

**Haydar Tuncer**

**From:** Thea Eszenyi  
**Sent:** Wednesday, 19 January 2022 10:39 AM  
**To:** Government Relations; Zoe Kakarides; Douglas Niven  
**Cc:** Greg Yanco; Louise Macaulay; Bruce Meagher  
**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]  
**Attachments:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Doug has already sent through

**Thea Eszenyi**

Senior Executive Leader, Registered Liquidators  
 Senior Executive Leader, Financial Reporting & Audit  
**Australian Securities and Investments Commission**

Level 7, 120 Collins Street, Melbourne, 3000

Tel: s 22 | Mob: s 22



EA: Trish Black | tel: s 22 | s 22

ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.



ASIC is committed to [diversity and inclusion](#). ASIC is a place of belonging regardless of difference, where all individuals are accepted, safe and affirmed.

**From:** Government Relations s 22  
**Sent:** Wednesday, 19 January 2022 10:08 AM  
**To:** Government Relations <s 22>; Thea Eszenyi s 22>; Government Relations s 22>; Zoe Kakarides s 22>; Douglas Niven <s 22>  
**Cc:** Greg Yanco <s 22>; Louise Macaulay s 22>; Bruce Meagher s 22>  
**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Hi Thea and Team

We are being chased for the drafting changes this morning. Grateful if you could send them through as soon as you can.

Emily

**From:** Government Relations s 22>  
**Sent:** Tuesday, 18 January 2022 3:04 PM  
**To:** Thea Eszenyi <s 22>; Government Relations s 22>; Zoe Kakarides <s 22>; Douglas Niven <s 22>  
**Cc:** Greg Yanco <s 22>; Louise Macaulay s 22>; Bruce Meagher

<s 22

FOI 145-2022

**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Hi Thea

Thanks for this. Yes, if your team could please incorporate the drafting changes, I will send on to the TO.

Emily

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**From:** Thea Eszenyi <s 22 >

**Sent:** Tuesday, 18 January 2022 2:18 PM

**To:** Government Relations <s 22 >; Zoe Kakarides <s 22 >; Douglas Niven <s 22 >

**Cc:** Greg Yanco <s 22 >; Louise Macaulay <s 22 >; Bruce Meagher <s 22 >

**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Thanks Emily

See attached from Doug – and now Greg has approved.

Do you want us to do the redrafting?

**Thea Eszenyi**

Senior Executive Leader, Registered Liquidators

Senior Executive Leader, Financial Reporting & Audit

**Australian Securities and Investments Commission**

Level 7, 120 Collins Street, Melbourne, 3000

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**EA:** Trish Black | tel: <s 22 > | <s 22 >

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**From:** Government Relations <s 22 >

**Sent:** Tuesday, 18 January 2022 1:41 PM

**To:** Government Relations <s 22 >; Zoe Kakarides <s 22 >; Douglas Niven <s 22 >

**Cc:** Greg Yanco <s 22 >; Thea Eszenyi <s 22 > Louise Macaulay <s 22 >; Bruce Meagher <s 22 >

**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Hi All

Following-up on the below email. It would be great we could please have a revised version of the QoN to send to the TO as soon as possible. FOI 145-2022

Emily

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**From:** Government Relations <s 22>  
**Sent:** Monday, 10 January 2022 1:02 PM  
**To:** Zoe Kakarides s 22; Douglas Niven s 22  
**Cc:** Greg Yanco s 22; Thea Eszenyi s 22; Louise Macaulay s 22; Bruce Meagher s 22; Government Relations s 22  
**Subject:** RE: Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Hi Greg, Thea, Doug

I'm helping with QoN in Zoe's absence. We received the attached suggested edit from the TO today. Can you please arrange for the team to consider and provide an updated version by COB 17 January (it looks like that is when Greg is back on deck).

Please give me a call if you would like to discuss.

Thank you  
Emily

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**From:** Zoe Kakarides <s 22>  
**Sent:** Wednesday, 10 November 2021 10:19 PM  
**To:** Douglas Niven s 22>  
**Cc:** Greg Yanco s 22; Thea Eszenyi s 22; Louise Macaulay s 22; Bruce Meagher s 22; Government Relations s 22  
**Subject:** Response required by COB 17 Nov 2021 | Questions on notice | Senator Nick McKim | SQ21-000796 Annual independence exams | SQ21-000740 ASIC legislative powers [SEC=OFFICIAL]

Hi Doug

We have received the attached questions on notice from Senator Nick McKim.

Can you please arrange for responses to be drafted in the attached templates, approved by Greg Yanco and returned to me by COB 17 November.

For your reference, I have attached the Hansard from the 28 October Estimates hearing. The line of questioning for the QON regarding ASIC legislative powers (QoN SQ21-000740) is on Hansard pages 9 and 10.

The second QON (SQ21-000796) regarding annual independence exams is a further written QoN from Senator McKim.

Please let me know if you require anything further.

Regards  
Zoe

Zoe Kakarides  
Senior Specialist, Office of the Chair  
Australian Securities and Investments Commission  
Level 7, 120 Collins Street, Melbourne, 3000  
Mob: s 22



**ASIC**

FOI 145-2022