



ASIC
Australian Securities & Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet ASIC decisions – your rights (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Financial Management Group Pty Ltd ACN 063 077 985 ("the Licensee") 61 Park Street South Yarra VIC 3141

Pursuant to paragraph to s915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 258920 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 June 2025

Signed

James Dimitropoulos





Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000

www.asic.gov.au

25-0328

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: MLX Pty Ltd

ACN 617 369 678 ("the Licensee")

35 Pelican Court

WANGARATTA VIC 3677

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 515447 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

16 June 2025

Signed

Sandra Holdaway



Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

25-0341

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Professional Insurance Brokers (N S W) Pty Ltd ACN 003 068 540 ("the Licensee") 21 Barcote Place CASTLE HILL NSW 2154

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241251 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

18 June 2025

Signed

Wesley Mercer

Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1020F(1) – Declaration

Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under subsection 1020F(1) of the Corporations Act 2001 (the Act).

Title

2. This instrument is ASIC Instrument 25-0367.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Schedule 8D of the Corporations Regulations 2001 (Cth) applies to United Super, as trustee of the Fund, as if column 2 of the item 'Fixed Income – Held directly or by associated entities or by pooled superannuation trusts – Internally managed' in Table 1 were modified or varied by omitting the words 'Only disclose total values and weighting aggregated by the issuer or counterparty of the asset' and substituting 'Only disclose total value and weighting'.

Where declaration applies

5. The declaration applies to United Super's obligation, under subsection 1017BB(1) of the Act, to disclose sufficient information to identify fixed income private debt that is held by the Fund, an associated entity of the Fund or a pooled superannuation trust and allocated to an investment option in the Fund at the end of the reporting day that is 31 December 2025 and at the end of subsequent reporting days up to and including 31 December 2026.

Interpretation

6. In this instrument:

associated entity has the same meaning as in section 9 of the Act.

fixed income private debt has the same meaning as in Reporting Standard SRS 101.0 Definitions for Superannuation Data Collections as at the date of this instrument.

Fund means the Construction and Building Unions Superannuation Fund ABN 75 493 363 262.

investment option has the same meaning as in subsection 1017BB(6) of the Act.

pooled superannuation trust has the same meaning as in section 9 of the Act.reporting day has the same meaning as in subsection 1017BB(6) of the Act.United Super means United Super Pty Ltd ABN 46 006 261 623.

Dated this 20th day of June 2025.

Signed by Pippa Lane



Australian CS Facility Licence (Clearstream Banking S.A.) 2025

Corporations Act 2001

I, Benjamin Cohn-Urbach, delegate of the Australian Securities and Investments Commission grant this Licence under subsection 824B(2) of the *Corporations Act 2001*(the Act) and impose conditions on this Licence under subsection 825A(1) of the Act.

Date: 27 June 2025

Benjamin Cohn-Urbach

1. Name

This Licence is the Australian CS Facility Licence (Clearstream Banking S.A.) 2025.

2. Commencement

This Licence commences when it is granted.

3. Definitions

In this Licence:

Act means the Corporations Act 2001.

ASIC means the Australian Securities and Investments Commission.

Australian court has the meaning given by section 9 of the Act.

2

Australian financial services licence has the meaning given by section 761A of the Act.

Australian participant means a participant in the Facility that is incorporated or domiciled in this jurisdiction.

CBL means Clearstream Banking S.A. ARBN 675 244 783.

CSDR means Regulation (EU) No 909/2014 of the European Parliament and of the Council of 23 July 2014 on improving securities settlement in the European Union and on central securities depositories and amending Directives 98/26/EC and 2014/65/EU and Regulation (EU) No 236/2012.

CSSF means the Commission de Surveillance du Secteur Financier.

Facility means the clearing and settlement facility CBL is authorised by the CSSF to operate under the CSDR in Luxembourg.

Financial Stability Standard means a standard determined by the RBA under section 827D of the Act.

participant has the meaning given by section 761A of the Act.

RBA means the Reserve Bank of Australia.

Note: the following terms are defined in the Act:

- (a) ASIC;
- (b) Australian financial services licence;
- (c) clearing and settlement facility;
- (d) financial product;
- (e) financial service;
- (f) participant;

(g) this jurisdiction.

4. Grant of Licence

This Licence is granted to CBL under subsection 824B(2) of the Act and authorises CBL to operate the Facility in this jurisdiction in respect of the classes of financial products described in paragraph 5, on the conditions set out in paragraphs 6, 7 and 8.

3

5. Class of financial products

The classes of financial products in respect of which the Facility may provide services under this Licence are Australian dollar denominated financial products issued in the licensed CS facility operated by Austraclear Limited or the licensed CS facility operated by ASX Settlement Pty Limited.

6. Standards and undertakings

- (1) CBL must:
 - (a) comply with all Financial Stability Standards that CBL is required to comply with under subsection 827D(2) of the Act;
 - (b) comply with all undertakings it gives to ASIC under paragraph 824B(2)(d) of the Act; and
 - (c) comply with all undertakings it gives to the RBA under paragraph 824B(2)(d) of the Act.

7. Participants to be licensed

CBL must require a participant of the Facility that carries on a financial services business in this jurisdiction in relation to its participation in the Facility to hold an Australian financial services licence covering the provision of the financial services unless the participant is exempt under the Act from the requirement to hold such a licence in relation to its participation in the Facility.

8. Jurisdiction of Australian courts

- (1) CBL must:
 - submit to the non-exclusive jurisdiction of an Australian court in an action brought by ASIC in relation to the Facility or the operation of the Facility; and
 - (b) comply with any order of an Australian court for any matter relating to the Facility or the operation of the Facility.

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (Cth) (the *Act*).

Title

2. This instrument is ASIC Instrument 25-0371.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Part 7.9 of the Act applies to Equity Trustees Limited ACN 004 031 298 (EQT) in its capacity as the responsible entity of MA Credit Income Trust ARSN 681 002 531 (the Scheme), as if paragraph 1012DA(5)(d) of the Act, as modified or varied by ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73, were further modified or varied by, immediately after "other than a technical relief instrument", inserting "or an order under section 340 which relieves the issuer from the requirements of sections 302 and 306."

Where this declaration applies

- 5. This declaration applies in relation to interests in the Scheme issued under an offer to wholesale clients by EQT in its capacity as the responsible entity of the Scheme announced to ASX on 23 June 2025 (*Placement*) where:
 - (a) no order under section 340 of the Act covered EQT in its capacity as the responsible entity of the Scheme except for ASIC Instrument 25-0193 dated 2 April 2025;
 - (b) the interests in the Scheme under the Placement are allotted on or about 1 July 2025; and
 - (c) information outlining the financial position of the Scheme at the time of the issue of the interests under the Placement is given to ASX.

Conditions

6. EQT must include a statement explaining the effect of this instrument in the financial report of the Scheme for the year ending 30 June 2025.

Interpretation

7. In this instrument:

ASX means the financial market operated by ASX Limited ACN 008 624 691.

Dated this 23rd day of June 2025

Signed by Rachel Adams

Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1020F(1) – Declaration

Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under subsection 1020F(1) of the Corporations Act 2001 (the Act).

Title

This instrument is ASIC Instrument 25-0377.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Schedule 8D of the Corporations Regulations 2001 (Cth) applies to Australian Super, as trustee of the Fund, as if column 2 of the item 'Fixed Income – Held directly or by associated entities or by pooled superannuation trusts – Internally managed' in Table 1 were modified or varied by omitting the words 'Only disclose total values and weighting aggregated by the issuer or counterparty of the asset' and substituting 'Only disclose total value and weighting'.

Where declaration applies

5. The declaration applies to AustralianSuper's obligation, under subsection 1017BB(1) of the Act, to disclose sufficient information to identify fixed income private debt that is held by the Fund, an associated entity of the Fund or a pooled superannuation trust and allocated to an investment option in the Fund at the end of the reporting day that is 31 December 2025 and at the end of subsequent reporting days up to and including 31 December 2026.

Interpretation

6. In this instrument:

associated entity has the same meaning as in section 9 of the Act.

AustralianSuper means AustralianSuper Pty Ltd ABN 94 006 457 987.

fixed income private debt has the same meaning as in Reporting Standard SRS 101.0 Definitions for Superannuation Data Collections as at the date of this instrument.

Fund means Australian Super ABN 65 714 394 898.

investment option has the same meaning as in subsection 1017BB(6) of the Act.pooled superannuation trust has the same meaning as in section 9 of the Act.reporting day has the same meaning as in subsection 1017BB(6) of the Act.

Dated this 20th day of June 2025.

Signed by Pippa Lane

Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1020F(1) – Declaration

Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under subsection 1020F(1) of the Corporations Act 2001 (the Act).

Title

2. This instrument is ASIC Instrument 25-0378.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Schedule 8D of the Corporations Regulations 2001 (Cth) applies to Aware Super, as trustee of the Fund, as if column 2 of the item 'Fixed Income – Held directly or by associated entities or by pooled superannuation trusts – Internally managed' in Table 1 were modified or varied by omitting the words 'Only disclose total values and weighting aggregated by the issuer or counterparty of the asset' and substituting 'Only disclose total value and weighting'.

Where declaration applies

5. The declaration applies to Aware Super's obligation, under subsection 1017BB(1) of the Act, to disclose sufficient information to identify fixed income private debt that is held by the Fund, an associated entity of the Fund or a pooled superannuation trust and allocated to an investment option in the Fund at the end of the reporting day that is 31 December 2025 and at the end of subsequent reporting days up to and including 31 December 2026.

Interpretation

6. In this instrument:

associated entity has the same meaning as in section 9 of the Act.

Aware Super means Aware Super Pty Ltd ABN 11 118 202 672.

fixed income private debt has the same meaning as in Reporting Standard SRS 101.0 Definitions for Superannuation Data Collections as at the date of this instrument.

Fund means Aware Super ABN 53 226 460 365.

investment option has the same meaning as in subsection 1017BB(6) of the Act.pooled superannuation trust has the same meaning as in section 9 of the Act.reporting day has the same meaning as in subsection 1017BB(6) of the Act.

Dated this 20th day of June 2025.

Signed by Pippa Lane





Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: BEST SUPERANNUATION PTY. LTD. ACN 070 732 008 ("the Licensee")

Level 15 727 Collins St Docklands VIC 3008

Pursuant to paragraph 915B(3)(d) of **the Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 530672 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

25 June 2025

Signed

Sandra Holdaway

A delegate of the Australian Securities and Investments

7

Commission



Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

25-0387

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Pilot Superannuation Pty Ltd ACN 609 631 272 ("the Licensee") Level Unit 10 1 Eagle Street BRISBANE CITY QLD 4000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483696 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

24/06/2025

Signed

Julia Tomaskovic



Australian Securities and Investments Commission

GPO Box 9827, Melbourne VIC 3001

Tel: +61 1300 935 075

25-0389

Australian Securities and Investments Commission Corporations Act 2001 section 915B

Notice of cancellation of an Australian financial services licence

D: CAPITAL INNOVATION INSURANCE GROUP PTY LTD

ACN 009519626 ("the Licensee")

Pursuant to paragraph s915B(3)(d) of the Corporations Act 2001 (the Act), the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 238994 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

25/06/2025

Signed

Julia Tomaskovic

$\label{lem:australian} Australian\ Securities\ and\ Investments\ Commission$ $\ Corporations\ Act\ 2001-Paragraph\ 655A(1)(a)-Exemption$

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 655A(1)(a) of the Corporations Act 2001 (Cth) (Act).

Title

2. This instrument is ASIC Instrument 25-0395.

Commencement

3. This instrument commences on the date that it is signed.

Exemption

4. A.C.N. and its Controllers do not have to comply with section 606 of the Act.

Where this instrument applies

- 5. This instrument applies where:
 - A.C.N. and its Controllers acquire a relevant interest in the Shares as a result of the transfer of the Shares to A.C.N. on implementation of the Court Order and pursuant to the DOCA;
 - b) the Deed Administrators made available to Shareholders the following documents by email:
 - i. Explanatory Statement;
 - ii. Independent Expert's Report;
 - iii. the Interlocutory Process; and
 - iv. Report to Creditors,
 - c) on 3 June 2025, a notice informing Shareholders of the Proceedings was sent by electronic mail to Shareholders by the Deed Administrators, with such notice containing the documents at paragraph 5(b) to Shareholders.

Interpretation

6. In this instrument:

A.C.N. means A.C.N. 686 215 510 Pty Ltd ACN 686 215 510.

Controllers means entities or persons who by operation of section 608 of the Act acquire a relevant interest in the Shares as a result of A.C.N. acquiring a relevant interest in such Shares.

Court Order means the order of the Federal Court of Australia in the Proceedings made 18 June 2025, permitting the Deed Administrators to transfer the Shares to A.C.N. under section 444GA of the Act.

Deed Administrators means Lindsay Stephen Bainbridge and Andrew Reginald Yeo in their capacity as joint and several deed administrators of Seer Medical Holdings pursuant to the DOCA.

Deed Companies means:

- a) Breakthrough Victoria Pty Ltd ACN 647 784 772;
- b) Cadwell Industries, Inc. UBI 600 349 235; and
- c) TrialCap Pte. Ltd. UEN 202116890Z.

DOCA means the deed of company arrangement between the Deed Companies, Seer Medical Holdings, A.C.N. and the Deed Administrators dated 14 April 2025.

Explanatory Statement means the explanatory statement prepared by the Deed Administrators and made available to Shareholders on or before 3 June 2025.

Independent Expert's Report means the independent expert's report dated 29 May 2025 prepared by Grant Thornton Corporate Finance Pty Ltd ACN 003 265 987.

Interlocutory Process means the application filed with the Federal Court of Australia on 29 May 2025.

Proceedings means the proceedings in the Federal Court of Australia in case number VID79 of 2025.

Report to Creditors means the report to creditors dated 14 March 2025, prepared in accordance with sections 75-225 of the Insolvency Practice Rules (Corporations) Act 2016 (Cth).

Seer Medical Holdings means Seer Medical Holdings Limited (subject to Deed of Company Arrangement) ACN 615 849 986.

Shares means all of the issued shares in Seer Medical Holdings.

Shareholders means all of the shareholders of Seer Medical Holdings registered in the company's share register as at 14 April 2025.

Dated this 25th day of June 2025

Signed by Patrick Tang

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Geoffrey Gordon Salter ("the Licensee")
ABN 98 951 387 736
61 Park Street
South Yarra VIC 3141

Pursuant to paragraph to s915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 264928 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 June 2025

Signed

James Dimitropoulos

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 20 June 2025.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

CORPORATIONS ACT 2001 SECTION 915B

NOTICE OF CANCELLATION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

To: Ballast Financial Management Pty Ltd ACN 086 601 041

TAKE NOTICE that under s915B(3)(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 233180 held by Ballast Financial Management Pty Ltd ACN 086 601 041.

Dated this 17th day of June 2025

Signed

Sonia Sierra



Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

25-0398

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Tyrrell Advisory Pty Ltd ACN 160 687 203 ("the Licensee") 20 Glyndon Road

CAMBERWELL VIC 3124

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 430977 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

26 June 2025

George Podaras

Signed

-

George Podaras





Australian Securities and Investments Commission

Office address (inc courier deliveries): Level 7, 120 Collins Street, Melbourne VIC 2000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000

www.asic.gov.au

25-0401

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Treasury Group Investment Services Pty Ltd ABN 38 099 932 920 ("the Licensee") Suite 3, Level 3 257 Collins Street Melbourne VIC 3000

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 227326 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated:

26 June 2025

Signed

George Podaras

George Podaras

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 24 June 2025.

Australian Securities and Investments Commission In the matter of Stuart Clinton Allison

Banning order under sections 920A and 920B of the Corporations Act 2001

To: Stuart Clinton Allison

Take notice that under sections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Stuart Clinton Allison permanently from:

- (a) providing any financial service;
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 23rd day of June 2025.

Signed:

Andrew Stecher

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 926A(2)(c) — Declaration

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 926A(2)(c) of the Corporations Act 2001 (the Act).

Title

This instrument is ASIC Instrument 25-0404.

Commencement

3. This instrument commences on the date it is signed.

Declaration

- 4. Part 7.6 (other than Divisions 4 and 8) of the Act applies to Certane CT Pty Ltd ACN 106 424 088 (*Certane*) as if subparagraph 912AC(4)(a) (as notionally inserted by ASIC Instrument 2023/648) were modified or varied as follows:
 - (a) omit the notional subparagraph, substitute:
 - "(a) if the licensee is not an incidental provider nil; and".

Where this declaration applies

- Certane and Melbourne Securities Corporation Limited ACN 160 326 545
 (MSC Trustees) are each a wholly-owned subsidiary of MSC Group Australia
 Pty Ltd ACN 609 538 749 (MSC Group Australia) and following the transfer
 of Certane Shares to MSC Trustees, Certane becomes a wholly-owned
 subsidiary of MSC Trustees.
- Certane and MSC Trustees have agreed to take steps to novate all custody agreements with Certane's clients to MSC Trustees and for MSC Trustees to appoint Certane as sub-custodian during the Transition Period.
- 7. MSC Trustees holds at all times NTA of at least \$10 million.
- 8. MSC Trustees complies with ASIC Instrument 2023/648.

When this instrument ceases to apply

9. This instrument ceases to apply six months from the date it is signed.

Interpretation

10. In this instrument:

ASIC Instrument 2023/648 means ASIC Corporations (Financial Requirements for Custodial or Depository Service Providers) Instrument 2023/648.

Certane Shares means all of the shares in Certane.

NTA has the meaning given in notional subsection 912AC(12) (as inserted by ASIC Instrument 2023/648).

Transition Period means the period during which steps are taken to facilitate the novation of all custody agreements with Certane's clients to MSC Trustees as custodian and Certane being appointed as a sub-custodian by MSC Trustees.

Dated this 26 day of June 2025

Signed by Penny Zeinos

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Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 741(1)(a) and 741(1)(b) – Exemption and Declaration

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a) and 741(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 25-0405.

Commencement

3. This instrument commences on the date it is signed.

Exemption

 Alkane Resources Limited ACN 000 689 216 (Alkane) does not have to comply with Part 6D.2 or 6D.3 of the Act for an offer of Alkane Securities to holders of Target Securities.

Declaration

- 5. Chapter 6D of the Act applies to holders of Alkane Securities as if section 707 were modified or varied by omitting subsections 707(3) and (4) and substituting:
 - "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
 - (a) without disclosure to investors under this Part; and
 - (b) with the purpose of the person to whom they were issued:
 - (i) selling or transferring them; or
 - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 or 708A does not say otherwise.".

Where this instrument applies

6. This instrument applies:

- in connection with the Plan of Arrangement on the terms and conditions set out in the Information Circular;
- (b) where the Information Circular is prepared for a special meeting of the Target and provided to holders of the Target Securities;
- (c) where the Information Circular is provided to ASX for release to the market prior to any on-sale of Alkane Securities issued under the Plan of Arrangement; and
- (d) where the Plan of Arrangement complies with all statutory requirements under the *Business Corporations Act* (British Columbia).

Interpretation

7. In this instrument:

Alkane means Alkane Resources Limited ACN 000 689 216.

Alkane Securities means fully paid ordinary shares in Alkane.

ASX means ASX Limited ACN 008 624 691 or the securities exchange operated by ASX Limited (as the context requires).

Information Circular means an information circular dated 24 June 2025, filed on SEDAR+ and with any such amendments, variations or supplements as are approved by the Supreme Court of British Columbia.

Plan of Arrangement means a plan of arrangement between Alkane, 1536968 B.C. Ltd (a direct wholly-owned subsidiary of Alkane and incorporated under the provincial laws of British Columbia, Canada) and the Target pursuant to Division 5 of Part 9 of the *Business Corporations Act* (British Columbia).

Target means Mandalay Resources Corporation, a company incorporated under the provincial laws of British Columbia, Canada and listed on the TSX.

Target Securities means common shares in the Target or options (including warrants and stock units) to acquire common shares in the Target.

TSX means the Toronto Stock Exchange.

Dated this 26th day of June 2025

Signed by Sean Ferguson

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 25 June 2025.

Australian Securities and Investments Commission Notice of cancellation of Australian financial services licence

To: Ipraxis Pty Ltd ACN 114 365 007

TAKE NOTICE that under s915B(3)(e) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 329337 held by Ipraxis Pty Ltd ACN 114 365 007.

Dated this 24th day of June 2025.

Caracin offen

Signed.....

Caroline Jefferies

Australian Securities and Investments Commission Corporations Act 2001 — Subsection 601CK(7) —Declaration

Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this declaration under subsection 601CK(7) of the Corporations Act 2001 (the Act).

Title

2. This instrument is ASIC Instrument 25-0407.

Commencement

3. This instrument commences on gazettal.

Declaration

 Section 601CK does not apply to Sapporo Breweries Ltd ARBN 670 067 624 (Company), a registered foreign company incorporated in Tokyo, Japan, in relation to a financial year ending on or after 31 December 2025 (each a Relevant Financial Year).

Note: ASIC may, by Gazette notice, declare that section 601CK does not apply to specified foreign companies: s601CK(7). Subsections 601CK(1) to (6), inclusive, do not apply in relation to a foreign company in relation to which a notice is in force under subsection 601CK(7): s601CK(8).

Where this instrument applies

- 5. This instrument applies in relation to a Relevant Financial Year where:
 - (a) The Company is registered under Division 2 of Part 5B.2 of the Act;
 - (b) The Company is required by the law of Japan to prepare a balance sheet and income statement for the Relevant Financial Year in accordance with J-GAAP;
 - (c) The Company is not required by the law of Japan to prepare a cash flow statement for the Relevant Financial Year;
 - (d) In relation to the Company's financial year ending 31 December 2024

 the Company lodged with ASIC by 31 July 2025 under a modified
 ASIC Form 405 Statement to verify financial statements of a foreign company, the following:
 - (i) a balance sheet;
 - (ii) an income statement; and

(iii) a cash flow statement;

prepared in accordance with J-GAAP and in such form and containing such particulars as has been agreed to with ASIC;

Note: The modified ASIC Form 405 must replace references to s601 CK with references to this instrument 25-0407 dated 27 June 2025.

- (e) In relation to each Relevant Financial Year, the Company has lodged with ASIC within 4 months after the end of the Relevant Financial Year a modified ASIC Form 405 Statement to verify financial statements of a foreign company together with the following:
 - (i) a balance sheet;
 - (ii) an income statement; and
 - (iii) a cash flow statement;

prepared in accordance with J-GAAP and in such form and containing such particulars as has been agreed to with ASIC; and

Note: The modified ASIC Form 405 must replace references to $s601\,\mathrm{CK}$ with references to this instrument 25-0407 dated 27 June 2025.

(f) The Company has lodged a return in the form prescribed for the purposes of s601CK(9) of the Act with ASIC within 4 months after the end of the Relevant Financial Year.

Note: See ASIC Form 406 Annual return of a foreign company.

Cessation

6. This instrument ceases to apply on 30 June 2035 unless revoked earlier.

Interpretation

7. In this instrument

J-GAAP means the Generally Accepted Accounting Principles generally accepted in Japan.

Dated 27 June 2025



Signed by Deeksha Balaji as a delegate of the Australian Securities and Investments Commission

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CORPORATIONS ACT 2001 Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of June 2025

Name of Scheme	ARSN
APOSTLE PEOPLE AND PLANET DIVERSIFIED FUND	648 615 883
CHALLENGER SOLUTIONS LIQUID ALTERNATIVES ALPHA PLUS FUND	658 678 909

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CORPORATIONS ACT 2001 Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of June 2025

Name of Scheme ARSN

AUT/NEUBERGER BERMAN GLOBAL SUSTAINABLE EQUITY FUND 641 099 738

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CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-seventh day of June 2025

Name of Company	ARBN
EBURY PARTNERS UK LIMITED	625 009 887
KINGSLAND GLOBAL LTD.	607 085 790
8VI HOLDINGS LIMITED	605 944 198

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CORPORATIONS ACT 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

MINERALS EXPLORATION LIMITED

ACN 062 009 321 will change to a proprietary company limited by shares. The new name will be MINERALS EXPLORATION PTY LIMITED ACN 062 009 321.

VEGA GLOBAL TECHNOLOGIES PTY LTD

ACN 667 154 261 will change to a public company limited by shares. The new name will be VEGA GLOBAL TECHNOLOGIES LIMITED ACN 667 154 261.

SPIIRE AUSTRALIA PTY LTD ACN 050 029 635 will change to a public company limited by shares. The new name will be SPIIRE AUSTRALIA LIMITED ACN 050 029 635.