove 3

Total: \$

Buttons: Cancel Save & Exit ? **Next** **4**

Do It Now

- Register a business name
- Renew a business name
- Link a business/request ASIC key
- Check business name availability
- Search ASIC registers

Quick Links

- Australian Business Register ?
- ABN Lookup ?
- Find ACN ?
- Australian Business Account ?
- Australian Business Licence and Information Service ?
- Trade Mark (TM) Check ?
- Franchise Council of Australia ?
- NZ Companies Office ?
- Information Brokers ?
- Australian Charities and Not-for-profits Commission ?

Need Help ?

- Business name registration
- Linking a business
- Renew your business name
- Troubleshooting
- Frequently asked questions

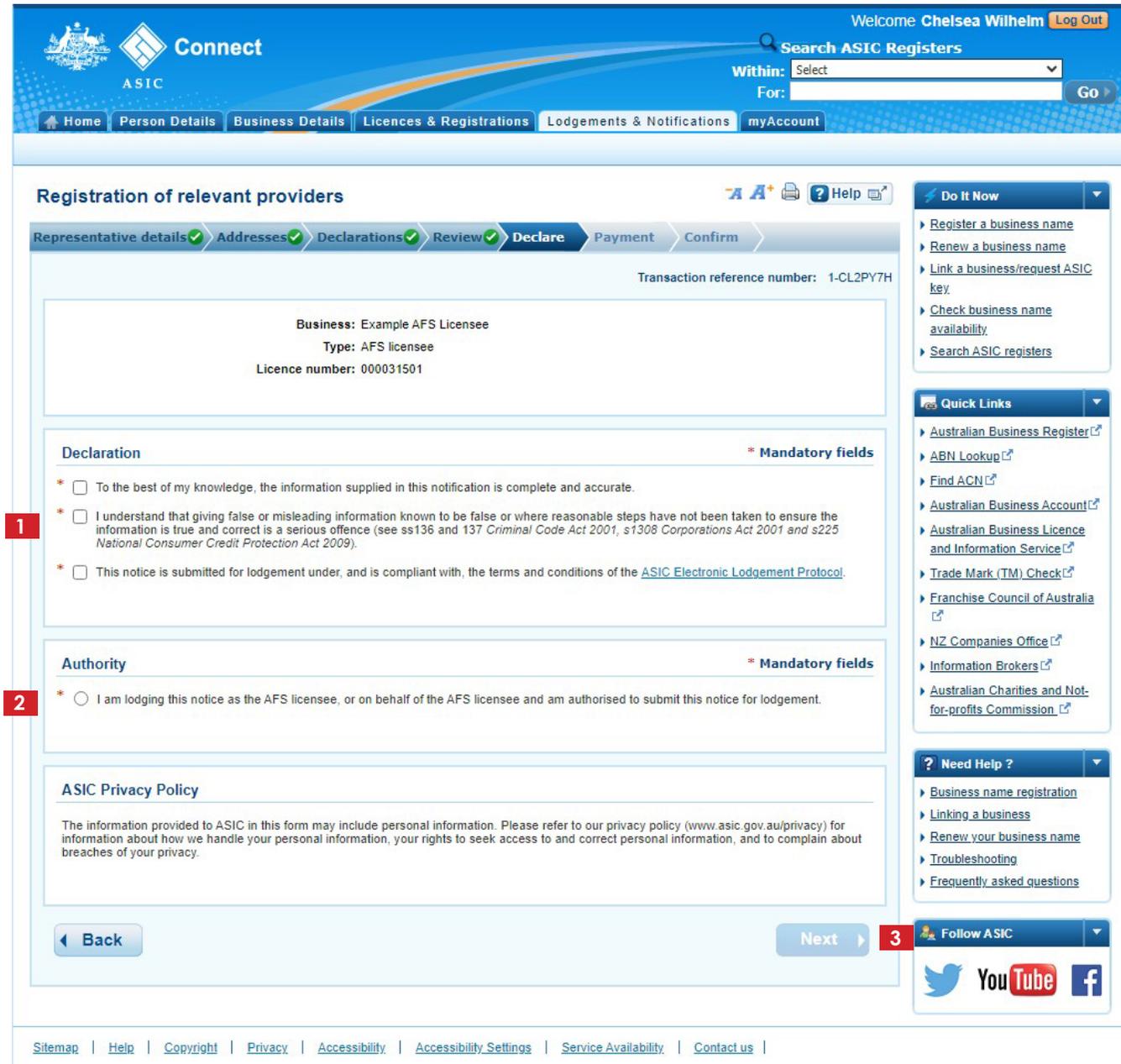
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Declarations by AFS licensee

1. If you agree, tick each box next to the declaration to proceed.
2. Select your authority for submitting the transaction.
3. Select **Next** to continue.



Welcome **Chelsea Wilhelm** [Log Out](#)
 Search ASIC Registers
 Within:
 For:
[Go](#)

[Home](#) [Person Details](#) [Business Details](#) [Licences & Registrations](#) [Lodgements & Notifications](#) [myAccount](#)

Registration of relevant providers

Transaction reference number: 1-CL2PY7H

Business: Example AFS Licensee
Type: AFS licensee
Licence number: 000031501

Declaration * **Mandatory fields**

1 To the best of my knowledge, the information supplied in this notification is complete and accurate.

* I understand that giving false or misleading information known to be false or where reasonable steps have not been taken to ensure the information is true and correct is a serious offence (see ss136 and 137 *Criminal Code Act 2001*, s1308 *Corporations Act 2001* and s225 *National Consumer Credit Protection Act 2009*).

* This notice is submitted for lodgement under, and is compliant with, the terms and conditions of the [ASIC Electronic Lodgement Protocol](#).

Authority * **Mandatory fields**

2 I am lodging this notice as the AFS licensee, or on behalf of the AFS licensee and am authorised to submit this notice for lodgement.

ASIC Privacy Policy

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.

[Back](#) [Next](#) 3

Do It Now

- Register a business name
- Renew a business name
- Link a business/request ASIC key
- Check business name availability
- Search ASIC registers

Quick Links

- Australian Business Register
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Need Help ?

- Business name registration
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- Frequently asked questions

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[How to register financial advisers](#)

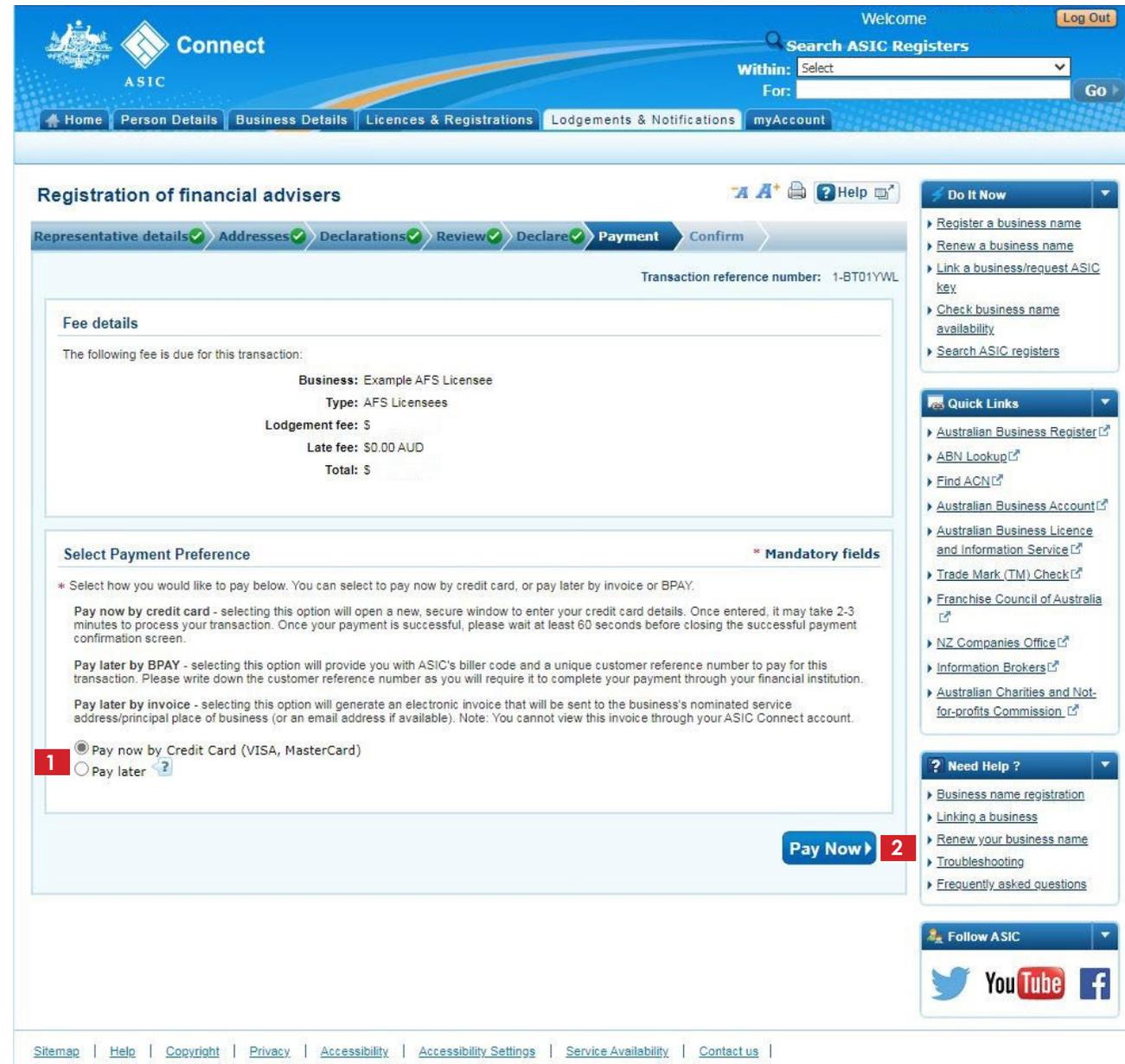
Payment (1)

Pay now

You can choose to pay using a credit card, or BPAY.

Alternatively, you can request an invoice to be sent to you.

1. Select the Pay Now option.
2. Select Pay Now to continue.



The screenshot shows the ASIC Connect interface for the 'Registration of financial advisers' process. The navigation bar includes 'Home', 'Person Details', 'Business Details', 'Licences & Registrations', 'Lodgements & Notifications', and 'myAccount'. The main content area is titled 'Registration of financial advisers' and shows a progress bar with steps: Representative details, Addresses, Declarations, Review, Declare, **Payment**, and Confirm. The 'Payment' step is active, showing a transaction reference number of 1-BT01YWL.

Fee details
 The following fee is due for this transaction:
 Business: Example AFS Licensee
 Type: AFS Licensees
 Lodgement fee: \$
 Late fee: \$0.00 AUD
 Total: \$

Select Payment Preference * Mandatory fields
 * Select how you would like to pay below. You can select to pay now by credit card, or pay later by invoice or BPAY.
 Pay now by credit card - selecting this option will open a new, secure window to enter your credit card details. Once entered, it may take 2-3 minutes to process your transaction. Once your payment is successful, please wait at least 60 seconds before closing the successful payment confirmation screen.
 Pay later by BPAY - selecting this option will provide you with ASIC's biller code and a unique customer reference number to pay for this transaction. Please write down the customer reference number as you will require it to complete your payment through your financial institution.
 Pay later by invoice - selecting this option will generate an electronic invoice that will be sent to the business's nominated service address/principal place of business (or an email address if available). Note: You cannot view this invoice through your ASIC Connect account.

1 Pay now by Credit Card (VISA, MasterCard)
 Pay later

2 [Pay Now](#)

The sidebar contains 'Do It Now' (Register a business name, Renew a business name, Link a business/request ASIC key, Check business name availability, Search ASIC registers), 'Quick Links' (Australian Business Register, ABN Lookup, Find ACN, Australian Business Account, Australian Business Licence and Information Service, Trade Mark (TM) Check, Franchise Council of Australia, NZ Companies Office, Information Brokers, Australian Charities and Not-for-profits Commission), 'Need Help?' (Business name registration, Linking a business, Renew your business name, Troubleshooting, Frequently asked questions), and 'Follow ASIC' (Twitter, YouTube, Facebook).

Footer: [Sitemap](#) | [Help](#) | [Copyright](#) | [Privacy](#) | [Accessibility](#) | [Accessibility Settings](#) | [Service Availability](#) | [Contact us](#)

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[How to register financial advisers](#)

Payment (2)

Credit card

1. Enter your credit card details.
2. Select Submit to process the payment.

Pay Now ✖ Close

Connect
ASIC

Credit Card Payment

Amount(AUD): \$

Cards Accepted:  

1 * Card Number:

* Expiry Date:

* CVV: 

2

You are about to make a payment to the Australian Securities and Investment Commission, in Australia. Ensure you review our [refund policy and security policy](#) before making payment.

You have been redirected to a secure page. Once you have completed your payment, you will be sent back to the transaction confirmation page.



[Privacy](#) [Help](#) [Contact Us](#)

Please do not click on the back button on your browser while we complete this request.
Note: We do not accept American Express and Diners cards.

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[How to register financial advisers](#)



Payment (3)

Pay later

If you want to pay at a later date, you can do so by using BPAY or by requesting an invoice to be sent to you.

1. Select the **Pay Later** option.
2. Select the **Invoice** or **BPAY** option.

- If you select **BPAY**, you will need to print or make note of the BPAY details and process your payment.

- If you select **Invoice**, the invoice will be sent to the AFS licensee via email (if one has been provided).

Otherwise it will be sent to the AFS licensee's service address.

3. Select **Pay Later**.

The screenshot displays the ASIC Connect interface for the 'Registration of financial advisers' process. The top navigation bar includes 'Home', 'Person Details', 'Business Details', 'Licences & Registrations', 'Lodgements & Notifications', and 'myAccount'. A search bar for 'Search ASIC Registers' is present. The main content area shows a progress bar with steps: Representative details, Addresses, Declarations, Review, Declare, **Payment**, and Confirm. The 'Payment' step is active, showing 'Transaction reference number: 1-BT01YWL'.

Fee details

The following fee is due for this transaction:

Business:	Example AFS Licensee
Type:	AFS Licensees
Lodgement fee:	\$
Late fee:	\$0.00 AUD
Total:	\$

Select Payment Preference * Mandatory fields

* Select how you would like to pay below. You can select to pay now by credit card, or pay later by invoice or BPAY.

Pay now by credit card - selecting this option will open a new, secure window to enter your credit card details. Once entered, it may take 2-3 minutes to process your transaction. Once your payment is successful, please wait at least 60 seconds before closing the successful payment confirmation screen.

Pay later by BPAY - selecting this option will provide you with ASIC's biller code and a unique customer reference number to pay for this transaction. Please write down the customer reference number as you will require it to complete your payment through your financial institution.

Pay later by invoice - selecting this option will generate an electronic invoice that will be sent to the business's nominated service address/principal place of business (or an email address if available). Note: You cannot view this invoice through your ASIC Connect account.

Pay now by Credit Card (VISA, MasterCard)
 1 Pay later
 2 BPAY
 Invoice

3 Pay Later

Do It Now

- Register a business name
- Renew a business name
- Link a business/request ASIC key
- Check business name availability
- Search ASIC registers

Quick Links

- Australian Business Register
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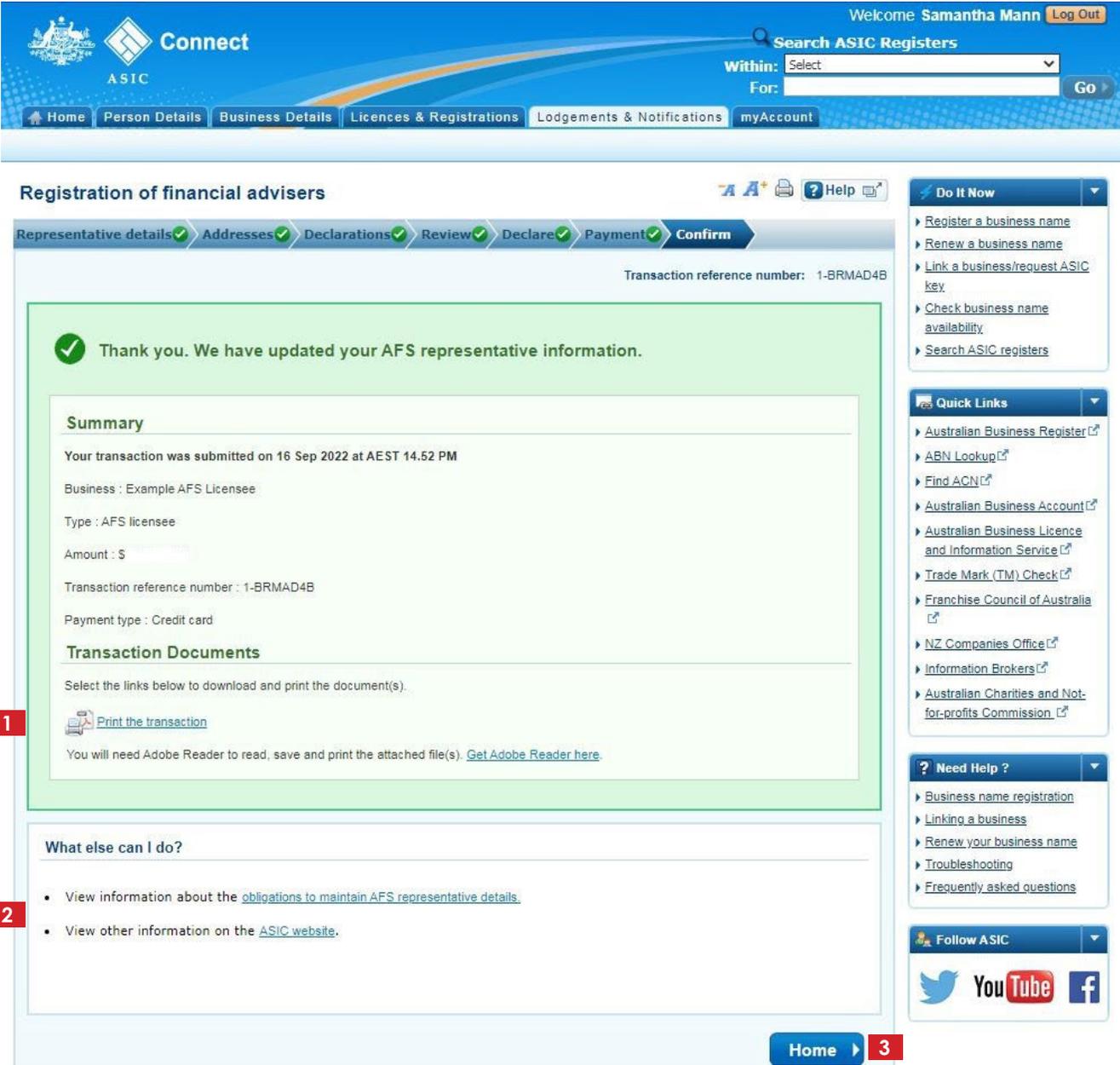
The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to register financial advisers

Confirmation

This screen confirms your transaction has been submitted.

1. Select **Print the transaction** to download a printable PDF version of the transaction.
2. Select one of the links under *What else can I do* for more information about other services.
Or
3. Select **Home** to return to the ASIC Connect home page.



Registration of financial advisers

Transaction reference number: 1-BRMAD4B

Thank you. We have updated your AFS representative information.

Summary

Your transaction was submitted on 16 Sep 2022 at AEST 14.52 PM

Business : Example AFS Licensee

Type : AFS licensee

Amount : \$

Transaction reference number : 1-BRMAD4B

Payment type : Credit card

Transaction Documents

Select the links below to download and print the document(s).

1 [Print the transaction](#)

You will need Adobe Reader to read, save and print the attached file(s). [Get Adobe Reader here](#).

What else can I do?

2

- View information about the [obligations to maintain AFS representative details](#).
- View other information on the [ASIC website](#).

3 [Home](#)

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.