



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0219

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 926A(2)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0219.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Davidson Kempner Asia Limited, a company incorporated under the laws of Hong Kong and registered under number 1252726 in the Securities and Futures Commission of Hong Kong Register (the *body*), from the requirement to hold an Australian financial services licence (*AFS licence*) upon the conditions referred to in Schedule A and in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) sub-paragraph 1(3) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1103] *Hong Kong SFC regulated financial service providers*; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction.

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Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current licence or certificate of registration;
 - (aa) the body is either a body corporate incorporated or a partnership formed in Hong Kong;
 - (b) the body:
 - (i) is registered under Div 2 of Pt 5B.2 of the Act; or
 - (ii) has an agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an agent for any consecutive period of 10 business days;
 - (c) the body's primary business is the provision of financial services;
 - (d) neither the body nor its agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument;
 - (f) the body has not notified ASIC that it will not rely on this instrument

Schedule B

Where:

1. the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or

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- (b) dealing in a financial product,
in respect of any of the following financial products:
 - (c) derivatives;
 - (d) foreign exchange contracts;
 - (e) securities;
 - (f) debentures, stocks or bonds issued by a government;
 - (g) managed investment products; or
 - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
- 2. the body has provided ASIC with:
 - (a) evidence that sub-paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
 - (b) a notice that it will provide the financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in s659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC; and
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under s50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (iv) if the body is not registered under Div 2 of Pt 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial

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services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the agent; and

- (v) the body covenants that, on written request of either the SFC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SFC to disclose to ASIC and ASIC to disclose to the SFC any information or document that the SFC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by the SFC to ASIC and ASIC to the SFC of any information or document that the SFC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

3. The body must:

- (a) provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Hong Kong regulatory requirements if the financial service were provided in Hong Kong in like circumstances;
- (b) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the licence or registration applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which the body obtains from the Hong Kong regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the SFC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to the financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;

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- (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (c) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
 - (ii) the body is regulated by the SFC under Hong Kong laws, which differ from Australian laws.

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Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address in relation to a company, means the address of the registered office of the company;

agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

certificate of registration means the certificate of registration granted to authorised financial institutions under section 119 of the SFO;

derivative has the meaning given by section 761D of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

foreign exchange contract has the meaning given by section 761A of the Act;

Hong Kong regulatory requirements means the rules that apply in relation to the financial services including:

- a. any other applicable legislation;
- b. instruments made under that legislation; and
- c. any relevant policies or other documents (however described) issued by the SFC;

licence means a licence granted under section 116 of the SFO or deemed to be granted under sections 22 or 25(b) of Schedule 10 to the SFO;

managed investment products has the meaning given by s764A(1)(ba) of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

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overseas regulatory authority means a foreign regulatory authority (other than the SFC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

registration means registration granted under section 119 of the SFO or deemed to be granted under sections 25(a) or 32 of Schedule 10 to the SFO;

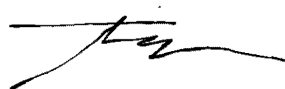
securities has the meaning given by s761A of the Act;

SFC means the Securities and Futures Commission of Hong Kong;

SFO means the *Securities and Futures Ordinance 2002* of Hong Kong; and

wholesale client has the meaning given in s761G of the Act.

Dated this 13th day of April 2022.



Signed by Andrew Kyu Sun Choi
as a delegate of the Australian Securities and Investments Commission



22-0229

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Suspension of an Australian Financial Services Licence

TO: ENVISAGER SECURITIES LIMITED
ACN 167 567 482 ("the Licensee")
132-138 CREMORNE ST
CREMORNE VIC 3121

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 463919 held by the Licensee until 30 June 2022, with effect from the date on which this notice is given to the Licensee.

Dated 11 April 2022

Signed *Gerard Mithen*

Gerard Mithen
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

22-0233

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Share Investing Limited
ABN 93 078 174 973 ("the Licensee")
L9A/833 Collins St
DOCKLANDS MELBOURNE VIC 3008

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001 (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian Financial Services (AFS) Licence number 238277 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 April 2022

Signed *Gerard Mithen*
Gerard Mithen
a delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 07

Fax: +61 1300 729 000
www.asic.gov.au

22-0246

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Altus Licensee A.C.N. 646 262 660 ("the Licensee")
Level 12
10 Spring Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number **527893** held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 7 April 2022

Signed Charles

Jedo Charles
A delegate of the Australian Securities and Investments Commission

IN-CONFIDENCE

**ASIC**

Australian Securities & Investments Commission


GPO Box 9827 in your Capital City

22-0247**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Lazard Pty Ltd A.C.N. 086 785 622 ("the Licensee")
Level 12
1 Macquarie Place
Sydney, NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245358 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 April 2022

Signed .....

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0260

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: LE HAN ("the Licensee")
4 Reddish Close
Lane Cove North NSW 2066

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488941 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 April 2022

Signed *N Vonarx*

Nicholas Vonarx
A delegate of the Australian Securities and Investments Commission

22-0265

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 741(1)(a) and 741(1)(b) – Exemption and
Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a) and 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 22-0265.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. New Zealand King Salmon Investments Limited ARBN 613 922 071 (*the issuer*) does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer for issue of shares under a purchase plan.

Where this exemption applies

5. This exemption applies where the issuer meets the requirements and conditions of LI 2019/547 except paragraph 7(f) of LI 2019/547, and would meet the requirements and conditions of LI 2019/547 if in section 7 of LI 2019/547 paragraph (f) was omitted and substituted with:

“(f) the issuer has either:

- (i) not more than 30 days before the offer, given a notice to ASX that complies with subsection 708A(6), 708A(12J) as notionally inserted by ASIC Instrument 22-0265 or 1012DA(6) of the Act in relation to an issue of shares or interests in the class made otherwise than under a purchase plan; or
- (ii) within the 24 hours before the offer is made, given a notice to the relevant market operators for the issuer that:
 - (A) states that the issuer reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
 - (B) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:

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- (I) the issuer will make offers to issue shares under a purchase plan without disclosure to investors under Part 6D.2; and
- (II) the notice is being given in accordance with this instrument; and
- (III) as at the date of the notice, the issuer has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.”

Declaration

6. Chapter 6D of the Act applies in relation to the issuer as if the following provisions were modified or varied as follows:

- (a) in section 708A:

- (i) in paragraph (1)(a) omit “(12G) or (12H),” and substitute “(12G), (12H) or (12J),”;

Note: ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 notionally inserted section 708A(12A) of the Act, ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82 notionally inserted section 708A(12C) of the Act and ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71 notionally inserted section 708A (12G) and (12H) of the Act.

- (ii) in paragraph (1A)(a) omit “(5),” and substitute “(5) or (12J),” and

- (iii) after subsection (12I) insert:

“Sale offers of quoted securities: NZ foreign exempt listed body—case 8

- (12J) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:

- (a) the relevant securities are in a class of securities that were quoted securities at all times in the following period:
 - (i) if this section applies because of subsection (1)—
3 months before the day on which the relevant securities were issued; or
 - (ii) if this section applies because of subsection (1A)—
3 months before the day on which the relevant securities were sold by the controller; and

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- (b) trading in the class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 days during:
- (i) if this section applies because of subsection (1) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or
 - (ii) if this section applies because of subsection (1A) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and
- (c) either:
- (i) if this section applies because of subsection (1)—the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
 - (ii) if this section applies because of subsection (1A)—both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; and
- (d) the notice given under paragraph (c) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
- (i) if this section applies because of subsection (1)—the body issued the relevant securities without disclosure to investors under this Part; or
 - (ii) if this section applies because of subsection (1A)—the controller sold the securities without disclosure to investors under this Part; and
 - (iii) the notice is being given under paragraph 708A(12J) as notionally inserted by instrument 22-0265;

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- (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

New Zealand foreign exempt listed body means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.

relevant market operator means both ASX Limited and NZX Limited.”

- (b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”; and

- (c) omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
 - (i) the body will offer the relevant securities for issue without disclosure under this Part;
 - (ii) the notice is being given under paragraph (2)(f); and
 - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

New Zealand foreign exempt listed body means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.”

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(d) in subsection 708AA(12) as notionally inserted by ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 omit subsection (a) and substitute:

“(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator, or”

Interpretation

7. In this instrument:

LI 2019/547 means *ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547*.

purchase plan has the meaning given by LI 2019/547.

relevant market operators means both ASX Limited and NZX Limited.

Dated this 11th day of April 2022



Signed by Genevieve Lai
as a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

GPO Box 9827 in your Capital City

22-0271


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Brian Patrick Fanning
A.B.N. 53 301 882 769
PO Box 143
Hyde Park
Castletown QLD 4812

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489214 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 April 2022

Signed 
.....

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0284

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001 (the Act)*.

Title

2. This instrument is ASIC Instrument 22-0284.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Rainmaker Securities, LLC (*Rainmaker*), a company incorporated in the state of Delaware and registered with the SEC under file number #8-66667, from the requirement to hold an Australian financial services (*AFS*) licence, upon the condition referred to in Schedule A, in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) subsection 1(3) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial service providers*; or
 - (b) *Rainmaker* failing to comply with any written notice given by ASIC directing *Rainmaker* to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by *Rainmaker* in this jurisdiction; or
 - (c) *Rainmaker* being notified in writing by ASIC that it is excluded from relying on this instrument.

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) *Rainmaker* is a registered broker dealer that is a member of the Securities Investor Protection Corporation established under the Securities Investor

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Protection Act of 1970 of the US and that is a member of FINRA and FINRA is Rainmaker's examining authority;

- (b) Rainmaker is a body corporate incorporated in the US or a State of the US;
- (c) Rainmaker:
 - (i) is registered under Division 2 of Part 5B.2 of the Act; or
 - (ii) has an Agent at the time Rainmaker first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
- (d) Rainmaker's primary business is the provision of financial services;
- (e) neither Rainmaker nor its Agent has been notified by ASIC that Rainmaker is excluded from relying on this instrument;
- (f) if Rainmaker becomes aware or should reasonably have become aware of matters that give it reason to believe it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since Rainmaker became so aware or should reasonably have become so aware without Rainmaker providing full particulars of the failure to ASIC (to the extent that Rainmaker knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from Rainmaker without ASIC notifying Rainmaker that it may continue to rely on this instrument; and
- (g) Rainmaker has not notified ASIC that it will not rely on this instrument.

Schedule B

Where:

1. Rainmaker provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in a financial product;

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in respect of any of the following financial products:

- (c) securities; or
- (d) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.

2. Rainmaker has provided ASIC with:

- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
- (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
- (c) a deed of Rainmaker for the benefit of and enforceable by ASIC and other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that Rainmaker may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC;
 - (ii) Rainmaker submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
 - (iii) Rainmaker covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
 - (iv) if Rainmaker is not registered under Division 2 of Part 5B.2 of the Act, service of process on Rainmaker in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) Rainmaker covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC and ASIC to disclose to the SEC any information or document that the SEC or ASIC has that relates to Rainmaker; and

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- (d) written consents to the disclosure by the SEC to ASIC and ASIC to the SEC of any information or document that the SEC or ASIC has that relates to Rainmaker. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

1. Rainmaker must:

- (a) provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances; and
- (b) notify ASIC, as soon as practicable and in any event within 15 business days after Rainmaker became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the registration as a registered broker dealer or a registered investment adviser applying to Rainmaker relevant to the financial services Rainmaker provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which Rainmaker obtains from the US regulatory requirements relevant to the financial services Rainmaker provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against Rainmaker in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, Rainmaker is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (c) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) Rainmaker is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and

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- (ii) Rainmaker is regulated by the SEC under US laws, which differ from Australian laws; and
- (d) if ASIC gives Rainmaker a written notice directing Rainmaker to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by Rainmaker in this jurisdiction – comply with the notice.

Interpretation

In this instrument:

address, in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by Rainmaker for the purposes of this instrument, and who is authorised to accept on Rainmaker's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act;

examining authority, in relation to a body, means a self-regulatory organisation to which the body belongs which has not been relieved of responsibility relating to the body under section 17(d)(1)(A) of the Exchange Act in any respect;

Exchange Act means the Securities Exchange Act of 1934 of the US;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FINRA means the Financial Industry Regulatory Authority Inc.;

notice and **notified** mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the SEC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

registered broker dealer means a broker or dealer registered under section 15(b) of the Exchange Act;

registered investment adviser means a body corporate or a partnership formed in the US or a State of the US registered under section 203(c) of the Investment Advisers Act of 1940 of the US;

SEC means the Securities and Exchange Commission of the US;

securities has the meaning given by section 761A of the Act;

US means the United States of America;

US regulatory requirements means the rules that apply in relation to the financial services including:

- (a) any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and

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(b) if Rainmaker is covered by subparagraph (a)(i) of Schedule A but not subparagraphs (a)(ii) or (iii) of that Schedule—any applicable rules, policies or other documents (however described) of FINRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 8th day of April 2022.



Signed by Jacqueline Cochrane
as a delegate of the Australian Securities and Investments Commission

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**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Resource Capital Research Pty. Limited
ACN 111 622 489 ("the Licensee")
GPO BOX 3437
SYDNEY NSW 2001

Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 325340 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 April 2022

Signed *N Vonarx*

Nicholas Vonarx
A delegate of the Australian Securities and Investments Commission

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NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 8 April 2022.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

NOTICE OF SUSPENSION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

To: **Dixon Advisory & Superannuation Services Pty Ltd ACN 103 071 665**

Under section 915B(3) of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) hereby suspends Australian financial services licence number 231143 (the Licence) held by Dixon Advisory & Superannuation Services Pty Ltd ACN 103 071 665 (DASS) until 8 April 2023.

Under section 915H of the Act, ASIC specifies that the Licence continues in effect, while suspended, as though the suspension had not happened:

- (a) until 9 May 2022 for the purposes of Chapter 7 of the Act, but only in relation to the provision of financial services to persons who are clients of DASS as at 8 April 2022; and
- (b) from 10 May 2022 to 8 April 2023 for the purposes of sections 912A(1)(g) and 912B of the Act.

Dated this 8th day of April 2022

Signed: 

Christine Croff
Delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

The Directors
Melbourne Securities Corporation Limited
Level 2, 365 Collins Street
Melbourne VIC 3000

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**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

By email only: lblair@msc.group; sbrown@msc.group

12 April 2022

Dear Directors

Melbourne Securities Corporation Limited (ACN 160 326 545) – Application to become an approved body corporate

We refer to the application from Melbourne Securities Corporation Limited (ACN 160 326 545) (**MSC**) received by the Australian Securities and Investments Commission (**ASIC**) on 29 March 2022 in which MSC seeks ASIC approval to become a trustee for the purposes of s283AC(1)(f) of the *Corporations Act 2001* (**Act**). In the application, MSC seeks approval to become debenture trustee for the holders of unlisted notes issued by Flight Centre Travel Group Limited ACN 003 377 188 (**Flight Centre**).

ASIC's power to approve bodies corporate to be debenture trustees

Section 283AC of the Act sets out who can be a debenture trustee for the purposes of Chapter 2L, and paragraph 283AC(1)(f) includes a body corporate approved by ASIC. Under subsection 283GB(1), ASIC has the power to approve a body corporate in writing to act as trustee:

- (a) in any circumstances;
- (b) in relation to a particular borrower or particular class of borrower; or
- (c) in relation to a particular trust deed.

ASIC's approval of a debenture trustee under subsection 283GB(1) may be given subject to conditions.

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Approval of MSC to act as trustee

Having considered the application, pursuant to paragraph 283GB(1)(c) of the Act, ASIC hereby approves MSC to act as trustee for the purposes of paragraph 283AC(1)(f) under the trust deed dated on or about 13 April 2022, between MSC and Flight Centre to the extent that the trust deed complies with section 283AB(1) of the Act.

ASIC's approval is given on the following conditions:

- (a) ASIC's approval is limited to approval for MSC to act as trustee for unlisted notes of Flight Centre with an aggregate face value of no more than \$5 million. MSC must make a separate application under paragraph 283GB(1)(c) of the Act for approval to act as trustee for any unlisted notes issued by Flight Centre where such issuance would result in the aggregate face value of unlisted notes on issue under the trust deed exceeding \$5 million;
- (b) MSC provides a written attestation to ASIC to the effect that MSC considers its current level professional indemnity insurance cover to be adequate with regard to the nature and scale of its business (**Attestation**);
- (c) MSC enters into a Deed Poll in favour of ASIC in a form approved by ASIC (**Deed Poll**); and
- (d) MSC provides a copy of the original executed Deed Poll to ASIC by email to Jeremiah Leong and Dominic Clarke of the Corporations Team (jeremiah.leong@asic.gov.au and dominic.clarke@asic.gov.au).

We note that:

- (a) MSC provided the Attestation to ASIC on 11 April 2022; and
- (b) MSC has entered into a Deed Poll in favour of ASIC, in a form approved by ASIC, dated 12 April 2022 and has provided a copy of the executed Deed Poll to ASIC on 12 April 2022.

Policy review

ASIC is in the process of undertaking a review of our policy relating to the assessment of prospective debenture trustees under section 283GB of the Act, with specific regard to the appropriate minimum level of professional indemnity insurance that applicants should be required to hold. Other financial resources requirements, such as net current assets, are also under consideration.

ASIC has determined that, until the policy review is finalised, the Deed Poll will require that MSC holds minimum aggregate professional indemnity insurance of \$50 million.

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In the event that the policy review determines that professional indemnity insurance of \$50 million is insufficient, ASIC may seek to modify this approval to ensure that the professional indemnity insurance requirements in the Deed Poll are in accordance with ASIC's policy.

If you wish to discuss this matter further, please contact me on 03 9280 3925.

Yours sincerely,



Dominic Clarke
as a delegate of the Australian Securities and Investments Commission

Attachment: Deed Poll in favour of ASIC

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ASIC
Australian Securities &
Investments Commission

Deed poll: Condition to ASIC approval to act as trustee

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DEED POLL: Condition to ASIC approval to act as trustee**Deed Poll: Condition to ASIC approval to act as trustee**

This deed poll is made on 12 April 2022

by:

Melbourne Securities Corporation Limited ACN 160 326 545 of Level 2, 395 Collins Street Melbourne, Victoria, 3000, Australia (**Trustee**),

for the benefit of and enforceable by the:

Australian Securities and Investments Commission of Level 7, 120 Collins Street, Melbourne, Victoria, 3000, Australia (**ASIC**).

Recitals

- a. The Trustee has applied to ASIC for approval to be a trustee for the purposes of paragraph 283AC(1)(f) of the *Corporations Act 2001* in connection with issued, or proposed issues of debentures under the Trust Deed.
- b. ASIC will approve the Trustee's application under paragraph 283GB(1)(c) on the condition that the Trustee makes this deed poll in favour of ASIC.
- c. The Trustee will be appointed as trustee of the Trust.
- d. The provisions of this document will apply to the Trustee and its actions or omissions as trustee pursuant to the Trust Deed.

Operative provisions**Becoming Trustee**

- 1 The Trustee will notify ASIC of its appointment as trustee of the Trust if that appointment occurs after the date of this deed poll.

Competency

- 2 The Trustee will:
 - (a) do all things necessary to ensure that it conducts its role as trustee efficiently, honestly and fairly;
 - (b) maintain the competence to act as trustee for the holders of debentures, issued or proposed to be issued by the Borrower pursuant to the Trust Deed; and
 - (c) ensure that its employees are adequately trained and competent for the Trustee to conduct its role as trustee of the Trust.

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DEED POLL: Condition to ASIC approval to act as trustee

- 3 The Trustee will notify ASIC if it suspects any failure to comply with any of the obligations in clause 2.
- 4 The Trustee will notify ASIC of any material change which could reasonably impact the Trustee's ability to exercise its powers or to perform its functions under the Trust Deed, including but not limited to:
 - (a) changes in the Trustee's financial resources;
 - (b) the departure of key staff; and
 - (c) the Trustee becoming aware that it:
 - (i) has not been validly appointed; or
 - (ii) will be wound up or deregistered.

Financial resources

- 5 Subject to clause 6, under this deed poll, the Trustee is not required to hold Net Current Assets of at least \$250,000 separate to or additional to any other obligation to hold an amount of Net Current Assets that the Trustee may be required to hold in respect of another trustee appointment(s) for the purposes of paragraph 283AC(1)(f) of the Act.
- 6 The Trustee will have Net Total Assets of an amount that is equal to or more than the total Net Current Assets amount required under clause 5.
- 7 The Trustee will notify ASIC if the Net Current Assets or Net Total Assets is less than 95% below the amounts required under clauses 5 to 6.

Professional indemnity insurance

- 8 The Trustee will maintain professional indemnity insurance at the level set out in the following table in connection with its appointment to act as the trustee under the Trust Deed:

Table 1: Professional indemnity insurance

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DEED POLL: Condition to ASIC approval to act as trustee

Total value of debentures issued by the Borrower plus total value of debentures issued in connection with any other trustee appointment(s) the Trustee may hold for the purposes of paragraph 283AC(1)(f) of the Act	Professional indemnity insurance required
\$0 – \$5 million	Total value of debentures issued by the Borrower plus total value of debentures issued in connection with any other trustee appointment(s) the Trustee may hold for the purposes of paragraph 283AC(1)(f) of the Act
\$5 million – \$20 million	\$5 million
\$20 million or more	The lesser of: (a) 25% of the total value of the debentures issued by the Borrower plus total value of debentures issued in connection with any other trustee appointment(s) the Trustee may hold for the purposes of paragraph 283AC(1)(f) of the Act; or (b) \$50 million.

- 9 The Trustee's professional indemnity insurance must permit the Trustee to claim in its capacity as trustee of the Trust.
- 10 The Trustee will notify ASIC of any material amendment to the terms of its professional indemnity insurance or of any claims made against its professional indemnity insurance. A material amendment includes an amendment which reduces the level of the Trustee's insurance cover.

Independence

- 11 From time to time, and at least once annually, the Trustee will make reasonable enquiries to ascertain if any of the following circumstances have occurred:
 - (a) the Trustee, or an officer, becomes an associate of the Borrower;
 - (b) the Trustee, or an associate of the Trustee, beneficially holds shares in the Borrower or an associate of the Borrower;
 - (c) the Borrower, or associate of the Borrower, beneficially holds shares in the Trustee or an associate of the Trustee;

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DEED POLL: Condition to ASIC approval to act as trustee

- (d) the Trustee, or an officer, beneficially owes money to, or is beneficially owed money by, the Borrower or an associate of the Borrower (except monies owed under a banking facility extended in the normal course of business); or
 - (e) there is any commercial, or other relationship, between the Trustee or an officer and the Borrower or an associate of the Borrower, that is likely to cause conflict between the duties of the Trustee or its officers.
- 12 The Trustee will notify ASIC if it has reason to suspect that any of the circumstances in clause 11 have occurred.

Reporting

- 13 The Trustee will produce a report (**Compliance Report**), after the end of each financial year, reporting on whether the Trustee has complied with the provisions of the Compliance Manual during the financial year.
- 14 The Compliance Report will identify all material breaches by the Trustee and specify all action taken or proposed to be taken, if any, to rectify such non-compliance.
- 15 The Compliance Report will be tabled at a meeting of the Trustee's board of directors within two months after the end of the preceding financial year, and the directors of the Trustee will consider, and pass a resolution, regarding the Trustee's compliance with the Compliance Manual in the preceding financial year.
- 16 The Trustee will provide to ASIC a copy of the director's resolution pursuant to clause 15 within three months after the end of the preceding financial year.
- 17 The Trustee will ensure that its auditor states, substantially in such form as ASIC requires, whether there is any matter or thing that has come to the auditor's attention to cause the auditor to disagree with the information contained in the Compliance Report or the corresponding resolution passed by the board of directors. Other than the usual steps which form part of a statutory audit, the auditor is not required to take any further steps in forming its view about the Compliance Report.
- 18 The Trustee will provide to ASIC the auditor's statement on the Compliance Report within four months after the end of the Trustee's financial year together with a copy of the Compliance Report.

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DEED POLL: Condition to ASIC approval to act as trustee**Notifications**

- 19 Unless this deed poll states otherwise, the Trustee will notify ASIC promptly, and in writing, and, in any event, within seven days of the occurrence of the event or circumstance which gives rise to a requirement to provide notification under this deed poll.
- 20 All notifications under this deed poll must be sent electronically to the email address applications@asic.gov.au.

Publication of this deed poll

- 21 The Trustee acknowledges that ASIC will publish the terms of this deed poll in the Gazette when ASIC publishes notice of the approval in accordance with section 283GB of the Act.

Governing law

- 22 The governing law is that of the state of Victoria and the Trustee submits to the non-exclusive jurisdiction of the courts of Victoria, Australia.

Definitions and interpretation

- 23 In this deed poll, a reference to the singular includes a plural and vice versa.
- 24 The Trustee acknowledges and agrees that a copy of this deed poll, duly executed, will not be invalid as a record of this deed poll because it is in an electronic form, consistent with the application of section 7 of the *Electronic Transactions Act 2000* (Vic) and such copy may be produced in evidence in place of the original.
- 25 In this deed poll, unless the contrary intention appears, capitalised terms have the following meaning:

Act means the *Corporations Act 2001* (Cth).

Adjusted Surplus Liquid Funds means any Adjusted Surplus Liquid Funds (as that term is defined in the Trustee's AFSL conditions) required to be held under the Trustee's AFSL conditions.

AFSL means an Australian financial services licence.

Associate means an associate as defined in the Act.

Borrower means Flight Centre Travel Group Limited ACN 003 377 188.

Compliance Manual means the document titled 'Policy & Procedures Manual', incorporating all appendices, dated June 2019

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DEED POLL: Condition to ASIC approval to act as trustee

(and provided to ASIC on 24 September 2019) which relates to the Trustee's compliance practices.

Compliance Report has the meaning given to it in clause 1.

Current Assets means the value of current assets as they would appear on a balance sheet at the time of calculation made up for lodgement as part of a financial report prepared in accordance with Chapter 2M of the Act.

Current Liabilities means the value of current liabilities as they would appear on a balance sheet at the time of calculation made up for lodgement as part of a financial report prepared in accordance with Chapter 2M of the Act.

Gazette means the *Commonwealth of Australia ASIC Gazette*.

Net Current Assets means the amount derived by subtracting Current Liabilities and Surplus Liquid Funds (if any) and Adjusted Surplus Liquid Funds (if any) from Current Assets.

Net Total Assets means the amount derived by subtracting Total Liabilities and Surplus Liquid Funds (if any) and Adjusted Surplus Liquid Funds (if any) from Total Assets.

Surplus Liquid Funds means any Surplus Liquid Funds (as that term is defined in the Trustee's AFSL conditions) required to be held under the Trustee's AFSL conditions.

Total Assets means the value of total assets as they would appear on a balance sheet at the time of calculation made up for lodgement as part of a financial report prepared in accordance with Chapter 2M of the Act.

Total Liabilities means the value of total liabilities as they would appear on a balance sheet at the time of calculation made up for lodgement as part of a financial report prepared in accordance with Chapter 2M of the Act.

Trust means the trust established by the Trust Deed.

Trust Deed means the trust deed, dated on or about 13 April 2022, between the Borrower and the Trustee.

Trustee means Melbourne Securities Corporation Limited ACN 160 326 545.

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DEED POLL: Condition to ASIC approval to act as trustee

Execution

Executed as a deed poll on 12 April 2022.

**SIGNED, SEALED and DELIVERED by Melbourne Securities Corporation Limited
ACN 160 326 545 under s127 of the Corporations Act 2001:**



Signature of Director

Shelley Brown

Name of Director



Signature of Director / Secretary*

Lauree Blair

Name of Director / Secretary*

* Delete whichever is not applicable

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**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601QA(1)(b) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0291.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to Vasco Trustees Limited ACN 138 715 009 (the *responsible entity*) in its capacity as responsible entity of the registered schemes specified in paragraph 5 (each, the *scheme*) as if section 601FL were modified or varied as follows:
 - (a) in subsection (1) omit all the text after the word “it”, substitute:

“must either:

 - (a) call a members’ meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
 - (b) propose a related body corporate of the responsible entity to be the new responsible entity in accordance with subsection (1A).”;
 - (b) after subsection (1) insert:

“(1A) The requirements for proposing a related body corporate (the *proposed responsible entity*) to be the new responsible entity are as follows:

 - (a) The responsible entity must give members of the scheme notice of a proposal to choose the proposed responsible entity, to be the scheme’s new responsible entity.

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- (b) The notice to members must:
- (i) set out the following information:
 - (A) the responsible entity's reasons for wanting to retire;
 - (B) such information as can reasonably be expected to be material to a member in forming a view as to the choice of the proposed responsible entity;
 - (C) information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (D) how members can access on the responsible entity's website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (ii) state prominently that if:
 - (A) members who together hold at least 5% of the total value of the interests held by members; or
 - (B) 100 members,who would be entitled to vote if the proposal were put as a proposed resolution to a meeting of members under paragraph (1)(a), ask for a vote on the choice of the proposed responsible entity by giving written notice received by the responsible entity within 21 days from the date the notice is sent, the responsible entity will either arrange a postal vote or convene a meeting to vote on a resolution for the choice of the proposed responsible entity; and
 - (iii) be accompanied by a form which can be ticked to ask for a vote; and
 - (iv) state prominently a reply paid address of the responsible entity to which the form may be sent.
- (c) The responsible entity must prominently disclose on its website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur.

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- (d) If sufficient members ask for a vote in accordance with the notice, the responsible entity must arrange for a postal vote or, if the responsible entity chooses, convene a meeting in accordance with Part 2G.4 to vote on the choice of the proposed responsible entity as soon as possible.
 - (e) If there is a postal vote:
 - (i) a voting paper must be sent to each member stating a reply paid address of the responsible entity to which the voting paper may be sent; and
 - (ii) the responsible entity must notify the members in, or in a document accompanying, the voting paper that:
 - (A) the proposed responsible entity will be chosen as the new responsible entity if at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the choice; and
 - (B) only votes received by the responsible entity within 28 days after the issue of the voting paper will be counted.
 - (f) If a meeting is convened the resolution to choose the new responsible entity must be an extraordinary resolution if the scheme is not listed.”;
- (c) after subsection (2) insert:
- “(2A) If a postal vote is arranged under paragraph (1A)(d) and at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the proposed responsible entity, that entity is taken for the purposes of subsection (2) to have been chosen by a resolution of members on the last day on which postal votes may be received in order to be counted.
- (2B) If:
- (a) a related body corporate is proposed to be the new responsible entity in accordance with subsection (1A); and
 - (b) sufficient members do not ask for a vote to choose the entity in accordance with the notice referred to in paragraph (1A)(b); and

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- (c) the entity has consented in writing to becoming the scheme's responsible entity,

then:

- (d) as soon as practicable and in any event within 2 business days after the end of the period in which a member may ask for such a vote, the current responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
- (e) the notice must be accompanied by a certificate from the current responsible entity that it reasonably considers that the appointment of the proposed responsible entity would be in the best interests of members with a summary of the responsible entity's reasons as to why this is the case; and
- (f) unless ASIC reasonably believes that the appointment of the proposed responsible entity would not be in the best interests of members, ASIC must comply with the notice as soon as practicable after the notice and summary are lodged."

Where this declaration applies

5. This declaration applies to the responsible entity in its capacity as responsible entity of the following registered schemes:
 - (a) Tangram Investment Fund ARSN 632 672 336.
 - (b) Certain Property Australia Fund ARSN 640 809 365.
 - (c) ICAM Duxton Port Infrastructure Retail Fund ARSN 635 727 507.
 - (d) The Calfer Property Fund ARSN 628 011 325.
 - (e) 35 Latitude Development Fund ARSN 620 185 266.
6. This declaration applies where Vasco Responsible Entity Services Limited ACN 160 969 120 has consented in writing to become the new responsible entity of the registered schemes specified in paragraph 5.

Where this declaration ceases to apply

6. This declaration ceases to apply on 30 June 2022.

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Dated this 13th day of April 2022



.....
Signed by Giles Emery
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fourteenth day of April 2022

Name of Company

ARBN

EZVET AUSTRALIA LIMITED	165 558 841
INTERACTIVE BROKERS (U.K.) LIMITED	150 376 079
KAJIMA CORPORATION	606 372 294
NOMURA ASSET MANAGEMENT SINGAPORE LIMITED	122 872 057
OMEQ TECHNOLOGY PTY LIMITED	622 726 934
ULTIMATE FAN ENTERTAINMENT PLC	649 093 185

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fourteenth day of April 2022

Name of Scheme

ARSN

CENTURIA HAVELOCK HOUSE FUND

100 593 548

TOWER CENTRAL TRUST

606 264 653

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this fourteenth day of April 2022

Name of Company	ARBN
KLOX TECHNOLOGIES EUROPE LIMITED	627 032 679
MAUNGAHUKA HOLDINGS LIMITED	062 949 991
NATIONAL SECURITIES CORPORATION (AUSTRALIA)	151 699 660
RITIDIAN INVESTMENTS LIMITED	057 190 137
TRINKAUS AUSTRALIEN IMMOBILIEN-FONDS NR 1 TREUHAND GMBH	128 822 328

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

LUCO ENERGY PTY LTD ACN 644 588 787 will change to a public company limited by shares. The new name will be OMEGA OIL & GAS LIMITED ACN 644 588 787.

STARLIGHT HOLDINGS LIMITED

ACN 003 165 400 will change to a proprietary company limited by shares. The new name will be STARLIGHT PARTNERS PTY. LIMITED ACN 003 165 400.

PM CAPITAL ASIAN OPPORTUNITIES FUND

LIMITED ACN 168 666 171 will change to a proprietary company limited by shares. The new name will be PM CAPITAL ASIAN OPPORTUNITIES FUND PTY LIMITED ACN 168 666 171.

TRUEGREEN IMPACT GROUP LIMITED

ACN 648 272 557 will change to a proprietary company limited by shares. The new name will be TRUEGREEN IMPACT GROUP PTY LTD ACN 648 272 557.