

**Haydar Tuncer**

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**From:** s 22  
**Sent:** Wednesday, 1 February 2023 12:35 PM  
**To:** s 22  
**Cc:** s 22  
**Subject:** RE: CAS-116628-X3R6F5: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Thanks s 22

s 37(2)(b), s 47C, s 47E (d)

Kind regards

s 22

s 22

Specialist Team Leader – Corporate Governance & Financial Markets

Misconduct & Breach Reporting

**Australian Securities and Investments Commission**

Level 20, 240 Queen Street, Brisbane, QLD 4000

Mob: s 22

s 22 [@asic.gov.au](mailto:s 22@asic.gov.au)

**ASIC**

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**From:** s 22 <s 22@asic.gov.au>  
**Sent:** Monday, 30 January 2023 5:12 PM  
**To:** s 22 <s 22@asic.gov.au>; s 22 <s 22@asic.gov.au>; s 22 <s 22@asic.gov.au>  
**Cc:** s 22 <s 22@asic.gov.au>; s 22 <s 22@asic.gov.au>; s 22 <s 22@asic.gov.au>  
**Subject:** FW: CAS-116628-X3R6F5: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi s 22 and s 22

Further to my earlier email, s 22 s 37(2)(b), s 47C, s 47E (d)

s 22 ?

If possible, EMG would like to be kept in the loop as well please.

Kind regards

s 22

s 22

Lawyer, Escalated Matters & Government

Misconduct & Breach Reporting

**Australian Securities and Investments Commission**

120 Collins Street, Melbourne, 3000

ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.



From: s 22 <[redacted]@asic.gov.au>  
Sent: Monday, 30 January 2023 5:55 PM  
To: s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>  
Cc: s 22 <[redacted]@asic.gov.au>  
Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL:Sensitive]

Hi s 22

I was hoping to have a look at this today but things have got away s 37(2)(b), s 47C, s 47E (d)

s 22

s 22  
s 22, Corporations and Corporate Governance  
Markets Enforcement Group  
**Australian Securities and Investments Commission**  
Level 20, 240 Queen Street, Brisbane, Qld 4001  
s 22  
[redacted]@asic.gov.au



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From: s 22 <[redacted]@asic.gov.au>  
Sent: Monday, 30 January 2023 5:58 PM  
To: s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>  
Cc: s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>  
Subject: CAS-116628-X3R6F5: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi s 22 and s 22

s 37(2)(b), s 47C, s 47E (d)

<<https://crmapp.asic.gov.au/ASIC/main.aspx?etc=112&extraqs=formid%3deb21117e-84ca-41f7-a24e-7656d4b0c31c&id=%7b630CD074-FB9D-ED11-8151-0050569FBF28%7d&pagetype=entityrecord>>

Kind regards

s 22

Lawyer, Escalated Matters & Government  
Misconduct & Breach Reporting

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s 22

From: s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>

Sent: Monday, 30 January 2023 5:34 PM

To: s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>

Cc: s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>

Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi all

Just checking if anyone has followed up on the allegations in the Hindenburg report.

Regards

s 22

s 22  
s 22, Markets

**Australian Securities and Investments Commission**

Level 5, 100 Market Street, Sydney, 2000

Tel: s 22  
<[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>

EA: s 22 | Tel: s 22 | s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>



From: s 22 @asic.gov.au> FOI 033-2023

Sent: Friday, 27 January 2023 4:21 PM

To: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>

Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Thanks everyone for responding, I've advised the journalist.

s 22  
s 22, Media Unit  
Corporate Affairs  
**Australian Securities and Investments Commission**  
120 Collins Street, Melbourne, VICTORIA, 3000  
Tel: s 22 Mob: +s 22  
s 22 @asic.gov.au



**Note:** I work Monday to Thursday

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From: s 22 @asic.gov.au>

Sent: Friday, 27 January 2023 3:56 PM

To: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>

Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Subject: Re: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Dear s 22

I also agree with your proposed response.

Kind regards

s 22

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From: s 22 @asic.gov.au>

Sent: Friday, January 27, 2023 2:24:41 PM

To: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Subject: Re: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Nothing from me

s 22  
s 22, Registered Liquidators  
s 22, Financial Reporting & Audit

Level 7, 120 Collins Street, Melbourne, 3000

Tel: s 22 | Mob: s 22

s 22 @asic.gov.au

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From: s 22 @asic.gov.au>

Sent: Friday, January 27, 2023 1:21:50 PM

To: s 22 @asic.gov.au>; s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Nothing further from me. I agree with your proposed response.

s 22  
s 22, Corporations and Corporate Governance  
Markets Enforcement Group

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Level 20, 240 Queen Street, Brisbane, Qld 4001

s 22  
asic.gov.au



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ASIC is committed to [diversity and inclusion](#). ASIC is a place of belonging regardless of difference, where all individuals are accepted, safe and affirmed.

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From: s 22 @asic.gov.au>

Sent: Friday, 27 January 2023 12:50 PM

To: s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>

Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

That's very helpful, thanks, s 22

s 22, s 22, s 22, do you have any comments or suggestions?

Otherwise, I would propose declining to comment on record but noting as background that as with all reports of misconduct that come to our attention, we will assess the available information to determine if further inquiries are warranted.

Thanks,

s 22

s 22  
s 22, Media Unit

Corporate Affairs

**Australian Securities and Investments Commission**

120 Collins Street, Melbourne, VICTORIA, 3000

Tel: s 22 Mob: s 22

[s 22@asic.gov.au](mailto:s 22@asic.gov.au)



**ASIC**

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**From:** s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au)

**Sent:** Friday, 27 January 2023 1:33 PM

**To:** s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); M&BR Media Queries <[M&BR.Media.Queries@asic.gov.au](mailto:M&BR.Media.Queries@asic.gov.au)>; s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au);

s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au)

**Cc:** s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au); s 22 [s 22@asic.gov.au](mailto:s 22@asic.gov.au)

[s 22@asic.gov.au](mailto:s 22@asic.gov.au)

**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi s 22

I hope you're well.

**CONFIDENTIAL**

s 37(2)(b), s 47C, s 47E (d)

s 37(1)(b)

Please let me know if there is more that you need.

Kind regards

s 22

Lawyer, Escalated Matters & Government

Misconduct & Breach Reporting



120 Collins Street, Melbourne, 3000



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From: s 22 <[redacted]@asic.gov.au>

Sent: Friday, 27 January 2023 11:09 AM

To: M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>

Cc: s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>; s 22 <[redacted]@asic.gov.au>

Subject: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi everyone, I wasn't sure where best to direct this, but you'll have no doubt seen media reports (see attached article in The Oz, also [SMH](#) and [The Guardian](#)) that US activist Hindenburg, an investment research firm that takes short positions and publishes reports detailing its views on alleged wrongdoing by the companies it targets, has made some allegations in relation to Adani's Australian operations that it may have allowed Adani to avoid disclosing large asset impairments to investors.

We've had some media follow up and while we'll unlikely comment, I wanted to ask whether this is something we may consider and confirm that the allegations would fall within our jurisdiction?

s 22 thought there may be some interest from Government on this so he is also copied here.

Thanks,

s 22

s 22  
s 22, Media Unit

Corporate Affairs

**Australian Securities and Investments Commission**

120 Collins Street, Melbourne, VICTORIA, 3000

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s 22 <[redacted]@asic.gov.au>



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