

**Haydar Tuncer**

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**From:** s 22  
**Sent:** Tuesday, 22 March 2022 8:08 AM  
**To:** s 22  
**Cc:** s 22  
**Subject:** FTX matter [SEC=OFFICIAL]  
**Attachments:** FTX offering in Australia [SEC=OFFICIAL]; RE: AFR article re FTX obtaining an AFSL [SEC=OFFICIAL]; FW: More ways for retail to leverage volatile assets [SEC=OFFICIAL]  
  
**Follow Up Flag:** Follow up  
**Flag Status:** Flagged  
  
**Categories:** [SharePoint] s 22

Morning

Was hoping that you could take on this matter please. Given the concerns I think the best course of action at this stage is a s912C Notice. In addition to the concerns raised in s 37(2)(b), s 47C, s 47E (d)

I think we ask them all of the normal questions when there has been a change in control (if you don't have the schedule from one of the old matters let me know and I will dig up the template).

s 22

I have attached the emails from Licensing, MI and s 22 regarding the conduct and also attached below the screenshot of my chat with s 22 who has raised issues s 37(2)(b), s 47C, s 47E (d).

Yesterday

s 22

s 22

Yesterday 12:12 PM

s 22  
s 37(2)(b), s 47C, s 47E (d)

Kind regards

s 22

s 22

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