



Notification of half yearly reports of a Corporate collective investment vehicle (CCIV)

If there is insufficient space in any section of the form, you may attach an annexure and submit as part of this lodgement

Lodgement details

An image of this form will be available as part of the public register.

Who should ASIC contact if there is a query about this form?

ASIC registered agent number (if applicable)

Firm/organisation

Contact name/position description

Telephone number (during business hours)

Email address (optional)

Postal address

Suburb/City

State/Territory

Postcode

1 Disclosing entity

Use this section to notify the CCIV that is lodging half-yearly financial reports at this time.

CCIV name

ACN

2 Details of CCIV Sub-fund

Use this section to notify of the subfund(s) the half-yearly financial reports relate to.

Please complete a separate section for each sub-fund, print additional copies of page 1 if additional sub-funds are required.

Dates on which financial year begins and ends

Sub-fund name

Australian Registered Fund Number (ARFN)

From

to

Please complete a separate section for each sub-fund, print additional copies of page 1 if additional sub-funds are required.

Dates on which financial year begins and ends

Sub-fund name

Australian Registered Fund Number (ARFN)

From

to

3 Certification

I certify that the attached documents comprise the half yearly reports together with every other document that is required to be lodged with the reports by a disclosing entity under the *Corporations Act 2001*.

Signature

The attached documents marked () are a true copy of the original reports required to be lodged under s319 of the Corporations Act 2001 as modified by Division 4 of Part 8B.4.

Corporate Director name

Corporate Director ACN

Name of person signing

Capacity

Director

Company secretary

Signature

Date signed

/ /
[D] [D] [M] [M] [Y] [Y]

Name of person signing

Capacity

Director

Company secretary

Signature

Date signed

/ /
[D] [D] [M] [M] [Y] [Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841.

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Guide: Notification of half yearly reports of a Corporate collective investment vehicle (CCIV)

This guide is not part of the form. It is included by ASIC to assist you in completing and lodging the Form 7051.

Signature	This form must be signed by two directors or a director and secretary of the Corporate Director of the CCIV.
Lodging period	Lodgement required within 75 days after the end of the accounting period.
Lodgement fee	Nil if lodged within the prescribed lodging period.
Late fees	<p>Late fees will apply if you lodge outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A form is not considered lodged until it is received and accepted by ASIC as complying with s1274(8) of the <i>Corporations Act 2001</i>. A receipt will not be issued unless requested.</p>
How to provide additional information	<p>Copies of Form 5299 pages</p> <p>If there is insufficient space in any section of the form, you may submit additional copies of the relevant page(s) as part of this lodgement.</p> <p>Annexures</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none">1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides2. show the company name and ACN or ARBN3. number the pages consecutively4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)7. sign and date the annexure. The annexure must be signed by the same person(s) who signed the form.
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.
Lodgement	<p>Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841.</p> <p>For more information</p> <p>Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p>