



**ASIC**  
Australian Securities &  
Investments Commission

# How to search the ASIC Professional Registers Search (PRS)

ASIC Professional Registers Search user guide | Published June 2025



**Note:** The screens and data pictured in this guide are examples only and have been redacted in some areas. Actual screens can have minor differences in text and layout.

## Overview

This document outlines the system steps relating to the [ASIC Professional Registers Search](#) that is available on the ASIC website.

The following registers can be searched:

- Australian financial services (AFS) licensees
- AFS authorised representatives
- Credit licensees
- Credit representatives
- Official liquidators
- Registered auditors (registered company auditors and authorised audit companies)
- Registered liquidators
- Registered managed investment schemes, and
- Approved self-managed super funds (SMSF) auditors.

All other searches (e.g. Organisations & Business Names) and the historical professional registers (e.g. pre-FSR) will remain on [ASIC Connect Search](#).

Further information, including frequently asked questions, are available on our [Professional registers help page](#).

## Get started

- Visit our website at [asic.gov.au](http://asic.gov.au).
- Within the **Search our registers** box on the homepage, select [Professional Registers](#).
- The PRS homepage is updated daily. Refer to the time and date stated to confirm the latest information refresh. The home page contains frequently asked questions. Select each downward arrow to see answers to some common questions.
- To conduct a search:
  - Enter name, registration number or licence number.
  - Click 'Search' to perform search.



## ASIC Professional Registers Search

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

! ASIC is transforming the user experience for Australian financial services licensees and has launched a [new digital AFS licence application portal](#). Please note: Information about licences issued in the portal are available here, however is limited to basic details such as licence number, grant date, principal place of business address, and current status. You can view the full details of the licences on [Professional registers | ASIC](#).

Enter name, licence number, registration number, ACN or ABN

💡 Information displayed is current as of 5:00 AM AEST 10 June 2025 ⓘ

- ? What is the ASIC Professional Registers Search? ▾
- ? How should I use the Professional Registers Search? ▾
- ? Will I have to pay a search fee? ▾
- ? Need Help? ▾

## Search results

On the search results screen:

- Up to eight results are listed per page.
- The 'Register status key' provides a definition of every status.
- A person or organisation may have more than one registration or licence recorded on the PRS. Always check to make sure the person or organisation appears on the register you are searching for.

**Note:** If you search by licence or registration number and there is only one result, you will be directed to the exact record.

To view more information, select the entity name or select the **Preview** icon to view a summary.

The following filters are available:

- **All registers** – apply this filter to see the results for a specific register.
- **All statuses** – apply this filter to see the results with a specific status.
- **All entity types** – apply this filter to see the results for an individual or an organisation.
- **All states** – apply this filter to see the results from the registered address.

## ASIC Professional Registers Search

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

**!** If you've been contacted unexpectedly about an investment or opportunity, be wary. It's likely to be high risk or could be a scam.

ASIC is transforming the experience for AFS Licensees and is running a Pilot. Information about licences issued via the Pilot will not be available here. You can view the licences issued under the pilot [here](#). For more information about the AFS Licence Pilot, please refer to this [ASIC article](#).

Enter name, registration number or licence number

Search
Sort by Name (A - Z)

Information displayed is current as of 5:00 AM AEDT 30 November 2024 ?

Asterisk preceding the name, indicates former name.

**Filters:** Registers Statuses Entity types States Reset filters

All registers ?
All statuses ?
All entity types ?
All states ?
Reset filters

| Name ▲             | Type | Register   | Status    | Preview           |
|--------------------|------|--|-----------|-------------------|
| ASIC TEST          | 👤    | Australian financial services authorised representatives | ⚪ Ceased  | <a href="#">i</a> |
| ASICPERSON TESTONE | 👤    | Australian financial services authorised representatives | 🟢 Current | <a href="#">i</a> |
| ASICPERSON TESTONE | 👤    | Australian financial services authorised representatives | 🟢 Current | <a href="#">i</a> |
| ASICPERSON TESTTWO | 👤    | Approved SMSF auditors                                   | 🟢 Current | <a href="#">i</a> |

1 to 4 of 4 professional register records

**Register status key**

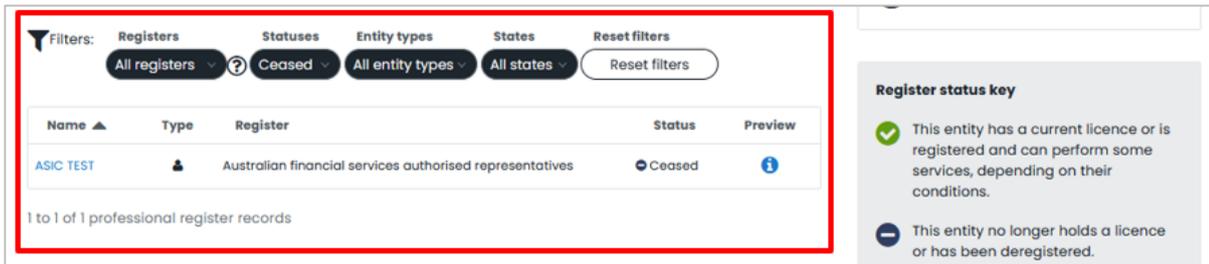
- ✔ This entity has a current licence or is registered and can perform some services, depending on their conditions.
- ➔ This entity no longer holds a licence or has been deregistered.
- ! The services this entity can provide are limited as it may be suspended or in the process of being wound up.

Share your feedback

## Filter search results

Using the filters will help you be more specific in your search.

Filtering by **Statuses** and **Ceased** has returned one entity, see the following page:



The screenshot shows a search interface with filters for Registers, Statuses, Entity types, and States. The 'Statuses' filter is set to 'Ceased'. A table displays one record: ASIC TEST, Australian financial services authorised representatives, Ceased. A 'Register status key' on the right explains the status icons.

| Name      | Type   | Register   | Status | Preview |
|-----------|--------|--|--------|---------|
| ASIC TEST | Person | Australian financial services authorised representatives | Ceased | Info    |

1 to 1 of 1 professional register records

**Register status key**

- ✓ This entity has a current licence or is registered and can perform some services, depending on their conditions.
- ✗ This entity no longer holds a licence or has been deregistered.

## Reset filters

Selecting **Reset filters** will remove the filters you have applied and take you back to your original search.

- To view more information, select the entity **Name** or select the **Preview** icon to view a summary.



The screenshot shows the search interface with filters reset. The table displays four records. The 'Name' and 'Preview' columns are highlighted with red boxes. A 'Register status key' on the right explains the status icons.

| Name               | Type   | Register   | Status  | Preview |
|--------------------|--------|--|---------|---------|
| ASIC TEST          | Person | Australian financial services authorised representatives | Ceased  | Info    |
| ASICPERSON TESTONE | Person | Australian financial services authorised representatives | Current | Info    |
| ASICPERSON TESTONE | Person | Australian financial services authorised representatives | Current | Info    |
| ASICPERSON TESTTWO | Person | Approved SMSF auditors                                   | Current | Info    |

1 to 4 of 4 professional register records

**Register status key**

- ✓ This entity has a current licence or is registered and can perform some services, depending on their conditions.
- ✗ This entity no longer holds a licence or has been deregistered.
- ⚠ The services this entity can provide are limited as it may be suspended or in the process of being wound up.

## Entity information

This screen shows further information about the entity you have selected.

- Expand each section to see additional information or click '**Expand all**' to expand all sections.
- To view or print the search results in PDF format, click '**Export to PDF.**'
- To return to the previous list of search results, click '**Back.**'
- To start a new search, click '**New Search**'.

### ASIC Professional Registers Search Detail

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

Information displayed is current as of 5:00 AM AEDT 30 November 2024 ?

#### ASIC TEST

**CURRENT**

**Current principal place of practice address:** Address unknown

**Registration number:** 100302458  
**Registration date:** 03/09/2020

**Register ?** Approved SMSF auditors

[Export to PDF](#) [Expand all](#)

- Addresses
- Firm or practice name
- Licence/Registration conditions
- Extracts, information and documents for purchase

[New search](#)

[Back](#)

- What is the Professional Registers Search?
- How should I use the Professional Registers Search?
- Will I have to pay a search fee?
- Need Help?
- I can't find what I'm looking for

#### Register status key

- This entity has a current licence or is registered and can perform some services, depending on their conditions.
- This entity no longer holds a licence or has been deregistered.
- The services this entity can provide are limited as it may be suspended or in the process of being wound up.

## Representatives

- If the licensee has representatives, you can search for a representative's name within the search field provided.
- Use the filters to view specific information and select the **Export representatives to PDF** button to download a PDF version of all representatives associated with the licensee.

### ASIC Professional Registers Search Detail

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

🔔 Information displayed is current as of 5:00 AM AEST 10 June 2025 ?

**ASICPERSON TESTONE**

✔ **CURRENT**

**Principal place of business address:** 181 WILLIAM ST MELBOURNE VIC 3000 AUSTRALIA

**AFS representative number:** 000500135

**Commenced:** 16/12/2014

**Register ?** Australian financial services authorised representatives

[Export to PDF](#)

◀ Name history ▼

📍 Addresses ▼

**👤 Representatives** ▲

These are individuals and organisations authorised to perform services on behalf of this Australian financial services licensee.

**Enter representative's name or number**

[Search](#) [Clear](#) Sort by Name (A - Z) ▼

**Filters:** Role Status State Reset filters

All roles ? All statuses All states Reset filters

| Name ▲  | Number    | Role                      | Commenced  | Ceased     | Appointed by type | Appointed by number  |
|---|-----------|---------------------------|------------|------------|-------------------|--|
| <span>📄</span> <span style="background-color: black; color: black;">[REDACTED]</span> | 001245241 | Authorised Representative | 18/07/2016 | 06/11/2020 | AFS Licensee      | <span style="background-color: black; color: black;">[REDACTED]</span> |
| <span>📄</span> <span style="background-color: black; color: black;">[REDACTED]</span> | 001260213 | Authorised Representative | 28/11/2017 | 06/11/2020 | AFS Licensee      | <span style="background-color: black; color: black;">[REDACTED]</span> |

1 to 2 of 2 Representatives records

[Export representatives to PDF](#)

## Purchase a document

### STEP 1: Select your items

- Expand the **Extracts, information and documents for purchase** accordion to view what information you can purchase about the entity that holds this licence or registration. **Note:** If an entity does not have products for purchase, the accordion will not appear.
- Depending on the entity selected, either extracts, documents or both will be available for purchase. Documents may be *Uncertified* or *Certified*.
- **Uncertified:** A copy of the document that is provided to you online for downloading.
- **Certified:** A copy of the document that is certified in writing to be a true copy and delivered to you via post.
- Tick the box next to the item that you would like to purchase. You may purchase multiple items via the same transaction. If you change your mind about a purchase, untick the item no longer required by selecting the box assigned to it. Click **Add to cart** to confirm.
- After selecting **Add to cart**, the Purchase button will be enabled. Click **Purchase** to proceed to the next page.

#### Extracts, information and documents for purchase

ASIC is legally obliged to charge for some information on our registers. To find out more about information for purchase, please see our [search fees](#).

**!** The information will be delivered to you online (email or download) unless otherwise specified.  
All payments are via credit cards.

**Extract** ?

|                          |   |         |                                     |
|--------------------------|---|---------|-------------------------------------|
| <input type="checkbox"/> | Current management investment scheme information                | \$10.00 | <input checked="" type="checkbox"/> |
| <input type="checkbox"/> | Current and historical management investment scheme information | \$20.00 | <input type="checkbox"/>            |

**Documents**

| Date       | Document number | Document Type  | Pages ?       | Uncertified                                 | Certified ?                      |
|------------|-----------------|--|---------------|---|----------------------------------|
| 22/11/2010 | 026598560       | NOTIF. OF REQUEST BY RESP ENTITY TO CHANGE COMP PLAN AUDITOR (5114)            | Not available |   |                                  |
| 08/05/2008 | 0X0139047       | MANAGED SCHEME STATEMENT ANNUAL REVIEW - SCHEME-SUBSEQUENT - NO FEE (481D)     | 2             | \$20.00 <input checked="" type="checkbox"/> | \$42.00 <input type="checkbox"/> |
| 09/10/2007 | 0X0133036       | MANAGED SCHEME STATEMENT ANNUAL - SCHEMES STATEMENT (481A)                     | 2             | \$20.00 <input type="checkbox"/>            | \$42.00 <input type="checkbox"/> |
| 08/12/2006 | 200612089       | APPLICATION FOR REGISTRATION OF MANAGED INVESTMENT SCHEME - NEW SCHEME (5100A) | Not available |   |                                  |
| 08/12/2006 | 998585004       | DIRECTORS STATEMENT (5103)   | Not available |   |                                  |

1 to 5 of 5 documents

## STEP 2: Add your details

- Provide your **Full Name** and **Email Address** in the fields provided (image 1). If you are purchasing certified documents, you will be requested to provide an Australian address for delivery. Type in your address in the field provided. If your address does not auto-appear via the look up service, click **"Can't find your address?"** to manually enter your postal address (image 2).
- The email address that you provide must be accessible to you as it will be used to send you a receipt of purchase and your documents for downloading.
- Review the items you have selected, delete some, or all your items under **Your Purchase**.
- Read the Terms and conditions provided and tick the **Select to agree** box.
- Click **Next** to proceed.

**Image 1**

**Image 2**

### ASIC Professional Registers Purchase Detail

### ASIC Professional Registers Purchase Detail

Please enter your details to make your purchase.

Please enter your details to make your purchase.

1 Enter your details 2 Review and pay 3 Receive your purchase

**Your details** ?

Name: Title: Mr Given name(s): \* John Family name: \* Lennon

Enter the email you would like us to send the information and receipt to.  
Enter the email address \*  
john.lennon@beatles.com.uk ✓  
Confirm the email address \*  
john.lennon@beatles.com.uk ✓

**Your purchase**

Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A) \$20.00  
LEE WATERS PLUMBING PTY LTD

**Your total** **\$20.00**

**Terms and conditions**  
Your payment will be made to the Australian Securities and Investments Commission in Australia.  
Once payment is made, we cannot issue a refund. Please check your purchase is correct before continuing.  
For more information, please see our [refund and security policy](#).  
Do you agree to these terms? Select to agree

Back New search **Next**

1 Enter your details 2 Review and pay 3 Receive your purchase

**Your details** ?

ASIC collects the following personal information for the purposes of sending payment receipts and purchased products. Please refer to our Privacy Policy at [www.asic.gov.au/privacy](http://www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and how to complain about breaches of your privacy.  
Certified documents are delivered via post and are usually dispatched within 1 business day. Please provide an Australian postal address.

Name: Title: Given name(s): \* Family name: \*

**Enter an Australian address \***  
Type in an Australian address to search  
[Can't find your address?](#)

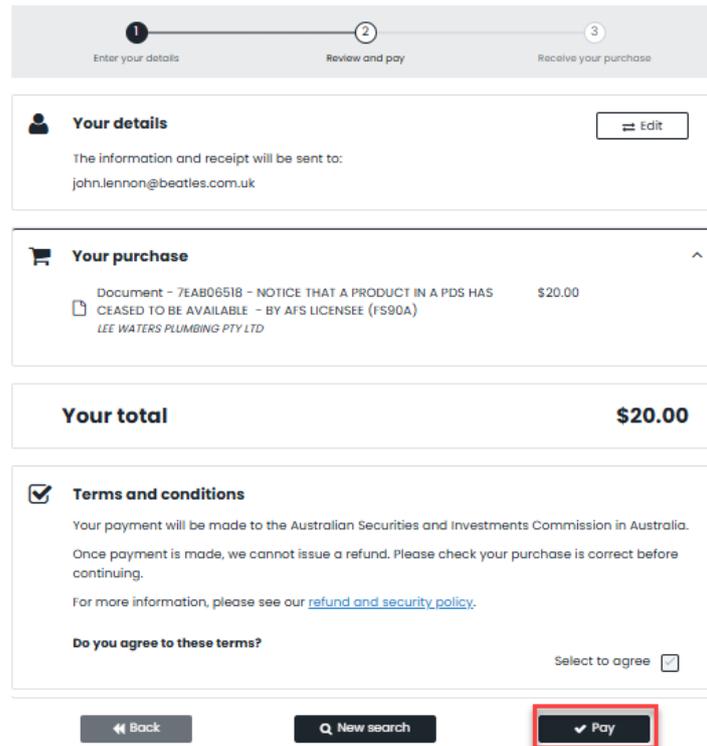
Enter the email you would like us to send the information and receipt to.  
Enter the email address \*  
Confirm the email address \*

### STEP 3: Review your purchase

- Review items listed under **Your Purchase**.
- Select **Pay** to proceed with the purchase. You will be redirected to enter your credit card details which many take a few moments.

## ASIC Professional Registers Payment Details

Please review your details before making purchase.



1 Enter your details      2 Review and pay      3 Receive your purchase

**Your details** Edit

The information and receipt will be sent to:  
john.lennon@beatles.com.uk

**Your purchase**

Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A) \$20.00  
LEE WATERS PLUMBING PTY LTD

**Your total** **\$20.00**

**Terms and conditions**

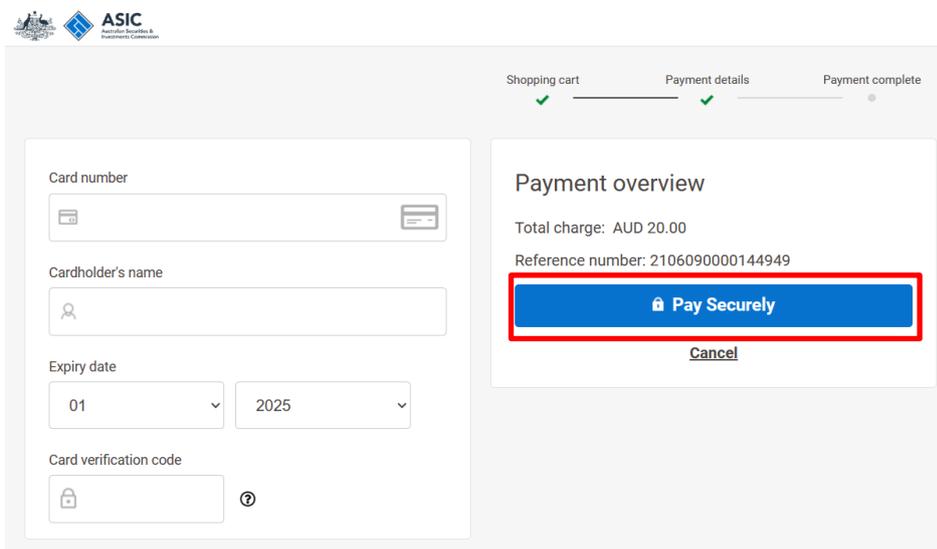
Your payment will be made to the Australian Securities and Investments Commission in Australia.  
Once payment is made, we cannot issue a refund. Please check your purchase is correct before continuing.  
For more information, please see our [refund and security policy](#).

Do you agree to these terms? Select to agree

← Back 🔍 New search ✓ Pay

### STEP 4: Enter your credit card details and pay

- Enter your credit card details in the payment information fields.
- Select **Pay Securely** to proceed with purchase.



ASIC Australian Securities & Investments Commission

Shopping cart      Payment details      Payment complete

Card number

Cardholder's name

Expiry date: 01 / 2025

Card verification code

Payment overview

Total charge: AUD 20.00

Reference number: 2106090000144949

**Pay Securely** Cancel

## STEP 5: Payment receipt page and accessing your purchases

- The products you have purchased, and the purchase reference number are listed on the **Payment Receipt** page.
- The documents you have purchased will be available for download immediately. You can download the products directly from this page or from the email receipt that you will receive.
- Select the arrow icon to download. It is recommended that you access the items purchased as soon as possible as the links will become inactive after 90 days of purchase.

Certified document purchases will be posted to your nominated address and are not available for immediate download.

### ASIC Professional Registers Payment Receipt

Thank you for your purchase. Your reference number is: 9000004359895199000

1 Enter your details      2 Review and pay      3 Receive your purchase

You will receive an email shortly, with details of your purchased products.  
Please ensure you retrieve and store your purchased products, as the links will become inactive after 90 days.

**Your purchased products**

Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A)   
LEE WATERS PLUMBING PTY LTD

[New search](#)

## STEP 6: Purchase receipt email

- Your **purchase receipt email** confirms that your purchase was successful. The products purchased are listed under **Information product**. Click on the document name to view and download your document. Certified documents will be listed as postal delivery only.

ASIC - Receipt Number 33139503

 @asic.gov.au

To: 



Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

**Your purchase was successful**

You can access the purchased products by clicking on the link(s) below. The link(s) to the purchased product(s) will remain active for 90 days only, starting from the date and time of purchase.

ASIC strongly recommends that you save and/or print the purchased product(s) now.

The information contained within an extract is current as at the time displayed in the PDF.

If you have any questions, [contact us](#).

Receipt number 9000004359895199000  
Payment method Visa  
Date 05/03/2025  
Amount (AUD) \$ 10.00

**Information product**

[View Current management investment scheme information](#)  
**PATRICK'S MANAGED INVESTMENT SCHEME**

