





Commonwealth of Australia Gazette

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# ASIC Gazette

# **Contents**

Notices under Corporations Act 2001

25-0376	25-0420	25-0424	25-0431	25-0432	25-0433
25-0434	25-0442	25-0443	25-0445	25-0450	25-0451
25-0454					

Company/scheme deregistrations

Change of company type

# **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 Notification of rights of review (RG57) and Information Sheet ASIC decisions – your rights (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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# Australian Securities and Investments Commission Corporations Act 2001 - Paragraphs 601QA(1)(a), 926A(2)(a) and 1020F(1)(a) -Exemptions

# Enabling legislation

The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 601QA(1)(a), 926A(2)(a) and 1020F(1)(a) of the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC Instrument 25-0376. 2.

#### Commencement

3. This instrument commences on the day it is signed.

# Exemptions

- Delta Lithium Limited ACN 107 244 039 (Delta) does not have to comply
  - subsection 601ED(5) of the Act in relation to the Sale Facility; and (a)
  - (b) subsection 911A(1) of the Act for the provision of the following financial services:
    - dealing in an interest in the Sale Facility; (i)
    - the provision of general advice in relation to an interest in the Sale Facility; and
  - Divisions 2 to 5 of Part 7.9 of the Act in relation to an interest in the Sale Facility; and
  - (d) Division 5A of Part 7.9 of the Act in relation to an invitation to participate in the Sale Facility.

#### Where this instrument applies

- The exemptions in paragraph 4 apply in relation to the Sale Facility where all of the following are satisfied:
  - The financial products that may be sold through the Sale Facility are Ballard Mining Shares that will be admitted to quotation on the licensed market operated by ASX; and
  - (b) Under the terms of the Sale Facility all of the following apply:

- The Ballard Mining Shares of Participating Holders to be sold through the Sale Facility are pooled;
- A broker sells the Ballard Mining Shares in the ordinary course of trading on the ASX;
- (iii) The proceeds of the sale will be remitted to the Participating Holders after deducting any applicable brokerage, foreign exchange, stamp duty and other selling costs, taxes and charges;
- (iv) Each Participating Holder is paid their proportion of the proceeds of the sale, net of expenses (to the extent, if any, that they are not met by Delta) as soon as practicable and in any event within eight weeks after the Trading Commencement Date.

# Conditions

- In order to rely on the exemptions in paragraph 4, Delta must include all of the following information in the Notice of Meeting:
  - information about who and which Ballard Mining Shares the Sale Facility will apply to;
  - (b) a statement that the market price of Ballard Mining Shares is subject to change from time to time;
  - information about how to obtain up-to-date information on the market price of Ballard Mining Shares;
  - information about any expenses relating to the sale of Ballard Mining Shares that will be paid by the Participating Holders;
  - (e) information about how the proceeds of the Ballard Mining Shares sold through the Sale Facility will be allocated between Participating Holders
  - (f) information as to whether the amount of money received by a Participating Holder for Ballard Mining Shares that are disposed of through the Sale Facility may be more or less than the actual price that is received by the broker for those Ballard Mining Shares; and
  - (g) information about any other significant characteristics or features of the Sale Facility or of the rights and obligations of persons who elect to participate in the Sale Facility.

#### Exclusion

7. Delta is excluded from relying on paragraph 4 of this instrument if it becomes aware of matters that give it reason to believe that it has failed in a material respect to comply with a condition of this instrument and does not give full particulars of failure to ASIC in writing within 15 business days after becoming aware.

# Interpretation

8. In this instrument:

ASX means ASX Limited ACN 008 624 691.

Ballard Mining means Ballard Mining Limited ACN 685 311 577.

Ballard Mining Share means a fully paid ordinary share in Ballard Mining.

broker means a participant of the licensed market operated by ASX with whom Delta has entered arrangements for the operation of the Sale Facility.

Delta means Delta Lithium Limited ACN 107 244 039.

Distribution means the in-specie distribution of Ballard Mining Shares by Delta which is substantially in the same form as set out in the Notice of Meeting.

Facility has for the definition of Sale Facility, a meaning affected by section 762C of the Act.

Ineligible Foreign Holder has the meaning in the Notice of Meeting.

Notice of Meeting means the notice of meeting of Delta dated 30 May 2025 relating to the Distribution (as amended by the addendum to the notice of meeting dated 17 June 2025).

Participating Holder means a person who is:

- a Small Shareholder who does not elect to opt-out of the Sale Facility or participate in the Priority Offer; or
- (b) an Ineligible Foreign Holder.

Priority Offer has the meaning in the Notice of Meeting.

Sale Facility means the facility operated by Delta through which the Ballard Mining Shares to which a Participating Holder would otherwise be entitled under the Distribution will be sold in accordance with the terms set out in the Notice of Meeting.

Small Shareholder has the meaning in the Notice of Meeting.

Notices under Corporations Act 2001

# 25-0376

Trading Commencement Date means the day on which the Ballard Mining Shares commence trading on the ASX.

Dated this day 19 of June 2025.

Signed by Stefan Curcio

as a delegate of the Australian Securities and Investments Commission





#### Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

25-0420

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Leebridge Financial Planners Pty Ltd ACN 006 616 093 ("the Licensee") Leebridge Group Pty Ltd Level 2, 35 Cotham Road KEW VIC 3101

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247372 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 July 2025

George Podaras

George Podaras

A delegate of the Australian Securities and Investments Commission





# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Advice Today Pty Ltd
ACN 150 383 289 ("the Licensee")
SE 197 45 Glenferrie Rd
Malvern VIC 3144

Pursuant to paragraph 915B(3)(d) of **the Corporations Act 2001** [the Act), the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 403895 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 July 2025

Signed

James Dimitropoulos

A delegate of the Australian Securities and Investments

Commission

# Notices under Corporations Act 2001

25-0431

#### NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 30 June 2025

#### Australian Securities and Investments Commission

#### In the matter of Kiriley Frances Edwyna Roper aka Kiriley Frances Edwyna Suckling

# Banning order under sections 920A and 920B of the Corporations Act 2001

To: Kiriley Frances Edwyna Roper aka Kiriley Frances Edwyna Suckling

Take notice that under s920A and 920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Kiriley Frances Edwyna Roper aka Kiriley Frances Edwyna Suckling for a period of ten (10) years from:

- (a) providing any financial services;
- performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity); and
- (c) controlling an entity that carries on a financial services business.

Dated this 30th day of June 2025.

Signed: WOH.

Kate Dluzniak

As a delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence

#### NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 3 July 2025

### Australian Securities and Investments Commission

#### In the matter of Andrew Charles Moore

# Banning order under sections 920A and 920B of the Corporations Act 2001

To: Andrew Charles Moore

**Take notice** that under s920A and 920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Andrew Charles Moore for a period of three (3) years from:

- performing, as an officer, any function involved in the carrying on of a financial services business; and
- (b) controlling an entity that carries on a financial services business.

Dated this 30th day of June 2025.

Signed: WOW .

Kate Dluzniak

As a delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

#### NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 10 June 2025

#### Australian Securities and Investments Commission

# In the matter of Graham Henry Holmes

#### Banning order under sections 920A and 920B of the Corporations Act 2001

To: Graham Henry Holmes

**Take notice** that under s920A and 920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Graham Henry Holmes permanently from:

- (a) providing any financial services;
- (b) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity); and
- (c) controlling an entity that carries on a financial services business.

Dated this 4th day of June 2025.

Signed: WOH .

Kate Dluzniak

As a delegate of the Australian Securities and Investments Commission

Your attention is drawn to \$920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of \$920C(2) is an offence.

#### NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out below, effective as of 7 June 2025

#### Australian Securities and Investments Commission

#### Notice of cancellation of Australian financial services licence

To: Financial Services Group Australia Pty Ltd ACN 009 569 939

TAKE NOTICE that under s915C(1) of the Corporations Act 2001 (the Corporations Act), the Australian Securities and Investments Commission cancels Australian financial services licence number 225985 held by Financial Services Group Australia Pty Ltd ACN 009 569 939.

Under s915H of the Corporations Act, ASIC specifies that the licence continues in effect as though the cancellation had not happened until 4 June 2026 for the purposes of s912A(1)(g), s912B and s912C of the Corporations Act.

Dated this 4th day of June 2025.

work.

Signed .....

Kate Dluzniak

Delegate of the Australian Securities and Investments Commission





#### Australian Securities and Investments Commission

Office address (inc courier deliveries); Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane GLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

25-0442

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Bell Financial Services Pty Ltd ACN 138 853 488 ("the Licensee") 18 Inveray Avenue BENOWA QLD 4217

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 341989 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

8/7/2025

Signed

Julia Tomaskovic

A delegate of the Australian Securities and Investments Commission





#### Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mal address for Melbourne office: GPO Box 9827, Brisbano QLD 4001

Te:+61 1300 935 075 Fax:+61 1300 729 000 www.asic.gov.au

25-0443

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Paul Tama Motu ABN 34203356806 ("the Licensee") 54 Springvale Dr WARWICK WA 6024

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484921 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 09/07/2025

Signed Julia Tomaskovic

Julia Tomaskovic

A delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 741(1)(b) – Declaration

# Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the Corporations Act 2001 (Act).

#### Title

2. This instrument is ASIC Instrument 25-0445.

#### Commencement

3. This instrument commences on the date it is signed.

#### Declaration

 Chapter 6D of the Act applies to an offer of ordinary shares in Pilot Energy Limited ACN 115 229 984 (Company) as if paragraph 708A(5)(b) of the Act were modified by deleting the words "5 days" and substituting the words "118 days".

# Where this instrument applies

- The declaration in paragraph 4 of this instrument applies to an offer for sale of ordinary shares in the Company (Shares) where:
  - the Shares were issued in the period between the date of this instrument and 16 April 2026;
  - the Shares were issued without disclosure under Part 6D.2 of the Act because of section 708 of the Act;
  - (c) trading in the fully paid shares of the Company on the financial market operated by ASX Limited ACN 008 624 691 (ASX) is not suspended for more than a total of 5 days during the period between the date of this instrument and the relevant issue of Shares under paragraph 5(b) of this instrument; and
  - (d) in relation to each relevant issue of Shares, the Company has given ASX a notice under paragraph 708A(5)(e) of the Act that complies with subsection 708A(6) of the Act.

Dated this 9th day of July 2025

Signed by Mahsa Taiebi

as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption

#### Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 655A(1)(a) of the Corporations Act 2001 (Cth) (Act).

# Title

This instrument is ASIC Instrument 25-0450.

#### Commencement

This instrument commences on the date that it is signed.

# Exemption

4. The Proponent and its Controllers do not have to comply with section 606 of the Act.

# Where this instrument applies

- This instrument applies where:
  - (a) The Proponent and/or its Controllers acquire a relevant interest in the Shares as a result of the transfer of the Shares to the Proponent on implementation of the Court Order and pursuant to the DOCA;
  - (b) the Deed Administrators made available to Shareholders at the Website the following documents:
    - the originating process filed 6 June 2025;
    - (ii) the affidavit of Christopher Johnson sworn on 6 June 2025;
    - (iii) the Explanatory Statement;
    - (iv) the Independent Expert's Report; and
    - (v) the orders of the Court dated 10 June 2025; and
  - (c) by 23 June 2025 a notice informing Shareholders of the Proceedings was served by post or by electronic mail to Shareholders by the Deed Administrators, with such notice advising Shareholders that the documents at paragraph 5(b) would be available for download at the Website.

Notices under Corporations Act 2001

25-0450

# Interpretation

# In this instrument:

Company means Fleet Technologies Limited (Subject of Deed of Company Arrangement) ACN 152 473 482.

Controllers means entities or persons who by operation of section 608 of the Act acquire a relevant interest in the Shares as a result of the Proponent acquiring a relevant interest in such Shares.

Court Order means the order of the Supreme Court of New South Wales dated 10 July 2025 in case number 2025/00217698, permitting the Deed Administrators to transfer the Shares to the Proponent under section 444GA of the Act

Deed Administrators means Christopher Johnson and Joseph Hayes of Wexted Advisors as joint and several deed administrators of the Company pursuant to the DOCA.

DOCA means the deed of company arrangement executed on 28 April 2025 by the Company, the Deed Administrators and the Proponent.

Explanatory Statement means the explanatory statement dated 23 June 2025 prepared by the Deed Administrators.

Independent Expert's Report means the independent expert's report dated 23 May 2025 prepared by John Melluish of PCI Partners Pty Ltd ACN 154 497 659.

Proponent means Mr Mark Osborn, or his nominee

Shares means all of the issued shares in the Company.

Shareholders means all of the shareholders of the Company registered on the Company's share register as at 23 June 2025.

Website means https://wexted.com/creditors/fleet-technologies-limited.

Dated this 10th day of July 2025

Signed by Megan Dillon

as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1)(b) – Declaration

# **Enabling legislation**

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the Corporations Act 2001 (Act).

#### Title

This instrument is ASIC Instrument 25-0451.

#### Commencement

This instrument commences on the date it is signed.

#### Declaration

- 4. Chapter 6D of the Act applies to a person who holds securities in Orezone, as if section 707 was modified or varied by omitting subsection 707(3) and subsection 707(4) and substituting the following subsection:
  - "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
    - (a) without disclosure to investors under this Part; and
    - (b) with the purpose of the person to whom they were issued:
      - (i) selling or transferring them; or
      - (ii) granting, issuing or transferring interests in, or options or warrants, over, them;

and section 708 and 708A does not say otherwise."

# Where this instrument applies

- This instrument applies where a person who holds securities in Orezone makes an offer of those securities for sale (Sale Securities) where:
  - (a) the sale offer occurs within 12 months of the issue of the Sale Securities;
  - (b) a Prospectus was lodged with ASIC by Orezone on or around 11 July 2025 in relation to a public offer of CHESS Depositary Interests over common shares in Orezone that are in the same class as the securities offered under the Prospectus (IPO); and
  - (c) the Sale Securities were issued by the Orezone without disclosure under Chapter 6D of the Act as a result of the vesting, exercise and conversion (as applicable) of Existing Options, Existing DSUs, Existing RSUs or Existing Convertible Notes.

Notices under Corporations Act 2001

2

25-0451

# Interpretation

- 6. In this instrument:
  - (a) Existing Convertible Notes means the convertible notes that were issued by Orezone prior to the date of the Prospectus under the terms set out in the Prospectus;
  - (b) Existing DSUs means the deferred stock units that were granted by Orezone prior to the date of the Prospectus under the terms set out in the Prospectus;
  - (e) Existing Options means the options that were granted by Orezone prior to the date of the Prospectus under the terms set out in the Prospectus;
  - (d) Existing RSUs means the restricted stock units that were granted by Orezone prior to the date of the Prospectus under the terms set out in the Prospectus;
  - (e) Orezone means Orezone Gold Corporation (incorporation number 708613-0), a company incorporated under the Canada Business Corporation Act,
  - (f) Prospectus means a prospectus lodged with ASIC by Orezone on or around 11 July 2025 in connection with the IPO;
  - (g) Securities has the meaning affected by section 700(1) (as modified by ASIC Corporations (Offers of CHESS Depository Interests) Instrument 2025/180) of the Act.

Dated this 10th day of July 2025

Signed by Sean Ferguson

Sefr

as a delegate of the Australian Securities and Investments Commission

# NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 3 July 2025.

#### Australian Securities and Investments Commission

#### In the matter of Jason Damien Prasad

# Banning order under sections 920A and 920B of the Corporations Act 2001

To: Jason Damien Prasad

Take notice that under sections 920A and 920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Jason Damien Prasad permanently from:

- (a) providing any financial services;
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 27th day of June 2025

Nipa Dewan

Signed:

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

Page 21 of 25

CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of July 2025

Name of Company

**ARBN** 

INABA PETFOOD CO., LTD.

643 694 535

ASIC GAZETTE	Commonwealth of Australia Gazette
No. A29/25 Tuesday 15 July 2025	
Company/Scheme Deregistration	Page 22 of 25

# CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this eleventh day of July 2025

Name of Company	ARBN
FEVERTREE ROW LIMITED	634 484 094
GAZANIA INVESTMENTS NINE (PROPRIETARY) LTD	161 853 032
SANKYO SEKIYU HANBAI CO LTD	680 603 972
WEST JAPAN MARKETING COMMUNICATIONS, INC.	608 045 745
3322293 NOVA SCOTIA LIMITED	674 797 589

CORPORATIONS ACT 2001 Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of July 2025

Name of Scheme ARSN

BNP PARIBAS EARTH TRUST 641 508 705

Page 24 of 25

CORPORATIONS ACT 2001 Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of July 2025

Name of Scheme ARSN

MASON STEVENS GLOBAL PORTFOLIO SERVICE 162 055 745

Page 25 of 25

CORPORATIONS ACT 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

# **AUSTRALIAN PERICARDIUM SOLUTIONS PTY LTD**

ACN 669 887 290 will change to a public company limited by shares. The new name will be AUSTRALIAN PERICARDIUM SOLUTIONS LTD ACN 669 887 290.

**LAND ENERGIA LIMITED** ACN 643 894 339 will change to a proprietary company limited by shares. The new name will be LAND ENERGIA PTY LTD ACN 643 894 339.

**QMETCO LIMITED** ACN 008 124 025 will change to a proprietary company limited by shares. The new name will be QMETCO PTY LTD ACN 008 124 025.

# **SMART LIFESTYLE AUSTRALIA PTY LTD**

ACN 652 113 561 will change to a public company limited by shares. The new name will be SMART LIFESTYLE AUSTRALIA LIMITED ACN 652 113 561.

**FOUNDU HOLDINGS LTD** ACN 603 695 914 will change to a proprietary company limited by shares. The new name will be FOUNDU HOLDINGS PTY LTD ACN 603 695 914.

**PELSART RESOURCES N.L.** ACN 009 449 101 will change to a proprietary company limited by shares. The new name will be PELSART RESOURCES PTY LTD ACN 009 449 101.

#### QUICKSTEP HOLDINGS LIMITED

ACN 096 268 156 will change to a proprietary company limited by shares. The new name will be QUICKSTEP HOLDINGS PTY LTD ACN 096 268 156.