



Notification of proposed change of name of a corporate collective investment vehicle sub-fund

Form 5240
Corporations Act 2001
1222V, 1222X, 1222Y
1222Z

Use this form to change the name of a sub-fund registered to a corporate collective investment vehicle (CCIV) under the *Corporations Act 2001*.
If there is insufficient space in any section of the form, you may attach an annexure and submit as part of this lodgement

Lodgement details

Who should ASIC contact if there is a query about this form?

Name

Company/entity name

ACN/ABN/ARBN

ASIC registered agent number (if applicable)

Telephone number

Postal address

Email address (optional)

Sub-fund details

Current registered sub-fund name

ARFN

Australian Passport Fund Registration Number (if applicable)

Name of CCIV

ACN

Details of name change

Proposed new name of sub-fund

1. Is the sub-fund changing its name due to a CCIV name change under s157 of the *Corporations Act 2001*?

Yes (if yes, go to question 3) No (if no, go to question 2)

Date of special resolution

/ /
[D] [D] [M] [M] [Y] [Y]

2. The CCIV has, or is about to change its name due to direction by ASIC under s1222Z of the *Corporations Act 2001*?

Yes No

Date of direction under s1222Z

/ /
[D] [D] [M] [M] [Y] [Y]

3. Is the sub-fund in liquidation?

Yes No

Signature

This form must be signed by a director or secretary of the corporate director of the CCIV.

The information provided is true and correct. I declare that the sub-fund name change is compliant with s1222V and 1222X of the *Corporations Act 2001*.

Name of person signing

Capacity

- Director of the corporate director
 Secretary of the corporate director

Signature

Date signed

/ /
[D] [D] [M] [M] [Y] [Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Notification of proposed change of name of a corporate collective investment vehicle sub-fund

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5240.

| | |
|--|---|
| Signature | This form must be signed by a director or secretary of the corporate director of the CCIV. |
| Lodgement period | <p>Name Change pursuant to section 1222Y of the <i>Corporations Act 2001</i>:</p> <ul style="list-style-type: none">This form must be lodged within 14 days of the special resolution to change the sub-fund name. <p>Name Change pursuant to section 1222Z of the <i>Corporations Act 2001</i>:</p> <ul style="list-style-type: none">This form must be lodged within 60 days of the direction given by ASIC. |
| Lodgement fee | Nil |
| Late fees | <p>Late fees will apply if you notify a change outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A form is not considered lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the Corporations Act 2001. A receipt will not be issued unless requested.</p> |
| Other forms to be completed | Form 205 <i>Notification of resolution</i> must be lodged by the CCIV to change its name along with this form to change any associated sub-fund name. |
| Additional information | <p>To change the name of a sub-fund, the CCIV must lodge this form stating the proposed new name of the CCIV. The proposed name must not be the same as the name of:</p> <ol style="list-style-type: none">identical to a name that is used as the second element of the name of another sub-fund of the same CCIV; oridentical to a name that is reserved by ASIC in respect of another person who is not the person applying to have the name; orunacceptable for registration under the regulations. |
| How to provide additional information | <p>Annexures</p> <p>If there is insufficient space in any section of the form, you may submit annexures as part of this lodgement.</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none">use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sidesshow the sub-fund name and ARFN, CCIV name and ACNnumber the pages consecutivelyprint or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopiedmark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)sign and date the annexure <p>The annexure must be signed by the same person(s) who signed the form.</p> |
| Privacy | The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy. |
| Lodgement | <p>Send completed and signed forms to:</p> <p>Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841</p> <p>For more information</p> <p>Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p> |