

s 22

From: s 22
Sent: s 47E 2022 10:22 AM
To: OLSC <olsc@ag.gov.au>
Cc: s 22

s 22 <@asic.gov.au>; s 22 <@asic.gov.au>
Subject: RE: Counsel Rate Application – Ongoing Increase – s 22
[SEC=OFFICIAL]

Dear OLSC

We acknowledge receipt of your email and thank you for your time and consideration in providing the below approval.

Kind regards,

s 22
(he/him/his)
Lawyer, Financial Services Enforcement
Office of Enforcement
Australian Securities and Investments Commission
s 22

ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.

s 22

OFFICIAL

From: s 22 <@asic.gov.au>
Sent: s 47E 2022 3:04 PM
To: OLSC <olsc@ag.gov.au>
Cc: s 22 <@asic.gov.au>; s 22 <@asic.gov.au>
Subject: RE: Counsel rate - s 22 - ASIC engagement [SEC=OFFICIAL]

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Dear OLSC

We refer to our recent communications in regards to ASIC's engagement of s 22 .

s 22 contributions to the current matter have been invaluable in the preparation for our brief to the CDPP. As we intend to deliver the relevant brief to the CDPP shortly we anticipate that we will require ongoing assistance from counsel. However counsel's current one-off approval is due to expire on s 47E 2022 and we are not in a position to determine the extent counsel will be required to assist at this stage.

Additionally ASIC is encountering similar issues across several matters and we consider that it is likely that future investigations will benefit from s 22 extensive experience and understanding of the developing case law relating to s 47 .

Accordingly, and as suggested in your emails to us **below we attach** our application for ongoing rate approval of s 22 at s 47 higher rate.

We look forward to receiving your response in due course, however, should you have any queries please do not hesitate to contact us.

Kind regards,

s 22

(he/him/his)
 Lawyer, Financial Services Enforcement
 Office of Enforcement

Australian Securities and Investments Commission

s 22

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From: s 22
To: [OLSC](#)
Cc: s 22 ; s 22
Subject: RE: Request for further information - Application - Counsel Rate - s 22
[SEC=OFFICIAL:Sensitive]
Date: s 47E 2023 4:13:00 PM

Dear s 22 thank you for your email.

In response to your queries below, ASIC responds as follows.

1. Brief summary of s 47E

s 47E

s 47E

2. Expected duration of the above matters

s 47E

3. Further information to support the increase to s 22 counsel rate in above matters

s 47E

4. Why s 22 is necessary for the above matters at the requested rate

s 47E

Regards

s 22

Litigation Counsel, Chief Legal Office

Australian Securities and Investments Commission

s 22

s 22

ASIC logo



s 22

s 22

OFFICIAL: Sensitive**From:** s 22**Sent:** s 47E 2023 2:38 PM**To:** OLSC <olsc@ag.gov.au>**Cc:** s 22**Subject:** CM: Application - Counsel Rate - s 22 [SEC=OFFICIAL:Sensitive]

CAUTION: This email originated from outside of the organisation. Do not follow guidance, click links, or open attachments unless you recognise the sender and know the content is safe.

Dear OLSC

Please find **attached an application** for s 22 to increase s 47E current Commonwealth rate on an ongoing basis to \$5,000 (maximum daily rate including GST).

s 47E

ASIC supports s 22 application.

We would be grateful if you would please kindly confirm receipt and advise us if we can assist any further. If possible, we would be grateful if you could please attend to this application at your earliest convenience this week.

Best regards

s 22

Litigation Counsel, Chief Legal Office

Australian Securities and Investments Commission

s 22

s 22

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From: s 22
To: OLSC
Cc: s 22
Subject: Counsel rate application (ongoing) - s 22 [SEC=OFFICIAL]
Date: s 47E 2024 2:09:06 PM
Attachments: Cth Counsel Rate Application Form s 22 - Ongoing).DOCX

Dear OLSC

Please find **attached** an application for s 22 to increase ^{s 47E} current Commonwealth rate on an ongoing basis to \$5,000 (including GST).

s 22

We would be grateful if you would please kindly confirm receipt and let us know if you require anything further.

Kind regards,

s 22

Litigation Counsel, Civil Litigation
Legal Services

Australian Securities and Investments Commission

s 22

ASIC logo





Commonwealth Counsel Rate Application

Application Information

Please refer to Appendix D to the Legal Services Directions 2017 (www.legislation.gov.au/Series/F2017L00369) when completing this application.

Download this application in DOC or PDF format from www.ag.gov.au/LegalSystem/LegalServicesCoordination/Pages/Engagementofcounsel and enter the required information.

Save the completed application, adding the names of the requesting agency and the counsel to the file name.

Email the completed application to olsc@ag.gov.au. Include the words "Counsel rate" and the counsel's name in the email's subject line.

* INDICATES A REQUIRED FIELD

WHAT PARTS TO COMPLETE:

- ONGOING RATE - COMPLETE PARTS A, B, C AND D
- ONE-OFF RATE- COMPLETE PARTS A, B, C AND E
- BOTH ONGOING AND ONE-OFF RATE - COMPLETE ALL PARTS

NOTE 1: COUNSEL MUST HAVE AN ONGOING RATE TO APPLY FOR A ONE-OFF RATE.

NOTE 2: IF A DEADLINE IS REQUIRED, PLEASE ENSURE DETAILS ARE PROVIDED

NOTE 3: WHILE ONLY INFORMATION ABOUT DAILY RATES IS REQUESTED, HOURLY RATES ARE GENERALLY CONSIDERED TO BE ONE SIXTH OF THE DAILY RATE

A. Application Details

| | |
|--|-------------------------------------|
| Type of rate sought* | Ongoing |
| Date application completed (dd/mm/yyyy)* | s 47E 2024 |
| Required deadline or N/A | Preferably a response by s 47E 2024 |

B. Applicant Contact Details (if not Counsel)

| | |
|--|---|
| Name | s 22 |
| Organisation/Chambers | Australian Securities and Investments Commission (ASIC) |
| Email* | s 22 |
| Phone* | s 22 |
| Authorisation to complete the personal/ other information on behalf of counsel | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |

C. Counsel Details

| | |
|--|-------------|
| First name* | s 22 |
| Last name* | s 22 |
| Gender* | S M F |
| Email | s 22 |
| Phone | s 22 |
| Chambers* | s 22 |
| Relevant seniority of counsel* | s 47E |
| Year of original admission as legal practitioner* | s 47E |
| Has counsel commenced practice at the bar?* | s 47E |
| Year commenced practice at the bar or N/A* | s 47E |
| Year appointed as Senior/King's counsel or N/A* | s 47E |
| Commercial daily rate (including GST)* | s 47E |
| Current approved Commonwealth ongoing daily rate (including GST) | s 47E |
| Areas of practice* | s 47E |
| Jurisdiction in which counsel usually practises* | s 47E |
| Jurisdictions in which counsel is admitted* | s 47E |
| Additional comments or considerations (please state whether these comments come from the agency or counsel). | |

D. Ongoing rate request

| | |
|--|--|
| Ongoing daily rate requested (including GST) * | \$5,000 (including GST) |
| Grounds supporting proposed rate (please summarise - do not attach CV)* | s 47E |
| Have you considered briefing the Solicitor-General for this matter? If not, why not? (please consider Guidance Note 11) | This does not fall within the contemplated reasons for briefing the Solicitor-General set out in Guidance Note 11. |
| Upcoming court events or key dates (Directions hearings, material due to be filed) | s 47E |

From: s 22
To: [DirectionsReview](#)
Cc: s 22
Subject: RE: URGENT ACTION REQUIRED Legal Services Directions 2017 Review - engagement of counsel regime – due COB 24 July 2024 [SEC=OFFICIAL]
Date: Thursday, 1 August 2024 5:49:52 PM
Attachments: [ASIC response to LSD consultation paper - Paragraph 6 and Appendix D.docx](#)

Kind regards

s 22 (she/her)
Lawyer, Commission Counsel Team
Legal Services
Australian Securities and Investments Commission
s 22



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s 22

