

**From:** [Steven Bebic](#)  
**To:** [Natalie Beirne](#)  
**Subject:** RE: IG Markets Limited (Treat as In Confidence) [DLM=Sensitive]  
**Date:** Tuesday, 9 May 2017 11:05:44 AM  
**Attachments:** [IG Markets Limited\\_s912C\(1\)Notice\\_090517.pdf](#)

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Hi Natalie,

Please find attached.

Kind Regards

**Steven Bebic** | Analyst | Market Supervision – Complex Products Team | ASIC | Melbourne | ' 61 3 9280 4666| 7 61 3 9280 3444 |  
✉ [steven.bebic@asic.gov.au](mailto:steven.bebic@asic.gov.au)

From: Natalie Beirne <Natalie.Beirne@ig.com>

To: Steven Bebic <Steven.Bebic@asic.gov.au> ,

Date: 09/05/2017 10:46 AM

Subject: RE: IG Markets Limited (Treat as In Confidence) [DLM=Sensitive]

Thanks Steven.

The Notice is correctly addressed to IG Markets Limited and the correct AFSL is used however in the body of the Notice you state “Ingot Brokers (Australia) Pty Ltd. Would you please have that amended and reissue the Notice.

Thanks,

**NATALIE BEIRNE**  
**Head of Compliance APAC**

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**From:** Steven Bebic [<mailto:Steven.Bebic@asic.gov.au>]  
**Sent:** Tuesday, 9 May 2017 10:40 AM  
**To:** Natalie Beirne <Natalie.Beirne@ig.com>  
**Subject:** IG Markets Limited (Treat as In Confidence) [DLM=Sensitive]

Dear Natalie,

As discussed, please find attached a s912C(1) Notice from ASIC. The notice requests IG Markets Limited to provide ASIC with various information relating to their financial services business. In addition, please see attached an excel spreadsheet (template for 912C response) which has been provided to assist the licensee when completing its response to the s912C(1) Notice.

If you have any queries, please do not hesitate to contact me.

Regards

**Steven Bebic** | Analyst | Market Supervision – Complex Products Team | ASIC | Melbourne | ' 61 3 9280 4666 | 7 61 3 9280 3444 |  
✉ [steven.bebic@asic.gov.au](mailto:steven.bebic@asic.gov.au)

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