

Haydar Tuncer

From: s 22
Sent: Tuesday, 22 March 2022 8:17 AM
To: s 22
Cc: s 22
Subject: RE: FTX matter [SEC=OFFICIAL]

Hi s 22

No problem, I'll organise the notice. I'll have a look for the change of control questions and let you know if I can't find them.

Cheers

s 22

From: s 22 @asic.gov.au>
Sent: Tuesday, 22 March 2022 8:08 AM
To: s 22 @asic.gov.au>
Cc: s 22 @asic.gov.au>
Subject: FTX matter [SEC=OFFICIAL]

Morning

Was hoping that you could take on this matter please. Given the concerns I think the best course of action at this stage is a s912C Notice. In addition to the concerns raised in s 37(2)(b), s 47C, s 47E (d)

I think we ask them all of the normal questions when there has been a change in control (if you don't have the schedule from one of the old matters let me know and I will dig up the template).

s 22

I have attached the emails from Licensing, MI and s 22 regarding the conduct and also attached below the screenshot of my chat with s 22 who has raised issues regarding FTX's TMD.

s 22

s 22

Yesterday 12:12 PM

s 37(2)(b), s 47C, s 47E (d)

Kind regards

s 22

s 22

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