

From: Section 22
To: Section 22 <Section 22@madisonfg.com.au>
Cc: Section 22
Bcc: Section 22
Subject: Notice issued under s912C of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Monday, 25 February 2019 4:09:00 PM
Attachments: [20190225 s912C notice answer sheet Madison Financial Group.xlsx](#)
[20190225 s912C notice Madison Financial Group.pdf](#)

Dear Section 22,

I refer to our telephone conversation last week in which you agreed service of the Notice could be effected by email. Thank you for your agreement and time to discuss.

Accordingly, I now enclose, by way of service, the Notice. Can you please confirm receipt of this email.

If you have any questions about the Notice, please do not hesitate to contact me on Section 22. Alternatively, in my absence you can contact Section 22.

For your response to this Notice, we recommend you use the attached spreadsheet.

Regards,

Section 22
Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22

Section 22@asic.gov.au

Please note: I am not available every alternate Friday and Monday

From: Section 22
To: Section 22
Cc: Section 22
Subject: RE: Notice issued under s912C of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Tuesday, 26 February 2019 8:24:32 AM
Attachments: [image001.jpg](#)
[image002.jpg](#)

Good morning Section 22,

On behalf of Madison Financial Group Pty Ltd, I confirm receipt of the s912C notice attached to your email.

Kind regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

Section 22

ASIG FOI 259-2024

From: Section 22
To: Section 22
Cc: Section 22
Subject: RE: Notice issued under s912C of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 1 March 2019 2:18:07 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)

Good afternoon **Section 22**,

Thank you for your time on the phone today. On behalf of Madison, I am seeking a one week extension to the required response date, to 25 March 2019. The reason for seeking the extension is due to annual leave within Nexus Private Financial Planning Pty Ltd by the team member who will be assisting Madison with the response.

We look forward to your response.

Thank you,

Section 22

Section 22

Head of Advice and Governance

Ph: **Section 22**

Mobile: **Section 22**

Email: **Section 22** [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

Section 22

ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s912C of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Monday, 4 March 2019 9:04:00 AM
Attachments: [image005.jpg](#)
[image006.jpg](#)
[image001.jpg](#)

Dear Section 22,

I refer to your request for an extension of time to submit your response to our s912C notice. ASIC will not take steps to enforce compliance with the notice provided that the books are produced at the place and to the person specified in the notice by 4pm 25 March, 2019.

Regards

Section 22
Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22
[@asic.gov.au](#)



Please note: I am not available every alternate Friday and Monday

Section 22

Section 22

ASIC FOI 259-2024

Section 22

ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s912C of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Monday, 25 March 2019 2:55:53 PM
Attachments: [image002.jpg](#)
[image003.jpg](#)
[image004.jpg](#)
[20190225 s912C notice answer sheet Madison Financial Group.xlsx](#)

Good afternoon Section 22,

On behalf of Madison Financial Group Pty Limited, please find attached the response to the s912C notice dated 25 February 2019.

Please let me know if there is any additional information required or if you have any questions.

Regards,

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd

AFSL No. 246679 | ABN 36 002 459 001

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Madison logo



Section 22

Section 22

ASIC FOI 259-2024

Section 22

ASIC FOI 259-2024

From: Section 22 [REDACTED]
To: Section 22 [REDACTED]
Bcc: Section 22 [REDACTED]
Subject: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Thursday, 30 May 2019 2:05:00 PM
Attachments: [20190530_s33_notice_Madison_Financial_Group.pdf](#)

Dear Section 22,

I refer to our telephone conversation today in which you agreed service of the Notice could be effected by email. Thank you for your agreement and time to discuss.

Accordingly, I now enclose, by way of service, the Notice. Can you please confirm receipt of this email.

If you have any questions about the Notice, please do not hesitate to contact me on Section 22. Alternatively, in my absence you can contact Section 22.

Regards,

Section 22 [REDACTED]
Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22 [REDACTED]
[REDACTED]
[REDACTED] [@asic.gov.au](#)

Please note: I am not available every alternate Friday and Monday

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 14 June 2019 1:58:55 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)

Good afternoon Section 22,

I left you a voicemail earlier today. The purpose of my call was to seek an extension to the timeframe issued under the s33 notice of an additional week, until 21 June 2019.

Can you please confirm if ASIC is minded to grant this extension?

Thank you for your consideration.

Section 22

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
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Madison logo



Section 22

Section 22

ASIC FOI 259-2024

ASIC FOI 259-2024

From: Section 22
To: Section 22
Cc: Section 22
Subject: RE: Madison Financial Group Pty Ltd s33 notice extension request [SEC=UNCLASSIFIED]
Date: Friday, 14 June 2019 4:37:51 PM
Attachments: [image004.jpg](#)
[image002.jpg](#)

Dear Section 22

I refer to ASIC's s33 notice dated 30 May 2019 and your email below.

I confirm ASIC will not take steps to enforce compliance with the notice provided that the books are produced at the place and to the person specified in the notice by 4pm 21 June 2019.

However, if the required information becomes available and ready for production before 21 June 2019, we expect the licensee to produce the information to ASIC immediately and not wait until 21 June 2019.

Regards

Section 22
Senior Manager, Financial Advisers
Australian Securities and Investments Commission
Section 22

[@asic.gov.au](#)

ASIC-Cobrand-ALT-Inline-Signature-02

From: Section 22 @Madisonfg.com.au>
Sent: Friday, 14 June 2019 3:21 PM
To: Section 22 @asic.gov.au>
Subject: Madison Financial Group Pty Ltd s33 notice extension request
Importance: High

Dear Section 22

Thank you for your time earlier. I confirm that Madison Financial Group Pty Ltd (Madison) is seeking an extension to the timeframe we have to respond to the ASIC s33 Notice, which is due today at 5pm. The reason for seeking the extension is due to the large number of documents which constitute the books and records held by Nexus in relation to the clients specified in the Notice, and the review of these documents to confirm those required to be submitted in response to the s33 notice. Madison is seeking a 1-week extension, to 21 June 2019.

Thank you for your consideration.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: **Section 22**

Mobile: **Section 22**

Email: **Section 22** [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



From: Section 22
To: Section 22
Subject: Notice issued under s33 of the Corporations Act 2001 - Email Format (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Wednesday, 19 June 2019 3:21:00 PM
Attachments: [image004.jpg](#)
[image005.jpg](#)
[image006.jpg](#)

Good Afternoon Section 22,

I refer to your query related to the format of emails. The reproduction of emails should be in the same (or as close as practical to) the format provided to the recipient. Conversion to a PDF or WORD document will be accepted, however, please ensure that information is not lost/amended as a result of the conversion.

Regards

Section 22

Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22

[@asic.gov.au](#)

ASIC logo

Please note: I am not available every alternate Friday and Monday

From: Section 22 [@Madisonfg.com.au](#)>
Sent: Wednesday, 19 June 2019 2:39 PM
To: Section 22 [@asic.gov.au](#)>
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]

Good afternoon Section 22,

In response to item 1 of the s33 Notice, we are required to produce a number of emails. Could you please confirm whether ASIC has a protocol for producing emails? Does a native file production with basic metadata fields and an excel spreadsheet suffice? If not, could you please send through an appropriate protocol so that we can comply when producing the documents to you.

Thank you.

Regards,

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd

AFSL No. 246679 | ABN 36 002 459 001

Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia

PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

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ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Email Format (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Thursday, 20 June 2019 10:00:59 AM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)

Good Morning Section 22,

Thank you for confirming.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

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Madison logo



Section 22

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ASIC FOI 259-2024

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ASIG FOI 259-2024

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ASIG FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 21 June 2019 12:30:49 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
Importance: High

Dear Section 22,

I refer to the section 33 notice ("**Notice**") to Madison Financial Group ("**Madison**") dated 30 May 2019. The Notice requests that Madison by 14 June 2019 provide documentation referred to in the schedule in paragraphs (1) to (4). We note ASIC had agreed to a one-week extension until today.

Madison treats its compliance obligations very seriously and intends to, to the extent possible, comply with the Notice. Madison is assiduously working to collate and prepare the documents required to be produced in response to the Notice. What has become apparent in the course of undertaking necessary steps to comply with the Notice, is the scope is significantly larger than anticipated.

In this correspondence, I set out the reasons for a further extension of time:

- The Notice necessitates discovery and review of a number of documents, including produced to Madison by the Corporate Authorised Representative.
- These relevant documents and mailboxes have been supplied in bulk to Madison, and amount to approximately 7,500 documents.
- As the relevant documents and mailboxes have been supplied in bulk, they all need to be reviewed for relevance to confirm that they fall into the scope of the Notice.
- Due to the volume of information that must be reviewed to comply, Madison needs to engage an external legal review software service provider to facilitate the review process and ensure compliance with the Notice.
- Responding to the Notice then requires suitably qualified employees to perform the document review process. As Madison is serviced by a relatively small legal team of two lawyers and a graduate lawyer, and two paralegals, the impact this review process is having, and will continue to have, on the day-to-day operations of the business is significant and needs to be balanced with the competing demands of the day to day legal and compliance function(s) of Madison.

In an effort to comply with its obligations Madison has, to date, undertaken the following:

- downloaded six mailboxes and relevant documents amounting to approximately 7,500 documents into a secure cloud storage facility;
- engaged professional external legal review software service provider Sky Discovery, to set up a Relativity dataroom to enable the review and categorisation of relevant documents;
- developed a relevant protocol to be used throughout the review process; and
- commenced review of the documents in line with the protocol and for assessment for relevance.

Madison accordingly needs adequate time to comply with the Notice. Having regard to the foregoing, Madison proposes a further 2 week extension from today and accordingly, seeks ASIC's consent for the proposed an extension. Please confirm.

Yours faithfully,

section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd

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PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

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ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 21 June 2019 4:15:31 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)

Dear Section 22,

I refer to our conversation earlier today, and my email sent to you earlier today. We confirm that Madison takes its compliance obligations very seriously and intends to comply with the notice. Madison needs adequate time to comply with the notice, and we therefore accept ASIC's offer of a one-week extension. We will continue to work over the coming week to collate and prepare the documents required to be produced to the Notice, with a view to providing ASIC with the relevant documents by Friday, 28 June.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
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Madison logo



Section 22

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ASIC FOI 259-2024

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ASIC FOI 259-2024

From: Section 22
To: Section 22
Cc: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 21 June 2019 4:19:00 PM
Attachments: [image003.jpg](#)
[image004.jpg](#)
[image005.jpg](#)

Dear Section 22

I refer to ASIC's s33 notice dated 30 May 2019 and your emails below.

I confirm ASIC will not take steps to enforce compliance with the notice provided that the books are produced at the place and to the person specified in the notice by 4pm 28 June 2019.

However, if the required information becomes available and ready for production before 28 June 2019, we expect the licensee to produce the information to ASIC immediately and not wait until 28 June 2019.

Please note that no further extension will be granted.

Regards

Section 22

Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22

[@asic.gov.au](mailto:Section 22@asic.gov.au)

ASIC logo



Please note: I am not available every alternate Friday and Monday

Section 22

Section 22

ASIC FOI 259-2024

Section 22

ASIG FOI 259-2024

Section 22

ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: Madison Financial Group Pty Ltd response to s33 Notice issued 30 May 2019
Date: Friday, 28 June 2019 3:40:51 PM
Attachments: [image001.jpg](#)

Good afternoon Section 22,

As per my concurrent email, please find below the passwords for the link that was provided to you in Madison's response to the aforementioned Notice:

The Link: d904pTxH

The zip file: Sargon*2806

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 28 June 2019 3:40:51 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[20190628 s33 notice response Madison Financial Group Pty Ltd.pdf](#)

Dear Section 22,

Please find attached Madison's response to the s33 Notice issued by ASIC on 30 May 2019.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

Section 22

ASIG FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Thursday, 4 July 2019 2:01:03 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[20190704 Madison Financial Group Pty Ltd response to s33.pdf](#)

Dear Section 22,

Please find attached Madison's response to the s33 Notice issued by ASIC on 30 May 2019.

A link is provided in the attached letter to the location of the books and records. The password for this link has been sent in a separate email.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22 [@madisonfg.com.au](mailto:Section 22@madisonfg.com.au)

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Suite 801, Level 8, 54 Miller Street North Sydney NSW 2060, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



Section 22

Section 22

ASIC FOI 259-2024

From: Section 22
To: Section 22 @Madisonfg.com.au
Subject: FW: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Wednesday, 17 July 2019 12:33:00 PM
Attachments: [image009.jpg](#)
[image010.jpg](#)
[image011.jpg](#)

Good afternoon Section 22,

I would appreciate your assistance with those documents produced to ASIC in responses to our s33 notice listed in the table below, as follows:

- **Columns 1 and 2** –the files provided with the numeric codes listed in columns 1 and 2 had file names that were too long to allow access to the documents
- **Column 3 and 4**– we note the documents listed in column 3 included:
 - 14 documents that contained redactions
 - 18 files whose content was “This document is not relevant”

Could you please:

1. provide copies of the documents listed in columns 1 and 2 with files names of less than 50 characters
2. provide copies of the 14 documents noted in Column 4 as having redactions, without redactions (please see instructions provided in the s33 notice)
3. advise why the content of the 18 files marked “This document is not relevant” in column 4 are not relevant.

| Column 1 2019-06-28 Production001 | Column 2 Production002a |
|---|----------------------------|
| File name too long | File name too long |
| SAR.001.001.0589 | SAR.001.001.4034 |
| SAR.001.001.0764 | SAR.001.001.4161 |
| SAR.001.001.0821 | SAR.001.001.7042 |
| SAR.001.001.0855 | SAR.001.001.7044 |
| SAR.001.001.0933 | SAR.001.001.7045 |
| SAR.001.001.0934 | SAR.001.001.7046 |
| SAR.001.001.1628 | SAR.001.001.7049 |
| SAR.001.001.2112 | SAR.001.001.7052 |
| SAR.001.001.2363 | SAR.001.001.7054 |
| SAR.001.001.3006 | SAR.001.001.7055 |
| SAR.001.001.3111 | SAR.001.001.7056 |
| SAR.001.001.3566 | SAR.001.001.7058 |
| SAR.001.001.3567 | SAR.001.001.7059 |
| SAR.001.001.3623 | SAR.001.001.7061 |
| SAR.001.001.3738 | SAR.001.001.7066 |
| SAR.001.001.3759 | |
| SAR.001.001.4318 | |
| SAR.001.001.4402 | |
| SAR.001.001.5036 | |
| SAR.001.001.5037 | |
| SAR.001.001.5106 | |
| SAR.001.001.6062 | |
| SAR.001.001.6232 | |
| SAR.001.001.6238 | |
| SAR.001.001.6240 | |

| Column 3 Production002b | Column 4 Content |
|----------------------------|-------------------------------|
| Redactions | |
| SAR.001.001.0074 | Redactions |
| SAR.001.001.0715 | Redactions |
| SAR.001.001.0716 | This document is not relevant |
| SAR.001.001.0717 | This document is not relevant |
| SAR.001.001.0761 | Redactions |
| SAR.001.001.0706 | Redactions |
| SAR.001.001.2445 | Redactions |
| SAR.001.001.2446 | Redactions |
| SAR.001.001.2448 | Redactions |
| SAR.001.001.3034 | This document is not relevant |
| SAR.001.001.3035 | Redactions |
| SAR.001.001.3036 | This document is not relevant |
| SAR.001.001.3037 | This document is not relevant |
| SAR.001.001.3038 | This document is not relevant |
| SAR.001.001.3039 | This document is not relevant |
| SAR.001.001.3040 | This document is not relevant |
| SAR.001.001.3044 | Redactions |
| SAR.001.001.3045 | This document is not relevant |
| SAR.001.001.3046 | This document is not relevant |
| SAR.001.001.3047 | This document is not relevant |
| SAR.001.001.3048 | This document is not relevant |
| SAR.001.001.3049 | This document is not relevant |
| SAR.001.001.3050 | This document is not relevant |
| SAR.001.001.3156 | Redactions |
| SAR.001.001.5307 | Redactions |

| | |
|------------------|--|
| SAR.001.001.6285 | |
| SAR.001.001.6446 | |
| SAR.001.001.6457 | |
| SAR.001.001.6733 | |
| SAR.001.001.7047 | |
| SAR.001.001.7062 | |
| SAR.001.001.7156 | |
| SAR.001.001.7158 | |

| | |
|------------------|-------------------------------|
| SAR.001.001.5308 | Redactions |
| SAR.001.001.5309 | This document is not relevant |
| SAR.001.001.5310 | Redactions |
| SAR.001.001.5311 | Redactions |
| SAR.001.001.5312 | This document is not relevant |
| SAR.001.001.5808 | This document is not relevant |
| SAR.001.001.5809 | Picture of Logo |
| SAR.001.001.5810 | Picture of icon |
| SAR.001.001.5811 | Picture of icon |
| SAR.001.001.5812 | Picture of icon |
| SAR.001.001.5813 | Picture of icon |
| SAR.001.001.5814 | Picture of icon |
| SAR.001.001.5815 | Picture of Logos |
| SAR.001.001.5816 | No redactions |
| SAR.001.001.5817 | No redactions |
| SAR.001.001.5818 | Picture of staff member |
| SAR.001.001.6340 | This document is not relevant |
| SAR.001.001.6341 | No redactions |
| SAR.001.001.1706 | Redactions |

If you have any queries, please do not hesitate to contact me.

Regards

Section 22

Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22

[@asic.gov.au](mailto: @asic.gov.au)

ASIC logo



Please note: I am not available every alternate Friday and Monday

Section 22

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ASIC FOI 259-2024

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ASIG FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Monday, 22 July 2019 7:50:10 AM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)

Good morning Section 22

On behalf of Madison, I confirm receipt of your email. We will provide a response to your questions below in due course.

Regards,

Section 22

Section 22

Head of Advice and Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
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Madison logo



Section 22

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From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Tuesday, 27 August 2019 3:31:03 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)
Importance: High

Dear Section 22,

As per my concurrent email, the password for the files is: **Rock2019**

Regards,

Section 22

Section 22

Head of Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
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Section 22

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ASIC FOI 259-2024

Section 22

ASIC FOI 259-2024

From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Tuesday, 27 August 2019 3:31:03 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)
Importance: High

Dear Section 22,

I refer to your email below. I have responded to your queries in the order you asked them below:

1. We have checked the file names of the documents we produced, and the maximum file length is around 200 characters. When you copy the files into another folder, it extends the file path beyond the supported 255 characters. There are two ways in which you can access the files without changing the name of the file:
 - a. Copy the files to a shorter file path so the path doesn't exceed the 255 characters.
 - b. Map the existing location of files to a drive. Once the location is mapped, it will create a new drive on the system so that the files can be viewed without this error occurring.
2. We have provided these documents without redactions via the below link.
3. These files fell outside of the scope of the Notice. Noting your direction to the redaction protocols in the Notice in your email, we have provided these documents, in their document families, via the below link.

The link to the documents mentioned above is:

<https://transfer.skydiscovery.com.au/?ShareToken=E8407C097734E29E5C6EA096084A16D4761DD2F>

The link provided above are password protected. I will issue a concurrent email with the password.

Regards,

Section 22

Section 22

Head of Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

Madison Financial Group Pty Ltd
AFSL No. 246679 | ABN 36 002 459 001
Level 19, 60 Castlereagh St, Sydney NSW 2000, Australia
PO Box R1776, Royal Exchange, NSW 1225, Australia

Madison logo



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From: Section 22
To: Section 22
Subject: FW: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Friday, 30 August 2019 3:44:00 PM
Attachments: [image005.jpg](#)
[image006.jpg](#)
[image007.jpg](#)
[image001.jpg](#)

Dear Section 22,

Further to my email of 27 August, 2019 I have been advised that the link provided in your email of 27 August 2019 does not work. Could you please address this issue as a matter of urgency in order for us to access the relevant documents.

Regards

Section 22
 Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22
 [Redacted]
[@asic.gov.au](mailto:[Redacted]@asic.gov.au)



Please note: I am not available every alternate Friday and Monday

From: Section 22
Sent: Tuesday, 27 August 2019 4:33 PM
To: Section 22 <[Redacted]@Madisonfg.com.au>
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]

Dear Section 22,

I am in receipt of your response to my request dated 17 July 2019 and will contact you again if there are any further queries.

Regards,

Section 22
 Analyst, Financial Advisers

Australian Securities and Investments Commission

Section 22
 [Redacted]
[@asic.gov.au](mailto:[Redacted]@asic.gov.au)



Please note: I am not available every alternate Friday and Monday

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From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Wednesday, 4 September 2019 4:01:00 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)

Good afternoon Section 22,

I refer to your email dated 30 August 2019 and my concurrent email in relation to this matter, which contains an updated link to the documents. Please note, this link will expire on September 30 2019.

The password for the new link is 1K43dr0f.

Regards,

Section 22

Section 22

Head of Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

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From: Section 22
To: Section 22
Subject: RE: Notice issued under s33 of the Corporations Act 2001 - Madison Financial Group Pty Ltd (Treat as Confidential and Privileged) [DLM=Sensitive]
Date: Wednesday, 4 September 2019 4:00:59 PM
Attachments: [image001.jpg](#)
[image002.jpg](#)
[image003.jpg](#)

Good afternoon Section 22,

I refer to your email dated 30 August 2019.

The link to the documents mentioned in my email on August 27 has been updated and is:

<https://transfer.skydiscovery.com.au/?ShareToken=FD7950C010321C862ADE0318246096E6CC75180D>

Please see my concurrent email for the password.

Regards,

Section 22

Section 22

Head of Governance

Ph: Section 22

Mobile: Section 22

Email: Section 22@madisonfg.com.au

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