Financial Services and Credit Panel

In the matter of

Reprimand under s921T(1) of the Corporations Act 2001

To:	(the relevant provider)
	Representative number

A Financial Services and Credit Panel convened by Australian Securities and Investments Commission on 19 March 2025 under \$139(1) of the Australian Securities and Investments Commission Act 2001 to consider the conduct of the relevant provider (the Sitting Panel) reasonably believes that the relevant provider has contravened the following financial services laws under the Corporations Act 2001 (Corporations Act):

- (a) s921BA(4); and
- (b) s921E(3),

in relation to the licensee's CPD year ending 30 June 2024.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel hereby gives the relevant provider a written reprimand.

Signed: Date: 19 May 2025
Lisa Lu, Panel Chair