



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

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# ASIC Gazette

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#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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**24-0902**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Manildra Flour Mills Retirement Fund Pty Limited  
ACN 065 680 195 ("the Licensee")  
6 Frank Street  
GLADESVILLE NSW 2111

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 530609 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 January 2025

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

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and Investments Commission**

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**25-0007**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Affinia Financial Advisers Limited  
ACN 085 335 397 ("the Licensee")  
Level 11, 45-53 Clarence Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237857 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 January 2025

Signed *George Podaras*  
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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[www.asic.gov.au](http://www.asic.gov.au)

**25-0015**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: North West Financial Services (QLD) Pty Ltd  
ACN 102 314 045 ("the Licensee")  
67 Aberdeen Place  
UPPER KEDRON QLD 4055

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 302318 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 January 2025

*George Podaras*

Signed .....

George Podaras  
A delegate of the Australian Securities and Investments Commission

25-0017

**Australian Securities and Investments Commission  
Corporations Act 2001 — s926A(2)(a) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the **Act**).

**Title**

2. This instrument is ASIC Instrument 25-0017.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts Holborn Limited (*the body*), a company incorporated under the laws of Hong Kong and registered under number BFN327 in the Securities and Futures Commission of Hong Kong Register (*SFC*), from the requirement to hold an Australian financial services (*AFS*) licence in the case specified in Schedule A.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) Subparagraph 1(1) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1103] *Hong Kong SFC regulated financial service providers*; or
  - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

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**Schedule A**

1. The exemption in paragraph 4 applies where all the following apply:
  - (a) the body has a current licence or certificate of registration granted by the SFC;
  - (aa) the body is either a body corporate incorporated in Hong Kong or a partnership formed in Hong Kong;
  - (b) the body:
    - i. is registered under Division 2 of Part 5B.2 of the Act; or
    - ii. has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
  - (c) the body's primary business is the provision of financial services;
  - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
  - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
    - i. 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
    - ii. 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
  - (f) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
  - (a) providing financial product advice;
  - (b) dealing in a financial product;in respect of any of the following financial products;
  - (c) securities;

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- (d) managed investment products; or
  - (e) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
3. Where the body has provided ASIC with:
- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that
    - i. the deed is irrevocable except with the prior written consent of ASIC;
    - ii. the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
    - iii. the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
    - iv. if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
    - v. the body covenants that, on written request of either the SFC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SFC to disclose to ASIC and ASIC to disclose to the SFC any information or document that the SFC or ASIC has that relates to the body; and
  - (d) written consents to the disclosure by the SFC to ASIC and ASIC to the SFC of any information or document that the SFC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing

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**Schedule B**

1. The body must:
  - (a) provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Hong Kong regulatory requirements if the financial service were provided in Hong Kong in like circumstances.
  - (b) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
    - (i) each significant change to, including the termination of, the licence or registration applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (ii) each significant particular exemption or other relief which the body obtains from the Hong Kong regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (iii) each action or investigation of the following kinds taken by the SFC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
      - A. significant enforcement action;
      - B. significant disciplinary action;
      - C. significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
  - (c) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
    - (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
    - (ii) the body is regulated by the SFC under Hong Kong laws, which differ from Australian laws.
2. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified



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information about any financial service provided by the body in this jurisdiction—  
comply with the notice.

### Interpretation

In this instrument:

*Act* means the *Corporations Act 2001*;

*address*, in relation to a company, means the address of the registered office of the company

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

*ASIC Act* means the *Australian Securities and Investments Commission Act 2001*;

*authorised financial institution* has the meaning given in Schedule 1 to the SFO;

*certificate of registration* means the certificate of registration granted to authorised financial institutions under section 119 of the SFO;

*dealing* has the meaning given by s9 of the Act;

*financial product* has the meaning given by s9 of the Act;

*financial product advice* has the meaning given by s766B of the Act;

*financial services law* has the meaning given by s761A of the Act;

*Hong Kong regulatory requirements* means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SFC;

*licence* means a licence granted under section 116 of the SFO or deemed to be granted under sections 22 or 25(b) of Schedule 10 to the SFO;

*interest in a managed investment scheme* has the meaning given by s9 of the Act;

*managed investment product* has the meaning given by s9 of the Act;

*managed investment scheme* has the meaning given by s9 of the Act;

*notice* and *notified* mean, respectively, written notice and notified in writing;

*overseas regulatory authority* means a foreign regulatory authority (other than the SFC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

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*registration* means registration granted under section 119 of the SFO or deemed to be granted under sections 25(a) or 32 of Schedule 10 to the SFO;

*representative* has the meaning given by s9 of the Act;

*securities* has the meaning given by s9 of the Act;

*SFC* means the Securities and Futures Commission of Hong Kong;

*SFO* means the Securities and Futures Ordinance 2002 of Hong Kong;

*wholesale client* has the meaning given in section 761G of the Act.

Dated this 28<sup>th</sup> day of January 2025



Signed by Laura Haracic  
as a delegate of the Australian Securities and Investments Commission



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**25-0018**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: JASR Insurance Broking Services Pty Ltd  
ACN 124 048 773 ("the Licensee")  
Suite 2 39 Flynn Street  
WEMBLEY WA 6014

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 495910 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 13 January 2025

Signed *J Dimitropoulos*.....

James Dimitropoulos  
A delegate of the Australian Securities and Investments Commission

25-0038

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 601QA(1)(b) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(b) of the Corporations Act 2001 (the *Act*).

**Title**

2. This instrument is ASIC Instrument 25-0038.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 5C of the Act applies to Australian Unity Funds Management Limited ACN 071 497 115 (**AUFML**) in its capacity as responsible entity of the Talaria Global Equity Fund - Currency Hedged (Managed Fund) ARSN 159 504 275 (**Fund**) as if section 253LA of the Act were modified or varied by:

(a) omitting paragraph 253LA(2)(b) and substituting:

“(b) the resolution must be passed by at least 50% of the total votes that may be cast by eligible persons; and”; and

(b) after subsection 253LA(2), inserting:

“(3) For the purposes of subsection (2):

*abstaining member* means a member of the scheme that:

- (a) is an operator or custodian of an IDPS and/or is acting in its capacity as a registerable superannuation entity (and includes a trustee or custodian entity or sub-custodian of any of the foregoing);
- (b) where the person mentioned in paragraph (a) above (including in the case of a sub-custodian that has authorised the operator or custodian of an IDPS):
  - i. has a written policy of not voting their interests in relation to a resolution arising from the client's investments in the IDPS or registrable superannuation entity (as applicable) in certain circumstances, or
  - ii. does not have a written policy of not voting their interests in relation to a resolution arising from the client's investments in the IDPS or registrable superannuation entity (as applicable) but has notified the responsible entity

## 25-0038

that it will exercise its discretion to abstain from voting on the resolution in respect of the relevant interests; and

(c) has abstained from voting on the resolution.

*custodian* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

*eligible persons* means members of the scheme (excluding abstaining members) entitled to vote on the resolution at the meeting (including members who are not present in person or by proxy).

*IDPS* has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

*operator* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669

*registerable superannuation entity* has the meaning given in subsection 10(1) of the *Superannuation Industry (Supervision) Act 1993*.

*sub-custodian* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

**Where this instrument applies**

5. This instrument applies where:
- (a) a notice of meeting has been issued to call a meeting of the Fund to vote on an extraordinary resolution to approve the retirement of AUFML as responsible entity of the Fund and the appointment of Equity Trustees Limited ACN 004 031 298 as responsible entity of the Fund;
  - (b) The Explanatory Materials include an explanation of the effect of this instrument in relation to the resolution to be considered at the meeting, which may be by way of a supplement or online website notice provided to members of the Fund; and
  - (c) the abstaining members are
    - i. Asgard in its capacity as custodian and operator of an IDPS;
    - ii. BT in its capacity as custodian and operator of an IDPS, and in its capacity as custodian for Westpac as responsible entity of managed portfolios;
    - iii. Citicorp in its capacity as custodian of the underlying units held for NMMT;
    - iv. Clearstream in its capacity as custodian of the underlying units held for HUB24, Powerwrap, Morgan Stanley, Dash and Crestone;

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- v. HSBC in its capacity as custodian of the underlying units held for Praemium;
- vi. HUB24 Custody in its capacity as custodian and operator of an IDPS;
- vii. IOOF in its capacity as custodian and operator of an IDPS; and
- viii. Keystone in its capacity as as responsible entity of the Shield Master Fund.

**Interpretation**

6. In this instrument:

*Asgard* means Asgard Capital Management Ltd ACN 009 279 592 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee of superannuation funds.

*BT* means BT Portfolio Services Ltd ACN 095 055 208 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee for superannuation funds.

*Clearstream* means Clearstream Australia Nominees Pty Ltd ACN 641 868 948 as the owner of Ausmaq Limited and custodian for managed funds for wrap platform providers HUB24, Xplore, Powerwrap, Morgan Stanley, Dash and Crestone.

*Crestone* means LGT Crestone Wealth Management Limited ABN 50 005 311 937 as the owner of a private platform where Clearsteam is the custodian.

*Dash* means uXchange, the administrator of an IDPS-like Scheme where Equity Trustees are the Responsible Entity and Clearsteam is the custodian. uXchange Pty Ltd is a corporate authorised representative of Dash Investment Services Pty Ltd ABN 20 610 852 456.

*Explanatory Materials* means the explanatory memorandum and notice of meeting sent to members of the Fund which is substantially in the same form as that provided to ASIC on or about 28 January 2025 as modified or supplemented for the purposes of paragraph 5(b) of this instrument.

*HSBC* means HSBC Custody Nominees (Australia) Limited ACN 003 094 568 in its capacity as custodian for Praemium platform.

*HUB24 Custody* means HUB24 Custodial Services Ltd ACN 073 633 664 in its capacity as custodian and operator of an IDPS.

*HUB24* means HUB24 Custodial Services Ltd ABN 94 073 633 664, AFSL 239 122 in its capacity as the operator of HUB24 Invest (an investor directed portfolio service), promoter and administrator of HUB24 Super which is a regulated superannuation fund, where Clearsteam is the custodian. The trustee and issuer of interests in HUB24 Super is HTFS Nominees Pty Limited ABN 78 000 880 553, AFSL 232 500, RSE Licence No. L0003216.

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**IOOF** means IOOF Investment Services Ltd ACN 007 350 405 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee of superannuation funds.

**Keystone** means Keystone Asset Management Ltd ACN 612 443 008 in its capacity as the responsible entity of the Shield Master Fund.

**Morgan Stanley** means Morgan Stanley Australia Limited (ABN 67 003 734 576, AFSL 233742, as the owner of a private platform where Clearstream is the custodian.

**NMMT** means NMMT Limited ACN 058 835 573 in its capacity as operator of an IDPS, not including any units it holds on behalf of or as trustee for superannuation funds.

**Powerwrap** means Powerwrap Limited ABN 67 129 756 850 as platform operator (now owned by Praemium Australia Limited ACN 117 611 784) where Clearstream is the custodian.

**Praemium** means Praemium Australia Limited ACN 117 611 784 as the operator of the Praemium Spectrum Investor Directed Portfolio Service, responsible entity of the Separately Managed Accounts and sponsor of the Praemium SMA Superannuation Fund.

**Westpac** means Westpac Financial Services Limited ABN 20 000 241 127 as responsible entity of Talaria Wholesale Plus Global Equity Fund ARSN 602 190 474 where Clearstream is the custodian.

Dated this 29<sup>th</sup> day of January 2025



Signed by Laura Haracic  
as a delegate of the Australian Securities and Investments Commission

25-0040

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 601QA(1)(b) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(b) of the Corporations Act 2001 (the *Act*).

**Title**

2. This instrument is ASIC Instrument 25-0040.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 5C of the Act applies to Australian Unity Funds Management Limited ACN 071 497 115 (**AUFML**) in its capacity as responsible entity of the Talaria Global Equity Fund ARSN 132 393 705 (**Fund**) as if section 253LA of the Act were modified or varied by:

(a) omitting paragraph 253LA(2)(b) and substituting:

“(b) the resolution must be passed by at least 50% of the total votes that may be cast by eligible persons; and”; and

(b) after subsection 253LA(2), inserting:

“(3) For the purposes of subsection (2):

*abstaining member* means a member of the scheme that:

- (a) is an operator or custodian of an IDPS and/or is acting in its capacity as a registerable superannuation entity (and includes a trustee or custodian entity or sub-custodian of any of the foregoing);
- (b) where the person mentioned in paragraph (a) above (including in the case of a sub-custodian that has authorised the operator or custodian of an IDPS):
  - i. has a written policy of not voting their interests in relation to a resolution arising from the client's investments in the IDPS or registerable superannuation entity (as applicable) in certain circumstances, or
  - ii. does not have a written policy of not voting their interests in relation to a resolution arising from the client's investments in the IDPS or registerable superannuation entity (as applicable) but has notified the responsible entity



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that it will exercise its discretion to abstain from voting on the resolution in respect of the relevant interests; and

(c) has abstained from voting on the resolution.

*custodian* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

*eligible persons* means members of the scheme (excluding abstaining members) entitled to vote on the resolution at the meeting (including members who are not present in person or by proxy).

*IDPS* has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

*operator* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669

*registerable superannuation entity* has the meaning given in subsection 10(1) of the *Superannuation Industry (Supervision) Act 1993*.

*sub-custodian* in relation to an IDPS, has the meaning given in ASIC Corporations (Investor Directed Portfolio Services) Instrument 2023/669.

**Where this instrument applies**

5. This instrument applies where:
- (a) a notice of meeting has been issued to call a meeting of the Fund to vote on an extraordinary resolution to approve the retirement of AUFML as responsible entity of the Fund and the appointment of Equity Trustees Limited ACN 004 031 298 as responsible entity of the Fund;
  - (b) The Explanatory Materials include an explanation of the effect of this instrument in relation to the resolution to be considered at the meeting, which may be by way of a supplement or online website notice provided to members of the Fund; and
  - (c) the abstaining members are
    - i. Asgard in its capacity as custodian and operator of an IDPS;
    - ii. Clearstream in its capacity as custodian of the underlying units held for HUB24, Xplore, Powerwrap, Morgan Stanley, Dash and Crestone;
    - iii. HUB24 Custody in its capacity as custodian and operator of an IDPS;
    - iv. BT in its capacity as custodian and operator of an IDPS, and in its capacity as custodian for Westpac as responsible entity of managed portfolios;

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- v. Citicorp in its capacity as custodian of the underlying units held for NMMT;
- vi. HSBC in its capacity as custodian of the underlying units held for Praemium;
- vii. Macquarie Super in its capacity as the trustee of a registrable superannuation entity;
- viii. IOOF in its capacity as custodian and operator of an IDPS; and
- ix. Equity Trustees in its capacity as trustee of a registrable superannuation entity.

**Interpretation**

6. In this instrument:

*Asgard* means Asgard Capital Management Ltd ACN 009 279 592 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee of superannuation funds.

*BT* means BT Portfolio Services Ltd ACN 095 055 208 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee for superannuation funds.

*Clearstream* means Clearstream Australia Nominees Pty Ltd ACN 641 868 948 as the owner of Ausmaq Limited and custodian for managed funds for wrap platform providers HUB24, Xplore, Powerwrap, Morgan Stanley, Dash and Crestone.

*Crestone* means LGT Crestone Wealth Management Limited ABN 50 005 311 937 as the owner of a private platform where Clearstream is the custodian.

*Dash* means uXchange, the administrator of an IDPS-like Scheme where Equity Trustees are the Responsible Entity and Clearstream is the custodian. uXchange Pty Ltd is a corporate authorised representative of Dash Investment Services Pty Ltd ABN 20 610 852 456.

*Equity Trustees* means Equity Trustees Superannuation Limited ACN 055 641 757 in its capacity as trustee of a registrable superannuation entity.

*Explanatory Materials* means the explanatory memorandum and notice of meeting sent to members of the Fund which is substantially in the same form as that provided to ASIC on or about 28 January 2025 as modified or supplemented for the purposes of paragraph 5(b) of this instrument.

*HSBC* means HSBC Custody Nominees (Australia) Limited ACN 003 094 568 in its capacity as custodian for Praemium platform.

*HUB24 Custody* means HUB24 Custodial Services Ltd ACN 073 633 664 in its capacity as custodian and operator of an IDPS.

**25-0040**

**HUB24** means HUB24 Custodial Services Ltd ABN 94 073 633 664, AFSL 239 122 in its capacity as the operator of HUB24 Invest (an investor directed portfolio service), promoter and administrator of HUB24 Super which is a regulated superannuation fund, where Clearsteam is the custodian. The trustee and issuer of interests in HUB24 Super is HTFS Nominees Pty Limited ABN 78 000 880 553, AFSL 232 500, RSE Licence No. L0003216.

**IOOF** means IOOF Investment Services Ltd ACN 007 350 405 which holds units in the Fund in its capacity as an operator and custodian of an IDPS, not including any units it holds on behalf of or as trustee of superannuation funds.

**Macquarie Super** means Macquarie Investment Management Ltd ACN 002 867 003 as trustee of a registrable superannuation entity.

**Morgan Stanley** means Morgan Stanley Australia Limited ABN 67 003 734 576, AFSL 233742, as the owner of a private platform where Clearsteam is the custodian.

**NMMT** means NMMT Limited ACN 058 835 573 in its capacity as operator of an IDPS, not including any units it holds on behalf of or as trustee for superannuation funds.

**Powerwrap** means Powerwrap Limited ABN 67 129 756 850 as platform operator (now owned by Praemium Australia Limited ACN 117 611 784) where Clearsteam is the custodian.

**Praemium** means Praemium Australia Limited ACN 117 611 784 as the operator of the Praemium Spectrum Investor Directed Portfolio Service, responsible entity of the Separately Managed Accounts and sponsor of the Praemium SMA Superannuation Fund.

**Westpac** means Westpac Financial Services Limited ABN 20 000 241 127 as responsible entity of Talaria Wholesale Plus Global Equity Fund, ARSN 602 190 474 where Clearstream is the custodian.

**Xplore** means Xplore Wealth Limited ABN 34 128 316 441 as platform operator (now owned by HUB24 Limited) where Clearsteam is the custodian.

Dated this 29 day of January 2025



Signed by Laura Haracic  
as a delegate of the Australian Securities and Investments Commission

25-0042

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 926A(2)(a) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (Cth) (*Act*).

**Title**

2. This instrument is ASIC Instrument 25-0042.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts Affinius Capital Advisors LLC, a company incorporated under the laws of the US State of Texas and registered with the US Securities and Exchange Commission under file number 801-122540 (the *body*) from the requirement to hold an Australian financial services licence in the case specified in Schedule A on the conditions specified in Schedule B so long as those conditions are met.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) sub-paragraph 1(1) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial service providers*; or
  - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) ASIC giving notice to the body or its Agent that the body is excluded from relying on this instrument.

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**Schedule A**

The exemption in paragraph 4 of this instrument applies where all the following apply:

1. the body is:
  - (a) a registered broker dealer that is a member of the Securities Investor Protection Corporation established under the *Securities Investor Protection Act 1970* (US) and that is a member of FINRA and FINRA is the body's examining authority;
  - (b) a registered broker dealer that is an OTC derivatives dealer within the meaning of Rule 3b-12 promulgated under the Exchange Act who is affiliated within the meaning of that Rule with a registered broker dealer who is a member of FINRA; or
  - (c) a registered investment adviser;
2. the body is either:
  - (a) a body corporate incorporated in the US or a State of the US; or
  - (b) a partnership formed in the US or a State of the US;
3. the body:
  - (a) is registered under Division 2 of Part 5B.2 of the Act; or
  - (b) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
4. the body's primary business is the provision of financial services;
5. neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument; and
6. if the body becomes aware or should reasonably have become aware of matters that gives it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
  - (a) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries);
  - (b) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and

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7. the body has not notified ASIC that it will not rely on this instrument.

#### Schedule B

Where:

1. the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients (and the body is authorised under US regulatory requirements to provide the financial services in the US):
  - (a) providing financial product advice; or
  - (b) dealing in a financial product;in respect of any of the following financial products (that the body is authorised under US regulatory requirements to provide the financial services on in the US):
  - (c) derivatives;
  - (d) foreign exchange contracts;
  - (e) securities;
  - (f) debentures, stocks, or bonds issued by a government;
  - (g) managed investment products; or
  - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and
2. the body has provided ASIC with:
  - (a) evidence that paragraph 1 of Schedule A is satisfied;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed for the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
    - (i) the deed is irrevocable except with the prior written consent of ASIC;
    - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person

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referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;

- (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
  - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the body's Agent; and
  - (v) the body covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC, and ASIC to disclose to the SEC, any information or document that the SEC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by the SEC to ASIC, and ASIC to the SEC, of any information or document that the SEC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

**Schedule C**

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances;
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body becomes aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
  - (a) each significant change to, including the termination of, the registration as a registered broker dealer or a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction;
  - (b) each significant particular exemption or other relief which the body may obtain from the US regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and

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- (c) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
  - (i) significant enforcement action;
  - (ii) significant disciplinary action; and
  - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition);
- 3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
  - (a) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
  - (b) the body is regulated by the SEC under US laws, which differ from Australian laws; and
- 4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.



25-0042

**Interpretation**

In this instrument:

*Act* means the *Corporations Act 2001*.

*address*, in relation to a company, means the address of the registered office of the company.

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act.

*dealing* has the meaning given by section 9 of the Act.

*examining authority*, in relation to the body, means a self-regulatory organisation to which the body belongs which has not been relieved of the responsibility relating to the body under section 17(d)(1)(A) of the Exchange Act in any respect.

*Exchange Act* means the Securities and Exchange Act 1934 (US).

*financial product* has the meaning given by section 9 of the Act.

*financial product advice* has the meaning given by section 9 of the Act.

*financial services law* has the meaning given by section 9 of the Act.

*FINRA* means the Financial Industry Regulation Authority of the US.

*foreign exchange contract* has the meaning given by section 9 of the Act.

*notice* and *notified* mean, respectively, written notice and notified in writing.

*overseas regulatory authority* means a foreign regulatory authority (other than the SEC) which regulates financial services, and which is established by or for the purposes of a foreign government or legislative body.

*registered broker dealer* means a broker or dealer registered under section 15(b) of the Exchange Act.

*registered investment adviser* means a body corporate, or a partnership formed in the US, or a State of the US registered under section 203(c) of the *Investment Advisers Act 1940* (US).

*SEC* means the Securities and Exchange Commission of the US.

*securities* has the meaning given by section 9 of the Act.

*US* means the United States of America.

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*US regulatory requirements* means the rules that apply in relation to the financial services including:

- (a) any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and
- (b) if the body is covered by subparagraph 1(a) of Schedule A but not subparagraphs 1(b) or 1(c) of that Schedule – any applicable rules, policies or other documents (however described) of FINRA.

*wholesale client* has the meaning given in section 9 of the Act.

Dated this 24<sup>th</sup> day of January 2025



Signed by Keith Tong  
as a delegate of the Australian Securities and Investments Commission.

25-0043

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 926A(2)(a) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (Cth) (*Act*).

**Title**

2. This instrument is ASIC Instrument 25-0043.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts Affinius Capital Management LLC, a company incorporated under the laws of the US State of Delaware and registered with the US Securities and Exchange Commission under file number 801-73803 (the *body*) from the requirement to hold an Australian financial services licence in the case specified in Schedule A on the conditions specified in Schedule B for so long as those conditions are met.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) sub-paragraph 1(1) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial service providers*; or
  - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) ASIC giving notice to the body or its Agent that the body is excluded from relying on this instrument.

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**Schedule A**

The exemption in paragraph 4 of this instrument applies where all the following apply:

1. the body is:
  - (a) a registered broker dealer that is a member of the Securities Investor Protection Corporation established under the *Securities Investor Protection Act 1970* (US) and that is a member of FINRA and FINRA is the body's examining authority;
  - (b) a registered broker dealer that is an OTC derivatives dealer within the meaning of Rule 3b-12 promulgated under the Exchange Act who is affiliated within the meaning of that Rule with a registered broker dealer who is a member of FINRA; or
  - (c) a registered investment adviser;
2. the body is either:
  - (a) a body corporate incorporated in the US or a State of the US; or
  - (b) a partnership formed in the US or a State of the US;
3. the body:
  - (a) is registered under Division 2 of Part 5B.2 of the Act; or
  - (b) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
4. the body's primary business is the provision of financial services;
5. neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument; and
6. if the body becomes aware or should reasonably have become aware of matters that gives it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
  - (a) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries);
  - (b) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and

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7. the body has not notified ASIC that it will not rely on this instrument.

#### Schedule B

Where:

1. the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients (and the body is authorised under US regulatory requirements to provide the financial services in the US):
  - (a) providing financial product advice; or
  - (b) dealing in a financial product;in respect of any of the following financial products (that the body is authorised under US regulatory requirements to provide the financial services on in the US):
  - (c) derivatives;
  - (d) foreign exchange contracts;
  - (e) securities;
  - (f) debentures, stocks, or bonds issued by a government;
  - (g) managed investment products; or
  - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and
2. the body has provided ASIC with:
  - (a) evidence that paragraph 1 of Schedule A is satisfied;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed for the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
    - (i) the deed is irrevocable except with the prior written consent of ASIC;
    - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to

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- proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
- (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
  - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the body's Agent; and
  - (v) the body covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC, and ASIC to disclose to the SEC, any information or document that the SEC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by the SEC to ASIC, and ASIC to the SEC, of any information or document that the SEC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

**Schedule C**

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances;
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body becomes aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
  - (a) each significant change to, including the termination of, the registration as a registered broker dealer or a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction;

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- (b) each significant particular exemption or other relief which the body may obtain from the US regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
  - (c) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
    - (i) significant enforcement action;
    - (ii) significant disciplinary action; and
    - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition);
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
- (a) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
  - (b) the body is regulated by the SEC under US laws, which differ from Australian laws; and
4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

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**Interpretation**

In this instrument:

*Act* means the *Corporations Act 2001*.

*address*, in relation to a company, means the address of the registered office of the company.

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act.

*dealing* has the meaning given by section 9 of the Act.

*examining authority*, in relation to the body, means a self-regulatory organisation to which the body belongs which has not been relieved of the responsibility relating to the body under section 17(d)(1)(A) of the Exchange Act in any respect.

*Exchange Act* means the Securities and Exchange Act 1934 (US).

*financial product* has the meaning given by section 9 of the Act.

*financial product advice* has the meaning given by section 9 of the Act.

*financial services law* has the meaning given by section 9 of the Act.

*FINRA* means the Financial Industry Regulation Authority of the US.

*foreign exchange contract* has the meaning given by section 9 of the Act.

*notice* and *notified* mean, respectively, written notice and notified in writing.

*overseas regulatory authority* means a foreign regulatory authority (other than the SEC) which regulates financial services, and which is established by or for the purposes of a foreign government or legislative body.

*registered broker dealer* means a broker or dealer registered under section 15(b) of the Exchange Act.

*registered investment adviser* means a body corporate, or a partnership formed in the US, or a State of the US registered under section 203(c) of the *Investment Advisers Act 1940* (US).

*SEC* means the Securities and Exchange Commission of the US.

*securities* has the meaning given by section 9 of the Act.

*US* means the United States of America.



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*US regulatory requirements* means the rules that apply in relation to the financial services including:

- (a) any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and
- (b) if the body is covered by subparagraph 1(a) of Schedule A but not subparagraphs 1(b) or 1(c) of that Schedule – any applicable rules, policies or other documents (however described) of FINRA.

*wholesale client* has the meaning given in section 9 of the Act.

Dated this 24<sup>th</sup> day of January 2025



Signed by Keith Tong  
as a delegate of the Australian Securities and Investments Commission.



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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**25-0045**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Commonwealth Bank Officers Superannuation Corporation Pty Limited  
ACN 074 519 798 ("the Licensee")  
Commonwealth Bank Place South  
Level 1 11 Harbour Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 246418 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 January 2025

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission

25-0055

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) and 673(1)(a) – Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(a) and 673(1)(a) of the *Corporations Act 2001* (Cth) (the *Act*).

**Title**

2. This instrument is ASIC Instrument 25-0055.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Century Plaza Investments Pty Ltd ACN 006 640 115 and its associates (*Century Plaza*) does not have to comply with section 671B of the Act.

**Where this instrument applies**

5. The exemption in paragraph 4 applies where:
  - (a) members of Myer Holdings Limited ACN 119 085 602 (*Myer*) approve at a general meeting the issue of up to 890,500,000 ordinary shares in Myer (*Consideration Shares*) to Premier Investments Limited ACN 006 727 966 (*Premier*) as consideration for the acquisition of Just Group Limited ACN 096 911 410 pursuant to the Share Sale and Implementation Agreement between Premier and Myer; and
  - (b) Century Plaza's relevant interest in Myer increases from approximately 31.2% to below 90% as a result of Premier's acquisition of the Consideration Shares pursuant to the Share Sale and Implementation Agreement.
6. The exemption in paragraph 4 applies to a substantial holding (as defined in the Act) that arises as a result of subparagraph 5(b).
7. The exemption in paragraph 4 does not apply unless Premier has given to ASIC a deed expressed to be irrevocable without the prior consent of ASIC and for the benefit of, and enforceable by, ASIC under which Premier undertakes the following:
  - (a) Premier will not, at any time, exercise the votes attaching to, nor control or influence the exercise of the votes attaching to the Consideration Shares;

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- (b) Premier will take all reasonable steps to ensure the Consideration Shares are transferred to its members or nominees (including the nominee appointed under paragraphs 7(c) and 7(d)) within eight business day after the Consideration Shares being issued to Premier by Myer; and
  - (c) in respect of:
    - (i) Premier's members or nominees who are foreign holders and who Premier has determined that the transfer of the relevant portion of the Consideration Shares to its foreign holders would impose an unreasonable compliance burden with the relevant foreign laws (*foreign holders*); and
    - (ii) Premier's members or nominees that would hold less than a marketable parcel (as defined by the ASX Listing Rules) of Myer shares (*small parcel holders*),
- Premier will appoint a nominee for foreign holders and small parcel holders of its securities, who is an Australian financial services licensee authorised to provide financial services in relation to securities (the **Sale Agent**), to sell the Consideration Shares that its foreign holders and small parcel holders are otherwise entitled to receive, and distribute to each of the foreign holders and small parcel holders, their proportion of the proceeds of the sale net of expenses;
- (d) Premier will also appoint the Sale Agent to sell:
    - (i) the Fractional Shares and remit the proceeds of the sale net of expenses to Premier; and
    - (ii) the Withheld Shares and remit the proceeds of the sale net of expenses to the Australian Taxation Office.

**Cessation**

- 8. The exemptions shall remain effective, unless otherwise revoked, until the earlier of:
  - (a) the day after the Consideration Shares are transferred to Premier Shareholders or the Sale Agent in satisfaction of an in-specie distribution by Premier; and
  - (b) 31 July 2025.

**Interpretation**

- 9. In this instrument:

*Fractional Shares* means certain Consideration Shares that are not distributed to Premier's members because of the rounding down by Premier of any fraction of a share that would have arisen but for the rounding treatment determined under the in specie distribution that the Premier Board determines.

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*Share Sale Agreement* means the share sale and implementation agreement executed by Myer and Premier dated 29 October 2024, pursuant to which Myer agreed to acquire Just Group Limited ACN 096 911 410 from Premier.

*Withheld Shares* means the number of Consideration Shares representing a portion of the value of any dividend withholding tax required to be withheld from the dividend component of the in specie distribution, as the Premier Board determines.

Dated this 29<sup>th</sup> day of January 2025



Signed by Mario Brce  
as a delegate of the Australian Securities and Investments Commission

25-0056

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) and 673(1)(a) – Variation**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(a) and 673(1)(a) of the *Corporations Act 2001* (Cth) (the *Act*).

**Title**

2. This instrument is ASIC Instrument 25-0056.

**Commencement**

3. This instrument commences on the day it is signed.

**Variation**

4. ASIC Instrument 24-0964 is varied as follows:
  - (a) in paragraph 6(a) under the subheading ‘**Where this Instrument applies**’, replace “890,500,00 ordinary shares” with “890,500,000 ordinary shares”.

Dated this 29<sup>th</sup> day of January 2025



Signed by Mario Brce  
as a delegate of the Australian Securities and Investments Commission

25-0057

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under section 926A(2)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 25-0057.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts Maybank Securities Pte Ltd., a body incorporated in Singapore, registration number 197201256N (the *body*) from the requirement to hold an Australian financial services (AFS) licence in the case referred to in Schedule A.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) the sub-paragraph 1(1) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1102] *Singapore MAS regulated financial service providers*; or
  - (b) the body not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction.

25-0057

**Schedule A**

1. The exemption in paragraph 4 applies where all of the following apply:
  - (a) the body holds a current capital market services licence granted by the Monetary Authority of Singapore (*MAS*) under section 86 of the Securities and Futures Act;
  - (b) the body is either a body corporate incorporated or a partnership formed in Singapore;
  - (c) the body:
    - (i) is registered under Division 2 of Part 5B.2 of the Act; or
    - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
  - (d) the body's primary business is the provision of financial services;
  - (e) neither the body nor its agent has been notified by ASIC that the body is excluded from relying on this instrument;
  - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
    - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
    - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
  - (g) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
  - (a) providing general financial product advice only,
  - (b) dealing in a financial product;in respect of any of the following financial products:
  - (c) derivatives;



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- (d) foreign exchange contracts;
  - (e) interests in managed investment schemes including investor directed portfolio services; and
  - (f) securities.
3. Where the body has provided ASIC with all of the following:
- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
    - (A) the deed is irrevocable except with the prior written consent of ASIC; and
    - (B) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
    - (C) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
    - (D) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
    - (E) the body covenants that, on written request of either the MAS or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist MAS to disclose to ASIC and ASIC to disclose to MAS any information or document MAS or ASIC has that relates to the body;

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- (d) written consents to the disclosure by MAS to ASIC and ASIC to MAS of any information or document that MAS or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

**Schedule B**

1. The body must provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Singaporean regulatory requirements if the financial service were provided in Singapore in like circumstances.
2. The body must:
  - (a) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
    - (i) each significant change to, including the termination of, the banking licence applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (ii) each significant particular exemption or other relief which the body may obtain from the Singaporean regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (iii) each action or investigation of the following kinds taken by MAS or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
      - (A) significant enforcement action;
      - (B) significant disciplinary action;
      - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
  - (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
    - (i) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and

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- (i) the body is regulated by MAS under Singaporean laws, which differ from Australian laws; and
- (c) if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

**Interpretation**

In this instrument:

*Act* means the *Corporations Act 2001*;

*address*, in relation to a company, means the address of the registered office of the company;

*agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

*ASIC Act* means the *Australian Securities and Investments Commission Act 2001*;

*banking licence* means a licence granted by MAS under section 7 or section 79 of the Banking Act 1970 of Singapore;

*capital markets services licence* means a capital markets services licence granted by MAS under section 86 of the Securities and Futures Act;

*derivative* has the meaning given by section 9 of the Act;

*financial product advice* has the meaning given by section 9 of the Act;

*financial services law* has the meaning given by section 9 of the Act;

*foreign exchange contract* has the meaning given by section 9 of the Act;

*managed investment product* has the meaning given by section 9 of the Act;

*MAS* means the Monetary Authority of Singapore;

*notice* and *notified* mean, respectively, written notice and notified in writing;

*overseas regulatory authority* means a foreign regulatory authority (other than MAS) which regulates financial services, and which is established by or for the purposes of a foreign government or legislative body;

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*securities* has the meaning given by section 9 of the Act;

*Securities and Futures Act* means the *Securities and Futures Act 2001* of Singapore;

*Singaporean regulatory requirements* means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by MAS; and

*wholesale client* has the meaning given in section 9 of the Act.

Dated this 30<sup>th</sup> day of January 2025



Signed by Keith Tong  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirty-first day of January 2025

<b>Name of Company</b>	<b>ARBN</b>
AKW APPARATE & VERFAHREN GMBH	139 467 100
CARLYLE AUSTRALIA INVESTMENT ADVISORS LIMITED	117 350 599
IVS LABS LIMITED	612 199 907
STANFORD RESOURCING LIMITED	159 178 515

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this thirty-first day of January 2025

**Name of Company**

**ABN**

DATA MANAGEMENT GLOBAL OPERATIONS LIMITED

679 253 493

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**INVESTMENT INFRASTRUCTURE ECONOMICS LIMITED** ACN 125 947 426 will change to a proprietary company limited by shares. The new name will be INVESTMENT INFRASTRUCTURE ECONOMICS PTY LIMITED ACN 125 947 426.

**NATHAN RIVER RESOURCES LIMITED** ACN 624 428 717 will change to a proprietary company limited by shares. The new name will be NATHAN RIVER RESOURCES PTY LTD ACN 624 428 717.

**VERITY NATURE PTY LTD** ACN 657 919 330 will change to a public company limited by shares. The new name will be VERITY NATURE LTD ACN 657 919 330.

**K2FLY LIMITED** ACN 125 345 502 will change to a proprietary company limited by shares. The new name will be K2FLY PTY LTD ACN 125 345 502.

**OZ YELLOW URANIUM LIMITED** ACN 651 734 600 will change to a proprietary company limited by shares. The new name will be OZ YELLOW URANIUM PTY LTD ACN 651 734 600.