





Commonwealth of Australia Gazette

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# ASIC Gazette

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# **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet ASIC decisions – your rights (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Interactive Brokers LLC ARBN 091 191 141 ("the Licensee") PO Box R229 Royal Exchange NSW 1225

- Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245574 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
- Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
  - paragraphs 912A(1)(g) and 912A(2) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme until at least 23 February 2023.

Dated	23 February 2022
Signed	N Vonarg

Nicholas Vonarx

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Committed Capital Management Services Pty Ltd ACN 106 267 707 ("the Licensee") 198 Sydney Road FAIRLIGHT NSW 2094

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237529 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 February 2022

Signed

Chun Kei Marco Lam

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# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: ExchangelQ Advisory Group Pty Limited ACN 003 990 627 ("the Licensee") Level 11, 1 Chifley Square, Sydney, NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 255016 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 February 2022

Signed

Chun Kei Marco Lam A delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: IAM Cash Markets Pty Ltd ACN 164 806 357 ("the Licensee") Level 5 66 Clarence Street SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 459645 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 February 2022

Signed GPMäthen

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Miles Townsend Pty Ltd ACN 126 399 648 ("the Licensee") 1 Redwood Drive Notting Hill Vic 3168

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489097 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 February 2022

Signed .....

Chun Kei Marco Lam A delegate of the Australian Securities and Investments Commission

#### 220095

### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Boyd Legg Financial Services Pty Ltd ACN 610 681 822 ("the Licensee") Level 1, 306 Bay Street Port Melbourne. Vic 3207

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485452 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

.....

Dated 23 February 2022

Chun Kei Marco Lam

Signed

### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Guildford Accounting & Financial Services Pty Ltd ACN 004 440 555 ("the Licensee") 24-26 Doak Street Bendigo Vic 3550

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 493217 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 February 2022

Signed .....

Chun Kei Marco Lam

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: SR Strategic Advisory Pty Ltd ACN 611 704 020 ("the Licensee") PO Box 4173 Werrington NSW 2747

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488532 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 February 2022

Signed GPMithen

Gerard Mithen

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 926A(2)(a), 992B(1)(a) and 1020F(1)(a) – Exemption

# Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 926A(2)(a), 992B(1)(a) and 1020F(1)(a) of the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC instrument [22-0102].

#### Commencement and cessation

This instrument commences of the day it is signed and ceases to apply at the end of 31 December 2023.

#### Exemption

- Qantas Airways Limited (ACN 009 661 901) (Qantas) and its related bodies corporate are not required to hold an Australian financial services (AFS) licence under section 911A of the Act for the provision of the following financial services:
  - (a) providing financial product advice in relation to a facility through which a person makes a non-cash payment; and
  - (b) dealing in a facility through which a person makes a non-cash payment.
- 5. Qantas and its related bodies corporate are exempt from:
  - (a) Part 7.6 of the Act (other than Division 4 and Division 8 of Part 7.6);
  - (b) section 992A of the Act; and
  - (c) Part 7.9 of the Act.

# Where the exemption applies

- 6. The exemptions in paragraphs 4 and 5 apply where each of the following are satisfied:
  - (a) Qantas or a related body corporate of Qantas provides the financial service in relation to a Qantas TravelPass; and
  - (b) under the terms of the Qantas TravelPass, Qantas agrees to be liable for any loss or misappropriation of funds that arise as a result of fault by Qantas or a related body corporate of Qantas in relation to the Qantas TravelPass

#### Conditions

- Qantas must notify ASIC as soon as practicable, and in any event within 15 business days, after it becomes aware or should reasonably have become aware of any event that may affect its ability to comply with this instrument.
- Before providing a Qantas TravelPass to a person Qantas must clearly and prominently disclose the following information to the person:
  - (a) Qantas does not hold an AFS licence;
  - (b) the person may be eligible for a refund rather than a Qantas TravelPass credit;
  - (c) how the Qantas TravelPass can be used; and
  - (d) how the person can make a complaint to Qantas in relation to the Qantas TravelPass.
- Qantas must maintain an internal dispute resolution mechanism where a person can make a complaint to Qantas in relation to the Qantas TravelPass.

#### Interpretation

#### 8. In this instrument:

Eligible Products means products and services available for purchase via Qantas' websites and other digital selling channels, including airline tickets, related travel products, and products and services available as part of Qantas' Qantas Wine, Qantas Rewards, Qantas Hotels and Qantas Holidays businesses.

Qantas Flight Credit means a credit or token that represents a monetary amount that is payable by Qantas to a person by reason of the cancellation of a flight on which the person had booked a seat or seats due to circumstances relating to the COVID-19 pandemic.

Qantas TravelPass means a UATP account issued by Qantas which is subject to the following conditions:

- (a) the account is issued when a person receives a Qantas Flight Credit as a means of redeeming the Qantas Flight Credit;
- (b) no part of the value stored in connection with the account can be withdrawn in cash;
- (c) the value stored in connection with the account can only be redeemed as a means of payment for Eligible Products; and
- (d) additional value cannot be paid into the account, other than in the form of a Qantas Flight Credit.

UATP account means an account issued by Qantas as an issuing airline participant in the global closed loop travel payment system operated by The Universal Air Travel Plan, Inc. that enables payments between those issuing airlines and travel merchants.

Dated this 22nd day of February 2022

Signed by Laura Porto

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Pitcher Partners SA Financial Pty Ltd ACN 612 446 974 ("the Licensee") Level 1 100 Hutt Street ADELAIDE SA 5000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487781 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

gP Mithen

Dated 22 February 2022

Gerard Mithen

Signed

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: ACN 103 403 854 Pty Ltd ACN 103 403 854 ("the Licensee") 10 Beauford Avenue MARION SA 5043

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 297464 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

GPMithen

Dated 22 February 2022

Gerard Mithen

Signed

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 992B(1)(a) - Exemption

# **Enabling legislation**

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 992B(1)(a) of the Corporations Act 2001 (the Act).

# Title

This instrument is ASIC Instrument 22-0105.

#### Commencement

3. This instrument commences on the date it is signed.

# Exemptions

 Hannover Life Re of Australasia Ltd ACN 062 395 484 (Hannover) does not have to comply with section 989B of the Act for the financial year ending 31 December 2021.

#### Where this instrument applies

 This instrument applies where Hannover did not provide any financial services authorised under its Australian Financial Services Licence No. 530811 to any person during the period from 13 December 2021 to 31 December 2021.

Dated this 23rd day of February 2022

Signed by Genevieve Lai

# **Australian Securities and Investments Commission** Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Fraser and Associates Insurance Brokers Pty. Ltd. ACN 007 115 353 ("the Licensee") 46 Brinbrook Drive CROYDON VIC 3136

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245353 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2022

gPMdhen\_\_\_\_ Gerard Mithen

Signed

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: BetterTradeOff Australia Pty Ltd ACN 639 920 568 ("the Licensee") C/- Keating & Company Pty Ltd Level 10 379 Collins Street MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 524838 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2022

Signed GPMaken

Gerard Mithen

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Brennan Research Pty Ltd ACN 639 185 934 ("the Licensee") Suite 411 Level 4 165 Phillip Street SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 522262 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2022

Gerard Mithen

Signed

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# **Australian Securities and Investments Commission** Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Dynamic Capital Trading Pty Ltd ACN 108 185 575 ("the Licensee") 113 Arrowsmith Street CAMP HILL QLD 4152

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 495685 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2022

Gerard Mithen

Signed

# Australian Securities and Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: SMSF Advantage Pty. Ltd. ACN 611 265 251 ("the Licensee") PO Box 244, South Yarra, Vic, 3141

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485364 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2022

Signed GP Mathen

Gerard Mithen

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 741(1)(b) – Declaration

# Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the Corporations Act 2001(the Act).

#### Title

This instrument is ASIC Instrument 22-0115.

#### Commencement

3. This instrument commences on the date it is signed.

#### Declaration

- Chapter 6D of the Act applies in relation to Pepper Money Limited ACN 094 317 665 (Pepper Money) as if subsections 707(3) and (4) of the Act were omitted and substituted with:
  - "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
    - (a) without disclosure to investors under this Part; and
    - (b) with the purpose of the person to whom they were issued:
      - (i) selling or transferring them; or
      - granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 or 708A does not say otherwise.".

#### Where this declaration applies

- 5. This declaration applies in relation to an offer for sale of Pepper Money securities where:
  - a. the Pepper Money securities offered for sale were issued upon the exercise of Pepper Money Incentive Rights;
  - the Pepper Money Incentive Rights were offered and issued under a Product Disclosure Statement to eligible employees of Pepper Money participating in the Pepper Money Share Save Plan; and
  - c. the exercise of the Pepper Money Incentive Rights does not involve any further offer.

#### Interpretation

#### (a) In this instrument:

Acquisition Price means the amount paid for a Pepper Money Incentive Right and is equal to the volume weighted average price of Pepper Money's shares over the preceding calendar quarter.

# Eligible employee means

- a. a full-time or part-time employee (excluding a director);
- a contractor;
- a casual employee;
- a prospective participant.

Pepper Money Employee Share Trust means the Trust established to facilitate the operation of the Pepper Money Share Save Plan or any successor trust as determined by the Board for the purposes of the Pepper Money Share Save Plan.

Pepper Money Incentive Rights means the overlying financial product with the conditional right to:

- acquire by issue or transfer the underlying eligible product, being fully paid ordinary shares in Pepper Money,
- be paid a cash amount, where such amount is equal to the higher of the value of the shares held in the participant's account at the date of payment and the Acquisition Price of the Pepper Money Incentive Rights, or
- c. receive a combination of both Pepper Money shares and cash,

and issued pursuant to the Pepper Money Share Save Plan.

Pepper Money Share Save Plan means the employee incentive scheme operated by Pepper Money in accordance with the Share Save Plan Rules and which allows participating employees to purchase Pepper Money Incentive Rights with pre-tax remuneration where:

- Each pay day the employee's salary sacrifice will be withheld from the employee's pre-tax gross salary.
- The employee's salary sacrifice amounts are accumulated until the end of each calendar quarter.
- The Pepper Money Incentive Rights are granted after the end of each calendar quarter.
- d. The number of Pepper Money Incentive Rights to be granted is calculated by dividing the amount of the accumulated salary sacrifice contributions by the Acquisition Price for that calendar quarter.

- e. Vested Pepper Money Incentive Rights are exercisable from 90 days after the grant date. Participants may exercise the Pepper Money Incentive Rights at any time thereafter, as they determine.
- f. On exercise of the Pepper Money Incentive Rights, the Board of Pepper Money will decide whether to settle the rights in shares or cash or a combination of shares and cash.
- g. If Pepper Money's quoted share price at the time of the exercise of the Pepper Money Incentive Right is lower than the Acquisition Price, the participant is instead offered a cash amount equal to the Acquisition Price. If Pepper Money is unable to settle in cash due to liquidity issues, the participant will instead be issued with additional shares up to the value of the Acquisition Price.
- h. If the exercise is settled wholly or partly in cash and where Pepper Money's share price at the time of exercise is higher than the Acquisition Price, the participant will receive cash to the value of the quoted share price at the time of exercise.
- If the exercise is settled wholly or partly in shares, then the shares will be issued by Pepper Money or subscribed for or acquired by the Trustee of the Pepper Money Employee Share Trust using contributions paid to the Plan Trust by Pepper Money.
- j. If not exercised earlier, the Pepper Money Incentive Rights will be automatically exercised on the earlier of the 14th anniversary of the grant date of the rights, or cessation of employment of a participant with Pepper Money.
- k. The Pepper Money Incentive Rights may not be sold or otherwise disposed of and may only be exercised as outlined in the Share Save Plan Rules.

Share Save Plan Rules means the rules governing the Pepper Money Share Save Plan and provided to participants.

Dated this 24th day of February 2022

Signed by Lucy Ehlers

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# CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-sixth day of February 2022

Name of Company	ARBN
BLOOMBERG INDEX SERVICES LIMITED	623 032 280
FUNDROCK MANAGEMENT COMPANY S.A.	625 926 847
man series 6 Om-IP 220 Limited	096 060 776
METHANEX NEW ZEALAND LIMITED	610 886 103
NAT TECH, LLC	636 066 825

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# CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-sixth day of February 2022

Name of Company	ARBN
EIT SERVICES INDIA PRIVATE LIMITED	617 767 469
JANMAR FARMS LIMITED	086 760 214
JOINT STOCK COMMERCIAL BANK FOR FOREIGN TRADE OF VIETNAM	651 083 948
MANDARIN ORIENTAL SERVICES LIMITED	160 830 786
NORTHVIC FARMS LIMITED	167 542 501
SOFTWARE EDUCATION HOLDINGS LIMITED	092 794 904
UNIFY SQUARE, INC.	608 768 289

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CORPORATIONS ACT 2001 Subsection 601CC(4)

ASIC has struck the registered Australian bodies listed below off the register.

Dated this twenty-sixth day of February 2022

**Name of Company** 

**ARBN** 

KELLYGANG AND FRIENDS INCORPORATED

091 479 711

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CORPORATIONS ACT 2001 Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-sixth day of February 2022

Name of Company

**ARBN** 

GLOBAL LIGHT INITIATIVE, INC.

160 990 910

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# CORPORATIONS ACT 2001 Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-sixth day of February 2022

Name of Scheme	ARSN
CHARTER HALL DIRECT CDC TRUST	169 497 545
L1 UK PROPERTY TRUST 2B	638 467 713

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# CORPORATIONS ACT 2001 Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-sixth day of February 2022

Name of Scheme	ARSN
BNP PARIBAS ABSOLUTE RETURN FIXED INCOME TRUST	120 566 547
EQT WHOLESALE FLAGSHIP FUND	107 854 026
LEGG MASON BRANDYWINE GLOBAL FIXED INCOME FUND	127 583 948
MACRO THEMATIC FUND	609 004 186
PENTALPHA INCOME FOR LIFE FUND	616 927 318
PREMIUM ASIA PROPERTY FUND	129 428 682
RIVER AND MERCANTILE GLOBAL HIGH ALPHA FUND	625 572 910
WELLINGTON ALTERNATIVE RISK PREMIA FUND (AUSTRALIA)	632 225 864
WORLD MULTI ASSET CREDIT FUND	631 773 423

Change of company type

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CORPORATIONS ACT 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

#### **AGMERCH HOLDINGS PTY LTD**

ACN 649 534 403 will change to a public company limited by shares. The new name will be AGMERCH HOLDINGS LIMITED ACN 649 534 403.

**MELPEAT PTY. LIMITED** ACN 004 997 700 will change to a proprietary company limited by shares.

songoa Holdings LTD ACN 640 985 315 will change to a proprietary company limited by shares. The new name will be SONGOA HOLDINGS PTY LTD ACN 640 985 315.

**TOPELIA AUST PTY LIMITED** ACN 652 771 670 will change to a public company limited by shares. The new name will be TOPELIA AUST LIMITED ACN 652 771 670.

#### LINDEN GOLD ALLIANCE PTY LTD

ACN 643 313 722 will change to a public company limited by shares. The new name will be LINDEN GOLD ALLIANCE LIMITED ACN 643 313 722.

**NEUROSENSORY LTD** ACN 060 595 155 will change to a proprietary company limited by shares. The new name will be NEUROSENSORY PTY LTD ACN 060 595 155.

#### TIGER TASMAN MINERALS PTY LTD

ACN 162 561 908 will change to a public company limited by shares. The new name will be TIGER TASMAN MINERALS LIMITED ACN 162 561 908.

**TOWNSVILLE TAXIS PTY LTD** ACN 638 810 885 will change to a public company limited by shares. The new name will be TOWNSVILLE TAXIS LIMITED ACN 638 810 885.