

**Haydar Tuncer**

**From:** Douglas Niven  
**Sent:** Thursday, 27 January 2022 2:11 PM  
**To:** Luci Tucker  
**Cc:** Thea Eszenyi  
**Subject:** RE: Market Briefs - PJC February - Updates due COB 28 January [SEC=OFFICIAL]

Dear Luci

Many thanks. I have made some minor suggested changes for your consideration.

Kind regards

Doug

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**From:** Luci Tucker <s 22>  
**Sent:** Friday, 21 January 2022 5:42 PM  
**To:** Douglas Niven <s 22>  
**Cc:** Thea Eszenyi <s 22>  
**Subject:** FW: Market Briefs - PJC February - Updates due COB 28 January [SEC=OFFICIAL]

Dear Doug

I have updated the brief on the PCAOB disciplinary action on KPMG cheating for the QoN responses, results of CPA Australia's investigation and the AFR article following release of documents under FOI. I have also made some other changes to keep the brief to two pages as suggested by Thea.

Happy for you to provide any further comments.

Note that I'll be on leave next week,

Kind regards

**Luci Tucker**

Senior Manager, Financial Reporting & Audit  
 Markets Group

**Australian Securities and Investments Commission**

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**ASIC**

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**From:** Alyssa Frederick <s 22>  
**Sent:** Thursday, 13 January 2022 5:38 PM  
**To:** Rajnish Padarath <s 22>; Carl Sibia <s 22>; Adrian Furby <s 22>; Douglas Niven <s 22>; Brett Crawford <s 22>; Teegan Winters <s 22>; Terence Kouts <s 22>; Kimberley Chan <s 22>; Nicholas Athanasou <s 22>; James Andronis <s 22>; Claire LaBouchardiere <s 22>; Calissa Aldridge <s 22>; Andrew McPherson <s 22>; Belinda Robilliard <s 22>; Kim Demarte <s 22>; Ananda Stoevelaar <s 22>; Somer Taylor <s 22>; Tom Veidners <s 22>; Dodie Green <s 22>; Bharat Patel <s 22>; Amanda

Zeller s 22 >; Nathan Bourne s 22 145-2022 >; Alex Orgaz-Barnier s 22  
 >; Thea Eszenyi s 22 >; Luci Tucker s 22 >; Adrian Furby  
 s 22 >; Douglas Niven < s 22 >; Brett Crawford s 22 >;  
 Ben Phillips s 22 >; Teegan Winters s 22 >; Terence Kouts  
 < s 22 >; Kimberley Chan s 22 >; Waverley Duong  
 s 22 >  
 Cc: Tseng Khoo s 22 >; Anthony Furnell s 22 >; Caroline von Konigsmark  
 s 22 >  
**Subject:** Market Briefs - PJC February - Updates due COB 28 January [SEC=OFFICIAL]

Dear all

Below is a list of the current Markets briefs for the PJC Hearing to be held week commencing 14<sup>th</sup> February 2022.

Please provide your changes to the brief by **COB, Friday 28<sup>th</sup> January**. Please copy myself, Tseng Khoo, Anthony Furnell, Caroline von Konigsmark and your SEL when the brief has been approved. We will consolidate the briefs for Greg's review and approval.

I am on leave until Monday 31<sup>st</sup> January, if you have any queries in the interim please contact Tseng Khoo, including if a new brief needs to be created.

When drafting your brief, please:

- ensure you track all changes for existing briefs and don't accept the changes
- include up to three or four key messages, noting that background information is not a key message
- remember the strict maximum page limit for each brief is two pages
- check you are authoring complete sentences and not an abbreviated short-hand to fit the page limit
- use short sentences that can be individually used as a talking point, so the Commissioners can directly read from this point if required (no long, wordy sentences – keep it short and concise)
- **do not include confidential information** – if you want to include confidential information as background for the Commissioners, contact myself and Zoe Kakarides and we will collate this in a separate document.

Any queries please contact Tseng Khoo.

Many thanks  
 Alyssa

BRIEF	SEL	Author
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Public Company Accounting Oversight Board (PCAOB) disciplinary action regarding KPMG cheating [202202 - Internal Parliamentary briefing - PCAOB disciplinary regarding KPMG cheating](#)

[@Thea Eszenyi](#)

[@Luci Tucker](#)

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**Alyssa Frederick**

Senior Manager (Acting), Operations - Markets and Market Enforcement

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Tel: s 22



**ASIC**

**Please note:** I work Mondays 9:00am-3:00pm and 4:30pm-5:30pm

Tuesdays 9:00am-5:00pm

Wednesdays 8:30am -5:30pm

Thursdays 9am-3:00pm and 4:30-5:30pm