



ASIC
Australian Securities &
Investments Commission

SENATE ORDER NO. 12

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed agency file lists created between 1 January 2024 and 30 June 2024

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F0001866898	[Capacity Building] - Finance 2024
F0001866929	[IOSCO Committees, Task Forces & Groups] - 20240226 APRC Supervisory Directors Meeting
F0001866990	[Capacity Building] - DFAT 2024
F0001867008	[IOSCO Committees, Task Forces & Groups] - 20240227 APRC Plenary Meeting
F0001867966	[Capacity Building] - Activity 4 - Governance
F0001867968	[IOSCO Committees, Task Forces & Groups] - 20240226 APRC Enforcement Directors Meeting
F0001868371	[Indigenous Outreach Program] - Treasury
F0001868484	[Pakistan] - SEC - 24-207 - Policy on staff trading - 202401
F0001868755	[External Stakeholders] - Review of regulation of consulting, accounting and auditing firms in Australia
F0001869047	AAF2024 Project Schedule
F0001869099	[IOSCO Committees, Task Forces & Groups] - 20240206 FTF SG Meeting
F0001869130	[Capacity Building] - MOU 2024
F0001869204	[Government Relations] - 2024 - Additional Estimates - February 2024 - WORD
F0001869375	IOSCO MEETINGS - TRAVEL - 2024 (JL)
F0001869434	202401 PJC Briefings
F0001869458	[Government Relations] - 2024 - HORs Eco - Inquiry into insurers' responses to 2022 major floods claims
F0001869621	[Records Management] - Senate Order No. 12 - 202307 - 202312
F0001869644	[Publishing Unit] - Climate-related financial disclosure - exposure draft legislation
F0001869775	[IOSCO Committees, Task Forces & Groups] - Crypto-Asset Recommendations Implementation Roadmap
F0001870256	[APRA-ASIC Committee (AAC) - Q1 2024 - Meeting 17 - 6 March 2024
F0001870444	[Domestic Agencies] - Bribery and Corruption Project
F0001904904	[IOSCO Committees, Task Forces & Groups] - 20240228 EU Asia Pacific Regulatory Forum
F0001904928	AAF24 Project Team Meetings
F0001905191	04. Registry Business Advisory Group (RBAG)
F0001905227	[ICR Knowledge Management] - MOUs - Covered Entity AIFMD MOUs process and advice
F0001905927	[Government Relations] - 2024 - Additional Estimates - February 2024 - FINAL PDF
F0001905983	[Publishing Unit] - APRA-ASIC joint letter_202402
F0001905995	[APRA-ASIC Committee (AAC)] - Q1 2024 - Meeting 15 - 28 March 2024
F0001905996	[APRA-ASIC Committee (AAC)] - Q1 2024

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F0001906161	[IOSCO Committees, Task Forces & Groups] - 20240214 Teleconference FSEG
F0001906424	[IOSCO Committees, Task Forces & Groups] - Retail Investor Coordination Group
F0001906620	[IOSCO Committees, Task Forces & Groups] - 20240213 Virtual Meeting
F0001906656	ITEC 2024
F0001906733	AAF2024 Program Theme
F0001906796	[Media] - Parliamentary hearings media coverage
F0001906852	[IOSCO Committees, Task Forces & Groups] - 20240228 - SFC Regional Securities Regulatory Leadership Symposium (Hong Kong)
F0001907095	AAF2024 Program (Working Documents)
F0001907505	2024 - PJC Ethics and Professional Accountability - Audit Assurance and Consultancy
F0001907785	Bi-annual reporting to Commonwealth Ombudsman
F0001908328	20240220 ASIC-APRA Diversity Panel Event
F0001908350	[Domestic Agencies] - 20240223 - CFR International Coordination Group
F0001910179	[ASIC Digital Symposium] - Project Plan
F0001910293	[IOSCO Committees, Task Forces & Groups] - 20240229 - ASIFMA
F0001910294	[IOSCO Committees, Task Forces & Groups] - AustCham
F0001910384	20240223 ASIC Treasury Event
F0001910479	[Government Relations] - 2024 - QoN Additional Estimates February 2024
F0001910562	[IOSCO Board] - 20240319 - Virtual Meeting
F0001911132	[Regulatory Reform and Implementation] - Treasury QA
F0001911943	[IOSCO Committees, Task Forces & Groups] - AI Working Group
F0001911944	[IOSCO Committees, Task Forces & Groups] - Tokenisation Working Group
F0001912529	2024 - JCPAA - Probity and ethics in the APS
F0001912998	AAF2024 Governance
F0001912999	AAF2024 Board Meeting 20240320
F0001913007	[Regulatory Reform and Implementation] - RBA
F0001913704	[IOSCO Committees, Task Forces & Groups] - 2024 AC [Pointers Project P9 & P33-37]
F0001977676	AAF2024 Board Meetings
F0001977695	Parliamentary 2024
F0001977866	[ASIC Digital Symposium] - Program

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F0001978201	ASIC X UTS - AI Regulation Symposium - 21 May 2024
F0001978698	[IOSCO Committees, Task Forces & Groups] - 20240523 - FTF SG Meeting
F0001981512	[Business Management] - 2024 04 PJC & Supp Budget Estimates
F0001982043	[Government Relations] - 2024 Inquiry into Commonwealth Financial Statement 2022-2023
F0001982044	[Government Relations] - 2024 Inquiry into probity and ethics in the Australian public sector
F0001983020	[External Stakeholders] - 2024 May FR&A Liaison Meeting (Online)
F0001983749	[IOSCO Board] - 2024 AM - Program, Registration, General Info
F0001984443	[Regulatory Reform and Implementation] - Senate super member experience inquiry submissions
F0001985408	[IOSCO Committees, Task Forces & Groups] - 20240411 - AC Meeting Barcelona
F0001985873	[Regulatory Reform and Implementation] - Relevant AFCA determinations - Policy
F0001986096	[IOSCO Board] - 2024 AM - APRC Meeting
F0001986127	[IOSCO Board] - 2024 AM - IOSCO Board
F0001986147	[IOSCO Board] - 2024 AM - MMoU Monitoring Group Meeting (28 May)
F0001992897	[Indigenous Outreach Program] - FCA Conference 2024
F0001993098	[Governance] - Treasury Consult Paper - Cybersecurity Guidance
F0001993156	[IOSCO Board] - 2024 AM - IOSCO Inaugural Meeting (27 May)
F0001993157	[IOSCO Board] - 2024 AM - Presidents Committee
F0001993216	[IOSCO Committees, Task Forces & Groups] - 20240416 - FSB roundtable Washington
F0001993490	[Regulatory Reform and Implementation] - Miscellaneous parliamentary inquiries
F0001993596	[Government Relations] - 2024 - Inquiry into Treasury Laws Amendment (Financial Market Infrastructure and Other Measures) Bill 2024 [Provisions]
F0001994026	[Government Relations] - 2024 - Inquiry into the opportunities and impacts for Australia arising out of the uptake of AI technologies in Australia
F0001994316	202404 - PJC
F0001994370	[Regulatory Reform and Implementation] - Senate Inquiry into AI
F0001994371	[Regulatory Reform and Implementation] - Legislative Instruments for Sustainability Reporting
F0001994454	[Regulatory Reform and Implementation] - Wholesale client test PJC Inquiry 2024
F0001994535	[Other International Organisations] - 20240415 - IMF-IOSCO Conference
F0001994610	[Regulatory Reform and Implementation] - PJC Inquiry into Financial Abuse

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F0001994693	[APRA-ASIC Committee (AAC)] - Q2 2024 - Meeting 16 - 20 June 2024
F0001994740	[IOSCO Board] - 2024 AM - Bilateral Meeting
F0001994764	[Government Relations] - 2024 - PJC on Corporations and Financial Services - 30 April 2024
F0001995111	Serious Financial Crime Taskforce - SFCT
F0001995162	[IOSCO Committees, Task Forces & Groups] - 20240416 - FSEG In-Person Meeting
F0001995199	[Regulatory Reform and Implementation] - 2024 - CSLR ASIC MoU
F0001995329	[Indigenous Outreach Program] - PJC Submission Financial Abuse
F0001996478	[Government Relations] - 2024 - Inquiry into greenwashing
F0001996775	[Government Relations] - 2024 - PJC on Corporations and Financial Services - 30 April 2024 PDF
F0001998934	[SAP - Crypto-Currency Working Group] - IOSCO CDA and DeFi Implementation WG
F0001999000	[New Zealand] - FMA - 24-1342 - Dacxi Group MMou request - 202401
F0001999028	[Capacity Building] - Activity 5 - Consumer Protection
F0001999341	[United States of America] - CFTC - 24-3921 - E&C Visit to CFTC - 202404
F0001999344	[United States of America] - SEC - 24-3921 - E&C Visit to SEC - 202404
F0001999389	[Canada] - OSC - 24-3922 - E&C Visit to OSC - 202404
F0002000676	[Governance] - Treasury review of virtual meetings provisions
F0002006442	[Government Relations] - 2024 PJC on Corporations and Financial Services - 30 April 2024
F0002007087	[Capacity Building] - Activity 7 - AAF and Study Tour
F0002007408	[Domestic Agencies] - 20240517 - CFR International Coordination Group
F0002007592	[IOSCO Board] - 2024 AM - OECD-IOSCO Conference on Sustainable Bonds
F0002007617	[IOSCO Committees, Task Forces & Groups] - AC - P33
F0002008723	[IOSCO Board] - 2024 AM - HCMC Public Conference
F0002009173	[Other International Organisations] - OECD documents 2023-2024
F0002009711	[Government Relations] - 2024 - Budget Estimates 2024-25 - June 2024
F0002010299	[Business Management] - 2024 06 Senate Estimates
F0002010510	[IOSCO Board] - 2024 AM - FSEG Meeting (27 May)
F0002010597	[Capacity Building] - Activity 6 - Sustainable Finance and Governance 2
F0002010950	[Regulatory Reform and Implementation] - Policy development - Inquiries
F0002011155	[Government Relations] - 2024 - Inquiry into economic self-determination opportunities for First Nations Aust

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F0002011165	[Regulatory Reform and Implementation] - Materials from Treasury
F0002012111	[Regulatory Reform and Implementation] - 2024 - Policy issues
F0002012131	[APRA-ASIC Committee (AAC)] - Q2 2024 - Meeting 18 - 3 July 2024
F0002012455	[IOSCO Board] - Meeting venue London
F0002013743	[Regulatory Reform and Implementation] - Miscellaneous parliamentary inquiry materials
F0002013779	[Government Relations] - 2024 - Budget Estimates 2024-25 - June 2024
F0002013989	[Regulatory Reform and Implementation] - Scams Code Framework - legislative drafting
F0002017833	IOSCO - APRC - 2026
F0002018761	[Government Relations] - 2024 - Inquiry into financial services regulatory framework in relation to financial abuse in Australia
F0002018762	[Government Relations] - 2024 - PJC CFS - 14 June 2024
F0002018918	[Public Interest Disclosures] - 2024 - Annual Reporting to Commonwealth Ombudsman
F0002019904	[Government Relations] - 2024 - Treasury Laws Amendment (Delivering Better Financial Outcomes and Other Measures) Bill
F0002020615	[Government Relations] - 2024 - QoN Budget Estimates - June 2024
F0002022223	[Government Relations] - 2024 - Published Corporate Portfolio QoN
F0002022224	[Government Relations] - 2024 Corporate Portfolio QoN - Budget Estimates - June 2024
F0002022517	[New Zealand] - FMA - 24-6375 - Conflicts of Interest Policy - 202406
F0002022963	[Government Relations] - 2024 - Inquiry into the capability of law enforcement to respond to money laundering and financial crime
F0002023167	[Capacity Building] - Activity 5 - MOU Signing delegation visit 2024
F0002023958	[Government Relations] - 2024 PJC on Corporations and Financial Services - 14 June 2024
F0002024587	[Domestic Agencies] - Pacific Seminar Series - Griffith University
F0002024626	[Ghana] - Bank of Ghana - 24-3964 - Study Visit (Risk Based Conduct Supervision) 202404