



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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26-0201

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 257D(4) – Exemption**

Enabling legislation

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 257D(4) of the Corporations Act 2001 (*Act*).

Title

- 2 This instrument is ASIC Instrument 26-0201.

Commencement

- 3 This instrument commences on the day it is signed.

Exemption

- 4 Shriro Holdings Limited ACN 605 279 329 (*Company*) does not have to comply with subsections 257D(1), 257D(2) and 257D(3) of the Act.

Where this instrument applies

- 5 This instrument applies in relation to the off-market tender buy-back to be made available to all Shareholders (other than Excluded Foreign Persons) commencing on or around 26 March 2026 which:
- (a) is substantially on the terms contemplated in the Buy-Back Invitation;
 - (b) complies with the conditions in subsection 257B(2) of the Act, subject to subsection 257B(3) of the Act, except that;
 - (i) the Company does not comply with paragraph 257B(2)(a) of the Act but rather the Buy-Back Invitations relate only to Shares;
 - (ii) the Company does not comply with paragraph 257B(2)(b) of the Act but rather invites all Shareholders (other than Excluded Foreign Persons) to offer for sale their Shares to the Company in accordance with the terms and conditions of the Buy-Back Invitations;
 - (iii) the Company does not comply with paragraph 257B(2)(c) of the Act but rather all Shareholders (other than Excluded Foreign Persons) have a reasonable opportunity to offer for sale their Shares to the Company in accordance with the terms and conditions of the Buy-Back Invitations;
 - (iv) the Company does not comply with paragraph 257B(2)(d) of the Act but rather the buy-back agreements are not entered into until after the Tender Period has closed; and
 - (v) the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Invitation is to be made to all Shareholders (other

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than Excluded Foreign Persons) for those holders to Tender their Shares for sale to the Company and for the Company to buy-back those Shares subject to:

- (A) each Shareholder having the ability to nominate and offer Shares to the Company up to their Buy-Back Entitlement;
 - (B) if the shareholder holds 2,469 Shares or fewer, they must tender all of their Shares if they wish to participate in the buy-back;
 - (C) if the shareholder holds more than 2,469 Shares, they may tender any number of Shares they hold up to their Buy-Back Entitlement;
 - (D) if the shareholder tenders their full Buy-Back Entitlement they may also tender Additional Shares.
- (vi) the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Invitation need not be made to Excluded Foreign Persons and any Tender received from an Excluded Foreign Person need not be accepted by the Company.

Conditions

- 6 The Company must ensure that the Buy-Back Invitation includes a term that:
- (a) the Buy-Back Price will be \$0.81 per Share; and
 - (b) if, at the close of the Tender Period, the Company has received Tenders that in aggregate are more than the Buy-Back Amount:
 - (i) the Company will buy back Shares up to the Buy-Back Amount (or such lesser number as the Company may determine); and
 - (ii) if the Company receives tenders for Additional Shares that exceed the Shortfall number of Shares, the Company will scale back acceptances in accordance with the Scale-Back Mechanism.

Interpretation

- 7 In this instrument:

Additional Shares means those Shares offered by an Eligible Shareholder to be sold to the Company that are in excess of that Shareholder's Buy-Back Entitlement.

ASX means ASX Limited (ABN 98 008 624 691) or the financial market that it operates, as appropriate.

Buy-Back Amount means the number of Shares equal to 25.82% of the Shares on issue.

Buy-Back Booklet means the document to be lodged with ASX on or about 26 March 2026, being in substantially the same form as the booklet lodged with ASIC on 9 March 2026.

Buy-Back Entitlement means the pro-rata entitlement of each Eligible Shareholder to offer to sell up to 25.82% of the Shares they hold in the Company pursuant to the Buy-Back Invitation.

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Buy-Back Invitation means the invitation by the Company to its Eligible Shareholders to offer to sell Shares to the Company in accordance with the procedure set out in the Buy-Back Booklet.

Buy-Back Price means the price per Share at which the Company will buy back Shares tendered in accordance with the Buy-Back Invitation, being \$0.81.

Eligible Shareholder means a Shareholder who is not an Excluded Foreign Person.

Excluded Foreign Person means any person holding Shares:

- (a) to whom the Company would be prohibited from paying money pursuant to the:
 - (i) *Banking (Foreign Exchange) Regulations 1959*;
 - (ii) Regulations made under the *Charter of the United Nations Act 1945* imposing financial sanctions including the *Charter of the United Nations (Dealings with Assets) Regulations 2008*; or
 - (iii) any other act, rule, or regulation prohibiting the Company from making payments to foreign persons;
- (b) who resides in a jurisdiction where it would be illegal under the laws of that jurisdiction to make an invitation to the holder to participate in the buy-back;
- (c) whose participation in the buy-back is not permitted under the laws of the jurisdiction in which they are resident; or
- (d) who is not resident in Australia, New Zealand, Singapore or Hong Kong.

For the avoidance of doubt, Excluded Foreign Persons include any person who is (or who is acting on behalf of or for the account of a person who is) in the United States, a US Person or a resident of Canada.

Record Date means the record date for eligibility to participate in the buy-back, being as at 7.00pm (Sydney time) on or around Friday, 20 March 2026.

Scale-Back Mechanism means the reduction of Shares bought back by the Company on the terms and conditions set out in the Buy-Back Invitation, to be applied to Tenders from Eligible Shareholders on a substantially pro-rata basis, except that it will not apply to Tenders from Small Holders.

Shareholder means a person who holds Shares at the Record Date;

Shares means fully paid ordinary shares in the capital of the Company.

Shortfall means that number of Shares available out of the Buy-Back Amount that arises where eligible Shareholders have not tendered their full Buy-Back Entitlement.

Small Holder means an Eligible Shareholder who holds 2,469 Shares (or fewer Shares) at the Record Date.

Tender means an offer made in accordance with the Buy-Back Invitation by an Eligible Shareholder to sell to the Company a specified number of Shares at the Buy-Back Price.

Tender Form means the form of offer by an Eligible Shareholder to sell their nominated Shares to the Company, which is enclosed with the Buy-Back Invitation (and includes any form of offer amended in accordance with the procedures set out in the Buy-Back Booklet).

Tender Period means the period within which Eligible Shareholders may lodge, withdraw or amend a tender in accordance with the procedures set out in the Buy-Back Invitation.

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US Person has the meaning given by Regulation S under the United States' Securities Act of 1933, as at the date of this instrument.

Dated this 25th day of March 2026



Signed by Emily Quan
as a delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

GPO Box 9827,
Melbourne VIC 3001

Tel: +61 1300 935 075

26-0227

**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Notice of cancellation of an Australian financial services licence

TO: 1ST ENERGY PTY LTD
ACN 604 999 706 ("the Licensee")
L 12 459 Little Collins St
MELBOURNE VIC 3000

Pursuant to paragraph s915B(3)(d) of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 480176 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 March 2026

Signed

Cara Somerville
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

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Melbourne VIC 3000

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Brisbane QLD 4001

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Fax: +61 1300 729 000

www.asic.gov.au

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**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Maxmillian Financial Planning Pty Ltd
ACN 129 421 432 ("the Licensee")
Suite 302 11 Help Street,
CHATSWOOD NSW 2067

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 459314 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 March 2026

Signed

Sandra Holdaway
A delegate of the Australian Securities and Investments Commission

26-0239

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under s920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 5 March 2026.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
IN THE MATTER OF NEIL EDDIS SHELLSHEAR
SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Mr Neil Eddis Shellshear

TAKE NOTICE that under s920A and s920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Mr Neil Eddis Shellshear for a period of ten years from:

1. providing any financial services;
2. controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
3. performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 4th day of March 2026

Signed: 

Graeme Darcy Plath, Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

26-0241

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0241

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts iCapital UK Services Limited, a company incorporated under the laws of the United Kingdom and registered under firm reference number 1032566 with the Financial Conduct Authority of the United Kingdom (the *body*) from the requirement to hold an Australian financial services (*AFS licence*), upon the conditions referred to in Schedule A and in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have an effect on the earlier of:
 - (a) subparagraph 7(1) of *ASIC Corporations (Foreign Financial Services Providers) Instrument 2025/798*, as amended or replaced from time to time, ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1099] *UK regulated financial services providers*; or
 - (b) the body not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial services business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

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Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current Part 4A Permission;
 - (b) the body is either a body corporate incorporated in the UK or a partnership formed in the UK;
 - (c) the body:
 - (i) is registered under Division 2 of Pt 5B.2 of the Act; or
 - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (d) the body's primary business is the provision of financial services;
 - (e) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (g) the body has not notified ASIC that it will not rely on this instrument.

Schedule B

Where:

1. the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice;
 - (b) dealing in a financial product;in respect of any of the following financial products:
 - (c) derivatives;
 - (d) foreign exchange contracts;
 - (e) securities;
 - (f) debentures, stocks or bonds issued by a government;
 - (g) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; or
 - (h) managed investment products; and

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2. the body has provided ASIC with:
 - (a) a copy of the Part 4A Permission;
 - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit and enforceable by ASIC and the other person referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC;
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
 - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) the body covenants that, on written request of either the FCA or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the FCA to disclose to ASIC and ASIC to disclose to the FCA any information or document that the FCA or ASIC has that relates to the body; and
 - (d) written consents to the disclosure by the FCA to ASIC and ASIC to the FCA of any information or document that the FCA or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

1. The body must provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the UK regulatory requirements if the financial service were provided in the UK in like circumstances.
2. The body must:
 - (a) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and

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- (ii) each significant particular exemption or other relief which the body may obtain from the UK regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
- (iii) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) as applicable:
 - (A) for a dual-regulated body – the body is authorised by the PRA and regulated by the FCA and PRA under UK laws, which differ from Australian laws;
 - (B) otherwise – the body is authorised and regulated by the FCA under UK laws, which differ from Australian laws; and
 - (c) if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

Interpretation

6. In this instrument:

address, in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

derivative has the meaning given by section 761D of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated authority within section 22A of the FSM Act;

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financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

foreign exchange contract has the meaning given by section 761A of the Act;

FSM Act means the *Financial Services and Markets Act 2000* of the United Kingdom;

managed investment product has the meaning given by s9 of the Act;

managed investment scheme has the meaning given by s9 of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of the FSM Act or having effect as if so given under that Part;

PRA means the Prudential Regulation Authority of the United Kingdom;

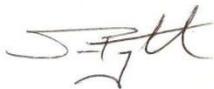
securities has the meaning given by section 92 of the Act;

UK regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 23 day of March 2026.

Signed by



as a delegate of the Australian Securities and Investments Commission

26-0242

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0242.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to Kjerulf David Hastings Ainsworth (*Bidder*) as if subsection 633(1B) of the Act, as notionally inserted by *ASIC Corporations (Takeover Bids) Instrument 2023/683*, was modified or varied by:
 - (a) omitting the words “the date of the bid” (twice occurring); and
 - (b) substituting “a date that is no more than 4 trading days prior to the date of the bid”.

Note: *ASIC Corporations (Takeover Bids) Instrument 2023/683* notionally inserts subsection 633(1B) of the Act.

Where this instrument applies

5. This instrument applies to an off-market takeover bid by the Bidder for 5.5% of the fully paid ordinary shares in Ainsworth Game Technology Ltd ACN 068 516 665 (ASX:AGI) (*Target*) that the Bidder does not already own where:
 - (a) the Bidder sends an updated bidder’s statement and offer document to holders of securities in the Target under item 6 of the table in subsection 633(1) of the Act that includes:
 - i. the information required by subsection 636(1)(h), for the period of 4 months before a date that is no more than 4 trading days prior to the date of the bid; and

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- ii. the information required by subsections 636(k) and (l), as at a date that is no more than 4 trading days prior to the date of the bid; and
- (b) on the next trading day after the date of the bid, the Bidder lodges with ASIC and sends to ASX and the Target a supplementary bidder's statement that includes:
- i. the information required by subsection 636(1)(h), for the period of 4 months before the date of the bid; and
 - ii. the information required by subsections 636(1)(k) and (l), immediately before the date of the bid.

Interpretation

6. In this instrument:

- (a) *ASX* means ASX Limited ACN 008 624 691 or the securities exchange operated by ASX Limited.
- (b) *bidder's statement* means the bidder's statement in relation to the takeover bid by the Bidder for 5.5% of the fully paid ordinary shares in the Target lodged with ASIC on 12 March 2026 (as supplemented or replaced).
- (c) *date of the bid* means the date on which offers are first made under the bid.

Dated this 23rd day of March 2026



Signed by David Walshe
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of March 2026

Name of Company

ARBN

INMOBI PTE. LTD.

154 984 522

RUSADA SA

131 285 446

TRAVELTEK AUSTRALIA LTD

163 047 425

WORLD VIEW ENTERPRISES INC.

636 942 115

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

AMMONIAC LTD ACN 664 552 416 will change to a proprietary company limited by shares. The new name will be AMMONIAC PTY LTD ACN 664 552 416.

ESTIA HEALTH TOPCO PTY LTD ACN 669 884 244 will change to a public company limited by shares. The new name will be ESTIA HEALTH HOLDINGS LIMITED ACN 669 884 244.

QEWFF PTY LTD ACN 166 667 634 will change to a public company limited by shares. The new name will be QEWFF LIMITED ACN 166 667 634.

CUSTOMA PTY LTD ACN 601 689 712 will change to a public company limited by shares. The new name will be LOCI TECHNOLOGIES LTD ACN 601 689 712.

KULA GOLD LIMITED ACN 126 741 259 will change to a proprietary company limited by shares. The new name will be KULA GOLD PTY LTD ACN 126 741 259.