



ASIC
Australian Securities &
Investments Commission

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Contents

Notices under Corporations Act 2001

22-0175	22-0249	22-0475	22-0543	22-0545	22-0571	22-0587
22-0588	22-0589	22-0594	22-0595	22-0596	22-0597	22-0601
22-0607	22-0608	22-0612	22-0616	22-0617	22-0619	22-0620
22-0621	22-0629					

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0175

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 9 June 2022 .

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF DOMENICO SCHIAFONE****BANNING ORDER UNDER SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001**

To: Domenico Schiafone

TAKE NOTICE that under subsections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **DOMENICO SCHIAFONE** from:

- a. providing any financial services;
- b. Controlling, whether alone or in concert with one or more entities and entity that carries on a financial services business; and
- c. Performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 7th day of June 2022



Signed:
Sarah Thrift
Delegate of the Australian Securities and
Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

22-0249

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Super Planners (Aust) Pty Ltd
ACN 613 205 182 ("the Licensee")
36 VICTORIA STREET
ROSEVILLE NSW 2069

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488741 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2022



Signed
Chun Kei Marco Lam
A delegate of the Australian Securities and Investments Commission

22-0475

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Tynan Mackenzie Pty Ltd
ACN 066074744 ("the Licensee")
'QUAY QUARTER TOWER' L 29 50 BRIDGE ST SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 230764 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2022

Signed *JP Mithen*

a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

22-0543

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Anthony John Nicholls
ACN: 697 791 546 ("the Licensee")
Level 4 371 Queen Street
Brisbane Qld 4000

Pursuant to paragraph s915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487732 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2022

Signed *Gerard Mithen*

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

22-0545

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: GILL MANAGEMENT PTY LTD
ACN: 41 075 700 935 ("the Licensee")
208-212 BRIDGE ST
TAMWORTH NSW 2340

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483987 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 June 2022

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

22-0571

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 926A(2)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0571.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Trafalgar Capital Management (UK) LLP, a limited liability partnership registered under the laws of England and Wales and registered under reference number 417448 with the Financial Conduct Authority of the United Kingdom (the *body*), from the requirement to hold an Australian financial services licence (*AFS licence*) upon the conditions referred to in Schedule A and in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) sub-paragraph 1(3) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1099] *UK regulated financial service providers*; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction.

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current Part 4A Permission;
 - (aa) the body is either a body corporate incorporated in the United Kingdom or a partnership formed in the United Kingdom;

22-0571

- (b) the body:
 - (i) is registered under Div 2 of Pt 5B.2 of the Act; or
 - (ii) has an agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an agent for any consecutive period of 10 business days;
- (c) the body's primary business is the provision of financial services;
- (d) neither the body nor its agent has been notified by ASIC that the body is excluded from relying on this instrument;
- (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument;
- (f) the body has not notified ASIC that it will not rely on this instrument

Schedule B

Where:

1. the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in a financial product,in respect of any of the following financial products:
 - (c) derivatives;
 - (d) foreign exchange contracts;

22-0571

- (e) securities;
 - (f) debentures, stocks or bonds issued by a government;
 - (g) managed investment products; or
 - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
2. the body has provided ASIC with:
- (a) a copy of the Part 4A Permission;
 - (b) a notice that it will provide the financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in s659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC; and
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under s50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (iv) if the body is not registered under Div 2 of Pt 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the agent; and
 - (v) the body covenants that, on written request of either the appropriate regulator or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the appropriate regulator to disclose to ASIC and ASIC to disclose to the appropriate regulator any information or

22-0571

document that the appropriate regulator or ASIC has that relates to the body; and

- (d) written consents to the disclosure by the appropriate regulator to ASIC and ASIC to the appropriate regulator of any information or document that the appropriate regulator or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

1. The body must:

- (a) provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the United Kingdom regulatory requirements if the financial service were provided in the United Kingdom in like circumstances;
- (b) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which the body obtains from the United Kingdom regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to the financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (c) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial

22-0571

services are provided) containing prominent statements to the following effect:

- (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
- (ii) as applicable:
 - (A) for a dual-regulated body - the body is authorised by the PRA and regulated by the FCA and PRA under United Kingdom laws, which differ from Australian laws
 - (B) otherwise – the body is authorised and regulated by the FCA under the United Kingdom’s laws, which differ from Australian laws; and
- (d) If ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

22-0571

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address in relation to a company, means the address of the registered office of the company;

agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

appropriate regulator means:

(a) for a dual -regulated body – both the PRA and the FCA;

(b) otherwise – the FCA

custodial or depository service has the meaning given by section 766E of the Act;

derivative has the meaning given by section 761D of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act.

eligible deposit product means any deposit taking facility that is not a deposit product as defined in section 761A of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

FSM Act means the *Financial Services and Markets Act 2000* of the United Kingdom;

foreign exchange contract has the meaning given by section 761A of the Act;

making a market has the meaning given by section 766D of the Act;

22-0571

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

PRA means the Prudential Regulation Authority of the United Kingdom.

securities has the meaning given by section 761A of the Act;

UK regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 1st day of July 2022.



Signed by Andrew Duffy
as a delegate of the Australian Securities and Investments Commission

22-0587

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: DKY Accountants Pty Ltd
ACN 143 471 238 ("the Licensee")
SE 1 6-12 Serich Lane
Northbridge WA 6003

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489007 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 June 2022

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

22-0588

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: BDO Tax (WA) Pty Ltd
ACN 124 031 036 ("the Licensee")
Level 9
Mia Yellagonga Tower 2
5 Spring Street
Perth WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488988 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2022

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

22-0589


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Postsuper Pty Ltd
ACN 064 225 841 ("the Licensee")
19 Raymond Street
Oatley NSW 2223

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 530677 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2022

Signed 

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0594

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Asteron Life & Superannuation Limited
ACN 073 979 530 ("the Licensee")
Level 16
357-363 George Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 229880 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2022

Signed 

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0595

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: OHMEGA AUSTRALIA PTY LTD
ACN 154 759 083 ("the Licensee")
PO Box 622
QLD 4506

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488445 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 June 2022

Signed *Gerard Mithen*

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0596

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: AUSTRALIAN ADVICE GROUP PTY LTD
ABN 606 903 575 ("the Licensee")
PO BOX 55
BELLBOWRIE QLD 4070

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484478 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 June 2022

Signed *Gerard Mithen*

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0597

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001 (*Act*).

Title

2. This instrument is ASIC Instrument 22-0597.

Commencement

3. This instrument commences on the date of gazettal.

Exemption

4. A related body corporate of SFC Management Pty Ltd (ACN 651711009) (**SFC Management**) that is a trustee of a wholesale real property scheme (*trustee*) does not have to comply with the requirement to hold an Australian financial services licence (*AFS licence*) for the provision of the following financial services (*wholesale real property financial services*):
 - (a) dealing in basic deposit products that form part of the trust property of the wholesale real property scheme;
 - (b) dealing in general insurance products that form part of the trust property of the wholesale real property scheme;
 - (c) dealing in unlisted securities that form part of the trust property of the wholesale real property scheme;
 - (d) dealing in derivative products that form part of the trust property of the wholesale real property scheme;
 - (e) dealing in foreign exchange contracts that form part of the trust property of the wholesale real property scheme; and
 - (f) providing a custodial or depository service in relation to the products mentioned in paragraphs (a), (b), (c), (d) and (e)

Where this exemption applies

5. The exemption in paragraph 4 is only available where all of the following apply:
 - (a) SFC Management holds an AFS licence that:
 - (i) authorises SFC Management to provide wholesale real property financial services; and
 - (ii) contains conditions to the effect that SFC Management must:

22-0597

- (A) as far as possible, comply with the Act as if the trustee was providing wholesale real property financial services as SFC Management's representative; and
 - (B) have in place a deed poll that contains enforceable, unlimited and irrevocable covenants, for the benefit of each person (*beneficiary*) to whom the trustee provides a wholesale real property financial service, to the effect that SFC Management will indemnify the beneficiary in relation to any liability (other than an exempt liability) that arises from the trustee's provision of a wholesale real property financial service to the beneficiary; and
 - (C) for the purposes of the relevant financial conditions of SFC Management's AFS licence – treat the assets, liabilities, cash inflows and cash outflows of the trustee as though they were included in the assets, liabilities, cash inflows and cash outflows of SFC Management; and
- (b) either of the following applies:
- (i) the trustee and SFC Management have only issued interests in the wholesale real property scheme to a person as a wholesale client and have, from the later of the date of this instrument and when the trustee or SFC Management first issue an interest in the scheme, taken all reasonable steps to ensure that interests in the scheme are only acquired by a person as a wholesale client; or
 - (ii) if the trustee or SFC Management becomes aware or should reasonably have become aware of matters that give it reasons to believe that it has failed, other than in an immaterial respect, to comply with subparagraph (i):
 - (A) 10 business days have not elapsed without full particulars of the failure having been provided to ASIC in writing (to the extent that the trustee or SFC Management knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (B) 30 business days have not elapsed from ASIC receiving those particulars for the trustee or SFC Management without ASIC having notified the trustee or SFC Management in writing that the trustee may continue to rely on this instrument; and
- (c) if the trustee or SFC Management becomes aware that, if the trustee held an AFS licence that contained the following conditions:
- (i) a condition to the effect of condition 13 of ASIC Pro Forma [PF 209] *Australian financial services licence conditions (PF 209)* as published at the date of this instrument; and
 - (ii) conditions to the effect of those parts of condition 28 of PF 209 (other than condition 28(a) and conditions 28(d)(iii), (iv) and (v)) that relate to a report by an auditor relating to condition 13; and
 - (iii) a condition that the trustee must lodge with ASIC the report by an auditor that would be required by those parts of condition 28 of PF

22-0597

209 within 15 months of the time the trustee first relies on this instrument and then within each period of 13 months thereafter and relating to a period that commences:

- (A) at the date of that first reliance; or
- (B) immediately after the end of the period to which the last previous report lodged under this requirement relates,

and ends not more than 3 months before the date of lodgment of the report, as if:

- (iv) references to a responsible officer in condition 13 of PF 209 were to an officer; and
- (v) references to the licensee's annual audit report under condition 28 of the licence in condition 13 of PF 209 were to the report lodged under subparagraph 5(c)(iii) of this instrument; and
- (vi) references to conditions of the licence in condition 28 of PF 209 were to the condition mentioned in subparagraph 5(c)(i) of this instrument, it would be in breach, other than in an immaterial respect, of any of those conditions; and
- (vii) 10 business days have not elapsed without the matter being notified to ASIC in writing; and
- (viii) 30 business days have not elapsed from ASIC receiving the notice from the trustee or SFC Management without ASIC having notified the trustee in writing that the trustee may continue to rely on this instrument.

Exclusion from reliance

- 6. The exemption in paragraph 4 does not apply to a person who has been notified in writing by ASIC that the person is excluded from relying on this instrument.

Interpretation

- 7. In this instrument:
 - basic deposit product* has the meaning given by section 761A of the Act.
 - custodial or depository service* has the meaning given by section 766E of the Act.
 - derivative* has the meaning given by section 761A of the Act.
 - exempt liability* means, in relation to a wholesale real property scheme, a liability arising from any act or omission relating to a wholesale real property financial service for which the trustee of the scheme may be indemnified from the trust property.
 - foreign exchange contract* has the meaning given by section 761A of the Act
 - general insurance product* has the meaning given by section 761A of the Act
 - incidental property*, in relation to a wholesale real property scheme, means cash, deposits or current accounts with an Australian ADI or interests in a cash management trust that are held for no more than three months pending the acquisition of investment products, or expenditure or distribution to members.

22-0597

product disclosure statement has the meaning given by section 761A of the Act.

relevant financial conditions, in relation to an AFS licence, means conditions to the effect of conditions 13 (base level financial requirements), 21 (financial requirements for holding client money or property), 22 (financial requirements for licensee transacting with clients) and 28 (audit opinion on financial requirements) of PF 209 (as published at the date of this instrument).

representative has the meaning given by section 910A of the Act.

security has the meaning given by section 92 of the Act.

trust property of a wholesale real property scheme means:

- (a) contributions of money or money's worth to the scheme; and
- (b) money borrowed or raised by the trustee for the purposes of the scheme;
- (c) property acquired, directly or indirectly, with, or with the proceeds of, contributions or money referred to in paragraph (a) or (b); and
- (d) income and property derived, directly or indirectly, from contributions, money or property referred to in paragraph (a), (b) or (c).

unlisted securities means:

- (a) unlisted shares of a company that is undertaking a real property development project for the benefit of the members of the wholesale real property scheme; or
- (b) unlisted shares of a company that provides property management or project management services for a property or properties acquired by the wholesale real property scheme.

wholesale client has the meaning given by sections 761G and 761GA of the Act; and includes any person to whom the trustee is not required to provide a product disclosure statement in respect of an interest in the wholesale real property scheme.

wholesale real property scheme means a managed investment scheme to which all of the following apply:

- (a) the scheme is not registered, and is not required to be registered, because of subsection 601ED(2) of the Act; and
- (b) all of the trust property (other than incidental property, basic deposit products, securities, derivatives, foreign exchange and general insurance products) consists of real property, including options to acquire real property.

Dated this 28 day of June 2022



Signed by Ada Bombardieri

as a delegate of the Australian Securities and Investment Commission

22-0601

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: TC Advisors Australia Advisory Services Limited
A.B.N. 48 008 583 551 ("the Licensee")
477 Collins Street
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 221857 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2022



Signed

Chun Kei Marco Lam
A delegate of the Australian Securities and Investments Commission

22-0607

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: C & F Nominees Mortgage Securities Limited
ACN 089 819 803 ("the Licensee")
Level 10
552 Lonsdale Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245567 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 June 2022

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

22-0608

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Asclepius Underwriting Pty Ltd
ABN 41 090 698 981 ("the Licensee")
Level 37 55 Collins Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489923 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28 June 2022

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

22-0612

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 27 June 2022.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915C**

Notice of suspension of Australian financial services licence

To: ISG Financial Services Limited
ACN 114 733 569

TAKE NOTICE that under section 915C(1) of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) hereby suspends Australian financial services licence number 290328 (the Licence) held by ISG Financial Services Limited until 30 September 2022.

Under section 915H of the Act, ASIC specifies that the Licence continues in effect, while suspended, as though the suspension had not happened for the purposes of the provisions of the Act specified in Schedule B in relation to the matters in Schedule A.

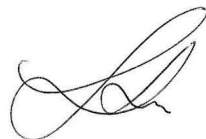
Schedule A

The provision by ISG Financial Services Limited until 30 September 2022 of financial services that are reasonably necessary for, or incidental to, the day-to-day operation of ISG Real Estate Equity Fund ARSN 618 548 780 and ISG Private Access Fund ARSN 618 548 333 (together, the Schemes), other than services related to the issue of units in the Schemes.

Schedule B

The provisions of Chapter 5C and Chapter 7 of the Act.

Dated this 27th day of June 2022.



Signed:
Lisa Lu
Delegate of the Australian Securities and Investments Commission

22-0616

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 340(1) and 601QA – Exemption and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 340(1) and subsection 601QA of the Corporations Act 2001 (*the Act*).

Title

2. This instrument is ASIC Instrument 22-0616.

Commencement

3. This instrument commences on the day it is signed.

Order

4. ASIC relieves Australian Leisure and Entertainment Property Management Limited ACN 105 275 278 (*the Responsible Entity*) in its capacity as responsible entity of the Australian Leisure and Entertainment Property Trust ARSN 106 063 049 (*the Scheme*) from Part 2M.3 of the Act in relation to the financial year ending 30 June 2022.
5. The Responsible Entity also does not have to comply with section 601HG of the Act in relation to the Scheme for the financial year ending 30 June 2022.

Where this instrument applies

6. The order in paragraph 4 ceases to apply if the Scheme is not deregistered by ASIC under subsection 601PA(3) of the Act on or before 30 July 2022.

Dated this 29th day of June 2022



Signed by Juhyun Pak
as a delegate of the Australian Securities and Investments Commission

22-0617


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: STEAMER ENTERPRISES PTY LTD
ACN 103 925 935 ("the Licensee")
PO Box 584
KYNETON VIC 3444

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 392548 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2022

Signed .....

Gerard Mithen
a delegate of the Australian Securities and Investments Commission

22-0619

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Jigsaw Support Services Limited
ACN 005 799 977 ("the Licensee")
Quay Quarter Tower
Level 29
50 Bridge Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234663 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2022

Signed 

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0620


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: SMSF Advice Pty Limited
ACN 074 225 353 ("the Licensee")
Quay Quarter Tower
Level 29
50 Bridge Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234664 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2022

Signed 

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0621


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian financial services licence

TO: Associated Foreign Exchange Australia Pty Ltd
ACN 119 392 586 ("the Licensee")
Suite D Level 38
2 Park Street
SYDNEY NSW 2000

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 305246 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
 - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 30 June 2022

Signed 

Gerard Mithen
a delegate of the Australian Securities and Investments Commission

22-0629

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(a) and 673(1)(a) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(a) and 673(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0629.

Commencement

3. This instrument commences on the date it is signed.

Cessation

4. This instrument ceases to have effect on the earlier of:
 - (a) completion of the transaction contemplated by the Successor Fund Transfer Deed; and
 - (b) 30 November 2022.

Exemptions

5. UniSuper, its related bodies corporate and each entity in which UniSuper has voting power of more than 20% (together *UniSuper and Relevant Entities*) are exempt from complying with section 606 of the Act.
6. UniSuper and Relevant Entities are exempt from complying with section 671B of the Act.

Where this instrument applies

7. The exemption in paragraph 5 applies where UniSuper and Relevant Entities:
 - (a) acquire a relevant interest or legal or equitable interest in issued voting shares or voting interests that would otherwise contravene section 606 of the Act, as a result of the entry into, but not completion of, the Successor Fund Transfer Deed; and
 - (b) after execution of, but before completion of the transaction contemplated by, the Successor Fund Transfer Deed, acquire a relevant interest or legal or equitable interest in issued voting shares or voting interests that would

22-0629

otherwise contravene section 606 of the Act, because of entry into, and the continued operation of, the Successor Fund Transfer Deed where:

- i. that acquisition is made in a fiduciary or similar capacity on behalf of, or for the benefit of, a third party;
 - ii. the entity making the acquisition is obliged to act in the interests of that third party; and
 - iii. UniSuper and Relevant Entities do not have a beneficial interest in the issued voting shares or voting interests (other than in a fiduciary or similar capacity).
8. The exemption in paragraph 6 applies to a substantial holding (or change in substantial holding) in a listed company or listed registered managed investment scheme to the extent that this substantial holding (or change in substantial holding) arises because of the entry into, and the continued operation of, the Successor Fund Transfer Deed but not as a result of completion of the transaction contemplated by the Successor Fund Transfer Deed.

Interpretation

9. In this instrument:

UniSuper means UniSuper Limited ACN 006 027 121 as trustee of UniSuper ABN 91 385 943 850.

ACS means SCS Super Pty Ltd ACN 064 712 607 as trustee of the Australian Catholic Superannuation and Retirement Fund ABN 24 680 629 023.

Successor Fund Transfer Deed means the agreement dated on or around 1 July 2022 between UniSuper and ACS in connection with the proposed acquisition by UniSuper by way of transfer of members' interests on a successor fund basis and transfer of assets from ACS to UniSuper.

Voting interests has the meaning given by section 9 of the Act.

Voting shares has the meaning given by section 9 of the Act.

Dated this 1st day of July 2022



Signed by Ebony Jackson
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this first day of July 2022

Name of Scheme	ARSN
BT EXTERNAL AUSTRALIAN SHARES 13	140 395 375
BT EXTERNAL DIVERSIFIED 3	105 252 524
BT EXTERNAL FIXED INTEREST 13	140 393 817
BT EXTERNAL FIXED INTEREST 8	140 397 619
BT EXTERNAL INTERNATIONAL SHARES 1	105 252 417
BT EXTERNAL INTERNATIONAL SHARES 11	140 396 756
BT EXTERNAL INTERNATIONAL SHARES 6	131 108 140
BT EXTERNAL PROPERTY 7	140 392 552
BT GLOBAL SHARE FUND	089 839 743
BT MONTHLY INCOME FUND	087 591 884

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this first day of July 2022

Name of Company

ARBN

CARBONITE OPERATIONS B.V.	610 262 934
HOLIDAY TAXIS GROUP LIMITED	615 609 802
HURSTWOOD LIMITED	633 437 706
I360-GLOBAL, LLC	622 155 846
PRODUCTOS SUR SA	653 463 944

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this first day of July 2022

Name of Company

ARBN

KAHN OFFSHORE B.V.

142 425 732

KYOWA SHIPPING CO., LTD.

644 633 965

LAMB WESTON SALES, INC.

614 911 250

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ALTUM INTERNATIONAL PTY LTD

ACN 626 312 678 will change to a public company limited by shares. The new name will be ALTUM INTERNATIONAL LIMITED ACN 626 312 678.

KNX RESOURCES LIMITED ACN 123 837 025 will change to a proprietary company limited by shares. The new name will be KNX RESOURCES PTY LTD ACN 123 837 025.

RUMIN8 LIMITED ACN 650 934 455 will change to a proprietary company limited by shares. The new name will be RUMIN8 PTY LTD ACN 650 934 455.

HALO CAPITAL LTD ACN 620 130 323 will change to a proprietary company limited by shares. The new name will be HALO CAPITAL PTY LTD ACN 620 130 323.

OPTICOMM LTD ACN 117 414 776 will change to a proprietary company limited by shares. The new name will be UNITI INFRASTRUCTURE PTY LTD ACN 117 414 776.

THE SOUTH PACIFIC INVESTMENT COMPANY LIMITED ACN 134 170 219 will change to a proprietary company limited by shares. The new name will be THE SOUTH PACIFIC INVESTMENT COMPANY PROPRIETARY LIMITED ACN 134 170 219.