



Form 396

Corporations Act 2001

340, 341

ASIC Corporations (Auditing) Instrument 2026/469

sections 13 and 15

Notice of cessation of reliance on relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469

If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

Related forms

382 Notification of resolutions for audit relief - proprietary companies

Company details

Company name

ACN / ABN

Lodgement details

An image of this form will be available as
part of the public register

Who should ASIC contact if there is a query about this form?

ASIC registered agent number (if applicable)

Firm/organisation

Contact name/position description

Telephone number (during business hours)

Email address (optional)

Postal address

Suburb/City

State/Territory

Postcode

Notification of cessation

Tick one box

The company intends to cease or has ceased to apply the relief available under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417], as:

☐

the directors and/or shareholders of the company have resolved that the relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417] will not be applied for the financial year ended or which will end on

/ /
[D] [D] [M] [M] [Y] [Y]

OR

☐

the company ceased to be controlled by a foreign company on

/ /
[D] [D] [M] [M] [Y] [Y]

OR

☐

the company is no longer able to take advantage of the relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417] for the financial year ended or which will end on

/ /
[D] [D] [M] [M] [Y] [Y]

Signature

This form must be signed by a director or secretary of the company to which the relief has applied

I certify that the information given on this form is true and complete.

Name

Capacity

☐

Director

☐

Secretary

Signature

Date signed

[D] [D] / [M] [M] / [Y] [Y]

Lodgement

If lodging with ASIC, send completed and signed forms to:
Australian Securities and Investments Commission
PO Box 4000, Gippsland Mail Centre VIC 3841.

Search 'Form 396' on ASIC's website for information
about how to lodge this form electronically.

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Notice of cessation of reliance on relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469

If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

Related forms

382 Notification of resolutions for audit relief - proprietary companies

Purpose of Form 396	This form is to be used to notify that the company intends to cease or has ceased to rely on the audit relief, provided by Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417]. Refer to Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417] and Regulatory Guide 115 <i>Audit relief for proprietary companies</i> for further information.	
Lodgement fee	Nil	
Lodgement period	Form 396 is to be lodged during the period starting 3 months before the start of the financial year in which relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, ASIC Corporations (Audit Relief) Instrument 2016/784 or ASIC Class Order [CO 98/1417] ceased to apply and ending 4 months after the end of that financial year. Where Form 396 is not lodged within the required time for the relevant financial year, the company will not be able to re-apply the relief available under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469 for a future financial year.	
Re-applying relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469	If, for a future financial year following lodgement of Form 396, directors' and shareholders' resolutions are passed to re-apply relief under Part 3 of ASIC Corporations (Auditing) Instrument 2026/469, notice of those resolutions in the form of Form 382 should be lodged with ASIC.	
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.	
Lodgement	Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841. Search 'Form 396' on ASIC's website for information about how to lodge this form electronically.	For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630