

s 22

ChatFilesOrganizationActivity+

s 22

s 22

s 47F

11/11 11:27 am

just confirmed FTX in EXAD as of 20 mins ago

11/11 11:27 am

they're done

Scam Bankrupt-Fried is now trending on twitter

s 22

s 22

11/11 11:32 am

yep

s 22

s 22

11/11 11:52 am

no chance you can start the bones of a licence suspension for FTX please?

11/11 11:52 am

915B?

EXAD

s 22

s 22

11/11 11:53 am

yes please

11/11 11:53 am

yep will do now

s 22

ChatFilesOrganizationActivity+

11/11 11:53 am

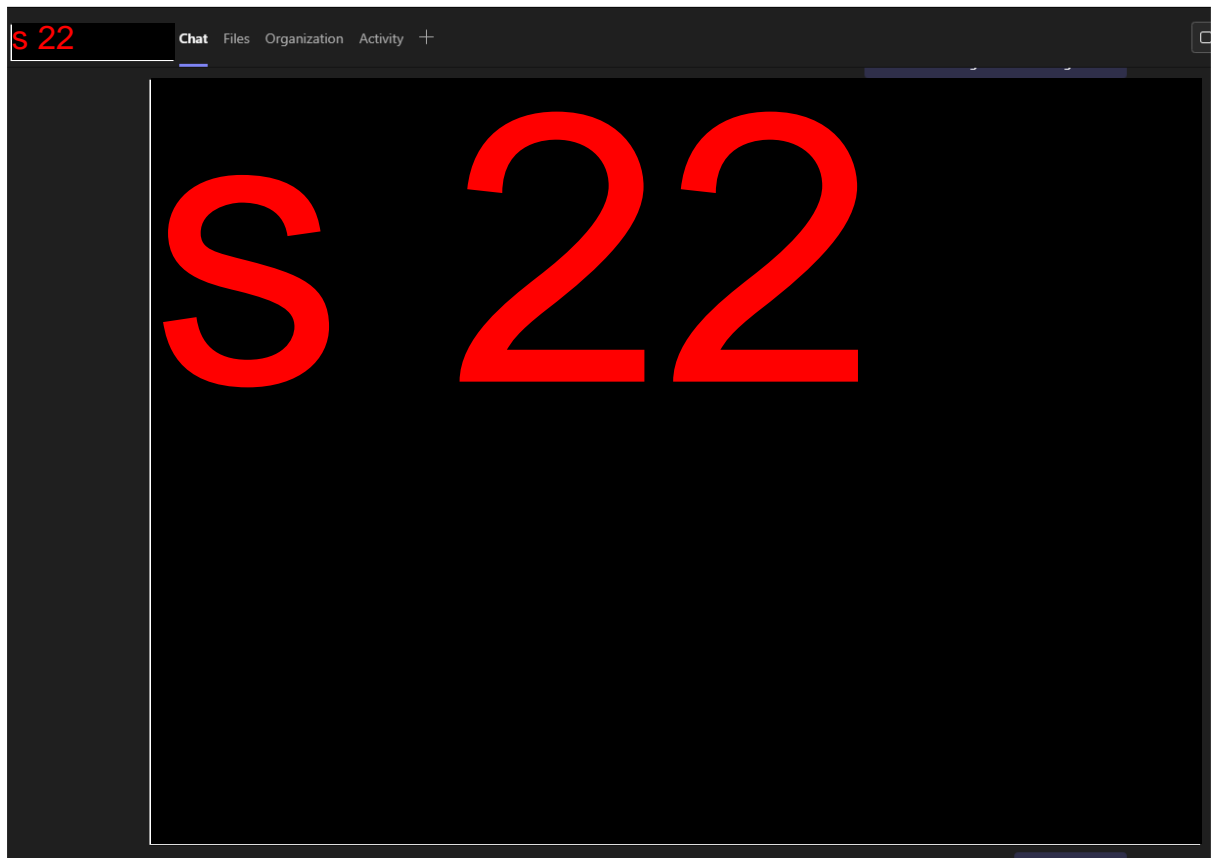
yep will do now

11/11 12:07 pm

its done they're in EXAD

CR1031903.2.1ASIC PRODUCTION SYSTEM11/11/2212:07:02ORGANISATION DETAILS ENQUIRYCurrent organisation details*** UNDER EXTERNAL ADMINISTRATION and/or CONTROLLER APPOINTED ***Name:FTX AUSTRALIA PTY LTDA.C.N.:129 217 812 ABN: 84 129 217 812 Registration date: 14/01/2008Status:EXADType:APTYClass:IASRSub-class:PROPRegistered officeLevel 6228 Pitt StreetSYDNEY NSW 2000Corporate Key: ActiveMore org details: N

s 22



s 22 Chat Files Organization Activity +

11/11 12:27 pm
all good!

11/11 12:40 pm
KordaMentha?

11/11 12:43 pm
s 22
s 22
yep

11/11 12:53 pm
k done

FTX Australia Pty Ltd - AFS licence suspension brief.docx

just need the administrators to lodge the docs

will collect the docs referenced in the paper and download etc

11/11 12:56 pm
s 22
s 22
legend

11/11 1:53 pm
s 22
no real change from me... I thought about taking out the s912C reference but kind of like it in there so we can issue if necessary

so only fiddled with the formatting. Have you got a doc alert set for both of them?

s 22

11/11 1:55 pm
oh yeah if we need to issue them notices why not

thanks, yeah I'm going to put the alert on, trying to find the admin docs

s 22

s 22 Chat Files Organization Activity +

11/11 1:55 pm
s 22
s 22
I took it out of s 22 but I think from now on we leave it in... it was only there from s 22 is there was an outstanding direction when they went into EXAD

11/11 1:56 pm
yep, exactly. We might be doing more work on FTX I thought

7EBY30339.pdf

suspension of 6 months?

11/11 1:59 pm
s 22
s 22
yeah that works for now

11/11 1:59 pm
cool

shall I finalise and sen around the pack?

11/11 1:59 pm
yes please

11/11 2:00 pm
s 22
s 22
yes please and say I have reviewed

11/11 2:00 pm
You s 22

11/11 2:00 pm
done

then to s 22

11/11 2:04 pm
s 22
s 22
yes please

s 22 Chat Files Organization Activity +

11/11 1:59 pm
s 22 yes please

11/11 2:00 pm
You, s 22

11/11 2:00 pm
s 22 yes please and say I have reviewed

11/11 2:00 pm
done

11/11 2:00 pm
then to s 22?

11/11 2:04 pm
s 22 yes please

11/11 2:04 pm
cool

s 22 Chat Files Organization Activity +

11/11 2:41 pm
we need to let s 22 know it's coming I assume

11/11 2:41 pm
s 22 good point. Are you ready to send?

11/11 2:41 pm
s 22 is reviewing now

so I assume in the next hour maybe

11/11 2:45 pm
s 22 I have sent an IM to s 22 she was been away for the last hour though

11/11 2:47 pm
Thank you

s 22 Chat Files Organization Activity +

11/11 3:44 pm
was there another s915H specification like allowing clients to close out positions?

that we usually include?

11/11 3:58 pm
s 22 yes, is it not in there?

11/11 3:59 pm
all good, included now

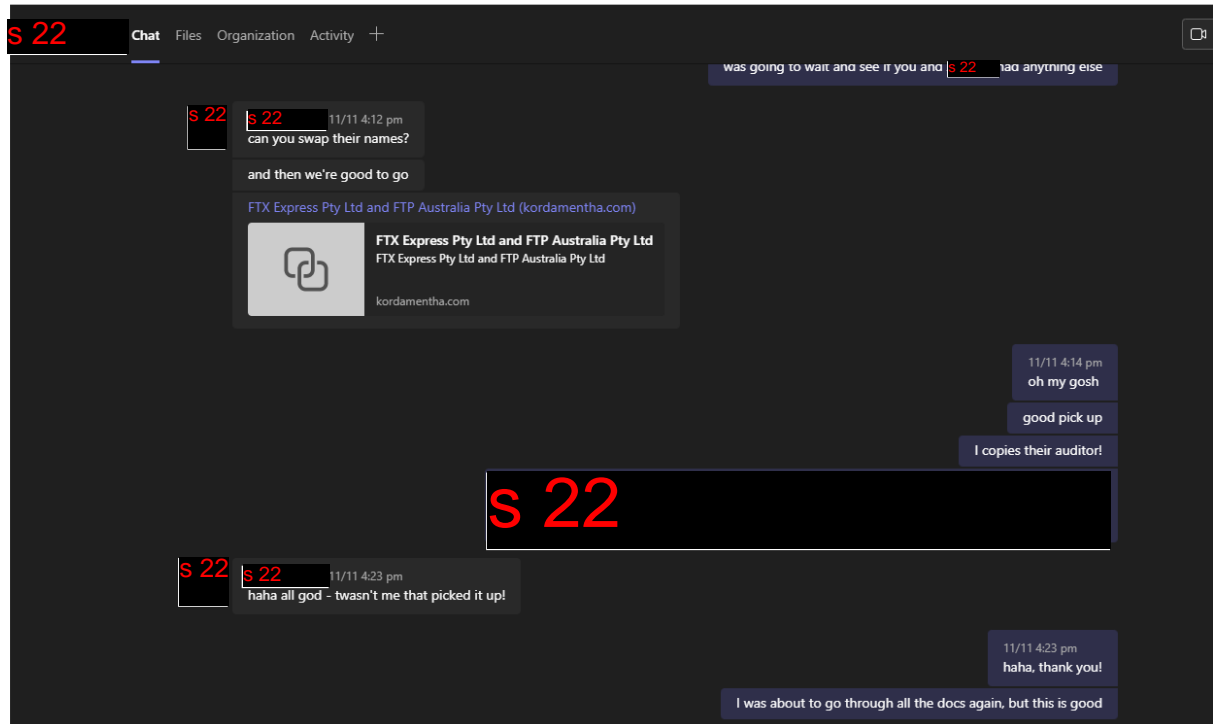
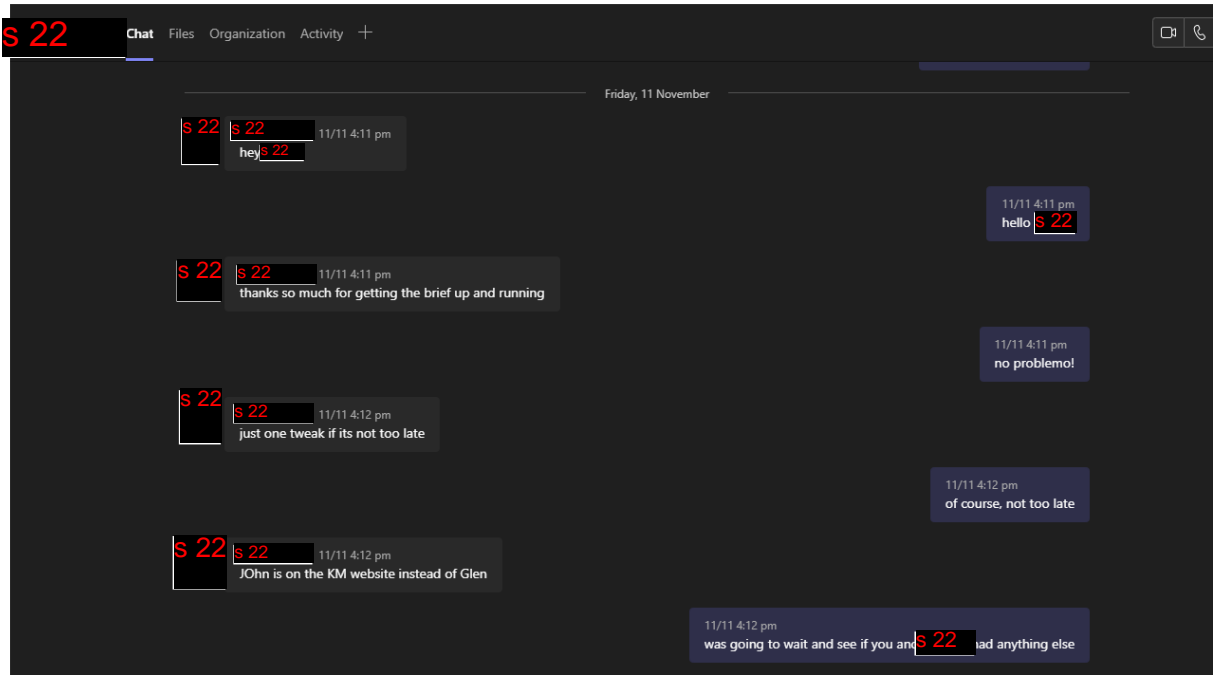
we didn't include deliberately in the s 22 one

but I added now

11/11 4:20 pm
s 22 <https://crmapp.asic.gov.au/ASIC/main.aspx#322797162>

11/11 4:21 pm
<https://crmapp.asic.gov.au/ASIC/main.aspx?etc=4201&extraqs=formid%3d549a8aa3-c349-4ab9-8bac-4873fa9a7a5c&id=%7b7d2f9971-7761-ED11-8165-005056A80AB2%7d&pagetype=entityrecord>

11/11 4:25 pm
s 22 <https://rcr.a1.asic.gov.au/sites/rgam004/Cases/CAS-112076-P8C2D2/EC%20victims%20-%20public%20-%20FTX%20Australia%20.docx?web=1>



s 22 Chat Files Organization Activity +

s 22 **s 22** 11/11 4:40 pm
great - thanks **s 22**

s 22 was just wondering how far off it is from going to **s 22**

sorry - no pressure!

11/11 4:41 pm
it's all done if you and **s 22** are good with it 😊

s 22 **s 22** 11/11 4:41 pm
yes great - he's happy

11/11 4:41 pm
cool, I'll accept all changes now, and send

s 22 **s 22** 11/11 4:43 pm
s 22 asked if you can pass on to **s 22** that he's happy to be contacted/involved in any meetings

11/11 4:43 pm 👍 1
Sounds good, will include in the email. I'll cc you all in

11/11 4:55 pm
thanks for the last minute fix **s 22**

if we don't chat, hope you enjoy your weekend

s 22 **s 22** 11/11 5:08 pm
thanks very much **s 22** you too!

11/11 5:08 pm
thanks! 😊

Tuesday, 15 November

s 22 Chat Files Organization Activity +

Friday, 11 November

11/11 9:18 pm
Hi **s 22** sorry I missed your call earlier, I'm pretty sure that the call I referred to was the one that you were in too with the administrators. Thank you for responding to **s 22** also

s 22 **s 22** 11/11 10:13 pm
No problem. Sorry to call after hours. I wasn't able to get hold of **s 22** so I think it is best to give the admins notice of the proposed conditions and a chance to comment before we ask **s 22** to decide

Saturday, 12 November

12/11 7:11 am
Definitely, from memory that's what we did when **s 22** went into administration too

Monday, 14 November

14/11 2:12 pm
Hi **s 22** had a read of **s 22** decision and only one minor typo that I saw at paragraph 8 where it says: "for how long the Licence ought to be suspended" I think this should be "for how **long** the Licence ought to be suspended". Let me know if you'd like me to respond to **s 22** otherwise happy to wait until you and **s 22** have had a read

sorry, the original is "for how the Licence ought to be suspended"

s 22 **s 22** 14/11 2:15 pm
Thanks **s 22** for your eagle eye. I am reading it now and then will contact the Admins as a courtesy before serving. Let me know if you find any other issues

14/11 2:16 pm
Excellent, **s 22** sounds great thank you. I'll have one final read of the decision document and let you know if I see anything else

s 22 **s 22** 14/11 2:20 pm
Para a of the suspension doesn't limit to termination of arrangements as per the draft. That is a bigger change so I will call **s 22**

s 22 Chat Files Organization Activity +

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s 22 s 22 14/11 2:20 pm
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14/11 2:23 pm
yep thank you, and does it matter that the suspension ends on a Sunday? (14 May 2023)

s 22 s 22 14/11 2:26 pm
Not really, except that it could continue providing fin services from Monday 15/5 if the suspension is not extended

14/11 2:26 pm
great thought so

s 22 s 22 14/11 2:29 pm
a and d seem to cover similar ground except that a is time limited and d is not. I suggest deleting d

14/11 2:30 pm
if the termination of arrangements is included back into a then yes I think they do as well