



Notification of change of corporate director of a corporate collective investment vehicle

Form 5207
Corporations Act 2001
1224T, 1224U

Use this form to notify of a change in corporate director appointment for a corporate collective investment vehicle (CCIV).
If there is insufficient space in any section of the form, you may attach an annexure and submit as part of this lodgement

Lodgement details

Who should ASIC contact if there is a query about this form?

Name

ASIC registered agent number (if applicable)

Company/entity name

ACN/ABN/ARBN

Telephone number

Postal address

Email address (optional)

CCIV details

Name of registered CCIV

ACN/ABN

Cessation of corporate director

Tick relevant boxes

Notification by

Current corporate director

New corporate director

of

Resignation / retirement

Removal

Date of extraordinary resolution

 / /

[D] [D] / [M] [M] / [Y] [Y]

The date of effect of change of corporate director is the date the new corporate director takes over the CCIV.

Date of effect of change of corporate director

 / /

[D] [D] / [M] [M] / [Y] [Y]

Current corporate director

Company name

ACN

Continued... Cessation of corporate director

At the office of, C/- (if applicable)	
<input type="text"/>	
Office, unit, level	
<input type="text"/>	
Street number and Street name	
<input type="text"/>	
Suburb/City	State/Territory
<input type="text"/>	<input type="text"/>
Postcode	
<input type="text"/>	

Details of new corporate director

Company name	
<input type="text"/>	
ACN	
<input type="text"/>	
AFS licence number	
<input type="text"/>	
At the office of, C/- (if applicable)	
<input type="text"/>	
Office, unit, level.	
<input type="text"/>	
Street number and Street name	
<input type="text"/>	
Suburb/City	State/Territory
<input type="text"/>	<input type="text"/>
Postcode	
<input type="text"/>	

Attachments

- Notice of meeting of the members of the CCIV
- Minutes of the meeting, signed by the Chairperson, verifying that the resolution(s) to replace the incumbent corporate director was/were passed.

Signature

This form must be signed by two directors or a director and secretary of the Corporate Director of the CCIV.

To the best of my knowledge, the information in this form and annexures is true and complete (it is an offence to provide false or misleading information to ASIC).

Name of the person signing

Capacity

Signature

Date signed

 / /
[D D] [M M] [Y Y]

Name of the person signing

Capacity

Signature of applicant

Date signed

 / /
[D D] [M M] [Y Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Notification of change of corporate director of a corporate collective investment vehicle

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5207.

Signature	This form must be signed by two directors or a director and secretary of the Corporate Director of the CCIV..
Lodgement period	2 business days from passing the resolution if lodged by the current corporate director.
Lodgement fee	<p>A lodgement fee applies to this form.</p> <p>Late fees will apply if you notify a change outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A form is not considered lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the <i>Corporations Act 2001</i>. A receipt will not be issued unless requested.</p>
Other forms to be completed	If appointment of a temporary corporate director has been made by the Court under section 1224V, then notification of that appointment is required to be lodged also (ASIC Form 5208).
Documents to be lodged with this form	<p>With the Form 5207 you will need to lodge copies of the following documents:</p> <ul style="list-style-type: none">• Notice of meeting of the members of the CCIV; and• Minutes of the meeting, signed by the Chairperson, verifying that the resolution(s) to replace the incumbent corporate director was/were passed.
Additional information	<p>1224T(1) If the corporate director of a CCIV wants to retire, it must call a members' meeting to explain its reason for wanting to retire and to enable the members to vote on a special resolution to choose a company to be the new corporate director.</p> <p>1224T(6) If the members do not choose a company to be the new corporate director, or the company they choose does not consent to becoming the corporate director, the current corporate director may apply to the Court for appointment of a temporary corporate director under section 1224V. This notice of resignation/retirement must not be lodged unless a consent in writing has been obtained, beforehand, from the company chosen to become the new corporate director.</p> <p>1224U(1) If members of a CCIV want to remove the corporate director, they may take action under Division 1 of Part 2G.4 for the calling of a members' meeting to consider and vote on (a) an extraordinary resolution that the current corporate director should be removed; and (b) an extraordinary resolution choosing a company to be the new corporate director. This notice of removal must not be lodged unless a consent in writing has been obtained, beforehand, from the company chosen to become the new corporate director.</p>

How to provide additional information

Annexures

If there is insufficient space in any section of the form, you may submit annexures as part of this lodgement.

To make any annexure conform to the regulations, you must

1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
2. show the CCIV name and ACN
3. number the pages consecutively
4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied
5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.
6. endorse the annexure with the words:
This annexure (mark) of (number) pages referred to in form (form number and title)
7. sign and date the annexure

The annexure must be signed by the same person(s) who signed the form.

Privacy

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

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