

Haydar Tuncer

From: s 22
Sent: Monday, 14 November 2022 8:23 AM
To: s 22
Subject: RE: FTX Australia Pty Ltd (AFS licence number 323193) [SEC=OFFICIAL:Sensitive]

Dear s 22

Thank you kindly for your reply (and for your work last night). I'll draft on that basis.

Kind regards

s 22
Chief Hearing Delegate, Chief Legal Office
Australian Securities and Investments Commission
Level 5, 100 Market Street, Sydney, NSW, 2000
+s 22
@asic.gov.au

EA: s 22 | Tel: s 22 | s 22 @asic.gov.au



ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.



From: s 22 @asic.gov.au>
Sent: Monday, 14 November 2022 8:21 AM
To: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>
Subject: RE: FTX Australia Pty Ltd (AFS licence number 323193) [SEC=OFFICIAL:Sensitive]

Hi s 22

Many thanks for clarifying. s 22 and I were discussing last night and he suggested, subject to considering any objection from the administrators, that we specify the date as Monday 19 December 2022 for the reasons you outline and so any application to extend would be made before Christmas shutdown.

We're meeting with the administrators at 11am and will confirm the outcome shortly after.

Kind regards,

s 22

s 22

Senior Manager

Australian Securities and Investments Commission

Level 5, 100 Market Street, Sydney, 2000

Tel: s 22

Mob: s 22

s22@asic.gov.au



ASIC

I acknowledge the traditional owners of the land on which I work, the Gadigal people of the Eora nation.

From: s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>

Sent: Monday, 14 November 2022 8:14 AM

To: s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>; s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>; s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>; s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>; s 22 [@asic.gov.au](mailto:s22@asic.gov.au)>

Subject: FTX Australia Pty Ltd (AFS licence number 323193) [SEC=OFFICIAL:Sensitive]

Good morning all,

Thank you for the good brief.

I'm working on the licence suspension. In relation to the specification allowing the licence to continue for 6 weeks for terminating an existing arrangement with a client, the 6 week period will end on Boxing Day. I can either end the period on 23 December, or, extend it to around 8 weeks and end it 9 January.

Hopefully clients will be sorted well prior to Christmas; but if there is a need to extend the specification for some reason, 23 December presents some obvious challenges with preparing and serving a variation order. I can do either but thought I should check with you.

Please could you confirm that I should keep the specification for terminating existing arrangements with a client to 23 December. Many thanks.

Kind regards

s 22

Chief Hearing Delegate, Chief Legal Office

Australian Securities and Investments Commission

Level 5, 100 Market Street, Sydney, NSW, 2000

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From: s 22 [redacted] <[redacted]@asic.gov.au>

Sent: Friday, 11 November 2022 4:54 PM

To: s 22 [redacted] <[redacted]@asic.gov.au>

Cc: s 22 [redacted] <[redacted]@asic.gov.au>; s 22 [redacted] <[redacted]@asic.gov.au>; s 22 [redacted] <[redacted]@asic.gov.au>

Subject: AFS licence suspension brief - FTX Australia Pty Ltd (AFS licence number 323193) [SEC=OFFICIAL:Sensitive]

Good afternoon s 22 [redacted]

I hope you are well.

I understand s 22 [redacted] has reached out to you regarding this matter. As such please find attached a brief for the suspension of FTX Australia Pty Ltd's AFS Licence under s915B(3)(b), including associated documents referred to in the brief.

Our Group Senior Manager, s 22 [redacted] is happy to be contacted or involved in any meetings relating to FTX.

If you have any questions please let us know.

Hope you enjoy your weekend.

Kind regards

s 22 [redacted]

s 22 [redacted]

Analyst – Retail Complex Products and Investor Protection

Australian Securities and Investments Commission

Level 7, 120 Collins Street, Melbourne, 3000

Tel: s 22 [redacted]

<[redacted]@asic.gov.au>



ASIC