



## ASIC's Training Register: Tier 1 courses (archive)

Use this document to see all Tier 1 courses and cross-reference with the online search ([ASIC's training register](#)) using the course name to find more details.

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### Course names starting with a number

25350 Principles of Risk and Insurance

333-203 Personal Financial Management

### Course names starting with A

AC017: Financial Planning

AC018: Investment Planning

AC023: Public Practice

Accountant's Bridging Course (ABC) Superannuation  
Accountant's SMSF RG146 Gap Training Program  
Accountant's SMSF RG146 Gap Training Program (Individual Assessment)  
(Bank 2008) Financial Planning  
(Bank 3005) Applied Financial Planning  
25350 Principles of Risk and Insurance  
333-203 Personal Financial Management  
AC017: Financial Planning  
AC018: Investment Planning  
AC023: Public Practice  
Accountant's Bridging Course (ABC) Superannuation  
Accountant's SMSF RG146 Gap Training Program  
Accountant's SMSF RG146 Gap Training Program (Individual Assessment)  
ACFI 6150 Individual Financial Planning  
ACI/AFMA Dealing Certificate (Australian Edition)  
ACI/AFMA Diploma (Australian Edition)  
Activa Accreditation Program 1  
Advanced Diploma of Financial Planning  
Advanced Diploma of Financial Services - Licensee Compliance Modules  
Advanced Diploma of Financial Services (Financial Planning)  
Advanced Diploma of Financial Services (Financial Planning) (1)  
Advanced Diploma of Financial Services OR Statement of Attainment including modules 601: 602: 603  
OR SPA Senior Individual Professional Assessment 2  
Advanced Investment Planning  
Advanced Investment Planning (DFP 7Z - no longer available)  
Advanced Strategies for Self-Managed Superannuation (Specialist Accreditation Training)  
Advice Competencies Program (available 1997 - 1998)  
Advice in Derivatives  
Advice in Derivatives and Securities  
Advice in Financial Planning  
Advice in Foreign Exchange  
Advice in Insurance Broking  
Advice in Life Insurance  
Advice in Life Insurance (FNS10)

Advanced Investment Planning (DFP 7Z - no longer available)  
Advanced Strategies for Self-Managed Superannuation (Specialist Accreditation Training)  
Advice Competencies Program (available 1997 - 1998)  
Advice in Derivatives  
Advice in Derivatives and Securities  
Advice in Financial Planning  
Advice in Foreign Exchange  
Advice in Insurance Broking  
Advice in Life Insurance  
Advice in Life Insurance (FNS10)  
Advise and Comply- Tier 1  
Adviser Accreditation: Specialist Term Deposit Products  
Advising in Self Managed Superannuation Funds Program  
AES1 Financial Planning  
AES2 Securities  
AES3 Derivatives  
AES4 Managed Investments  
AES5 Superannuation Individual Assessment  
AES6 Insurance Broking  
AES6 Life Insurance  
AES8 Foreign Exchange  
AES9 Margin Lending facilities  
AESG Generic Knowledge  
AET Certificate in Applied SMSF Advice  
AFC2240 Equities and Investment Analysis and AFC3440 Pension and Financial Planning  
AFC2240 Equities and Investment Analysis and AFC4344 Pension and Financial Planning  
AFC3240 International Finance PLUS AFC2240 Equities & Investment Analysis PLUS AFC3440 Pension & Financial Planning  
AFC3440 Pension and Financial Planning AND AFC2240 Equities and Investment Analysis  
AFF1300 Money and Capital Markets AND AFF3121 Investments and Portfolio Management  
AFF3111 Personal Financial Planning  
AFF3111 Personal Financial Planning, OR AFF9130 Financial Planning Environment  
AFF3121 Investments and Portfolio Management  
AFF3121 Investments and portfolio management, OR AFF9350 Portfolio management and theory

AFF3301 Money Market Operations PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AFF3751 Futures and Options Markets PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AFF4031 Principles of Risk Transfer PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AFF4040 Advanced Investment

AFF4251 Money Market Dealing (Postgraduate) PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AFF4271 Funds Management

AFF5221 Currency Exposure Management

AFF9130 Financial Planning (formerly Financial Planning Environment)

AFF9150 Options: Futures and Risk Management PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AFF9270 Treasury Management for Financial Institutions PLUS AFF9350 Portfolio Management and Theory

AFF9350 Portfolio Management and Theory

Affiliate in General Insurance

AFMA Dealer Accreditation Program

AFMA Debt Markets Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Debt Markets Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFMA Electricity Financial Markets Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Electricity Financial Markets Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFMA Equity Markets Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Equity Markets Module (part of the AFMA Financial Markets Accreditation Program) (1)

AFMA Equity Markets Module (part of the AFMA Financial Markets Accreditation Program)(FNS04)

AFMA Financial Markets Accreditation Program

AFMA Financial Markets Accreditation Program (FNS04)

AFMA Financial Markets Accreditation Program (Individual Assessment)

AFMA Financial Markets Accreditation Program (Individual Assessment) (FNS04)

AFMA Financial Markets Core Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Financial Markets Core Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFMA Financial Services Accreditation Program (Individual Assessment)

AFMA Financial Services Accreditation Program.

AFMA Foreign Exchange Markets Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFMA Foreign Exchange Markets Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Futures Markets Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Futures Markets Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFMA Managed Investments Module (part of the AFMA Financial Markets Accreditation Program)

AFMA Managed Investments Module (part of the AFMA Financial Markets Accreditation Program) (FNS04)

AFSL Tier 2 Accreditation

AFW1300 Money and Capital Markets AND AFF3121 Investments and Portfolio Management

AFX3031 Risk Transfer PLUS AFF9130 Financial Planning Environment OR AFF3111 Personal Financial Planning

AII210: AII211: AII217

Allianz e-Campus Life Insurance Industry Knowledge Course

American Home Assurance Company Tier 1 Training Program

AMP Core and Essential Product Accreditation (available 1995 - 1998)

ANZIIF Tier 1 General Insurance Personal Accident and Sickness- FSI 401 Serving the Customer and FSI 402 Insurance Law and Regulation

ANZIIF Tier 1 Insurance Broking- FSB501 Providing Customer Advice and FSB502 Insurance Law and Regulation for Brokers

ANZIIF Tier 1 Life Insurance and Superannuation-FSL501 Serving the Life and Superannuation Customer and FSL502 Life Insurance and Superannuation Law and Regulation

ASFA Diploma of Financial Planning

ASFA Diploma of Financial Services (Financial Planning)

ASFA Self Managed Superannuation Funds Course

ASFA108 Retirement Principles

ASFA108 Retirement Principles + ASFA 100 Overview of Theory and Practice OR ASFA108 Retirement Principles + ASFA200 Trusteeship OR ASFA108 Retirement Princ

ASIC FSR PS146 Tier 1 Training Program

ASIC PS146 Life Insurance

ASIC Tier 1 (Insurance Broking) Accreditation

ASIC Tier 1 (Insurance Broking) Accreditation - Distance Education

ASIC Tier 1 (Insurance Broking) Accreditation Workshop

ASIC Tier 1 Accreditation in Insurance Broking (FNS10)

ASIC Tier 1 Compliance (Financial Planning)

ASIC Tier 1 General Insurance Licensing

ASIC Tier 1 Short Course

Assessment for Core and Financial Planning Regulatory Requirements (Individual Assessment)

Assessment Service

Associateship in General Insurance

Associateship in Life Insurance

ASX Accredited Derivatives Advisor Program - Level 1

ASX Accredited Listed Product Adviser Compliance 9045

ASX Listed Products Accreditation Course (LPAC)

ASX Listed Products Accreditation Course (LPAC) - MC 1 (Shares & Portfolios)

ASX Listed Products Accreditation Course (LPAC) - MC1 (Shares & Portfolios)

ASX Listed Products Accreditation Course (LPAC) - MC2 (Fixed interest & LMIs)

ASX Listed Products Accreditation Course (LPAC) - MC2 (Fixed interest & LMIs)

ASX Listed Products Accreditation Course (LPAC) - MC3 (Instalment & Investment Warrants: Derivatives)

ASX Listed Products Accreditation Course (LPAC) - MC3 (Instalment & Investment Warrants: Derivatives)

ASX Listed Products Accreditation Course (LPAC) (1)

ASX Listed Products Accreditation Course (LPAC) (2)

ASX Listed Products Accreditation Course (LPAC) (3)

ASX Listed Products Accreditation Course- MC1 (Shares & Portfolios)

ASX Listed Products Accreditation Course- MC2 (Fixed Interest and LMI's)

ASX Listed Products Accreditation Course- MC3 (Instalment and Investment Warrants: Derivatives)

Australian Stock Exchange Affiliate Exam (Pre 3/2001) Securities Markets

Australian Stock Exchange Brokers Exam (Post 3/2001) Securities Markets

AXA Competency Assessment and Training Program

### **Course names starting with B**

(Bank 2008) Financial Planning

(Bank 3005) Applied Financial Planning

Bachelor of Accounting (Bachelor of Commerce prior to 2010)

Bachelor of Accounting (Financial Planning)

Bachelor of Applied Finance

Bachelor of Applied Finance (1)

Bachelor of Applied Finance (Financial Planning)

Bachelor of Applied Finance (prior to 2012)

Bachelor of Business

Bachelor of Business - Financial Planning

Bachelor of Business (1)

Bachelor of Business (2)

Bachelor of Business (3)

Bachelor of Business (4)

Bachelor of Business (Accountancy)

Bachelor of Business (Accounting / Finance)

Bachelor of Business (Applied Finance) (Financial Planning) - Bachelor of Commerce (Financial Services) (pre-2003 equivalent)

Bachelor of Business (B&F) with Funds Management Extended Major

Bachelor of Business (Banking & Finance)

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Bachelor of Business (Banking and Finance)

Bachelor of Business (Banking and Finance) (1)

Bachelor of Business (Banking and Finance) with Funds Management Extended Major (BS56)

Bachelor of Business (Banking)

Bachelor of Business (Business Management/ Finance)

Bachelor of Business (Economics / Finance)

Bachelor of Business (Economics and Finance)

Bachelor of Business (Economics and Finance)(Applied)

Bachelor of Business (Finance)

Bachelor of Business (Finance) - (previously called B. Bus Banking and Finance)

Bachelor of Business (Finance) - Distance

Bachelor of Business (Finance) (1)

Bachelor of Business (Finance) (2)

Bachelor of Business (Financial Planning)

Bachelor of Business (Financial Planning) (1)

Bachelor of Business (Financial Planning) (2)

Bachelor of Business (Financial Planning) (3)

Bachelor of Business (Insurance/ Finance)

Bachelor of Business (Marketing /Finance)  
Bachelor of Business degree with sub-major in Finance  
Bachelor of Business- Financial Planning Major  
Bachelor of Business in Financial Risk Management  
Bachelor of Business in Financial Risk Management (1)  
Bachelor of Business with a sub-major in Financial Management  
Bachelor of Business with Financial Planning major  
Bachelor of Business with major in Banking  
Bachelor of Business with Major in Economics  
Bachelor of Business with Major in Finance  
Bachelor of Business with sub-major in Banking  
Bachelor of Business with sub-major in Investment Management  
Bachelor of Commerce  
Bachelor of Commerce - Finance. Must include C244, C311, and C325  
Bachelor of Commerce - Financial Planning  
Bachelor of Commerce - Financial Planning (Pre 2008)  
Bachelor of Commerce (1)  
Bachelor of Commerce (2)  
Bachelor of Commerce (3)  
Bachelor of Commerce (4)  
Bachelor of Commerce (5)  
Bachelor of Commerce (Accounting/Finance)  
Bachelor of Commerce (Banking) or (Finance) or (Banking & Finance) Pre 2009  
Bachelor of Commerce (Corporate Finance)  
Bachelor of Commerce (Economics and Financial Planning)  
Bachelor of Commerce (Finance and Banking)  
Bachelor of Commerce (Finance and Banking) (1)  
Bachelor of Commerce (Finance)  
Bachelor of Commerce (Finance) (1)  
Bachelor of Commerce (Finance) (2)  
Bachelor of Commerce (Financial Planning Major)  
Bachelor of Commerce (Financial Planning major) (enrolments prior to Semester 1: 2012)  
Bachelor of Commerce (Financial Planning Major) (new enrolments from Semester 1: 2012)



Bachelor of Commerce (Financial Planning)  
Bachelor of Commerce (Financial Planning) (1)  
Bachelor of Commerce (Financial Planning) (2)  
Bachelor of Commerce (Personal Financial Planning minor)  
Bachelor of Commerce (PFP minor)  
Bachelor of Commerce / Bachelor of Commerce (Financial Planning)  
Bachelor of Commerce in Accounting  
Bachelor of Commerce in Banking & Finance  
Bachelor of Commerce in Financial Planning and Investments (pre 2007)  
Bachelor of Commerce Unit: MAF309 or MAS255 Financial Planning  
Bachelor of Commerce Units MAF309 or MAS 255 Financial Planning PLUS MAF311 Superannuation  
Bachelor of Commerce Units MAF309 or MAS255 Financial Planning PLUS MAF307 Equities and Investment Analysis  
Bachelor of Commerce: Masters of Business: MBA or Masters of Finance  
Bachelor of Economics  
Bachelor of Finance  
Bachelor of Finance (1)  
Bachelor of Finance (2)  
Bachelor of Finance (Financial Planning Major)  
Bachelor of Finance (International)  
Bachelor of Finance (Quantitative)  
Bachelor of Financial Administration  
Bachelor of Financial Advising  
Bachelor of Financial Advising (Financial Planning)  
Bachelor of Financial Planning  
Bachelor of Financial Planning (1)  
Bachelor of Financial Services  
Bachelor of Financial Services/Bachelor of Laws  
Bachelor of Mathematics & Finance  
Bachelor of Mathematics and Finance (Financial Planning)  
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Bachelor of Mathematics and Finance (Financial Planning) (1)

BAFI 1032 (previously known as EF 261) Superannuation and Retirement Planning 1 PLUS BAFI 1014 (previously known as EF 241 Personal Financial Management)

BAFI 1034 Insurance and Social Security (previously known as EF 262 Risk Management and Insurance) plus BAFI 1014 Introduction to Financial Planning (previously known as EF 241 Personal Financial Management)

BAFI 1042 Investments (previously known as EF 440 / EF 462 Investments) PLUS JUST 1037 Law of Investments and Securities (previously known as BL208 Law of Investments and Financial Markets or BL304 Law of Finance and

BAO2441 Personal Financial Planning

BAO3402 International Banking and Finance

BAO3403 Investment and Portfolio Management

BAO5573 International Financial Management

BAO5747 International Portfolio Management

Basic Competencies Training Program

Basic Competencies Training Program - MLC / Lend Lease / RMIT

BFA205/305 Introduction to Financial Planning

BNP Paribas Stockbroking Products and Compliance Assessment Program

### **Course names starting with C**

Certificate Course in Principles of Investment Planning

Certificate Course in Risk Management and Insurance Planning

Certificate Course in Superannuation Legislation and Practice

Certificate II - Introduction to Personal Financial Planning

Certificate III in Financial Services (Broking Stream) OR Individual Assessment

Certificate III in Investment and Personal Financial Planning

Certificate IV in Financial Planning

Certificate IV in Financial Services (3)

Certificate IV in Financial Services (General Insurance Claims)

Certificate IV in Financial Services (General Insurance) (1)

Certificate IV in General Insurance

Certificate IV in Insurance Broking (1)

CFP Plan PLUS Practice Knowledge Exam (PKE) (Special Entry A Pathway)

Chartered Accountants Self Managed Superannuation Funds (CA SMSF) Specialisation Workshop

CICA Tier 1 General Insurance Personal Accident & Sickness Compliance

CO 801A Principles of Financial Planning

CO 802A Principles of Investment Planning  
CO 803A Planning for Retirement  
CO 804A Insurance and Risk Management  
Commonwealth Bank Diploma of Financial Services  
Commonwealth Bank Diploma of Financial Services (PS146 Life Insurance Accredited)  
Commonwealth Bank Group Certificate in Investment Consulting  
Completed Dip Bus (Gen Ins)  
Compliance: Theory and Practice in the Financial Services Industry  
Compliant Course for Financial Planners  
Core Regulated Financial Services Knowledge, Specialist Regulated Financial Knowledge 1: Financial Planning  
Count Wealth Accountants Adviser Course  
Count Wealth Accountants Adviser Course (1)  
Course in Stock Market Investment  
CPA Australia- Discovering the options of securities and futures markets  
CPA Australia- Managed Investments  
Curtin's RG 146 Compliance Solutions

### **Course names starting with D**

DDR - Derivatives (Individual Assessment)  
DDR Derivatives - Personal Advice  
DDR Derivatives -General Advice  
Deposit Products and Non-Cash Payment Products (Financial Planning program)  
Derivative Securities (FNCE90011)  
Derivatives  
Derivatives - General Advice  
Derivatives - General Advice (1)  
Derivatives (1)  
Derivatives (2)  
Derivatives (Banking and Finance Program) or (Finance Program)  
Derivatives (Financial Planning Program)  
Derivatives (General Advice)  
Derivatives (General Advice) (1)  
Derivatives (General Advice) (2)

Derivatives (Personal Advice)

Derivatives (Personal Advice) (1)

Derivatives (Personal Advice) (2)

Derivatives for Financial Planners (Not Dealers) Solution Option B

Derivatives III & LAW2410 Income Tax Framework

Derivatives III within the (Bachelor of Business Degree)

Derivatives Solution A- Postgraduate (C1 + E112 + 5105 or 157)

Derivatives Solution B- Postgraduate (C1 + E115 +157)

Derivatives Solution C- Postgraduate (C1 + E113 + 5105 or 157)

Derivatives Solution Option A - Open Entry (5002 + 5003 + 5103 + 5204 + 5001)

Derivatives Solution Option B- Open Entry (5002 + 5003 + 5105 + 5204 + 5001)

Derivatives-SDIA Professional Program

DFP3Z Investment Planning: Fundamentals (passed before 2001) PLUS DFP7Z Advanced Investment Planning

DFP8

DFP8 Financial Plan Construction PLUS Practice Knowledge Exam (PKE)

DFSA- Generic Financial Services Competencies

DFSB- Financial Planning Competencies

DFSC- Superannuation

DFSD- Insurance

DFSE- Investment: Securities and Derivatives

DFSG- Financial analysis and follow-up

Diploma in Financial Planning - Authorised Representatives

Diploma in Financial Services

Diploma in Financial Services (Financial Planning)

Diploma in Financial Services (Financial Planning) (1)

Diploma in Financial Services (Insurance Broking)

Diploma in Financial Services (Stage 1)

Diploma in Financial Services FNS50804

Diploma in Financial Services FNS50804 (1)

Diploma of Banking Services Management FNS50910

Diploma of Business (Banking and Finance)

Diploma of Business (General Insurance)

Diploma of Business (General Insurance):

Diploma of Finance and Mortgage Broking FNS50311

Diploma of Financial Planning

Diploma of Financial Planning (1)

Diploma of Financial Planning (2)

Diploma of Financial Planning (3)

Diploma of Financial Planning (4)

Diploma of Financial Planning (5)

Diploma of Financial Planning FNS50610/FNS50611

Diploma of Financial Planning FNS50610/FNS50611 (1)

Diploma of Financial Planning FNS50611

Diploma of Financial Planning FNS50611 (1)

Diploma of Financial Planning FNS50611 (2)

Diploma of Financial Planning FNS50611 (3)

Diploma of Financial Planning FNS50611 (4)

Diploma of Financial Services

Diploma of Financial Services - (incorporating Tier 1 compliance)

Diploma of Financial Services (1)

Diploma of Financial Services (10)

Diploma of Financial Services (11)

Diploma of Financial Services (12)

Diploma of Financial Services (2)

Diploma of Financial Services (3)

Diploma of Financial Services (4)

Diploma of Financial Services (5)

Diploma of Financial Services (6)

Diploma of Financial Services (7)

Diploma of Financial Services (8)

Diploma of Financial Services (9)

Diploma of Financial Services (Agency Stream)

Diploma of Financial Services (ASIC Accreditation Financial Product Adviser in Life Insurance)  
FNS50107

Diploma of Financial Services (Financial Planning)

Diploma of Financial Services (Financial Planning) - Authorised Representative

Diploma of Financial Services (Financial Planning) - Authorised Representative

Diploma of Financial Services (Financial Planning) - Authorised Representative FNS50804  
Diploma of Financial Services (Financial Planning) - Combined Solution  
Diploma of Financial Services (Financial Planning) - FNS 50804  
Diploma of Financial Services (Financial Planning) - FNS50804  
Diploma of Financial Services (Financial Planning) - Investments: Securities & Derivatives Solution  
Diploma of Financial Services (Financial Planning) - Life & General Insurance Solution  
Diploma of Financial Services (Financial Planning) - Superannuation Solution  
Diploma of Financial Services (Financial Planning) (1)  
Diploma of Financial Services (Financial Planning) (10)  
Diploma of Financial Services (Financial Planning) (11)  
Diploma of Financial Services (Financial Planning) (12)  
Diploma of Financial Services (Financial Planning) (13)  
Diploma of Financial Services (Financial Planning) (14)  
Diploma of Financial Services (Financial Planning) (15)  
Diploma of Financial Services (Financial Planning) (16)  
Diploma of Financial Services (Financial Planning) (17)  
Diploma of Financial Services (Financial Planning) (18)  
Diploma of Financial Services (Financial Planning) (19)  
Diploma of Financial Services (Financial Planning) (2)  
Diploma of Financial Services (Financial Planning) (20)  
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Diploma of Financial Services (Financial Planning) (22)  
Diploma of Financial Services (Financial Planning) (23)  
Diploma of Financial Services (Financial Planning) (24)  
Diploma of Financial Services (Financial Planning) (25)  
Diploma of Financial Services (Financial Planning) (3)  
Diploma of Financial Services (Financial Planning) (4)  
Diploma of Financial Services (Financial Planning) (5)  
Diploma of Financial Services (Financial Planning) (6)  
Diploma of Financial Services (Financial Planning) (7)  
Diploma of Financial Services (Financial Planning) (8)  
Diploma of Financial Services (Financial Planning) (9)  
Diploma of Financial Services (Financial Planning) (FNS04)

Diploma of Financial Services (Financial Planning) FNS50804

Diploma of Financial Services (FNB 50199) OR Individual Assessment

Diploma of Financial Services (General Insurance or Reinsurance Broker) - Senior Professional Assessment

Diploma of Financial Services (General Insurance)

Diploma of Financial Services (General Insurance) FNB50701

Diploma of Financial Services (Insurance Broking)

Diploma of Financial Services (Insurance Broking) - FNB50399

Diploma of Financial Services (Insurance Broking) (1)

Diploma of Financial Services (Insurance Broking) (2)

Diploma of Financial Services (Insurance Broking) (FNS04)

Diploma of Financial Services (Insurance Broking) FNB50399

Diploma of Financial Services (Life Broker) - Senior Professional Assessment

Diploma of Financial Services (Life Insurance Stream)

Diploma of Financial Services (Life Insurance) - Senior Professional Assessment

Diploma of Financial Services (Superannuation)

Diploma of Financial Services (Superannuation) (1)

Diploma of Financial Services- Elective 2

Diploma of Financial Services- Elective 3

Diploma of Financial Services FNB50199 (Life Insurance)

Diploma of Financial Services-Elective 1

Diploma of General Insurance

Diploma of Insurance Broking

Diploma of Life Insurance

Diploma of Share Trading and Investment - General Advice

Diploma of Superannuation

Diploma/Affiliate in Life Assurance

Discovering the options of Securities and Futures Markets

DMI - Managed Investments - Personal

DMI - Managed Investments (Individual Assessment)

DML - Margin Lending (Individual Assessment)

DML - Margin Lending Personal Advice

DPDR Derivatives -General Advice (formerly PFSA -Derivatives Specialist Module - General Advice)

DPDR Derivatives -General and Personal Advice (formerly PFSA -Derivatives Specialist Module - General and Personal Advice)

DPDR - Derivatives (Individual Assessment)

DPE - Financial Planning Essentials (Individual Assessment)

DPE - Financial Planning Personal Advice

DPE Financial Planning - General Advice

DPPF - Financial Planning (Individual Assessment)

DPPF - Financial Planning General and Personal Advice (formerly Financial Planning Specialist Module - General and Personal Advice)

DPPF Financial Planning - General Advice (formerly Financial Planning Specialist Module - General Advice)

DPFSFP08 - Diploma of Financial Services (Financial Planning) General and Personal Advice (formerly Diploma of Financial Services (Financial Planning) course - General and Personal advice)

DPMI - Managed Investments - General Advice (formerly Managed Investments Specialist Module - General Advice)

DPMI - Managed Investments - Personal and General Advice (formerly Managed Investments Specialist Module - General and Personal Advice)

DPMI - Managed Investments (Individual Assessment)

DPML - Margin Lending

DPRM - Risk Management - Personal and General Advice (formerly Life and General Insurance Specialist Module - General and Personal Advice)

DPRM - Risk Management (Individual Assessment)

DPRM Risk Management General Advice (formerly Life and General Insurance Specialist Module - General Advice)

DPSC - Securities (Individual Assessment)

DPSC Securities General Advice (formerly Securities Specialist Module - General Advice)

DPSC Securities General and Personal Advice (formerly PFSA -Securities Specialist Module - General and Personal Advice)

DPSM - Self Managed Superannuation Funds - General Advice (formerly SMSF Specialist Module - General Advice)

DPSM - Self Managed Superannuation (Individual Assessment)

DPSM Self Managed Superannuation Funds - General and Personal Advice (SMSF Specialist Module - General and Personal Advice)

DPSR - Superannuation: Social Security and Retirement Planning (Individual Assessment)

DPSR Superannuation: Retirement Planning and Social Security - General Advice (formerly PFSA Superannuation: Retirements Planning and Social Security specialist module. General Advice)



DPSR Superannuation: Retirement Planning and Social Security - General and Personal Advice  
(formerly PFSA -Superannuation: Retirement Planning and Social Security Specialist Module - General and Personal Advice)

DRM - Risk Management (Individual Assessment)

DRM Risk Management - Personal Advice

DRM Risk Management General Advice

DSC - Securities (Individual Assessment)

DSC Securities General Advice

DSC Securities Personal Advice

DSE - Financial Services Essentials (Individual Assessment)

DSM - Self Managed Superannuation (Individual Assessment)

DSM - Self Managed Superannuation Funds - General Advice

DSM Self Managed Superannuation Funds - Personal Advice

DSR - Superannuation: Social Security and Retirement Planning (Individual Assessment)

DSR Superannuation: Retirement Planning and Social Security - General Advice

DSR Superannuation: Retirement Planning and Social Security - Personal Advice

### **Course names starting with E**

ECF3212 Personal Risk Management Planning III

EF 570 Financial Planning Process PLUS BL 786 Taxation Issues and Strategies

EF 570 Financial Planning Process PLUS EF 572 Investment Concepts and Applications

EF 571 Financial Markets and Economics

EF 573 Applications in Financial Planning (Insurance Module)

EF 573 Applications in Financial Planning PLUS EF 570 Financial planning process

Entry Level Competencies

Entry Level Competencies (1)

Entry Level Competencies (2)

Equities

Equities (1)

Equities (2)

Equities (3)

Equities and Investment Analysis MAF307(previously MAF207)

Estate Planning (only meets part of Financial Planning)

Executive Certificate in Financial Planning

Executive Certificate in Financial Planning (1)

Executive Certificate in Insurance

Executive Certificate in Insurance (1)

## **Course names starting with F**

FE SMSF Adviser Education Program

Fellowship in General Insurance

Fellowship in Life Insurance

FIN 221 Investments (Distance)

FIN 230 Financial Institutions and Markets (Distance)

FIN 331 Financial Planning (Distance)

FIN210 Introduction to Financial Planning PLUS BUS102 Economics for Business

FIN220 Retirement and Superannuation PLUS FIN210 Introduction to Financial Planning PLUS BUS102 Economics for Business

FIN221 Insurance and Risk Management PLUS FIN210 Introduction to Financial Planning PLUS BUS102 Economics for Business

FIN310 Investment Management PLUS FIN210 Introduction to Financial Planning PLUS BUS102 Economics for Business

FIN331 Financial Planning

FIN532 Financial Planning

Finance

Finance: Subject 5 of the Part III course for qualification as FIAA

Financial Advice

Financial Advice (1)

Financial Advice (DFS 1)

Financial Advice (DFS1)

Financial Advice (DFS1) FNS10

Financial Advising Essentials (C4)

Financial Advising Stage 1

Financial Advising Stage 2

Financial and Investment Planning

Financial and Investment Planning PLUS Corporate Finance

Financial and Investment Planning PLUS Taxation Planning and Estate Planning

Financial Markets and Economics (C1) & Securities Industry Law and Ethics (C3)

Financial Markets and Economics (C1) PLUS Financial Analysis and Valuation (C2) PLUS Securities Industry Law and Ethics (C3)

Financial Markets and Products (52553/52503) (2000) PLUS The Planning Environment (52551/52501)

Financial Plan Construction (DFP 8)

Financial Planning

Financial Planning & Advising

Financial Planning (ACCT1018) & Investment Planning (ACCT1020)

Financial Planning (Financial Planning Program)

Financial Planning Advice in Insurance

Financial Planning Advice in Investments: Securities and Derivatives

Financial Planning Advice in Superannuation

Financial Planning and Investment

Financial Planning and Wealth Creation (410)

Financial Planning Authorised Representative Course (formerly Proper Authority Course)

Financial Planning Compliant Course

Financial Planning Development MAF709

Financial Planning for Accountants

Financial Planning in Practice

Financial Planning in Practice (FNS10)

Financial Planning Individual Competency Assessment

Financial Planning MAF255 (previously MAF309)

Financial Planning Practice Management (EF469 or BAFI1056 or BAFI2045 or FNP34)

Financial Planning Principles and Practice (410 & 511)

Financial Planning Skills

Financial Planning Skills and Compliance 8114

Financial Planning Solution - Open-entry

Financial Planning Solution- Postgraduate

Financial Planning Strategy Development 1 (previously Comprehensive Financial Planning 1) (CFP 2) PLUS Financial Planning Strategy Development 2 (previously Comprehensive Financial Planning 2) (CFP 3)

Financial Planning: Principles & Applications (formerly 'A complete Step by Step Program to Effective Financial Planning' offered by the Independent Centre of Financial Training)

Financial Planning: Principles & Applications PLUS Superannuation & Retirement Planning DFS301

Financial Planning: Investment & Risk

Financial Planning: Investment & Superannuation

Financial Planning

Financial Risk Management (53054/52507) PLUS The Planning Environment (52551/52501)

Financial Services Competencies Program (publicly available and can be taken in modularised or total format)

Financial Services Essentials

Financial Services Essentials (1)

Financial Services Tier 1 Compliance Program

Financial Services-Generic Knowledge

FNB50701 Diploma in Financial Services (General Insurance) - ASIC Register Version

FNB50802 Diploma of Financial Services (Financial Planning)

FNP 21 Superannuation and Retirement Planning 1 PLUS FNP 11 Personal Financial Management

FNP 22 Law of investments and financial markets PLUS FNP 11 Personal Financial Management

FNP 23 Risk Management and Insurance PLUS FNP 11 Personal Financial Management

FNP 32 Investment and Portfolio Management PLUS FNP 22 Law of investments and financial markets

FNS10 ASX Listed Products Accreditation Course (LPAC)

FNS10 ASX Listed Products Accreditation Course (LPAC) - MC 1 (Shares & Portfolios)

FNS10 ASX Listed Products Accreditation Course (LPAC) - MC2 (Fixed interest & LMIs)

FNS10 ASX Listed Products Accreditation Course (LPAC) - MC3 (Instalment & Investment Warrants: Derivatives)

FNS10 Generic Knowledge

FNS10 Practice of Financial Planning (PFP)

FNS10 Practice of Investment Planning (PIP)

FNS10 Practice of Margin Lending (PML)

FNS10 Practice of Risk Management (PRM)

FNS10 Practice of Self Managed Superannuation Funds

FNS10 Practice of Superannuation & Retirement Planning (PSR)

FNS40107 Certificate IV in Financial Services - Paraplanning

FNS40107 Certificate IV in Financial Services (Insurance Services)

FNS50107 - Diploma of Financial Services

FNS50107 Diploma of Financial Services

FNS50107 Diploma of Financial Services (Financial Markets Pathway)

FNS50610 - Diploma of Financial Planning

FNS50610 - Diploma of Financial Planning (1)

FNS50610 Diploma of Financial Planning  
FNS50610 Diploma of Financial Planning (1)  
FNS50610 Diploma of Financial Planning (2)  
FNS50610 Diploma of Financial Planning (3)  
FNS50610/FNS50611 - Diploma of Financial Planning  
FNS50610/FNS50611 Diploma of Financial Planning  
FNS50610/FNS50611 Diploma of Financial Planning (1)  
FNS50610/FNS50611 Diploma of Financial Planning (2)  
FNS50610/FNS50611 Diploma of Financial Planning (3)  
FNS50610/FNS50611 Diploma of Financial Planning (4)  
FNS50611 Diploma of Financial Planning  
FNS50804 - Diploma of Financial Services (Financial Planning)  
FNS50804 Diploma of Financial Services (Financial Planning)  
FNS50804 Diploma of Financial Services (Financial Planning) (1)  
FNS50804 Diploma of Financial Services (Financial Planning) (10)  
FNS50804 Diploma of Financial Services (Financial Planning) (2)  
FNS50804 Diploma of Financial Services (Financial Planning) (3)  
FNS50804 Diploma of Financial Services (Financial Planning) (4)  
FNS50804 Diploma of Financial Services (Financial Planning) (5)  
FNS50804 Diploma of Financial Services (Financial Planning) (6)  
FNS50804 Diploma of Financial Services (Financial Planning) (7)  
FNS50804 Diploma of Financial Services (Financial Planning) (8)  
FNS50804 Diploma of Financial Services (Financial Planning) (9)  
FNS51210 Diploma of Insurance Broking  
FNS51210 Diploma of Insurance Broking (1)  
FNS51811 Diploma of Financial Services  
FNS60104 Advanced Diploma of Financial Services  
FNS60104 Advanced Diploma of Financial Services (1)  
FNS60404 Advanced Diploma of Financial Services (Financial Planning)  
FNS60404 Advanced Diploma of Financial Services (Financial Planning) (1)  
FNS60404 Advanced Diploma of Financial Services (Financial Planning) (2)  
FNS60410 Advanced Diploma of Financial Planning  
FNS60410 Advanced Diploma of Financial Planning

FNS60510 Advanced Diploma of Superannuation  
FNSASIC503UB - Statement of Attainment in Advising at ASIC Level 1 - Superannuation  
Foreign Exchange  
Foreign Exchange - General Advice  
Foreign Exchange - General Advice (1)  
Foreign Exchange (Banking and Finance Program) or (Finance Program)  
Foreign Exchange (Financial Planning Program)  
Foreign Exchange General Advice (FNS10)  
Foreign Exchange Personal Advice (FNS10)  
Foreign Exchange-Postgraduate  
Foundations of Financial Planning (formerly Entry Level Competencies)  
Foundations of Investment Planning  
FP501 Financial Planning Compliance (FNS10)  
FP502 Managed Investments (FNS10)  
FP503 Securities (FNS10)  
FP505 Superannuation and Retirement (FNS10)  
FPA SMSF Adviser Education Program (formerly FPA SMSF Program)  
FPA1 & FPA2- Generic: skills & life  
FPA1: FPA2: FPA3- Generic: skills: life and investments  
FSB 010 Providing Insurance Broking Advice  
FSB 231 - Insurance Law and Regulation for Brokers PLUS FSB 232- Providing Customer Advice  
FSB011 Providing Insurance Advice for Broking Clients  
FSB500 Tier 1 Insurance Broking Compliance  
FSI 003 Broking Compliance Upgrade  
FSI 102 - Insurance Law and Regulation PLUS FSI 101 Serving the Customer  
FSI 517 Serving the Life and Superannuation Plus FSI 518 Life Insurance and Superannuation Law and Regulation  
FSI001 Insurance Basics PLUS FSI102 Insurance Law and Regulation  
FSI012 General Insurance Personal Accident and Sickness Knowledge for Brokers  
FSI012L Personal Accident & Sickness Knowledge for Life Insurers  
FSI101 Serving the Customer PLUS FSI102 Insurance Law and Regulation  
FSI107 Serving the Broking Customer PLUS FSI102 Insurance Law and Regulation  
FSI511 Serving the Life Customer PLUS FSI512 Life Insurance Law and Regulation  
FSL 512 - Life Insurance Law and Regulation PLUS FSL 511 Serving the Life Customer

FSL500 Tier 1 Life Insurance

FSP501 Financial Planning Practice and FSP504 Providing Financial Planning Advice

FSP502 Planning for Risk and Retirement

FSP503 Investment Planning

FSP511 Financial Planning Compliance (FNS04)

FSP512 Managed Investments (FNS04)

FSP513 Securities (FNS04)

FSP515 Superannuation and retirement (FNS04)

Futures Markets and Trading (E112)

### **Course names starting with G**

Gap Training - Regulatory Requirements (984)

Gap Training - Superannuation and Retirement Income (986)

Gap Training - Taxation (985) (additional specialist training needed)

General Advice in Managed Investment

General Insurance

General Insurance (1)

General Insurance (Personal Advice)

General Insurance: Subject 3 of the Part III course for qualification as FIAA

Generic Knowledge & Specialist Life Insurance - Personal Advice

Generic Knowledge (GK)

Generic Knowledge + specialist life insurance- personal advice

Generic knowledge + specialist managed investments and derivatives- personal advice

Generic Knowledge + Specialist Managed Investments: Derivatives and Securities - Personal Advice

Generic Knowledge + Specialist Superannuation - Personal Advice

Generic Knowledge + specialist superannuation- personal advice

Generic Knowledge Solution A- Postgraduate

Generic Knowledge Solution B- Postgraduate

Generic Knowledge: Securities & Derivatives

Generic Knowledge: Superannuation & Securities

GLA08FOW501 Minimum Industry Requirements for Advising on Derivatives

GLA08MIN501 Minimum Industry Requirements for Advising in Managed Investments

GLA08SEC501 Minimum Industry Requirements for Advising on Securities

GLA08SUP501 Minimum Industry Requirements for Advising on Superannuation  
GLMIN501: Minimum industry requirements for advising on Managed Investments  
GLSEC501: Minimum industry requirements for advising on Securities  
GLSUP501: Minimum industry requirements for Superannuation  
Graduate Certificate in Applied Finance  
Graduate Certificate in Finance  
Graduate Certificate in Financial Planning  
Graduate Certificate in Financial Planning (1)  
Graduate Certificate in Financial Planning (2)  
Graduate Certificate in Financial Planning (3)  
Graduate Certificate in Financial Planning (4)  
Graduate Certificate in Financial Planning (5)  
Graduate Certificate in Financial Services  
Graduate Certificate in Insurance  
Graduate Certificate in Personal Financial Planning  
Graduate Diploma in Finance  
Graduate Diploma in Finance (1)  
Graduate Diploma in Financial Planning  
Graduate Diploma in Financial Planning (1)  
Graduate Diploma in Financial Planning (2)  
Graduate Diploma in Financial Planning (3)  
Graduate Diploma in Financial Planning (4)  
Graduate Diploma in Financial Services  
Graduate Diploma in Global Wealth Management  
Graduate Diploma in Insurance  
Graduate Diploma in Personal Financial Planning  
Graduate Diploma of Business (Finance)  
Graduate Diploma of Business (Finance) (1)  
Graduate Diploma of Financial Planning  
Graduate Diploma of Financial Planning (also known as Graduate Diploma of Applied Finance -  
Financial Planning Major from May 08 to Dec 10)  
Graduate Diploma of Financial Planning (Includes E254)- expires 31st December 2004  
Great Southern Managed Investments Course



## Course names starting with I

ICAA Financial Planning Authorised Representative Course (formerly Property Authority Course) PLUS Advanced Financial Planning Course

Individual Assessment

Individual Assessment PS146 Compliance

Individual Assessment Service

Individual Assessment service (1)

Individual Assessment Service (Insurance Broking)

Individual Assessment Service ? External Validation work programme

Individual Assessment Service PS 146

Individual Assessment Service PS146

Individual Assessment Services

Individual Assessment Services (Nov 2000 ? Nov 2001)

Individual Assessment Services: FNB 50399 Diploma of Financial Services (Insurance Broking)

Individual assessment: Assessment of Training Programs, Design and delivery of bespoke 'gap' training

INS041 AND Either INS042: INS055 OR INS044: INS052 OR INS043: INS051

INS071: INS072: INS074

Insurance

Insurance - General Advice

Insurance & Risk Management in Financial Planning

Insurance (1)

Insurance (Banking and Finance program) or (Finance Program)

Insurance (DFS 2)

Insurance (DFS2)

Insurance (DFS2) FNS10

Insurance (Financial Planning Program)

Insurance (General Advice)

Insurance (General Advice) (1)

Insurance and Risk Management in Financial Planning

Insurance and Social Security (BAFI 1034)

Insurance and Social Security (EF262 or BAFI1034 or BAF2041 or FNP23)

Insurance and Social Security (FNP 23)

Insurance Markets and Products (52556/52506) PLUS The Planning Environment (52551/52501)

Insurance Planning: Principles & Applications  
Insurance Solution A- Postgraduate  
Insurance Solution B- Postgraduate  
Intensive Course in Financial Planning  
Intensive Course in Financial Planning (1)  
Introduction to Financial Planning (BAFI 1014)  
Introduction to Financial Planning (BAFI 1014) PLUS Law of Investments and Financial Markets (JUST 1037) PLUS Investment (BAFI 1042)  
Introduction to Financial Planning (BAFI 1014) PLUS Law of Investments and Securities (JUST 1037)  
Introduction to Financial Planning (DFP 1)  
Introduction to Financial Planning (EF241 or BAFI1014 or BAF2040 OR FNP11)  
Introduction to Financial Planning (FNP11)  
Introduction to Financial Planning (FNP11) PLUS Law of Investments and Financial Markets (FNP22)  
Introduction to Financial Planning FNP11 PLUS Law of Investments & Financial Markets FNP22 PLUS Investment & Portfolio Management FNP32  
Introduction to Financial Services  
Introductory Personal Finance ( formerly Personal Financial Management)  
Introductory Training Course  
Investment & Finance  
Investment (DFS 4)  
Investment (DFS 4) (1)  
Investment (DFS 4) (2)  
Investment and Portfolio Management(EF440 or EF462 or BAFI1042 or BAF2042 OR FNP32)  
Investment Finance II (within the Bachelor of Business degree)  
Investment Management  
Investment Management and Finance  
Investment Management: Subject 1 of the Part III course for qualification as FIAA  
Investment Planning 1  
Investment Planning 1 (1)  
Investment Planning 1- Option A  
Investment Planning 1- Option B  
Investment Planning 1- Option B (1)  
Investment Planning 1- Option B (2)  
Investment Planning 1 passed in 2001 or later (DFP 3)

Investment Planning 2 (Investment Planning 1 is a pre-requisite)  
Investment Planning- Option A  
Investment Planning- Option A (1)  
Investment Planning: Fundamentals (passed before 2001) (DFP 3Z - no longer available)  
Investments  
Investments - General Advice  
Investments - General Advice (FNS10)  
Investments - Personal Advice (FNS10)  
Investments - Personal Advice (FNS10) (1)  
Investments - Personal Advice (FNS10) (2)  
Investments (1)  
Investments (2)  
Investments (General Advice)  
Investments (General Advice) (1)  
Investments (General Advice) (2)  
Investments (Personal Advice)  
Investments (Personal Advice) (1)  
Investments 1 and Financial Planning 1 (part of Diploma of Financial Planning)  
Investments and Planning (52552/52502) PLUS The Planning Environment (52551/52501)  
IPS 146 Competency Recognition & Gap Training Program  
IPS 146 Individual Assessment Service Tier 1  
IPS 146 Internet Assessment and Training Program: AES1 Financial Planning  
IPS 146 Internet Assessment and Training Program: AES2 Securities  
IPS 146 Internet Assessment and Training Program: AES3 Derivatives  
IPS 146 Internet Assessment and Training Program: AES4 Managed Investments  
IPS 146 Internet Assessment and Training Program: AES5 Superannuation  
IPS 146 Internet Assessment and Training Program: AES6 Insurance  
IPS146 Competency Assessment and Gap Training Program\*

### **Course names starting with L**

Law of Investment and Financial Markets (BL208 or BL304 or JUST1037JUST1049 or JUST2080 OR FNP22)

Licensed to Sell- Financial Services Tier 1

Life Insurance

Life Insurance (1)

Life Insurance (DFS2) Personal Advice

Life Insurance (General Advice)

Life Insurance (General Advice) FNS10

Life Insurance (Personal Advice) FNS10

Life Insurance Solution A- Postgraduate (C1 + 155 + 157)

Life Insurance Solution B- Postgraduate (C1 + E153 + 157)

Life Insurance Solution Option A- Open Entry (5002 + 5003 + 5103 + 5101 + 5001)

Life Insurance Solution Option B- Open Entry (5002 + 5003 + 5105 + 5101 + 5001)

Life Insurance: Subject 2 of the Part III course for qualification as FIAA

LUATC 1: 11 & Disability programs

### **Course names starting with M**

MAF 705 Portfolio Construction and Theory

MAF707 Investment and Portfolio Management (previously known as MAF707 Portfolio Investments and Financial Planning)

Managed Investment (Financial Planning Program)

Managed Investment Solution B- Postgraduate (C1 + 156 + 157)

Managed Investment Solution C- Postgraduate (C1 + 122 OR 121 + 157 OR 5105)

Managed Investments

Managed Investments (1)

Managed Investments (2)

Managed Investments (3)

Managed Investments (4)

Managed Investments (5)

Managed Investments (52554/52504) PLUS The Planning Environment (52551/52501)

Managed Investments (DFS4) FNS10

Managed Investments (General Advice)

Managed Investments (General Advice) (1)

Managed Investments (Personal Advice)

Managed Investments (Personal Advice) (1)

Managed Investments- Option A

Managed Investments- Option A (1)

Managed Investments- Option A (2)

Managed Investments- Option B  
Managed Investments- Option B (1)  
Managed Investments- Option B (2)  
Managed Investments Solution A- Postgraduate (C1 + 151 + 157 OR 5105)  
Managed Investments Solution B1- Open Entry (5001 + 5201 + 5003 + 5002 + 5105)  
Managed Investments Solution Option A- Open Entry  
Managed Investments Solution Option A1- Open Entry ( 5002 + 5003 + 5103 + 5201 + 5001)  
Managed Investments Solution Option B- Open Entry  
Managed Investments-SDIA Professional Program  
Margin Lending (Personal Advice)  
Margin Lending Accreditation Program  
Margin Lending and Geared Investments  
Margin Lending and Geared Investments (1)  
Margin Lending ASIC RG 146: RG 105  
Margin Lending ASIC RG 146: RG 105 (Individual Assessment)  
Margin Lending Gap Assessment course.  
Master of Accounting & Finance  
Master of Applied Finance  
Master of Applied Finance - See course information for compliance details  
Master of Applied Finance (1)  
Master of Applied Finance (2)  
Master of Applied Finance (3)  
Master of Applied Finance (4)  
Master of Applied Finance (formerly Master of Commerce - Applied Finance)  
Master of Business (Personal Financial Planning)  
Master of Business (Personal Financial Planning) previously known as Master of Financial Planning  
Master of Business Administration (Advanced)  
Master of Business Administration (Corporate Treasury Specialisation)  
Master of Business Administration (Finance Specialisation)  
Master of Business Administration (Financial Planning major)  
Master of Business Administration (Personal Financial Planning minor)  
Master of Business Administration and Master of Commerce units MAF709 Financial Planning Development

Master of Business Administration and Master of Commerce units MAF709 Financial Planning Development PLUS MAF707 Investment Planning Strategies

Master of Business Administration and Master of Commerce units MAF709 Financial Planning Development PLUS MAF708 Retirement Income Streams

Master of Business in Finance

Master of Business in Financial Services - Insurance Major

Master of Business in Financial Services - Financial Planning

Master of Business-Applied Finance

Master of Commerce

Master of Commerce (1)

Master of Commerce (2)

Master of Commerce (Applied Finance) [formerly Banking and Finance]

Master of Commerce (Finance or Financial Planning)

Master of Commerce (Finance)

Master of Commerce (Finance) (1)

Master of Commerce (Financial Planning major)

Master of Commerce (Financial Planning)

Master of Commerce (Financial Planning) (1)

Master of Commerce (Financial Planning) (2)

Master of Commerce (Professional Accounting)

Master of Finance

Master of Financial Analysis

Master of Financial Planning

Master of Financial Planning (1)

Master of Financial Planning (2)

Master of Financial Planning (3)

Master of Financial Planning (4)

Master of Financial Planning (5)

Master of Financial Planning with Honours

Master of Financial Services

Master of Financial Services Law

Master of Personal Financial Planning

Master of Professional Finance and Banking

Master of Professional Accounting (Personal Financial Planning minor)

Master of Professional Finance & Banking

Masters in Financial Planning

Masters of Business (BS16) - Applied Finance (APF)

Masters of Business in Financial Services- Financial Planning Major

### **Course names starting with N**

NGT - Tier 1 Short Course

NGT Non-Basic Deposits & Non-Cash Payment (Tier 1) Short Course

NIBA Tier 1 General Insurance Program

### **Course names starting with P**

Paraplanning Course

Paraplanning Course (Nov 2000 ? Nov 2001)

Personal Advice in Superannuation (FNSASIC503UB: Provide Advice in Superannuation)

Personal Advice in Superannuation (Individual Assessment)

Personal Finance III PLUS LAW2410 Income Taxation Framework PLUS ECF2226 Investment Finance II

Personal Finance III PLUS LAW2410 Income Taxation Framework PLUS ECF2226 Investment Finance II (1)

Personal Finance III PLUS LAW2410 Income Taxation Framework PLUS ECF2226 Investment Finance II PLUS ECF2210 Capital Markets II

Personal Finance III PLUS LAW2410 Income Taxation Framework PLUS ECF2226 Investment Finance II PLUS ECF2210 Capital Markets II PLUS ECF3211 Superannuation and Retirement Planning III

Personal Financial Planning & Superannuation (PFPS) (CPA110) PLUS Practical Experience Mentor Program or Skills Workshop

Personal Financial Planning & Superannuation (PFPS) (CPA110) PLUS Skills Workshop

Personal Financial Planning and Superannuation: (CPA110): CPA Program

Pinnacle Financial Services Academy - Diploma of Financial Services FNS51811

Pinnacle Financial Services Academy -Advisory Module

Pinnacle Financial Services Academy Diploma of Financial Planning

Postgraduate Certificate In Business (Personal Financial Planning)

Postgraduate Certificate in Management (Personal Financial Planning) previously known as Graduate Certificate in Personal Financial Planning

Postgraduate Diploma in Business (Personal Financial Planning)

Postgraduate Diploma in Financial Services Law

Postgraduate Diploma in Management (Personal Financial Planning) previously known as Graduate Diploma in Personal Financial Planning

Practice of Financial Planning (PFP)

Practice of Investment Planning (PIP)

Practice of Margin Lending (PML)

Practice of Risk Management (PRM)

Practice of Self Managed Superannuation Funds

Practice of Self Managed Superannuation Funds (1)

Practice of Superannuation & Retirement Planning (PSR)

Principles of Finance

Principles of Finance Applications

Principles of Finance Applications (FNS10)

Principles of Investment Planning

Principles of Investment Planning (FNS10)

Principles of Margin Lending

Principles of Margin Lending (FNS10)

Principles of Personal Financial Planning

Principles of Retirement and Superannuation

Principles of Retirement and Superannuation (FNS10)

Principles of Risk and Insurance

Principles of Risk Management

Principles of Risk Management (FNS10)

Principles of Self Managed Superannuation Funds

Principles of Self Managed Superannuation Funds (FNS10)

Professional Certificate in Self Managed Superannuation Funds

Provide Advice in Derivatives

Provide Advice in Financial Planning

Provide Advice in Life Insurance

Provide Advice in Life Insurance (FNS10)

Provide Advice in Life Insurance (previously called ASIC PS146 Life Insurance)

Provide Advice in Managed Investments

Provide Advice in Securities

Provide Advice in Superannuation

PS 146 Competency Assessment and Gap Training Program



PS 146 Compliance for Stockbrokers Course  
PS 146 Training Course 2-Life Insurance  
PS 146 Training Course 4- Managed Investments & Securities  
PS 146 Training Course- Generic Knowledge: Managed Investments & Securities  
PS 146 Training Course- Generic Knowledge: Managed Investments & Securities (1)  
PS146 Competency Assessment and Gap Training Program  
PS146 Compliance Program - Derivatives  
PS146 Compliance Program - Financial Planning (995)  
PS146 Compliance Program - Generic (991)  
PS146 Compliance Program - Insurance (997)  
PS146 Compliance Program - Managed Investments (994)  
PS146 Compliance Program - Securities (992)  
PS146 Compliance Program - Superannuation (996)  
PS146 Gap Assessment  
PS146 Training Course 2- Life Insurance and Insurance Broking  
PS146 Training Course 4 - Financial Planning  
PS146 Training Course 4- Managed Investments: Securities & Derivatives  
PS146 Training Course 4-Financial Planning  
PS146 Training Course-Generic Knowledge: Managed Investments: Securities & Derivatives  
PS146 Training Education Program  
PS146 Training Education Program (1)  
PS146 Training Education Program (2)

### **Course names starting with Q**

QBE Mercantile Mutual Tier 1 General Insurance

### **Course names starting with R**

Retirement Income Streams MAF708  
Retirement Planning & Principles Course  
Retirement Planning & Principles Course (Nov 2000 ? Nov 2001)  
Retirement Planning (From Semester 1: 2002 - DFP 4)  
Retirement Planning (Prior to Semester 1: 2002 - DFP 5)  
RG 146 Gap Training Program for CFA- Charterholders and Candidates (General Advice)  
RG 146 Investments Solution

RG 146 Timeshare and Holiday Ownership Education Program  
RG 146 Timeshare and Holiday Ownership Education Program (FNS10)  
RG146 Competency Assessment and Gap Training Program  
RG146 Compliance Gap Training Program  
RG146 Compliance Program- Personal: Sickness & Accident  
RG146 Compliance Tier 1 Superannuation  
RG146 Compliant Tier 1 Life Insurance  
RG146 Compliant Tier 1 Life Insurance (1)  
RG146 Compliant Tier 1 Managed Investments  
RG146- Derivatives  
RG146 Financial Planning Process Solution  
RG146 for the Superannuation Industry  
RG146 for the Superannuation Industry (1)  
RG146 Gap Assessment  
RG146 Gap Training Program for CFA- Charterholders and Candidates (Personal Advice)  
RG146 general advice in Superannuation  
RG146 Insurance Only  
RG146 Insurance Solution  
RG146- Life Insurance  
RG146 Managed Investment Education Program  
RG146 Managed Investment Education Program (FNS10)  
RG146 Managed Investments  
RG146 Refresher (Superannuation) course  
RG146- Securities  
RG146- Superannuation  
RG146 Superannuation Essentials - Assessment only (formerly ASFA146 Assessment)  
RG146 Superannuation Essentials (formerly ASFA146 Superannuation OR Fast Track Superannuation)  
RG146 Superannuation Solution  
RG146 Training Course 1- Managed Investments and Securities  
RG146 Training Course 2- Life Insurance  
RG146 Training Course 3- Superannuation  
Risk  
Risk and Superannuation

Risk Management & Regulation  
Risk Management (Insurance)  
Risk Management (Insurance) (1)  
Risk Management (Insurance) (General Advice)  
Risk Management (Insurance) (General Advice) (1)  
Risk Management (Insurance) (Personal Advice)  
Risk Management (Insurance) (Personal Advice) (1)  
Risk Management and Insurance Planning  
Risk Management and Insurance Planning (1)  
Risk Management and Insurance Planning (DFP 2)  
Risk Management and Insurance Products (515)  
Risk Management for Financial services Professionals  
Risk Management- Option A  
Risk Management- Option A (1)  
Risk Management- Option A (2)  
Risk Management- Option B  
Risk Management- Option B (1)  
Risk Management- Option B (2)  
Risk Planning and Estate Planning (E153)  
RMIT Master of Finance  
RMIT/SDIA Managed Investments Module  
RMIT/SDIA Superannuation Module  
Royal SunAlliance Financial Services Program

### **Course names starting with S**

SDIA Accreditation Program  
Securities  
Securities - General Advice (formerly Generic knowledge in Securities)  
Securities (1)  
Securities (2)  
Securities (3)  
Securities (4)  
Securities (Banking and Finance Program) or (Finance Program)

Securities (Financial Planning Program)  
Securities (General Advice)  
Securities (General Advice) (1)  
Securities (Personal Advice)  
Securities (Personal Advice) (1)  
Securities for Financial Planners (Not Dealers) Solution Option A- Open Entry  
Securities for Financial Planners (Not Dealers) Solution Option B- Open Entry  
Securities Institute/DJ Carmichael Proper Authority Accreditation  
Securities- SDIA Professional Program  
Securities Solution A- Postgraduate (C1 + E151 + 156 + 5105 OR 157)  
Securities Solution B- Postgraduate  
Securities Solution Option A- Open Entry (5002 + 5003 + 5103 + 5203 + 5001)  
Securities Solution Option B- Open Entry (5002 + 5003 + 5105 + 5203 + 5001)  
Self Managed Super Funds (SMSF)  
Self Managed Superannuation Funds  
Self Managed Superannuation Funds (1)  
Self Managed Superannuation Funds (FNS10)  
Self Managed Superannuation Funds (formerly SMSF1: Core Principles)  
Self Managed Superannuation Funds (SMSFs)  
Senior Professional Assessment 1 (SAP 1) General Insurance Broking  
Senior Professional Assessment 1 (SPA 1) Financial Advisers  
Senior Professional Assessment 1 (SPA 1) Financial Planning  
Senior Professional Assessment 1 (SPA 1) Funds Managers  
Senior Professional Assessment 1 (SPA 1) General Insurance  
Senior Professional Assessment 1 (SPA 1) General Insurance Agency  
Senior Professional Assessment 1 (SPA 1) Life Insurance Agency  
Senior Professional Assessment 1 (SPA 1) Life Insurance Broking  
Senior Professional Assessment 1 (SPA 1) Underwriting Agents  
Senior Professional Assessment 2 (SPA 2) Financial Advisers  
Senior Professional Assessment 2 (SPA 2) Financial Planning  
Senior Professional Assessment 2 (SPA 2) Funds Managers  
Senior Professional Assessment 2 (SPA 2) General Insurance  
Senior Professional Assessment 2 (SPA 2) General Insurance Agency

Senior Professional Assessment 2 (SPA 2) General Insurance Broking  
Senior Professional Assessment 1 (SPA 1) General Insurance Agency  
Senior Professional Assessment 1 (SPA 1) Life Insurance Agency  
Senior Professional Assessment 1 (SPA 1) Life Insurance Broking  
Senior Professional Assessment 1 (SPA 1) Underwriting Agents  
Senior Professional Assessment 2 (SPA 2) Financial Advisers  
Senior Professional Assessment 2 (SPA 2) Financial Planning  
Senior Professional Assessment 2 (SPA 2) Funds Managers  
Senior Professional Assessment 2 (SPA 2) General Insurance  
Senior Professional Assessment 2 (SPA 2) General Insurance Agency  
Senior Professional Assessment 2 (SPA 2) General Insurance Broking  
SMSF2: Legal Principles and Strategies (Formerly The Strategist Group SMSF Adviser Course)  
'Special Entry A' [for candidates who have completed the CFP Plan and Practice Knowledge Examination-(PKE)]  
'Special Entry B' [for candidates who have completed the CFP Plan and Practice Knowledge Examination (PKE)]  
Specialist Certificate Course  
Specialist Regulated Financial Services Knowledge 2: Superannuation  
Specialist Regulated Financial Services Knowledge 3: Insurance  
Specialist Regulated Financial Services Knowledge 4: Managed Investments  
Specialist SMSF Adviser Training Course  
Specialist SMSF Adviser Training Course (1)  
Specialist SMSF course  
Statement of Attainment in Advanced Insurance Practice  
Statement of Attainment in Advising at ASIC Tier 1- Superannuation  
Statement of Attainment in ASIC Tier 1 Specialist Knowledge  
Statement of Attainment in Insurance Practice  
Stock Market and Products (405)  
Stockbrokers Association of Australia Professional Stockbrokers Program - Derivatives Accreditation (formerly SDIA Professional Program - Derivatives Accreditation)  
Stockbrokers Association of Australia Professional Stockbrokers Program - Margin Lending Accreditation  
Stockbrokers Association of Australia Professional Stockbrokers Program - Securities and Managed Investments Accreditation (formerly SDIA Professional Program - Securities and Managed Investments Accreditation)

Stockbrokers Association of Australia Professional Stockbrokers Program - Superannuation Accreditation

Suncorp Metway Financial Planners Accreditation Course

Superannuation

Superannuation - General Advice

Superannuation - General Advice (FNS10)

Superannuation - Personal Advice (FNS10)

Superannuation & Retirement Planning

Superannuation (1)

Superannuation (2)

Superannuation (3)

Superannuation (4)

Superannuation (5)

Superannuation (52555/52505) PLUS The Planning Environment (52551/52501)

Superannuation (DFS 3)

Superannuation (DFS3)

Superannuation (DFS3) FNS10

Superannuation (Financial Planning Program)

Superannuation (General Advice)

Superannuation (General Advice) (1)

Superannuation (General Advice) (2)

Superannuation (General Advice) (3)

Superannuation (Personal Advice)

Superannuation (Personal Advice) (1)

Superannuation and other Employee Benefits: Subject 4 of the Part III course for qualification as FIAA

Superannuation and Planned Savings

Superannuation and Retirement Income Streams

Superannuation and Retirement Planning

Superannuation and Retirement Planning (1)

Superannuation and Retirement Planning (2)

Superannuation and Retirement Planning (BAFI 1032)

Superannuation and Retirement Planning (E152)

Superannuation and Retirement Planning (Option A)

Superannuation and Retirement Planning- Option A  
Superannuation and Retirement Planning- Option B  
Superannuation and Retirement Planning- Option B (1)  
Superannuation and Retirement Planning- Option B (2)  
Superannuation Course (in-house)  
Superannuation Planning MAF311  
Superannuation Solution A- Postgraduate (E152 + C1 + 5105 OR 157)  
Superannuation Solution B- Postgraduate  
Superannuation Solution C- Postgraduate ( C1 + 156 + 157)  
Superannuation Solution Option A- Open Entry (5002 + 5003 + 5102 + 5103 + 5001)  
Superannuation Solution Option B- Open Entry (5002 + 5003 + 5102 + 5105 + 5001)  
Superannuation Specialist Education Program (RG146)  
Superannuation: Advice and Regulation (511)  
Superannuation and Retirement Planning (FNP21)  
Sydney Futures Exchange Education & Training Program Certificate II PS146 - Derivatives (8118)

### **Course names starting with T**

Taxation (52130/52508) (needs additional training)  
Taxation and Strategies for Financial Planning (E151)  
Taxation Planning (only meets part of Financial Planning)  
Taxation Planning, Estate Planning (only meets part of Financial Planning)  
The Managed Funds Industry (507)  
The Process of Financial Planning  
The Strategist Group SMSF Adviser Course  
Tier 1 (General Insurance)- Personal Accident and Illness  
Tier 1 (Insurance Broking) (FNS10)  
Tier 1 Adviser Compliance Program  
Tier 1 Adviser Compliance Solution  
Tier 1 Adviser Compliance Solution (1)  
Tier 1 Adviser Compliance Solution (2)  
Tier 1 Adviser Compliance Solution (FNS10)  
Tier 1 Advisor Compliance Solution  
Tier 1 Compliance for CFA Students & Graduates

Tier 1 Compliance Units Financial Planning  
Tier 1 Compliance/Diploma of Financial Services (Insurance Broking)  
Tier 1 Compliance/Diploma of Financial Services (SPA 1 - Financial Planning)  
Tier 1 Compliance/Diploma of Financial Services (SPA 1 - General Insurance)  
Tier 1 Compliance/Statement of Attainment (Insurance Broking)  
Tier 1 Derivatives for Financial Planners (Not Dealers)- Solution Option A  
Tier 1 General Insurance  
Tier 1 General Insurance Agency Program  
Tier 1 General Insurance Broking Program  
Tier 1 General Insurance Short Course  
Tier 1 General Insurance short course (FNS10)  
Tier 1 General Insurance Statement of Attainment  
Tier 1 Individual Assessment  
Tier 1 Insurance Broking  
Tier 1 Insurance Broking Advisor Course  
Tier 1 Insurance Broking Advisor Course (1)  
Tier 1 Insurance Broking RG146 Assessment and Gap training (FNS04)  
Tier 1 Insurance Broking Short Course  
Tier 1 Insurance Solution Option A  
Tier 1 Life Insurance Advisor Course  
Tier 1 Life Insurance Upgrade  
Tier 1 Short Course - General Insurance  
Tier 1 Short Course - General Insurance (FNS04)  
Tier 1 Short Course - Insurance Broking (FNS04)  
Tier 1 Short Course in Insurance Broking (FNS10)  
Tier 1 Upgrade course in Personal Sickness & Accident  
Tier 2 Compliance Solution B  
Tier 2 Compliance Solution C  
Tier I in Life Insurance  
Tier One Advice Graduate Program  
Time Share Education Program  
Time Share Education Program (1)  
Training & Assessment Package - Non-Basic Term Deposit Products & Personal Accident & Injury



### **Course names starting with U**

Understanding Derivatives (502)

Understanding the Financial Advising Industry (303)

### **Course names starting with W**

Wealth Today Academy - Diploma of Financial Planning

Westpac Certificate in Financial Advising

### **Course names starting with Z**

Zurich Tier 1 General Insurance