



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

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Melbourne VIC 3000

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GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075

Fax: +61 1300 729 000

www.asic.gov.au

24-0142

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: K & J Skilton Holdings Pty Ltd
ABN: 11 007 999 402
623a Lower North East Road,
CAMPBELLTOWN, SA 5074

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 232385 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 March 2024

Signed

Jacki Wang
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

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www.asic.gov.au

24-0159

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Infinitas Asset Management Pty Limited
ACN 129 953 724 ("the Licensee")
Level 10
65 York Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 326087 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 March 2024

Signed *GP Mithen*

Gerard Mithen
A delegate of the Australian Securities and Investments
Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (for courier deliveries):
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Melbourne VIC 3000

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www.asic.gov.au

24-0175

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Jingjing Wang
ABN 16 477 366 140 ("the Licensee")
4 Millwood Avenue
CHATSWOOD WEST NSW 2067

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483791 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 March 2024

Signed *JP Mithen*.....

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

24-0181

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 11th March 2024.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF SHANE ALLAN ROSE****Banning order under sections 920A and 920B****of the *Corporations Act 2001***

To: Mr Shane Rose

Take notice that under sections 920A and 920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Shane Allan Rose permanently, from:

- (a) providing any financial services; and
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 8th day of March 2024.

Signed:



Myra Poon
Delegate of the Australian Securities
and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

24-0203

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under s926A(2)(a) of the *Corporations Act 2001*.

Title

2. This instrument is ASIC Instrument 24-0203.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Causeway Securities Limited, a private limited company incorporated in England and Wales (registered company number 10102661) and registered with the Financial Conduct Authority, reference number 749440, **(the body)**, from the requirement to hold an Australian financial services licence in the case referred to in Schedule A.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) the date specified in sub-paragraph 1(3) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* as amended from time to time; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

24-0203

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current Part 4A Permission;
 - (aa) the body is either a body corporate incorporated in the United Kingdom or a partnership formed in the United Kingdom;
 - (b) the body:
 - (i) is registered under Division 2 of Part 5B.2 of the Act; or
 - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (c) the body's primary business is the provision of financial services;
 - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (f) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (**the financial services**) in this jurisdiction to wholesale clients (and the body is authorised under UK regulatory requirements to provide the financial service in the UK):
 - (a) providing financial product advice; or
 - (b) dealing in financial product;in respect of any of the following financial products (that the body is authorised under UK regulatory requirements to provide the financial services on in the UK):
 - (a) securities

24-0203

- (b) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
3. Where the body has provided ASIC with all of the following
- (a) evidence and submissions that paragraph 1(a) of Schedule A is satisfied;
 - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC; and
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) the body covenants that, on written request of either the FCA or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the FCA to disclose to ASIC and ASIC to disclose to the FCA any information or document that FCA or ASIC has that relates to the body; and
 - (d) written consents to the disclosure by the FCA to ASIC and ASIC to the FCA of any information or document that the FCA or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

24-0203

Schedule B

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the United Kingdom regulatory requirements if the financial service were provided in the United Kingdom in like circumstances; and
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, the registration as a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the body obtains from the United Kingdom regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (i) significant enforcement action;
 - (ii) significant disciplinary action;
 - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (a) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (b) as applicable:
 - (i) for a dual-regulated body - the body is authorised by the PRA and regulated by the FCA and PRA under United Kingdom laws, which differ from Australian laws;
 - (ii) otherwise – the body is authorised and regulated by the FCA under the United Kingdom’s laws, which differ from Australian laws; and
4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information

24-0203

about any financial service provided by the body in this jurisdiction—comply with the notice.

24-0203

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

appropriate regulator means:

(a) for a dual-regulated body – both the PRA and the FCA;

(b) otherwise – the FCA;

custodial or depository service has the meaning given by section 9 of the Act;

dealing has the meaning given by section 9 of the Act;

derivative has the meaning given by section 9 of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act;

eligible deposit product means any deposit taking facility that is not a deposit product as defined in section 9 of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

FSM Act means the Financial Services and Markets Act 2000 of the United Kingdom;

foreign exchange contract has the meaning given by section 761A of the Act;

making a market has the meaning given by section 9 of the Act; *notice and notified* mean, respectively, written notice and notified in writing;

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overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

PRA means the Prudential Regulation Authority of the United Kingdom;

securities has the meaning given by section 9 of the Act;

UK regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 15th day of March 2024.



Signed by Harrison Knowles

as a delegate of the Australian Securities and Investments Commission

24-0204

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**


Notice of Cancellation of an Australian Financial Services Licence

TO: GJAS 725 PTY LTD
ACN 006 311 502 ("the Licensee")
PO Box 117
Mornington VIC 3931

Pursuant to paragraph to s915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238425 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 19 March 2024

Signed



Jedo Charles
A delegate of the Australian Securities and Investments Commission

24-0208

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 14 March 2024.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Notice of Suspension of Australian Financial Services Licence

To: LM Investment Management Limited (in liquidation) ACN 077 208 461
C/- FTI Consulting
Level 20
345 Queen Street
BRISBANE QLD 4000

Under s915B(3)(b) of the Corporations Act 2001 (**Act**) the Australian Securities and Investments Commission (**ASIC**) suspends Australian financial services licence number 220281 held by LM Investment Management Limited ACN 077 208 461 (**Licensee**) until 16 March 2026.

Under s915H of the Act ASIC specifies that the licence continues in effect as though the suspension had not happened for the purposes of the provisions of the Act specified in Schedule A regarding the matters specified in Schedule B.

Schedule A

- (a) The provisions of Chapter 5C;
- (b) The provisions of Chapter 7, other than the provisions in Parts 7.2, 7.3, 7.4 and 7.5.

Schedule B

The provision by the Licensee of financial services which are reasonably necessary for, or incidental, to the transfer to a new responsible entity, investigating or preserving the assets and affairs of, or winding up of:

1. LM First Mortgage Income Fund ARSN 089 343 288;
2. LM Currency Protected Australian Income Fund ARSN 110 247 875;
3. LM Institutional Currency Protected Australian Income Fund ARSN 122 052 868;
4. LM Australian Income Fund ARSN 133 497 917;
5. LM Australian Structured Products Fund ARSN 149 875 669.

Dated this 14th March 2024



Signed
Andrew Stecher, a delegate of the Australian Securities and Investments Commission

24-0210

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 24-0210.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. The following persons do not have to comply with subsection 606(1) of the Act:
 - (a) Tasmae SaleCo Limited ACN 670 255 875 (*Sale Facility Operator*);
 - (b) Jason Pryde as sole shareholder of the Sale Facility Operator; and
 - (c) Tasmae Limited ACN 088 588 425 (*Company*),
(each an *Applicant*).

Where this instrument applies

5. This instrument applies where:
 - (a) the acquisition of a relevant interest in Shares from a Selling Shareholder occurs as a result of the Selling Shareholder entering into an agreement or arrangement to participate in, and participating in, a facility or other arrangement established by the Sale Facility Operator and the Company under which:
 - (i) the Selling Shareholder sells or transfers their Shares to the Sale Facility Operator and agrees not to dispose of their shares pursuant to the Sale Implementation Deed;
 - (ii) the Sale Facility Operator sells or transfers the Shares under offers made under the IPO Prospectus; and
 - (iii) the Company, as the Sale Facility Operator's exclusive agent, provides consideration to Selling Shareholders for the sale or transfer referred to in paragraph (a)(i) which is referable to the consideration received by the Company for the sale or transfer referred to in paragraph (a)(ii), subject to the payment by the Company of any costs associated with the sale or transfer

24-0210

referred to in paragraph (a)(ii), pursuant to the Sale Implementation Deed;

- (b) each Applicant will cease to have a relevant interest in Shares which the Sale Facility Operator acquired under the facility or arrangement described in paragraph (a) above by the earlier of:
 - (i) the date on which Shares are transferred under an offer of Shares under the IPO Prospectus; and
 - (ii) 30 days from the date the Sale Facility Operator acquires a relevant interest in the Shares under the Sale Implementation Deed;
- (c) the Sale Facility Operator does not sell a Share to a person if it knows or believes that person would contravene section 606 of the Act by acquiring the Share;
- (d) the Sale Facility Operator does not exercise any voting rights attaching to Shares which it acquires under the facility or arrangement described in paragraph (a), without ASIC's consent; and
- (e) each of the Company and the Sale Facility Operator use their best endeavours to obtain as wide a placement of the Shares as practicable.

Interpretation

6. In this instrument:

IPO means the initial public offering of ordinary shares in the Company,

IPO Prospectus means the prospectus proposed to be lodged with ASIC by the Company and Sale Facility Operator on or around 20 March 2024;

Sale Implementation Deed means the sale implementation deed pursuant to which Selling Shareholders will sell to the Sale Facility Operator some or all of their Shares;

Selling Shareholder means each holder of Shares who elects to sell their Shares to the Sale Facility Operator pursuant to the Sale Implementation Deed, who in turn will sell those Shares as part of the IPO; and

Share means a fully-paid ordinary share in the Company.

Dated this 18th day of March 2024



Signed by Sean Ferguson
as a delegate of the Australian Securities and Investments Commission

24-0211

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 15 March 2024.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Notice of cancellation of Australian Financial Services Licence

To: HJ Shortland & Co Wealth Management Pty Ltd
ACN 130 442 492

TAKE NOTICE that under s915B(3)(a) of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 324909 held by HJ Shortland & Co Wealth Management Pty Ltd ACN 130 442 492.

Dated this 14th day of March 2024



Signed

Peter Komorowski, a delegate of the Australian Securities and Investments Commission

24-0214

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 257D(4) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 257D(4) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 24-0214.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. Navalo Financial Services Group Limited ACN 605 753 535 (*Company*) does not have to comply with subsections 257D(1), 257D(2) and 257D(3) of the Act.

Where this instrument applies

5. This instrument applies in relation to the off-market buy-back to be made available to all Shareholders (other than Excluded Foreign Shareholders), announced on or around 19 March 2024 which:
 - (a) is substantially on the terms contemplated in the Buy-Back Offers;
 - (b) complies with the conditions in subsection 257B(2) of the Act, subject to subsection 257B(3) of the Act, except that:
 - i. the Company does not comply with paragraph 257B(2)(b) of the Act but rather offers are made to all Eligible Shareholders to buy back their Shares in accordance with the terms and conditions of the Buy-Back Offers;
 - ii. the Company does not comply with paragraph 257B(2)(c) of the Act but rather all Eligible Shareholders have a reasonable opportunity to accept the offers made to them in accordance with the terms and conditions of the Buy-Back Offers;

24-0214

- iii. the Company does not comply with paragraph 257B(2)(d) of the Act but rather the buy-back agreements are not entered into until after the Offer Period has closed;
- iv. the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Offer is to be made to all Shareholders (other than Excluded Foreign Shareholders) for the Company to buy-back those Shareholders' Shares subject to:
 - (1) each Shareholder having the ability to nominate a maximum number of Shares they wish to accept into the buy-back; and
 - (2) the Scale Back Mechanism operating in the manner described in the Buy-Back Offers; and
- v. the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Offers need not be made to Excluded Foreign Shareholders, and any acceptance received from Excluded Foreign Shareholders need not be accepted by the Company.

Conditions

6. The exemption in paragraph 4 of this instrument is subject to the following conditions:
- (a) the number of Shares that the Company buys back, either as a result of the Buy-Back Offer or as a result of any other buy-back by the Company during the 12 months before the date on which the Company buys back the Shares must not exceed 10% of the smallest number of votes attaching to voting Shares on issue at any time during the 12 months before the date on which the Company buys back the Shares;
 - (b) the Buy-Back Offers include a term that:
 - (i) if, at the close of the Offer Period, the Company has received acceptances that in aggregate are more than the Buy-Back Amount:
 - (1) the Company will buy back the Buy-Back Amount (or such lesser number as the Company may determine); and
 - (2) the number of Shares in each Eligible Shareholder's acceptance that will be bought back will be reduced in accordance with the Scale Back Mechanism; and
 - (ii) the Buy-Back Price is \$0.2838; and
 - (c) the Company complies with the lodgement requirements set out in sections 257E and 257F of the Act.

24-0214

Interpretation

7. In this instrument:

Buy-Back Amount means the number of Shares that the Company decides to buy-back, provided that the number of Shares that the Company buys back, when combined with any other Shares bought back by the Company during the 12 months before the day on which the Company buys back the Shares, does not exceed 10% of the smallest number of votes attaching to Shares on issue at any time during the 12 months before the date on which the Company buys back the Shares.

Buy-Back Booklet means the document to be lodged with ASIC on or about 26 March 2024, being in substantially the same form as the booklet provided to ASIC on 19 March 2024.

Buy-Back Offer means the offer by the Company to its Eligible Shareholders to buy-back Shares as set out in the Buy-Back Booklet.

Buy-Back Price means the price per Share at which the Company will buy-back Shares from acceptances it accepts in the buy-back.

Eligible Shareholder means a Shareholder who is not an Excluded Foreign Shareholder.

Excluded Foreign Shareholder means any person who:

- (a) holds Shares and to whom the Company would be prohibited from paying money pursuant to any act, rule or regulation of Australia which prohibits the Company from making payments to foreign persons; or
- (b) does not have a registered address in Australia or New Zealand and holds Shares and resides in a jurisdiction where it would be illegal under the laws of that jurisdiction to permit shareholders residing in that jurisdiction to receive an invitation, or to participate in the buy-back (or which has laws which the Company determines would be impractical for it to comply with in order to permit Shareholders residing in that jurisdiction to receive a Buy-Back Offer, or to participate in the buy-back); or
- (c) is acting on behalf of or for the account of a person who holds Shares and resides in a jurisdiction outside Australia or New Zealand where it would be illegal under the laws of that jurisdiction to permit such person residing in that jurisdiction to receive a Buy-Back Offer, or to participate in the buy-back, for a Shareholder (or which has laws which the Company determines would be impractical for it to comply with in order to permit Shareholders residing in that jurisdiction to receive a Buy-Back Offer, or to participate in the buy-back, for a Shareholder).

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For the avoidance of doubt, Excluded Foreign Shareholders include any person who is (or who is acting on behalf of or for the account of a person who is) in the United States, a US Person or a resident of Canada.

Offer Period means the period within which Eligible Shareholders may lodge, withdraw or amend an acceptance in accordance with the procedures set out in the Buy-Back Booklet.

Record Date means the date for eligibility to participate in the Buy-Back, being on or around 21 March 2024.

Scale Back Mechanism means the reduction of Shares bought back by the Company on the terms and conditions set out in the Buy-Back Offers and to be applied to acceptances from Eligible Shareholders on a substantially pro-rata basis.

Shareholder means a holder of Shares at the Record Date.

Shares means fully paid ordinary shares in the Company.

US Person has the meaning given by Regulation S under the United States' Securities Act of 1933, as at the date of this instrument.

Dated this 20th day of March 2024



Signed by Patrick Tang
as a delegate of the Australian Securities and Investments Commission

24-0217

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 741(1)(a) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 24-0217.

Commencement

3. This instrument commences on the date it is signed.

Exemptions

4. APMM does not have to comply with Parts 6D.2 or 6D.3 of the Act for an offer to issue Svitzer Group Shares (*Offer*) to holders of shares in APMM under the Transaction.
5. A person that makes a sale offer of the Svitzer Group Shares within 12 months after the issue of the Svitzer Group Shares, where the shares were issued or transferred as consideration under the Transaction does not have to comply with Parts 6D.2 or 6D.3 of the Act.

Where this instrument applies

6. The exemptions in paragraph 4 apply in relation to the issue of Svitzer Group Shares to holders of shares in APMM where all the following are satisfied:
 - (a) the Offer is made under the Transaction on the terms and conditions set out in the Prospectus;
 - (b) the Prospectus is prepared for an extraordinary general meeting of APMM's shareholders and is publicly disclosed by APMM (including by publication on its website via a link which is accessible to all Australian resident shareholders of APMM);
 - (c) APMM and Svitzer reasonably believe that the Transaction complies with all applicable laws that, as at the date of the Offer, are in force in Denmark;
 - (d) the Danish Financial Supervisory Authority (*Finanstilsynet* of Denmark) issues a confirmation letter in respect of the Prospectus.
7. The exemption in paragraph 5 applies to an offer for the sale of Svitzer Group Shares where:

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- (a) the shares were issued or transferred under the Transaction within the previous 12 months; and
- (b) the sales shares were issued without disclosure to investors under parts 6D.2 and 6D.3 of the Act because of the exemption in paragraph 4.

Interpretation

8. In this instrument:

APMM means A.P. Møller - Mærsk A/S, a public limited company incorporated under the laws of Denmark (Central Virksomhed Registration number 22 75 62 14) and listed on the financial market operated by Nasdaq Copenhagen A/S;

Prospectus means the documentation required for the Transaction under Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14 June 2017, and Annex 1 and 11 of Commission Delegated Regulation (EU) 2019/980 of 14 March 2019, as amended, and which is substantially the same as the draft Prospectus provided to ASIC on 6 February 2024;

Svitzer means Svitzer A/S, a public limited company incorporated under the laws of Denmark (Central Virksomhed Registration number 43 26 60 12), a wholly owned subsidiary of APMM, and certain other assets and liabilities of APMM, as described in the Prospectus;

Svitzer Group means Svitzer Group A/S, the public limited company, as described in the Prospectus, that will be incorporated under the laws of Denmark and whose shares will be listed on Nasdaq Copenhagen A/S, where the Transaction is approved by the requisite majority of shareholders of APMM at the extraordinary general meeting on or about 26 April 2024;

Svitzer Group Shares means fully paid ordinary shares in Svitzer Group; and

Transaction means the proposed transfer of all APMM's shares in Svitzer to Svitzer Group and the pro-rata distribution of shares in Svitzer Group to current shareholders in APMM, as set out in the Prospectus.

Dated this 21st day of March 2024



Signed by Mario Brce
as a delegate for the Australian Securities and Investments Commission

24-0218

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 926A(2)(a) and 1020F(1)(a) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 926A(2)(a) and 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 24-0218.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. APMM does not have to comply with Division 5A of Part 7.9 of the Act in relation to an unsolicited offer to which paragraph 6 of this instrument applies.
5. APMM do not have to comply with the requirement in subsection 911A(1) of the Act for the provision of general financial product advice where paragraph 7 of this instrument applies.

Where this instrument applies

6. The exemption in paragraph 4 applies to any unsolicited offer made by APMM where:
 - (a) the offer is made under the Transaction on the terms set out in the offer documents, with any such amendments, variations or supplements as are approved under Danish law;
 - (b) APMM and Svitzer reasonably believe that the offer is made in accordance with the laws and rules (however described) that as at the date of the offer for issue of securities, are in force in Denmark; and
 - (c) The offer is made on or before 26 April 2024.
7. The exemption in paragraph 5 of this instrument applies where:
 - (a) all of the requirements of paragraph 6 of this instrument are satisfied; and
 - (b) APMM provides general financial product advice in an offer document, however described, about the Transaction; and
 - (c) The offer document is required to be given under, and is regulated by, the laws of Denmark.

24-0218

Interpretation

8. In this instrument:

Act means the *Corporations Act 2001*;

APMM means A.P. Møller - Mærsk A/S, a public limited company incorporated under the laws of Denmark (Central Virksomhed Registration number 22 75 62 14) and listed on the financial market operated by Nasdaq Copenhagen A/S;

financial product advice has the meaning given by subsection 766B(1) of the Act;

general advice has the meaning given by subsection 766B(4) of the Act;

offer documents means, in relation to the Transaction, the documents that will be made available or despatched to shareholders of APMM resident or located in Australia, including a document that sets out the substantive terms of the offer.

Prospectus means the documentation required for the Transaction under Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14 June 2017, and Annex 1 and 11 of Commission Delegated Regulation (EU) 2019/980 of 14 March 2019, as amended, and which is substantially the same as the draft Prospectus provided to ASIC on 6 February 2024;

Svitzer means Svitzer A/S, a public limited company incorporated under the laws of Denmark (Central Virksomhed Registration number 43 26 60 12), a wholly owned subsidiary of APMM, and certain other assets and liabilities of APMM, as described in the Prospectus;

Svitzer Group means Svitzer Group A/S, the public limited company, as described in the Prospectus, that will be incorporated under the laws of Denmark and whose shares will be listed on Nasdaq Copenhagen A/S, where the Transaction is approved by the requisite majority of shareholders of APMM at the extraordinary general meeting on or about 26 April 2024;

Transaction means the proposed transfer of all APMM's shares in Svitzer to Svitzer Group and the pro-rata distribution of shares in Svitzer Group to current shareholders in APMM, as set out in the Prospectus; and

unsolicited offer means:

- (a) an offer to which Division 5A of Part 7.9 of the Act applies because of section 1019D of the Act; and
- (b) an invitation covered by section 1019F of the Act.

Dated this 21st day of March 2024



Signed by Mario Brce
as a delegate of the Australian Securities and Investments Commission

24-0219

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 20 March 2024.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
CORPORATIONS ACT 2001 SECTION 915C**

NOTICE OF CANCELLATION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

To: Celtic Equities Management Pty Ltd ACN 097 592 571

TAKE NOTICE that under section 915C of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 245486 held by Celtic Equities Management Pty Ltd ACN 097 592 571.

Dated this 15th day of March 2024

Signed:



Peter Komorowski
Delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

24-0221

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Now Finance Group Pty Ltd
ABN 35 158 703 612 ("the Licensee")
Level 6, 468 St Kilda Road
Melbourne VIC 3004

1. Pursuant to paragraph 91.5B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 425142 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 March 2024

Signed *J Dimitropoulos*
.....

James Dimitropoulos
A delegate of the Australian Securities and Investments
Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of March 2024

Name of Company

ARBN

COREBRIDGE INSTITUTIONAL INVESTMENTS (EUROPE) LIMITED	169 963 740
MAERSK SUPPLY SERVICE CANADA LTD.	158 429 291
MAERSK SUPPLY SERVICE UK LIMITED	128 739 471
SEZZLE INC.	633 327 358

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-second day of March 2024

Name of Company

ARBN

DERRIMUT INDUSTRIAL PTY LIMITED

618 332 764

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of March 2024

Name of Scheme

ARSN

BETASHARES BRITISH POUND ETF	151 133 514
BETASHARES EURO ETF	151 131 967
YARRA AUSTRALIAN EQUITIES FUND (DIRECT)	097 862 247
YARRA AUSTRALIAN REAL ASSETS SECURITIES FUND	117 307 525
YARRA INVESTMENT FUND	090 047 662

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ABELLE LIMITED ACN 087 480 902 will change to a proprietary company limited by shares. The new name will be ABELLE PTY LTD ACN 087 480 902.

AURORA GOLD LTD ACN 006 568 850 will change to a proprietary company limited by shares. The new name will be AURORA GOLD PTY LTD ACN 006 568 850.

HARMONY GOLD OPERATIONS LIMITED ACN 005 482 842 will change to a proprietary company limited by shares. The new name will be HARMONY GOLD OPERATIONS PTY LTD ACN 005 482 842.

VCS CAPITAL PARTNERS LTD ACN 635 540 635 will change to a proprietary company limited by shares. The new name will be VCS CAPITAL PARTNERS PTY LTD ACN 635 540 635.

AGRIWEALTH CAPITAL LIMITED

ACN 126 768 090 will change to a proprietary company limited by shares. The new name will be AGRWEALTH CAPITAL PTY LIMITED ACN 126 768 090.

BROADLEAF FINANCIAL GROUP PTY LTD

ACN 618 739 790 will change to a public company limited by shares. The new name will be BROADLEAF FINANCIAL GROUP LIMITED ACN 618 739 790.

NEW HAMPTON GOLDFIELDS LIMITED

ACN 009 193 999 will change to a proprietary company limited by shares. The new name will be NEW HAMPTON GOLDFIELDS PTY LTD ACN 009 193 999.