



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A36/21, Tuesday 7 September 2021

Published by ASIC

ASIC Gazette

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
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Instrument 21-0748**NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001**

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 26 August 2021.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915B****Notice of Cancellation of Australian Financial Services Licence**

To: My Group Fintech Co Pty Ltd

Under section 915B of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 493603 held by My Group Fintech Co Pty Ltd ACN 615 855 840.

Dated this 11th day of August 2021



Signed:

Lisa Lu, a delegate of the Australian Securities and Investments Commission

21-0749

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601CK(7) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0749.

Commencement

3. This instrument commences on gazettal.

Declaration

4. Subsections 601CK(1) to (6) inclusive of the Act do not apply to DJAPL Investments LLC ARBN 616 958 000 (the *Company*), a body incorporated in the State of Delaware, United States of America (*Delaware*), in relation to a calendar year commencing on or after 1 January 2021.

Where the declaration applies

5. The declaration in paragraph 4 applies to the Company for so long as all of the following apply:
 - (a) the Company is registered under Division 2 of Part 5B.2 of the Act;
 - (b) the Company:
 - (i) has no more than 50 non-employee members (counting joint holders of a particular membership interest as 1 person); and
 - (ii) does not engage in any activity in relation to securities or membership interests that, if it had been engaged in in this jurisdiction in relation to securities, would require disclosure to investors under Chapter 6D of the Act, except for an offer of membership interests to:
 - (A) existing holders of membership interests in the Company; or
 - (B) employees of the Company or of a subsidiary of the Company;
 - (c) the Company is incorporated in Delaware;

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- (d) the Company is not required under the law applicable in Delaware to prepare a balance sheet made up to the end of its last financial year, or a cash flow statement or a profit and loss statement for its last financial year;
- (e) the Company has not been a disclosing entity, borrower in relation to a debenture or guarantor of such a borrower at any time during the calendar year;
- (f) the Company satisfies at least 2 of the paragraphs in subsection 45A(2) of the Act in relation to its last financial year;
- (g) either of the following applies:
 - (i) the Company is not part of a group which is a large group in relation to its last financial year; or
 - (ii) the Company was consolidated in financial statements which:
 - (A) cover the whole of that financial year; and
 - (B) were lodged with ASIC by the controlling entity who controlled the Company for the whole of that financial year.

Interpretation

8. In this instrument:

combined revenue of the group means the sum of the revenue of all of the entities in the group, while they are members of the group, making all adjustments as would be required in preparing consolidated financial statements under accounting standards in force at the relevant time (even if the standard does not otherwise apply to the financial year of some or all of the companies concerned).

combined value of gross assets of the group means the sum of the value of the gross assets of all of the entities in the group, making all adjustments as would be required in preparing consolidated financial statements under accounting standards in force at the relevant time (even if the standard does not otherwise apply to the financial year of some or all of the companies concerned).

control has the same meaning as in Australian Accounting Standard AASB 10 *Consolidated Financial Statements*.

controlling entity means the company, registered foreign company, registered scheme or disclosing entity which controlled the Company for the whole of that financial year (or by two or more such entities which controlled the Company at different times during that financial year provided that at any time during the financial year the Company was controlled by at least one of those entities).

employee member means a person who holds a membership interest in the Company and who:

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- (a) is an employee of the Company or of a subsidiary of the Company; or
- (b) was an employee of the Company, or of a subsidiary of the Company, when they first held a membership interest in the Company.

entity means a company, a registered scheme, a disclosing entity, any other corporation, a partnership, an unincorporated body or a trust.

group means, in relation to an entity for a financial year, the entity together with all of the following:

- (a) any other entity which controlled the entity at any time during, or at the end of, the financial year and which was registered or formed in Australia or carries on business in Australia;
- (b) any other entity (a **corresponding entity**) which is:
 - (i) controlled at any time during, or at the end of, the financial year by any foreign company which at the same time controls the entity; and
 - (ii) incorporated or formed in Australia or carries on business in Australia during that part of the financial year when it is controlled by the same foreign company as controls the entity;
- (c) any entity which is controlled at any time during, or at the end of, the financial year by the entity;
- (d) any entity which is controlled by a corresponding entity during that part of the financial year when the corresponding entity is controlled by the same foreign company as controls the entity.

large group means a group which, for a financial year, satisfies at least 2 of the following paragraphs:

- (a) the combined revenue of the group for the financial year is \$25 million, or any other amount prescribed for the purposes of paragraph 45A(2)(a) of the Act, or more;
- (b) the combined value of gross assets of the group at the end of the financial year is \$12.5 million, or any other amount prescribed for the purposes of paragraph 45A(2)(b) of the Act, or more;
- (c) the group has 50, or any other number prescribed for the purposes of paragraph 45A(2)(c) of the Act, or more employees (part-time employees being counted as an appropriate fraction of a full-time equivalent) at the end of the financial year.

membership interest means all of the rights and interests of a person who is admitted to the Company as a member, including without limitation all of such person's limited liability company interests in the Company, all of such person's right to participate in the management of the business of the Company or otherwise control the Company, and all of such person's rights as a member of the Company.

21-0749

Dated this 27 day of August 2021

A handwritten signature in blue ink, appearing to read 'R. Narcis', with a horizontal line underneath it.

Signed by Roxton Narcis
as a delegate of the Australian Securities and Investments Commission

[21-0750]

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Notesco Pty Ltd
ACN 143 154 698 ("the Licensee")
Level 17
9-13 Castlereagh Street
SYDNEY NSW 2000

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 417482 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while cancelled for a period of 12 months from the cancellation date, as though the cancellation had not happened for the purposes of paragraph 912A(1)(g) of the Act, to the extent that it requires the Licensee to have a dispute resolution system consisting of membership of one or more external dispute resolution schemes in compliance with 912A(2)(b).

Dated 30 August 2021

Signed 

Nicholas Vonarx
A delegate of the Australian Securities and Investments Commission

21-0765

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601QA(1) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0765.

Commencement

3. This instrument commences on the day it is signed.

Exemption—Unequal treatment in withdrawal from an AQUA managed fund

4. Janus Henderson Investors (Australia) Funds Management Limited ACN 164 177 244 (responsible entity) in its capacity as responsible entity for the Janus Henderson Global Sustainability Equity Fund ARSN 651 993 118 (Fund), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the responsible entity from permitting only authorised participants to withdraw units in the Janus Henderson Global Sustainability Equity Active ETF (Managed Fund) (Class), being a class of units in the Fund that is an AQUA managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Class that are admitted to Trading Status as Managed Fund Product that contains statements to the effect that:
 - (i) the Class will invest in global equities and cash. The Class may also invest in other types of assets that are consistent with its objectives including cash equivalents, derivatives and managed investment schemes managed by the responsible entity;
 - (ii) the responsible entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Class to authorised participants;
 - (iii) except in exceptional circumstances only authorised participants may withdraw their interests from the Class, but other members may sell their interests on the AQUA market; and
 - (iv) when interests in the Class are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a

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right to withdraw from the Class and receive payment for their interests in money within a reasonable time of request unless any of the following apply:

- (A) the Class is being wound-up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the responsible entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Class to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Class are quoted when withdrawing the minimum parcel; and
- (c) ASIC has not notified the responsible entity in writing that it is excluded from reliance on the exemption;

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

In this instrument:

AQUA managed fund means a registered scheme with interests admitted to Trading Status as a Managed Fund Product on the financial market operated by ASX under the provisions of the operating rules of ASX relating to what is referred to in those rules as the AQUA market.

AQUA market means the financial market operated by ASX under the provisions of the operating rules of ASX relating to an AQUA Product.

AQUA Product has the same meaning as in the ASX operating rules as at the date of this instrument.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Class, a person who:

- (a) has an agreement with the responsible entity of the Fund in relation to making applications to acquire and withdraw interests in the Class; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Class.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in the AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Participant has the same meaning as in the operating rules of ASX as at the date of this instrument.

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Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

withdrawal fee per interest means, in relation the Class, the fee that is payable in relation to a withdrawal from the Class divided by the number of interests in the Class to which the withdrawal relates.

Dated this 2nd day of September 2021



.....
Signed by Giles Emery
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of September 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBCN

FIFTY FIVE NORTH LIMITED

628 080 795

LOGITRAIN (UK) LIMITED

129 133 213

WORTHINGTON AVIATION PARTS, INC.

126 736 490

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this third day of September 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

HEETCO, INC.

002 260 808

SUN HUNG KAI INVESTMENT SERVICES LIMITED

167 146 545

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

GREEN ECO INTERNATIONAL PTY LTD

ACN 602 735 697 will change to a public company limited by shares. The new name will be GREEN ECO INTERNATIONAL LTD ACN 602 735 697.

MALAMUTE MINERALS PTY LTD

ACN 617 729 521 will change to a public company limited by shares. The new name will be MALAMUTE MINERALS LIMITED ACN 617 729 521.

REDOX PTY LTD ACN 000 762 345 will change to a public company limited by shares. The new name will be REDOX LIMITED ACN 000 762 345.

SIMI CONNECT INNOVATION COMPANY

LIMITED ACN 619 632 978 will change to a proprietary company limited by shares. The new name will be SIMI CONNECT INNOVATION COMPANY PTY LTD ACN 619 632 978.

TEKCYTE PTY LTD ACN 626 490 775 will change to a public company limited by shares. The new name will be TEKCYTE LIMITED ACN 626 490 775.

IRIS ENERGY PTY LTD ACN 629 842 799 will change to a public company limited by shares. The new name will be IRIS ENERGY LIMITED ACN 629 842 799.

OSMOND RESOURCES PTY LTD

ACN 649 477 734 will change to a public company limited by shares. The new name will be OSMOND RESOURCES LIMITED ACN 649 477 734.

REGATTA RESOURCES PTY LTD

ACN 117 736 473 will change to a public company limited by shares. The new name will be REGATTA RESOURCES LIMITED ACN 117 736 473.

STEP ONE CLOTHING PTY LTD

ACN 616 696 318 will change to a public company limited by shares. The new name will be STEP ONE CLOTHING LIMITED ACN 616 696 318.

VENTIA SERVICES GROUP PTY LIMITED

ACN 603 253 541 will change to a public company limited by shares. The new name will be VENTIA SERVICES GROUP LIMITED ACN 603 253 541.