Australian Market Licence (Imperium Markets Pty Limited) Variation Notice 2026 (No. 1)

Corporations Act 2001

I, Vivienne Tannock, delegate of the Australian Securities and Investments Commission, give notice to *Imperium Markets Pty Limited* ACN 616579527 under subsection 796A(1) of the *Corporations Act 2001* (***Act***) that the *Australian Market Licence (Imperium Markets Pty Limited) 2017* (***Licence***) is varied as set out in this notice.

Date 28 October 2026

1 Name of notice

This is the *Australian Market Licence (Imperium Markets Pty Limited) Variation Notice 2026 (No. 1).*

2 Commencement

The variation to the Licence made by this notice commences on the day after this notice is signed.

3 Variation

The *Australian Market Licence (Imperium Markets Pty Limited) 2017 (as varied by Australian Market Licence (Imperium Markets Pty Limited) Variation Notice 2025 (No. 1))* is varied as described in the Schedule.

Note: The variations to the Licence involve the imposition of additional conditions on, and the variation or revocation of conditions imposed on, the Licence: see subsection 796A(1) of the Act.

SCHEDULE 1—Variation

1 **Section 3**

Insert:

annuities means a primary-issued contract or policy issued to wholesale clients that provides for a periodic payment for a fixed term in circumstances where that contract or policy was purchased and the purchase price is returned in full upon maturity.

2 Section 4

Repeal the section and substitute:

4. Grant of Licence

The Licensee is granted a licence to operate a financial market through which Participants may make or accept offers to acquire or dispose of any of the following financial products:

(a) debentures;

(b) term deposits;

(c) negotiable certificates of deposit; and

(d) annuities.

3 Subsection 10(1)

At the end of the table, insert:

Annuities	Annuities