



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A38/22 Tuesday 20 September 2022

Published by ASIC

ASIC Gazette

Contents

Notices under Corporations Act 2001

22-0614	22-0746	22-0765	22-0800	22-0801
22-0802	22-0808	22-0812	22-0813	

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

© Commonwealth of Australia, 2022

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

22-0614

Australian Securities and Investments Commission
Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: INDIAN OCEAN SMSF PTY. LTD.
A.C.N. 612 783 892 (the "Licensee")
311 – 313 HAY STREET
SUBIACO
WA 6008

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489139 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 9th day of September 2022

Signed *N Vonarx*

Nicholas Vonarx
Delegate
Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9927,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

22-0746

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Suspension of an Australian Financial Services Licence

TO: Capital and Finance Pty Limited
ACN 095 885 028 ("the Licensee")
SE 6, 3 Victoria Rd
Parramatta NSW 2150

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 269868 held by the Licensee until 14 December 2022, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while suspended, as though the suspension had not happened for the purposes of:
 - a. paragraph 912A(1)(g) and 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme;
 - b. section 912B of the Act, which requires the Licensee to have compensation arrangements in place for retail clients. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001; and
 - c. paragraph 912A(2) of the Act, which requires the Licensee to maintain an internal dispute resolution scheme that complies with standards, and requirements, made or approved by ASIC.

Dated 15 September 2022

Signed .....

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

22-0765

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsections 111AT(1) and 601QA(1) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsections 111AT(1) and 601QA(1) of the Corporations Act 2001 (Act).

Title

2. This instrument is ASIC Instrument 22-0765.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. LM Investment Management Limited (in liquidation) (receiver appointed) ACN 077 208 461 in its capacity as the responsible entity (Responsible Entity) of LM First Mortgage Income Fund ARSN 089 343 288 (Scheme) does not have to comply with:
 - (a) the disclosing entity provisions in Part 2M.3 of the Act in relation to:
 - (i) the half-year of the Scheme ending 31 December for each of the half-years from 2016 to 2023 inclusive;
 - (ii) the financial year of the Scheme ending 30 June for each of the financial years from 2016 to 2023 inclusive; and
 - (b) section 601HG of the Act in relation to the financial year of the Scheme ending 30 June for each of the financial years from 2016 to 2023 inclusive.

Where exemption applies

5. The exemption applies where the Responsible Person does, or causes to be done (or, where the Responsible Person fails to do so, the Responsible Entity although not being required to do, within 28 days of becoming aware that the Responsible Person has failed to do so, does, or causes to be done), the following:
 - (a) publishes in a prominent place on the website maintained by the Responsible Person for the Scheme (or, in the case of the Responsible Entity, the Responsible Entity publishes on a website maintained by it for the purpose of providing information to members of the Scheme), a copy of this instrument accompanied by a notice explaining the relief granted by this instrument;
 - (b) prepares and makes available to members of the Scheme within 3 months after the end of each relevant period, a report for the relevant period which includes the following information unless disclosure of that information would be prejudicial to the winding up:

- (i) information about the progress and status of the winding up of the Scheme, including details (as applicable) of:
 - A. the actions taken during the period;
 - B. the actions required to complete the winding up;
 - C. the actions proposed to be taken in the next 12 months;
 - D. the expected time to complete the winding up; and
- (ii) the financial position of the Scheme as at the last day of the relevant period (based on available information);
- (iii) financial information about receipts for the scheme during the period; and
- (iv) the following information at the end of the period:
 - A. the value of scheme property; and
 - B. any potential return to members of the Scheme; and
- (c) maintains adequate arrangements to answer, within a reasonable period of time and without charge to the member, any reasonable questions asked by members of the Scheme about the winding up of the Scheme.

Interpretation

6. In this instrument:

disclosing entity provisions has the meaning given by section 111AR of the Act.

relevant period, in relation to a report, means each period of 6 months starting on 1 January 2022.

Responsible Person means the person appointed under subsection 601NF(1) of the Act to take responsibility for ensuring that the Scheme is wound up in accordance with its constitution.

Dated this 14 day of September 2022.



Signed by Juhyun Pak
as a delegate of the Australian Securities and Investments Commission

22-0800

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 1020F(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investment Commission (*ASIC*) makes this instrument under subsection 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0800.

Commencement

3. This instrument commences on the date it is signed.

Declaration

Product Disclosure Statement fees and costs disclosure

4. Part 7.9 of the Corporations Regulations applies in relation to SCS as trustee of the Fund as if:
 - (a) subregulation 7.9.16L(1) of the Corporations Regulations were modified or varied by, after “Part 2 of Schedule 10”, inserting “(as if *ASIC Corporations (Disclosure of Fees and Costs) Instrument 2019/1070* did not apply in relation to the Product Disclosure Statement, but instead ASIC Class Order [CO 14/1252] applied in relation to the Product Disclosure Statement despite paragraph 12 of that Class Order)”; and
 - (b) subregulation 7.9.16N(1) of the Corporations Regulations were modified or varied by, after “Part 2 of Schedule 10” (wherever occurring), inserting “(as if *ASIC Corporations (Disclosure of Fees and Costs) Instrument 2019/1070* did not apply in relation to the Product Disclosure Statement, but instead ASIC Class Order [CO 14/1252] applied in relation to the Product Disclosure Statement despite paragraph 12 of that Class Order)”.
5. The declaration in paragraph 4 applies in relation to a Product Disclosure Statement for superannuation products issued by SCS as trustee of the Fund where the Product Disclosure Statement is given in the period commencing on 30 September 2022 and ending on 30 November 2022.

Interpretation

6. In this instrument:

Corporation Regulations means the *Corporations Regulations 2001*.

22-0800

Fund means Australian Catholic Superannuation & Retirement Fund ABN 24 680 629 023

SCS means SCS Super Pty Ltd ABN 74 064 712 607

UniSuper means UniSuper ABN 91 385 943 850

Dated this 14th day of September 2022.



Signed by Owen Short
as a delegate of the Australian Securities and Investments Commission

22—0801

Australian Securities and Investments Commission
Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: VITAL WEALTH STRATEGIES PTY. LTD.
A.C.N. 151 109 367 (the "Licensee")
146 BOOKER BAY ROAD
BOOKER BAY
NSW 2257

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 409448 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 9th day of September 2022

Signed 

Nicholas Vonarx

Delegate

Australian Securities and Investments Commission

22—0802

Australian Securities and Investments Commission
Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: PORTCLIP PTY. LIMITED
A.C.N. 061 699 894 (the "Licensee")
43 BYRON ST. INVERELL NSW 2360

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241096 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 9th day of September 2022

Signed *N Vonarx*

Nicholas Vonarx

Delegate

Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

ASIC Corporations and Superannuation (Website Disclosure Deferral) Instrument 2022/808

I, Jane Eccleston, delegate of the Australian Securities and Investments Commission, make the following notifiable instrument.

Date 14 September 2022

A handwritten signature in black ink, appearing to read 'Jane Eccleston'.

Jane Eccleston

ASIC Corporations and Superannuation (Website Disclosure Deferral) Instrument 2022/808

Contents

Part 1—Preliminary	3
1 Name of notifiable instrument	3
2 Commencement	3
3 Authority	3
4 Definitions	3
Part 2—Exemptions	4
5 SIS Act exemption - website information.....	4
6 Corporations Act exemption - complaints management documentation.....	5
7 Corporations Act exemption – availability of target market determination ...	5
8 Corporations Act exemption - product dashboard information.....	5
9 Corporations Act exemption - unclaimed monies information	6
10 Conditions.....	6

Part 1—Preliminary

1 Name of notifiable instrument

This is the *ASIC Corporations and Superannuation (Website Disclosure Deferral) Instrument 2022/808*.

2 Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Authority

This instrument is made under:

- (a) in relation to sections 5 and 10 of this instrument, subsection 328(1) of the *Superannuation Industry (Supervision) Act 1993*; and
- (b) in relation to sections 6 to 9 and 10 of this instrument, subsections 926A(2), 994L(1), and 1020F(1) of the *Corporations Act 2001*.

4 Definitions

In this instrument:

Corporations Act means the *Corporations Act 2001*.

Deferral Period means the period commencing on the date this instrument commences and ending on the earlier of:

- (a) the day that is three months after this instrument commences; and
- (b) the business day before the Vanguard Super Fund begins accepting applications for membership from members of the general public.

Expressions of Interest Webpage means the webpage maintained by or on behalf of Vanguard Super at <https://www.vanguard.com.au/super/personal-details>.

Initial Cohort means the persons invited to participate in a testing phase by becoming the first members of the Vanguard Super Fund, prior to the Vanguard Super Fund being opened to members of the general public.

product disclosure document, in relation to an interest in a regulated superannuation fund, means:

- (a) any Product Disclosure Statement for an interest in the fund;

- (b) any document containing the fund information required under Subdivision 5.6 of Division 5 of Part 7.9 of the *Corporations Regulations 2001*.

Product Disclosure Statement has the same meaning as in the *Corporations Act 2001*.

Relative means any of the relationships described in the definition of “relative” in section 9 of the *Corporations Act*.

Regulations means the *Superannuation Industry (Supervision) Regulations 1994*.

Regulatory Guide 271 means ASIC Regulatory Guide 271 *Internal Dispute Resolution* as published on 2 September 2021.

SIS Act means the *Superannuation Industry (Supervision) Act 1993*.

Vanguard Super means Vanguard Super Pty Ltd ACN 643 614 386 in its capacity as the trustee of the Vanguard Super Fund.

Vanguard Super Fund means the fund constituted by the trust deed dated 22 July 2022 and of which Vanguard Super Pty Ltd is trustee.

Part 2—Exemptions

5 SIS Act exemption - website information

- (1) Vanguard Super does not have to comply with subsection 29QB(1) of the SIS Act to the extent that it requires Vanguard Super to make publicly available, or update, any of the following details, documents or information on the Vanguard Super Fund’s website during the Deferral Period:
- (a) details about a person referred to in item 1 of the table in subregulation 2.37(1) of the Regulations;
 - (b) details referred to in each of items 2 to 4 of the table in subregulation 2.37(1) of the Regulations;
 - (c) details referred to item in 9 of the table in subregulation 2.37(1) of the Regulations;
 - (d) a document referred to in each of paragraphs 2.38(2)(a) to (c) of the Regulations;
 - (e) a product disclosure statement referred to in paragraph 2.38(2)(c) of the Regulations;

- (f) information in relation to a person referred to in paragraph 2.38(2)(i) of the Regulations;
 - (g) information in relation to a person referred to in paragraph 2.38(2)(j) of the Regulations;
 - (h) a record of attendance for a director referred to in paragraph 2.38(2)(k) of the Regulations;
 - (i) a register referred to in paragraph 2.38(2)(l) of the Regulations;
 - (j) a summary of the conflicts management policy referred to in paragraph 2.38(2)(m) of the Regulations;
 - (k) a policy referred to in paragraph 2.38(2)(n) of the Regulations;
 - (l) the constitution referred to in paragraph 2.38(3)(a) of the Regulations.
- (2) The exemption specified in subsection 5(1) applies where Vanguard Super complies with the conditions in section 10.

6 Corporations Act exemption - complaints management documentation

- (1) Vanguard Super does not have to comply with the standard and requirement made by ASIC for the purposes of subsection 912A(2) of the Corporations Act in paragraph 172 of Regulatory Guide 271 as made and approved in subparagraph 5(1)(m)(i) of *ASIC Corporations, Credit and Superannuation (Internal Dispute Resolution) Instrument 2020/98*, to make publicly available its complaint management documentation for the Vanguard Super Fund during the Deferral Period.
- (2) The exemption specified in subsection 6(1) applies where Vanguard Super complies with the conditions in section 10.

7 Corporations Act exemption – availability of target market determination

- (1) Vanguard Super does not have to comply with subsection 994B(9) of the Corporations Act in relation to the Vanguard Super Fund during the Deferral Period.
- (2) The exemption specified in subsection 7(1) applies where Vanguard Super complies with the conditions in section 10.

8 Corporations Act exemption - product dashboard information

- (1) Vanguard Super does not have to comply with section 1017BA(1) of the Corporations Act in relation to the Vanguard Super Fund during the Deferral Period.

ASIC Corporations and Superannuation (Website Disclosure Deferral) Instrument 2022/808 Part 2—Exemptions

- (2) The exemption specified in subsection 8(1) applies where Vanguard Super complies with the conditions in section 10.

9 Corporations Act exemption - unclaimed monies information

- (1) Vanguard Super does not have to comply with the condition set out in section 6(2) of *ASIC Corporations (Unclaimed Superannuation – Former Temporary Residents) Instrument 2019/873* to make certain statements and information available on the website for the Vanguard Super Fund during the Deferral Period.
- (2) The exemption specified in subsection 9(1) applies where Vanguard Super complies with the conditions in section 10.

10 Conditions

Maximum number of participants in Initial Cohort

- (1) During the Deferral Period Vanguard Super must not offer membership of the Vanguard Super Fund to anyone other than a person in the Initial Cohort.
- (2) The Initial Cohort must not consist of more than:
 - (a) 50 employees of Vanguard Super or its related bodies corporate operating within Australia; and
 - (b) three additional natural persons, each of whom are a Relative of a person referred to in paragraph (a).

Requirement to provide copies of complaint management documentation

- (3) Vanguard Super must provide each person in the Initial Cohort with a copy of the complaint management documentation for the Vanguard Super Fund mentioned in section 6(1) before that person becomes a member of the Vanguard Super Fund, and if those documents are updated during the Deferral Period, Vanguard Super must provide persons in the Initial Cohort with the updated documents within 5 business days of the update taking effect.

Details, documents and information to be made available to members of the Initial Cohort directly

- (4) Before Vanguard Super accepts an application to become a member of the Vanguard Super Fund from a person in the Initial Cohort each person in the Initial Cohort must be provided with the details, documents and information required to be publicly available on the Vanguard Super Fund website (including the details, documents and information referred to in subsection 5(1)) during the Deferral Period in hard copy format or by electronic means (at the election of the member of the Initial Cohort).

ASIC Corporations and Superannuation (Website Disclosure Deferral) Instrument 2022/808 Part 2—Exemptions

- (5) If updates to the details, documents and information made available to the Initial Cohort under to subsection (4) are required during the Deferral Period, Vanguard Super must ensure that members of the Initial Cohort who become members of the Vanguard Super Fund are provided with updated details, documents or information in hard copy format or by electronic means (at the election of the member) within five business days of the relevant occurrence or change taking effect.

Restrictions on marketing to retail clients

- (6) Vanguard Super must not, and must ensure that its service providers do not undertake any marketing of the Vanguard Super Fund to retail investors, other than the maintenance of the Expressions of Interest Webpage during the Deferral Period.
- (7) Vanguard Super must ensure that the Expressions of Interest Webpage complies with section 1018A of the Corporations Act and only contains statements which are factual and accurate.

22-0812

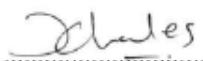
**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sims Richmond Pty Ltd
ACN 078 954 980 ("the Licensee")
PO Box 213
Rundle Mall SA 5000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485129 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 September 2022

Signed 

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0813

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this declaration under subsection 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0813.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to Atrum Coal Limited ACN 153 876 861 (*Company*) as if item 10(b) of section 611 of the Act were modified by inserting after the words "offers are made to every person who holds securities" the words "with a registered address in Australia or New Zealand and, in the case of institutional shareholders, with a registered address in Canada (British Columbia, Ontario and Quebec provinces), European Union, Hong Kong, Singapore, Switzerland and the United Kingdom".

Where this instrument applies

5. The declaration in paragraph 4 applies where:
 - (a) the Company makes an offer of new Shares pursuant to a non-renounceable accelerated rights issue to Eligible Shareholders of 1 new Share for every 1 Share held at an issue price of \$0.006 per Share (*Offer*);
 - (b) the Offer is made to every Eligible Shareholder; and
 - (c) pursuant to a prospectus lodged with ASIC on 15 September 2022.

Interpretation

6. In this instrument:

Eligible Shareholders means shareholders other than the Ineligible Shareholders.

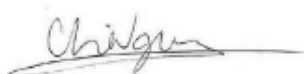
Ineligible Shareholders means the approximately 9 Shareholders with registered addresses as at the record date of the Offer other than in Australia and New Zealand holding, in aggregate, approximately 1.1% of all Shares.

Shares means a fully paid ordinary share in the issued capital of the Company.

22-0813

Shareholders mean the persons that are registered as holders of a Share as at the record date of the Offer.

Dated this 15th day of September 2022

A handwritten signature in black ink, appearing to read 'Chi Nguyen', with a horizontal line underneath it.

Signed by Chi Nguyen
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this sixteenth day of September 2022

Name of Company

ARBN

IV MARKETS LIMITED

639 684 914

JONES AND GUERRERO COMPANY, INCORPORATED

140 001 618

MIB SECURITIES (HONG KONG) LIMITED

608 609 114

PATRIZIA PROPERTY INVESTMENT MANAGERS LLP

144 680 142

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of September 2022

Name of Company

ARBN

BEELINE.COM LIMITED

624 894 711

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of September 2022

Name of Company

ARBN

CONNECTING UP INCORPORATED

150 810 521

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of September 2022

Name of Scheme	ARSN
COLONIAL FIRST STATE INVESTMENT FUND 25	614 724 186
COLONIAL FIRST STATE INVESTMENT FUND 4	168 564 118
COLONIAL FIRST STATE INVESTMENT FUND 93	635 101 325
COLONIAL FIRST STATE WHOLESALE CAPITAL SECURE FUND	098 583 003
COMMONWEALTH FIXED INTEREST FUND 23	604 604 711
COMMONWEALTH SPECIALIST FUND 31	162 718 550
COMMONWEALTH SPECIALIST FUND 36	603 277 292
ROBINA QUAYS UNIT TRUST	164 442 835
VICINITY ENHANCED RETAIL FUND	159 227 639

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of September 2022

Name of Scheme

ARSN

HARBOUR LITIGATION FUNDING SCHEME NO. 1	655 507 716
HARBOUR LITIGATION FUNDING SCHEME NO. 2	659 823 800
WISEWOULDS NOMINEES MANAGED MORTGAGE INVESTMENT SCHEME	120 649 756

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

CHALLENGE LIMITED ACN 009 230 433 will change to a proprietary company limited by shares. The new name will be CHALLENGE PTY LIMITED ACN 009 230 433.

GLOBAL ELECTRIC TRANSPORT LIMITED ACN 630 143 743 will change to a proprietary company limited by shares. The new name will be GLOBAL ELECTRIC TRANSPORT PTY LTD ACN 630 143 743.

OMEGA ASSETS PTY LTD ACN 644 192 469 will change to a public company limited by shares. The new name will be OMEGA ASSETS LIMITED ACN 644 192 469.

DSMJ PTY. LTD. ACN 615 049 691 will change to a public company limited by shares. The new name will be GROW TECHNOLOGY SERVICES LTD ACN 615 049 691.

MONOOVA GLOBAL PAYMENTS LIMITED ACN 106 249 852 will change to a proprietary company limited by shares. The new name will be MONOOVA GLOBAL PAYMENTS PTY LTD ACN 106 249 852.