

**Financial Services and Credit Panel**

**In the matter of** [REDACTED]

**Written direction under s921L(1)(a)(iv) of the Corporations Act 2001**

To:

[REDACTED]  
Representative number [REDACTED]

Pursuant to s921K(1) and s921L(1)(a)(iv) of the Corporations Act 2001, the Financial Services and Credit Panel convened by ASIC pursuant to an instrument dated 4 September 2024 to consider the conduct of [REDACTED] Representative number [REDACTED] (the **relevant provider**) directs the relevant provider to report the matters specified in Schedule A to ASIC by 31 October 2025.

**Schedule A**

1. The relevant provider is required to give to ASIC a copy of the report dated 28 February 2025 produced by [REDACTED] in relation to the comprehensive licensee review.
2. The relevant provider is required to give to ASIC a copy of the report produced by [REDACTED] at the conclusion of [REDACTED]'s pre-vetting of the next 10 pieces of advice issued by the relevant provider.
3. The relevant provider is required to give to ASIC documentation showing the relevant provider's successful completion of [REDACTED] Ethics and Professionalism in Financial Services course.
4. The relevant provider is required to give to ASIC documentation showing that the relevant provider is no longer an associate of the [REDACTED].



Signed: \_\_\_\_\_  
Kate Dluzniak, Sitting Panel Chair

Date: 17 June 2025