

Financial Services and Credit Panel

In the matter of [REDACTED]

Reprimand under s921T(1) of the Corporations Act 2001

To: [REDACTED] (the relevant provider)
Representative number [REDACTED]

A Financial Services and Credit Panel convened by the Australian Securities and Investments Commission on 10 December 2025 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of the relevant provider (the Sitting Panel) reasonably believes that the relevant provider has contravened the following financial services laws under the *Corporations Act 2001* (Corporations Act):

- (a) s961B(1);
- (b) s961G;
- (c) s947D; and
- (d) s921E(3),

in relation to financial advice provided to two clients in 2023 resulting in the loss of insurance.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel hereby gives the relevant provider a written reprimand.



Signed: _____
Peter Komorowski, Panel Chair

Date: 18 March 2026