

From: [Market supervision - OTC](#)
To: ["kylie.paton@ig.com"](mailto:kylie.paton@ig.com)
Subject: s912C(1) Notice - IG Markets Limited (Treat as In Confidence) [DLM=Sensitive]
Date: Wednesday, 10 April 2019 4:14:00 PM
Attachments: [IG Markets Limited Retail OTC Metrics s912C Notice 2019.pdf](#)
[Template for 912C response 2019.xlsx](#)

Dear Kylie,

As discussed, please find attached a s912C(1) Notice from ASIC. The notice requests IG Markets Limited to provide ASIC with various information relating to their financial services business. In addition, please see attached an excel spreadsheet (template for 912C response) which has been provided to assist the licensee when completing its response to the s912C(1) Notice.

Please confirm receipt of this email and the attached notice via email.

Kind Regards

OTC Intermediary Compliance Team

Australian Securities & Investments Commission