

Financial Services and Credit Panel

In the matter of [REDACTED]

Reprimand under s921T(1) of the Corporations Act 2001

To: [REDACTED] (the relevant provider)
Representative number [REDACTED]

A Financial Services and Credit Panel convened by Australian Securities and Investments Commission on 19 March 2025 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of the relevant provider (the Sitting Panel) reasonably believes that the relevant provider has contravened the following financial services laws under the *Corporations Act 2001* (Corporations Act):

(a) s921BA(4); and

(b) s921E(3),

in relation to the licensee's CPD year ending 30 June 2024.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel hereby gives the relevant provider a written reprimand.

Signed:  _____
Lisa Lu, Panel Chair

Date: 19 May 2025