

Directors' statement relating to application for registration of a managed investment scheme

Related forms

Form 5100 Application for registration of a managed investment scheme

If there is insufficient space in any section of the form, print additional copies of the relevant page(s) and submit as part of this lodgement

Scheme details

Proposed managed investment scheme name

Proposed responsible entity name

ACN

Lodgement details

Who should ASIC contact if there is a query about this form?

Firm/organisation

Contact name/position description

ASIC registered agent number (if applicable)

Telephone number

Postal address

Declaration

This form must be signed by all the directors of the proposed responsible entity.

We, the directors of the proposed responsible entity, declare for the purposes of s601EA of the *Corporations Act 2001* that:

- (a) the managed investment scheme's constitution complies with s601GA and 601GB of the *Corporations Act 2001*; and
- (b) the managed investment scheme's compliance plan complies with s601HA of the *Corporations Act 2001*.

<p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p>	<p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p> <p>Name <input type="text"/></p> <p>Signature <input type="text"/></p> <p>Date signed <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> [D] [D] / [M] [M] / [Y] [Y]</p>
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Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
GPO Box 9827 Brisbane QLD 4001.

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide:

Directors' statement relating to application for registration of a managed investment scheme

Related forms

Form 5100 Application for registration of a managed investment scheme

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5103.

Signature	This form must be signed by all directors of the proposed responsible entity.
Lodgement period	Must be lodged with Form 5100.
Lodging fee	Nil.
Additional documents to be lodged	<p>This form must be lodged with a Form 5100 <i>Application for registration of a managed investment scheme</i>, and accompanied by a copy of the scheme's constitution (ASCOT Form 5101) and a copy of the scheme's compliance plan (ASCOT Form 5102)</p> <p>This form—Directors' statement—and the copy of the scheme's compliance plan must be signed by all the directors of the proposed responsible entity. Secretaries are not required to sign.</p> <p>Unless the managed investment scheme's constitution and compliance plan meet the requirements as specified in s601GA, 601GB, 601HA and 601HC of the <i>Corporations Act 2001</i>, ASIC will not register the scheme.</p>
How to provide additional information	<p>Additional Form 5103 pages</p> <p>If there is insufficient space in any section of the form, you may print additional copies of the relevant page(s) and submit as part of this lodgement.</p> <p>Annexures</p> <p>If there is insufficient space in any section of the form, you may alternately submit annexures as part of this lodgement.</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none">1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides2. show the company name and ACN or ARBN3. number the pages consecutively4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)7. sign and date the annexure <p>The annexure must be signed by the same person(s) who signed the form.</p>
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.
Lodgement	<p>Send completed and signed forms to: Australian Securities and Investments Commission, GPO Box 9827 Brisbane QLD 4001.</p> <p>For more information</p> <p>Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p>