



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
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# ASIC Gazette

## Contents

Notices under Corporations Act 2001

24-0775	24-0782	24-0783	24-0784	24-0795
24-0805	24-0807	24-0810		

Company/scheme deregistrations

Change of company type

### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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[www.asic.gov.au](http://www.asic.gov.au)

**24-0775**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Mittleman Investment Management, LLC  
ACN 642 491 914 ("the Licensee")  
1010 rue Sainte-Catherine Ouest, Suite 200  
MONTRÉAL, QC H3B 5L1 CANADA

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 528365 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 September 2024

Signed *George Podaras*  
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities & Investments Commission

**Australian Securities and Investments Commission**

Office address (inc courier deliveries):  
Level 7, 120 Collins Street,  
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[www.asic.gov.au](http://www.asic.gov.au)

**24-0782**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: State One Equities Pty Ltd  
ACN 064 904 650 ("the Licensee")  
Level 7, 220 St Georges Terrace  
PERTH WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 233129 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 October 2024

*George Podaras*

Signed .....

George Podaras  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
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Investments Commission

**Australian Securities  
and Investments Commission**

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[www.asic.gov.au](http://www.asic.gov.au)

**24-0783**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Pythagoras Investment Timing Index Pty Ltd  
ABN 88 147 371 113 ("the Licensee")  
11 Beal Place  
MORNINGTON VIC 3931

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 431288 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *24th September 2024*

Signed *M.S.* .....

Melanie Spang  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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[www.asic.gov.au](http://www.asic.gov.au)

**24-0784**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Szejay Investments Pty. Ltd.  
ACN 007 130 181 ("the Licensee")  
Level 4, 22 Horne Street  
ELSTERNWICK VIC 3185

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247145 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 September 2024

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission

24-0795

**Australian Securities and Investments Commission  
Corporations Act 2001 — s926A(2)(a) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the **Act**).

**Title**

2. This instrument is ASIC Instrument 24-0795.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts BNY Mellon Investment Management Hong Kong Limited, a company incorporated under the laws of Hong Kong and registered under number AQI762 in the Securities and Futures Commission of Hong Kong Register (*the body*), from the requirement to hold an Australian financial services (*AFS*) licence in the case specified in Schedule A.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) Subparagraph 1(3) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1103] *Hong Kong SFC regulated financial service providers*; or
  - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

**Schedule A**

1. The exemption in paragraph 4 applies where all the following apply:

24-0795

- (a) the body has a current licence or certificate of registration granted by the Securities and Futures Commission Hong Kong (*SFC*);
  - (aa) the body is either a body corporate incorporated in Hong Kong or a partnership formed in Hong Kong;
  - (b) the body:
    - i. is registered under Division 2 of Part 5B.2 of the Act; or
    - ii. has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
  - (c) the body's primary business is the provision of financial services;
  - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
  - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
    - i. 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
    - ii. 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
  - (f) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the **financial services**) in this jurisdiction to wholesale clients:
- (a) providing financial product advice;
  - (b) dealing in a financial product;

In respect of any of the following financial products;

- (a) securities;
- (b) managed investment products; or

24-0795

- (c) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.

3. Where the body has provided ASIC with:

- (a) evidence that paragraph (a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
- (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
- (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
  - i. the deed is irrevocable except with the prior written consent of ASIC;
  - ii. the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
  - iii. the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
  - iv. if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
  - v. the body covenants that, on written request of either the SFC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SFC to disclose to ASIC and ASIC to disclose to the SFC any information or document that the SFC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by the SFC to ASIC and ASIC to the SFC of any information or document that the SFC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.



24-0795

**Schedule B**

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Hong Kong regulatory requirements if the financial service were provided in Hong Kong in like circumstances.
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
  - (a) each significant change to, including the termination of, the registration as a registered broker dealer or a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
  - (b) each significant particular exemption or other relief which the body obtains from the Hong Kong regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
  - (c) each action or investigation of the following kinds taken by the SFC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
    - (i) significant enforcement action;
    - (ii) significant disciplinary action;
    - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
  - (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
  - (ii) the body is regulated by the SFC under Hong Kong laws, which differ from Australian laws.
4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

24-0795

**Interpretation**

In this instrument:

*Act* means the *Corporations Act 2001*;

*Address*, in relation to a company, means the address of the registered office of the company

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

*ASIC Act* means the *Australian Securities and Investments Commission Act 2001*;

*Authorised financial institution* has the meaning given in Schedule 1 to the SFO;

*Certificate of registration* means the certificate of registration granted to authorised financial institutions under section 119 of the SFO;

*Dealing* has the meaning given by s9 of the Act;

*Derivatives* has the meaning given by s9 of the Act;

*Examining authority*, in relation to the body, means a self-regulatory organisation to which the body belongs which has not been relieved of the responsibility relating to the body under s17(d)(1)(A) of the Exchange Act in any respect;

*Exchange Act* means the *Securities and Exchange Act 1934* (US);

*Financial product* has the meaning given by s9 of the Act;

*Financial product advice* has the meaning given by s761A of the Act;

*Financial services law* has the meaning given by s761A of the Act;

*HK regulatory requirements* means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SFC;

*Licence* means a licence granted under section 116 of the SFO or deemed to be granted under sections 22 or 25(b) of Schedule 10 to the SFO;

*Interest in a managed investment scheme* has the meaning given by s9 of the Act;

*Managed investment product* has the meaning given by s9 of the Act;

*Managed investment scheme* has the meaning given by s9 of the Act;

24-0795

*Notice* and *notified* mean, respectively, written notice and notified in writing;

*Overseas regulatory authority* means a foreign regulatory authority (other than the SFC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

*Registration* means registration granted under section 119 of the SFO or deemed to be granted under sections 25(a) or 32 of Schedule 10 to the SFO;

*Representative* has the meaning given by s9 of the Act;

*Securities* has the meaning given by s9 of the Act;

*SFC* means the Securities and Futures Commission of Hong Kong;

*SFO* means the Securities and Futures Ordinance 2002 of Hong Kong;

*Wholesale client* has the meaning given in section 761G of the Act.

Dated this 10<sup>th</sup> day of October 2024



Signed by  
as a delegate of the Australian Securities and Investments Commission

24-0805

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Suspension of an Australian Financial Services Licence**

TO: Strata Advice Pty Ltd  
ACN 646 759 506 ("the Licensee")  
Ground Level, 25 Palmerston Crescent  
SOUTH MELBOURNE VIC 3205

1. Pursuant to 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 528306 held by the Licensee until 27 February 2025, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while suspended, as though the suspension had not happened for the purposes of:
  - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme; and
  - b. section 912B of the Act, which requires the Licensee to have compensation arrangements in place for retail clients. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 8 October 2024

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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Level 7, 120 Collins Street,  
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[www.asic.gov.au](http://www.asic.gov.au)

**24-0807**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Brookside Accountants Pty Ltd  
ACN 169 856 697 ("the Licensee")  
Suite 1 56-58 Frenchs Road  
WILLOUGHBY NSW 2068

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485237 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 8 October 2024

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission



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Australian Securities &  
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Australian Securities  
and Investments Commission

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Level 7, 120 Collins Street  
Melbourne VIC 3000

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GPO Box 9827  
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Tel: +61 1300 935 075

[www.asic.gov.au](http://www.asic.gov.au)

**24-0810**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Suspension of an Australian Financial Services Licence**

TO: Advice Today Pty Ltd  
ACN 150 383 289 ("the Licensee")  
Suite 197 45 Glenferrie Road  
MALVERN VIC 3144

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 403895 held by the Licensee until 8 April 2025, with effect from the date on which this notice is given to the Licensee.

Dated 8 October 2024

Signed ..  ..

Jedo Charles  
A delegate of the Australian Securities and Investments  
Commission

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this eleventh day of October 2024

**Name of Company**

**ARBN**

JEFFERIES INTERNATIONAL LIMITED	625 101 902
MY BELUGA LTD	623 416 026
OCTOPUS INVESTMENTS (AUSTRALIA) LIMITED	623 637 301

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of October 2024

**Name of Company**

**ARBN**

CIPHIX B.V.	670 920 671
CLSA LIMITED	134 588 453
FORTINET INTERNATIONAL, INC.	107 522 869
GENERAL ELECTRIC GLOBAL SERVICES GMBH	607 765 597
OFFICE FOR METROPOLITAN ARCHITECTURE (O.M.A.) STEDEBOUW B.V.	613 188 631
REYNAERS ALUMINIUM	647 706 714
TELMAR INTERNATIONAL INC.	124 569 946
THEJO ENGINEERING LIMITED	169 646 324



CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of October 2024

**Name of Scheme**

**ARSN**

AAFH QUANTUM LEAP FUND	623 226 611
AWARE INVESTMENT - FIXED INTEREST FUND	150 755 249
RUSSELL INVESTMENTS PORTFOLIO SERIES - CONSERVATIVE	099 584 639
SCHRODER ASIAN SHARES FUND	092 337 936
SCHRODER EMERGING MARKETS SUSTAINABLE FUND	157 846 145

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**ANSARADA GROUP LIMITED** ACN 602 586 407 will change to a proprietary company limited by shares. The new name will be ANSARADA GROUP PTY LTD ACN 602 586 407.

**CARIBOU METALS LIMITED** ACN 664 199 473 will change to a proprietary company limited by shares. The new name will be CARIBOU METALS PTY LTD ACN 664 199 473.

**FLOWER AND GARDEN SHOW LTD** ACN 098 042 696 will change to a proprietary company limited by shares. The new name will be FLOWER AND GARDEN SHOW PTY LTD ACN 098 042 696.

**ONE FIDUCIARY SERVICES PTY LIMITED** ACN 677 980 184 will change to a public company limited by shares. The new name will be ONE FIDUCIARY SERVICES LIMITED ACN 677 980 184.

**SUNVEST CORPORATION LIMITED** ACN 008 132 036 will change to a proprietary company limited by shares. The new name will be COCO CLAIRE HOLDINGS PTY LIMITED ACN 008 132 036.

**AWA MUTUAL LIMITED** ACN 087 651 652 will change to a proprietary company limited by shares. The new name will be AWA MUTUAL PTY LTD ACN 087 651 652.

**EASTWOODS GROUP LIMITED** ACN 008 618 193 will change to a proprietary company limited by shares. The new name will be EASTWOODS GROUP PTY LTD ACN 008 618 193.

**HYDI PTY LTD** ACN 619 219 000 will change to a public company limited by shares. The new name will be HYDI LIMITED ACN 619 219 000.

**RECETTE LIMITED** ACN 611 576 133 will change to a proprietary company limited by shares. The new name will be RECETTE PTY LTD ACN 611 576 133.