



Federal Court of Australia

District Registry: Victoria Registry

Division: General

No: VID1107/2025

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

**EQUITY TRUSTEES SUPERANNUATION LIMITED (ACN 055 641 757) IN ITS
CAPACITY AS TRUSTEE OF THE AMG SUPERANNUATION FUND AND SUPER
SIMPLIFIER**

Defendant

ORDER

JUDGE: Justice Neskovicin

DATE OF ORDER: 2 October 2025

WHERE MADE: Melbourne

THE COURT ORDERS BY CONSENT THAT:

Document production

1. By 4.00pm on 9 October 2025, the Plaintiff provide notice of these orders to persons:
 - (a) examined pursuant to s 19 of the *Australian Securities and Investments Commission Act 2001* (Cth) (**ASIC Act**), where transcripts of their examination are to be produced pursuant to order 3 (**Examinees**); and
 - (b) issued notices pursuant to s 912C of the *Corporations Act 2001* (Cth) (**Corporations Act**) and ss 30 or 33 of the ASIC Act, where those persons' documents are to be produced pursuant to order 4 (**Third Parties**).
2. By 4.00pm on 23 October 2025, any application by an Examinee or a Third Party in connection with order 3 is to be filed and served on the Plaintiff and the Defendant.
3. By 4.00pm on 30 October 2025, the Plaintiff produce the following documents in electronic format by way of a .DAT/.CSV load file suitable for Relativity:
 - (a) copies of all notices issued pursuant to s 19 of the ASIC Act to the Examinees;
 - (b) transcripts of all examinations conducted pursuant to s 19 of the ASIC Act in the course of the Investigation (as defined below); and



(c) copies of the documents referred to in those examinations, excluding any transcripts or documents the subject of an extant application by an Examinee or Third Party under order 2.

4. By 4.00pm on 30 October 2025, the Plaintiff produce to the Defendant the following documents in electronic format by way of a .DAT/.CSV load file suitable for Relativity:

(a) copies of all notices issued by the Plaintiff to any person (other than the Defendant and New Quantum Holdings Limited) under s 912C of the Corporations Act and ss 30 or 33 of the ASIC Act in the course of the Investigation (as defined below); and

(b) copies of all documents obtained or received by the Plaintiff (other than from the Defendant and New Quantum Holdings Limited) in response to the notices referred to in paragraph 4(a) above,

excluding any documents the subject of an extant application by an Examinee or Third Party under order 2.

Originating Process

5. By 4.00pm on 10 October 2025, the Plaintiff provide the Defendant with any proposed amendments to the Originating Process dated 26 August 2025 (**AOP**).

6. By 4.00pm on 17 October 2025, the Defendant is to notify the Plaintiff as to whether it consents to the filing of the AOP.

7. If the Defendant consents to the filing of the AOP, the Plaintiff has leave to file and serve the AOP in the form provided pursuant to order 5 above by 4.00pm on 20 October 2025.

8. If the Defendant does not consent to the filing of the AOP, the Plaintiff file and serve an application for leave to amend the Originating Process dated 26 August 2025 by 4.00pm on 24 October 2025.

Pleadings

9. By 4.00pm on 10 October 2025, the Plaintiff file and serve any Amended Statement of Claim.

10. By 4.00pm on 19 December 2025, the Defendant file and serve a Defence.

11. By 4.00pm on 10 February 2026, the Plaintiff file and serve any Reply.

Case management hearing

12. The case management hearing listed on 3 October 2025 is vacated and the proceeding is listed for a case management hearing at 10.00am on 20 February 2026.



Other matters

13. Costs are reserved.
14. Liberty to apply.

Definitions

Investigation means the Plaintiff's investigation of suspected contraventions of section 180 or 912A of the *Corporations Act 2001* (Cth) and/or a covenant of section 52 of the *Superannuation Industry (Supervision) Act 1993* (Cth) by Equity Trustees Superannuation Limited (ACN 055 641 757) and/or its officers or representatives when engaging in activities concerning the Shield Master Fund during the period from 1 October 2021 and ongoing.

Date orders authenticated: 2 October 2025


Registrar

Note: Entry of orders is dealt with in Rule 39.32 of the *Federal Court Rules 2011*.