



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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21-0077

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Doo Hang Hong
ABN 21 351 421 319 ("the Licensee")
Unit 4503
101 Bathurst St
SYDNEY NSW 2000

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 461937 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0226

Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1), 926A(2), 992B(1) and 1020F(1) –
Exemption

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 601QA(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21-0226.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Semper Securities Ltd ACN 630 113 530 (*Semper*) in its capacity as trustee of the Semper Securities Unit Trust ABN 12 859 602 492 (*Trust*) does not have to comply with the following:
 - (a) subsection 601ED(5) of the Act in relation to the operation of a managed investment scheme (*Scheme*) to the extent that it would require Semper to register a Scheme that may arise from the issue of the Semper Notes;
 - (b) subsection 992AA(1) of the Act in relation to an offer of an interest in the Scheme;
 - (c) Divisions 2 to 5 of Part 7.9 of the Act in relation to an offer, issue or recommendation to acquire an interest in the Scheme; and
 - (d) the requirement in subsection 911A(1) of the Act to hold an Australian financial services licence covering the provision of financial services to retail clients in relation to an interest in the Scheme.
5. Semper in its capacity as trustee of the Trust does not have to comply with the requirement in subsection 911A(1) of the Act to hold an Australian financial services licence covering the provision of financial services to wholesale clients in relation to an interest in the Scheme.

Where this exemption applies

6. The exemptions in paragraph 4 apply where all of the following are satisfied:
 - (a) before or at the time the Semper Notes are offered to a person as a retail client (*Noteholder*), the Noteholder is provided with information in the relevant disclosure document for the Semper Notes which sets

21-0226

- out the terms of the relief under paragraphs 4 and 5 of this instrument;
and
- (b) the disclosure document for the Semper Notes includes a clear and prominent statement to the effect that under the Semper Notes, Semper undertakes to repay money as a debt to the Noteholder subject to the terms of their issue.
7. The exemption in paragraph 5 applies where the all following are satisfied:
- (a) before or at the time the Semper Notes are offered to a person (other than as a retail client) (*Wholesale Noteholder*), the Wholesale Noteholder is provided with information in the relevant information memorandum which sets out the terms of the relief under paragraph 5 of this instrument; and
- (b) the information memorandum provided to the Wholesale Noteholder includes a clear and prominent statement to the effect that under the Semper Notes, Semper undertakes to repay money as a debt to the Noteholder subject to the terms of their issue.

Cessation of relief

8. The exemptions in paragraph 4 of this instrument cease to apply on 27 November 2021.

Interpretation

9. In this instrument:

Master Trust Deed means the master trust deed dated 25 May 2021 in accordance with which Semper may issue the Semper Notes that are specifically secured to specified assets of Semper, and in the same form as the final draft copy provided to ASIC on 2 February 2021.

Semper Notes means debentures issued by Semper in accordance with the Master Trust Deed.

Dated this 27th day of May 2021



Signed by David Halperin
as a delegate of the Australian Securities and Investments Commission

21-0350

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 10 May 2021

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF HOLLY MARIE GROFSKI****SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Holly Marie Grofski

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under s920A(1)(e) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Holly Marie Grofski from controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business for 6 months.

Dated this 28th day of April 2021

Signed: 
Melanie Baxter
Delegate of the Australian Securities
and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

21-0391

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0391.

Commencement

3. This instrument commences on the day it is signed.

Declarations

4. Chapter 6 of the Act applies to 3P Learning Limited ACN 103 827 836 (the *Company*) as if section 609 of the Act were modified or varied by omitting subsections 609(13C) – 609(13D) (as notionally inserted by ASIC Class Order [CO 13/520]).

Where this instrument applies

5. The declaration in paragraph 4 applies to the acquisition of a relevant interest in up to 123,300,000 securities of the Company (*escrow securities*) arising as a result of the entry into one or more voluntary escrow agreements or deeds (each an *escrow agreement*) between the Company and a Security Holder in connection with the proposed acquisition of all of the issued share capital of Blake eLearning Pty Ltd ACN 140 998 821 where each escrow agreement:
 - (a) does not restrict the exercise of voting rights attaching to the escrow securities;
 - (b) in the case of a takeover bid (including a proportional takeover bid):
 - (i) allows each Security Holder to accept into the takeover bid where the holders of at least half of the bid class securities that are not subject to escrow have accepted into the bid; and
 - (ii) requires that the escrow securities be returned to escrow if the bid does not become unconditional;
 - (c) allows the escrow securities to be transferred or cancelled as part of a merger by way of a compromise or arrangement under Part 5.1;
 - (d) terminates no later than the second anniversary of the date of entry into the escrow agreement;
 - (e) where the holder is permitted to create a security interest in some or all of the escrow securities in favour of a person whose relevant interests in the escrow securities does not arise because of subsection (1)—requires that the holder must

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not create a security interest in favour of the person unless the person has agreed in writing to take or acquire the security interest in the escrow securities subject to the terms of the escrow agreement;

- (f) where the holder is permitted to transfer their interests in the escrow securities to another person—requires that the holder must not transfer the escrow securities to another person if:
- (i) the transfer would result in a change in the beneficial ownership of the escrow securities; or
 - (ii) the transfer would result in an extension in the period of the escrow agreement; or
 - (iii) the transferee does not agree to be subject to the same restrictions on disposal of the escrow securities under the escrow agreement; and
- (g) is substantially in the same form as the draft escrow agreement provided to ASIC via email on 29 March 2021.

Interpretation

6. In this instrument:

Security Holder means any of the following persons who hold shares in the Company:

- (a) Pascal Educational Services Pty Ltd ACN 082 850 744 as trustee for the Blake Sandblom Trust;
- (b) Pascal Educational Services Pty Ltd ACN 082 850 744 as trustee for the BeL Unit Trust; and
- (c) KPIT Pty Ltd ACN 132 677 162 as trustee for the KP Investment Trust.

Dated this 24th day of May 2021



Signed by Yuki Kobayashi
as a delegate of the Australian Securities and Investments Commission

21-0423

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: PSCA Financial Group Pty Ltd
ACN 611 072 149 ("the Licensee")
214-216 Sturt Street
Adelaide SA 5000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486322 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0424

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Aspiron Wealth Consulting Pty Ltd
ACN 609 290 217("the Licensee")
PO Box 449
Kew VIC 3101

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483378 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0425

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Aquila Super Pty Limited
ACN 102 006 486 ("the Licensee")
GPO Box 543
Canberra ACT 2601

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488592 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0426

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Ifirst Pty Ltd
ACN 080 214 919 ("the Licensee")
502/62 Beach
Street Port Melbourne Vic 3207

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 240968 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0435

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Millwater Racehorse Syndications Pty Ltd
ACN 124 968 921 ("the Licensee")
2202 Old Melbourne Road
Millbrook VIC 3352

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 321363 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0441

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Daisy Kiron Daniell
ABN 79 197 422 123 ("the Licensee")
15 Edinburgh Avenue
CARLINGFORD NSW 2118

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484853 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 May 2021

Signed



Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0451

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Tax Shield Accounting Maddington Pty Ltd
ACN 123 619 296 ("the Licensee")
East Office 1
Centro Maddington Shopping Centre
43 Attfield Street
MADDINGTON WA 6109

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485436 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

21-0454

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1)(a), 741(1)(a),
926A(2)(a), 992B(1)(a) and 1020F(1)(a) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 601QA(1)(a), 741(1)(a), 926A(2)(a), 992B(1)(a) and 1020F(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0454.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Disclosure relief*Offers made under an employee incentive scheme*

5. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

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7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
- (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
 - (i) an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

Licensing, hawking and other incidental relief*General advice*

8. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

9. The Company or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

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Custodial or depository services

10. The Company or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
 - (a) a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;
 - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

11. The Company or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 736, 992A or 992AA of the Act.

Advertising

12. The Company or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Incidental managed investment scheme

13. The Company or a related body corporate that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

14. The Company or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if

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the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

16. The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

Offers must be conditional on quotation

- 16A. The Company or a related body corporate that makes an offer under an employee incentive scheme in reliance on this instrument must ensure that any offers to eligible participants are:
 - (a) made on or after the day the Prospectus has been lodged with ASIC and while the offers of securities that have been made under the Prospectus are still open for acceptance; and
 - (b) conditional on the quotation of the Company's shares on the eligible financial market.

Offers of overlying eligible products

17. If the Company, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, the Company or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 17 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

5% issue limit

18. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not

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exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

Trusts

19. The Company or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of the Company or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
 - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.
 - (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
 - (d) if the trustee is the Company or an associate of the Company —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
 - (e) the trustee, either alone or together with one or more other trustees, does not

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hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company or a related body corporate in relation to which offers were covered by this instrument, ASIC Class Order [CO 14/1000] or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

Contribution plans

20. The Company or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:
- (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
 - (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;

Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.
 - (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of the Company or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of the Company or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;
 - (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:
 - (i) exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and
 - (ii) receive income deriving from the underlying eligible products, including dividends and distributions;

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Note: The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from the Company or a related body corporate to the eligible participant in connection with the employee incentive scheme.

- (e) unless the eligible participant (or a person covered by one of the sub-paragraphs in subparagraph 23(3)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to the Company or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

Loans

- 21. The Company or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from the Company or a related body corporate to an eligible participant to acquire the product:
 - (a) must ensure that the loan is not provided to acquire options or incentive rights; and
 - (b) must ensure that under the terms of the loan:
 - (i) no fees or interest is payable; and
 - (ii) either:
 - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
 - (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

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ASIC power to request documents

22. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

Interpretation

23. In this instrument:

- (1) *able to be traded* has the meaning given by section 761A of the Act;

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

casual employee, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Silk Logistics Holdings Limited ACN 165 867 372;

contractor, in relation to the Company or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay

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(including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

eligible financial market means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

eligible product, in relation to the Company, means a financial product specified in column 2 of Table A;

employee incentive scheme means an arrangement under which eligible products of the Company are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - (i) the price or value at a given time of the underlying eligible product to which the right relates;
 - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
 - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or

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- (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - (i) any advice given by the Company in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
 - (ii) eligible participants should consider obtaining their own

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financial product advice from a person who is licensed by ASIC to give such advice;

- (iii) the Company will provide a hard copy of the Prospectus to eligible participants on request (and how eligible participants can access an electronic version of the Prospectus); and
- (iv) the offer is conditional on quotation of the Company's shares on the eligible financial market;

(b) either:

- (i) a copy of the terms of the employee incentive scheme; or
- (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;

(c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;

(d) if a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme and those eligible participants will have the right to:

- (i) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
- (ii) receive income deriving from the underlying eligible products, including dividends or distributions,

then either — a copy of the trust deed or a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the trust deed;

(e) if the employee incentive scheme involves a contribution plan—either:

- (i) a copy of the contribution plan; or
- (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a

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reasonable time, the Company will provide an eligible participant with a copy of the terms of the contribution plan;

- (f) if the employee incentive scheme involves a loan from the Company or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
- (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars following quotation of the Company's shares on the eligible financial market;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 2 of Table A;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of the paragraphs (a) to (d) of column 3 of Table A;

Prospectus means the disclosure document lodged on behalf of the Company and Silk Logistics SaleCo Limited ACN 649 416 482 under s718 of the Act for an initial public offer and listing on ASX;

related body corporate has the meaning given in section 50 of the Act;

stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 2 of Table A;

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- (2) if the Company is a registered scheme:
 - (i) the conferral of relief on the Company is taken to be the conferral of relief on the responsible entity of the scheme;
 - (ii) a requirement imposed on the Company is taken to be a requirement imposed on the responsible entity of the scheme;
 - (iii) a reference to occupying a position or role with the Company is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (3) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
- (4) an employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (5) unless specified to the contrary, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 25th day of May 2021



signed by Jeremiah Leong
as a delegate of the Australian Securities and Investments Commission

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Table A

Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
(a) ASX (also known as the Australian Securities Exchange).	<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a beneficial interest in a fully paid share of the Company where the interest is in a class of interests that is able to be traded on an eligible financial market;</p> <p>(c) a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;</p> <p>(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;</p> <p>(d) a beneficial interest in a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;</p> <p>(ii) the beneficial interest is convertible into the share without charge or for a nominal fee;</p> <p>(e) a fully paid stapled security of the Company that is in a class of stapled securities that is able to be traded on ASX;</p> <p>(f) where the Company is a registered</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>

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Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
	<p>scheme—an interest in the Company that is in a class of interests that is able to be traded on ASX;</p> <p>(g) a unit in a financial product mentioned in paragraphs (a) to (f);</p> <p>(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);</p> <p>(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f).</p>	

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**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Peter Choy
ABN 26 854 784 626 ("the Licensee")
PO Box Q686
Queen Victoria Building NSW 1230

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483172 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 May 2021

Signed 

Margaret Stone
A delegate of the Australian Securities and Investments Commission

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**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0459.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Alkane Resources Limited ACN 000 689 216 (*Alkane*), Abbotsleigh Proprietary Limited ACN 005 612 377 and Mr Ian Jeffrey Gandel (together, *Alkane Parties*) do not have to comply with subsections 606(1) and 606(2) of the Act.

Where this instrument applies

5. This instrument applies where:
 - (a) the Alkane Parties acquire a relevant interest in the voting shares of Genesis Minerals Limited ACN 124 772 041 (*Genesis*) on or after the date of this instrument and no later than 30 October 2021 (the *Acquisition*);
 - (b) throughout the six months before the Acquisition, the Alkane Parties would have had a voting power in Genesis of at least 19% if Genesis had not issued securities as announced by Genesis to ASX Limited ACN 008 624 691 pursuant to Appendix 2A Notice dated 30 April 2021; and

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- (c) as a result of the Acquisition, the Alkane Parties will not have voting power in Genesis more than three percentage points higher than they would have had six months before the Acquisition.

Dated this 26th day of May 2021



Signed by Ali Latash
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-eighth day of May 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

IPT EDUCATION LIMITED

161 820 793

THE ADVISORY BOARD COMPANY

140 233 209

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-eighth day of May 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

HEETCO, INC.

002 260 808

SUN HUNG KAI INVESTMENT SERVICES LIMITED

167 146 545

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-eighth day of May 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
AUSTRALIAN GOVERNANCE & ETHICAL INDEX FUND	625 826 646
EVANS & PARTNERS AUSTRALIAN FLAGSHIP FUND	625 303 068
THE SOVEREIGN AGED CARE PROPERTY FUND	121 778 863
THE SOVEREIGN TARNEIT LAND FUND	114 818 209

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-eighth day of May 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

MUTUAL 50 LEADERS AUSTRALIAN SHARES FUND

107 889 396

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ATOM GOLD PTY LTD ACN 644 262 199 will change to a public company limited by shares. The new name will be ATOM GOLD LIMITED ACN 644 262 199.

FIREWIRE LTD. ACN 113 110 624 will change to a proprietary company limited by shares. The new name will be FIREWIRE PTY LTD ACN 113 110 624.

GULF MINERALS PTY LIMITED
ACN 095 907 565 will change to a public company limited by shares. The new name will be ADVANCED ENERGY MINERALS LIMITED ACN 095 907 565.

METROCK RESOURCES LTD ACN 634 959 274 will change to a proprietary company limited by shares. The new name will be METROCK RESOURCES PTY LTD ACN 634 959 274.

PANDA HILL MINING PTY LTD
ACN 160 217 069 will change to a public company limited by shares. The new name will be PANDA HILL MINING LIMITED ACN 160 217 069.

SHH PROSPECTING PTY LTD ACN 629 831 492 will change to a public company limited by shares. The new name will be CATALINA MINERALS LIMITED ACN 629 831 492.

TOYO TYRE & RUBBER AUSTRALIA LIMITED
ACN 000 767 279 will change to a proprietary company limited by shares. The new name will be TOYO TYRE AUSTRALIA PTY LTD ACN 000 767 279.

CHARIOT CORPORATION PTY LTD
ACN 637 559 847 will change to a public company limited by shares. The new name will be CHARIOT CORPORATION LTD ACN 637 559 847.

GREAT NORTHERN LITHIUM PTY LTD
ACN 648 657 649 will change to a public company limited by shares. The new name will be GREEN TECHNOLOGY METALS LIMITED ACN 648 657 649.

INSAAF AUSTRALIA LTD. ACN 614 678 956 will change to a proprietary company limited by shares. The new name will be INSAAF AUSTRALIA PTY LTD ACN 614 678 956.

ODETTE THREE PTY LTD ACN 646 595 799 will change to a public company limited by shares. The new name will be ODESSA MINERALS LIMITED ACN 646 595 799.

RXP SERVICES LIMITED ACN 146 959 917 will change to a proprietary company limited by shares. The new name will be RXP SERVICES PTY LIMITED ACN 146 959 917.

THE DORIS WOODFINE FOUNDATION LIMITED
ACN 169 590 416 will change to a proprietary company limited by shares. The new name will be THE DORIS WOODFINE FOUNDATION PTY LTD ACN 169 590 416.