

Financial Services and Credit Panel

In the matter of [REDACTED]

Reprimand under s921T(1) of the *Corporations Act 2001*

To: [REDACTED]
Representative number [REDACTED]

A Financial Services and Credit Panel convened by the Australian Securities and Investments Commission on 21 November 2025 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of relevant provider [REDACTED] (the **Sitting Panel**) reasonably believes that Mr [REDACTED] has contravened section 921E(3) of the *Corporations Act 2001* (**Corporations Act**) in relation to advice service fees charged on a client account when no advice was given or reviewed.

Pursuant to s921T(1)(b) of the *Corporations Act*, the Sitting Panel gives Mr [REDACTED] a written reprimand.



Signed: _____
Andrew Stecher, Panel Chair
Date: 16 April 2026