

Haydar Tuncer

From: s 22
Sent: Friday, 11 November 2022 4:54 PM
To: s 22
Cc: s 22; s 22; s 22
Subject: AFS licence suspension brief - FTX Australia Pty Ltd (AFS licence number 323193)
[SEC=OFFICIAL:Sensitive]
Attachments: Copy of AFS Licence FTX Australia Pty Ltd AFSL 323193 - effective 4 January 2022.pdf; Form 201 - FTX Australia Pty Ltd Application for registration as an Australian company - document number 1E3986035.pdf; Form 205A Notification of resolution - change of company name to FTX Australia Pty Ltd - document number 7EBO56482.pdf; Form 205A Notification of resolution - change of company name to IFS Markets Pty Ltd - document number 7EBD33620.pdf; Form 484 Change to company details - ultimate holding company FTX Trading Limited - document number 7EBL79446.pdf; Form 505 External Administration or Controllership Appointment of an administrator or controller - document number 7EBY.pdf; Form FS20 - Controlling entity Samuel Bankman-Fried - document number 7EBL79537.pdf; FTX Australia Pty Ltd - AFS licence suspension brief to the delegate.docx

Good afternoon s 22

I hope you are well.

I understand s 22 has reached out to you regarding this matter. As such please find attached a brief for the suspension of FTX Australia Pty Ltd's AFS Licence under s915B(3)(b), including associated documents referred to in the brief.

Our Group Senior Manager, s 22 is happy to be contacted or involved in any meetings relating to FTX.

If you have any questions please let us know.

Hope you enjoy your weekend.

Kind regards

s 22

s 22

Analyst – Retail Complex Products and Investor Protection

Australian Securities and Investments Commission

Level 7, 120 Collins Street, Melbourne, 3000

Tel: s 22

s 22@asic.gov.au



ASIC