

**Haydar Tuncer**

**From:** s 22  
**Sent:** Wednesday, 1 February 2023 1:40 PM  
**To:** s 22; M&BR Media Queries; s 22  
**Cc:** s 22  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL:Sensitive]

Thanks so much for that s 22 we'll obviously treat this and your earlier advice confidentially.

s 22  
 s 22 Media Unit  
 Corporate Affairs  
**Australian Securities and Investments Commission**  
 120 Collins Street, Melbourne, VICTORIA, 3000  
 Tel: s 22 Mob: +s 22  
 s 22 @asic.gov.au



**Note:** I work Monday to Thursday

Due to COVID-19 requiring varied staff location arrangements, please direct all inquiries or requests for a return phone call to: [media.unit@asic.gov.au](mailto:media.unit@asic.gov.au)

**From:** s 22 @asic.gov.au  
**Sent:** Wednesday, 1 February 2023 1:36 PM  
**To:** s 22 @asic.gov.au; s 22 @asic.gov.au; M&BR Media Queries  
 <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au; s 22  
 @asic.gov.au; s 22 @asic.gov.au  
**Cc:** s 22 @asic.gov.au; s 22 @asic.gov.au  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL:Sensitive]

Sorry one further internal comment. s 37(2)(b), s 47C, s 47E (d)

s 22

s 22  
 s 22, Corporations and Corporate Governance  
 Markets Enforcement Group  
**Australian Securities and Investments Commission**  
 Level 20, 240 Queen Street, Brisbane, Qld 4001  
 s 22  
 @asic.gov.au



ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.

ASIC is committed to [diversity and inclusion](#). ASIC is a place of belonging regardless of difference, where all individuals are accepted, safe and affirmed.

**From:** s 22  
**Sent:** Wednesday, 1 February 2023 12:34 PM  
**To:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; M&BR Media Queries <[M&BR.Media.Queries@asic.gov.au](mailto:M&BR.Media.Queries@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Cc:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL:Sensitive]

s 22

**For internal use only:**

s 37(2)(b), s 47C, s 47E (d)

Otherwise there is no further comment.

s 22

s 22  
 s 22  
 s 22, Corporations and Corporate Governance  
 Markets Enforcement Group  
**Australian Securities and Investments Commission**  
 Level 20, 240 Queen Street, Brisbane, Qld 4001

s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>



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**From:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Sent:** Wednesday, 1 February 2023 12:09 PM  
**To:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; M&BR Media Queries <[M&BR.Media.Queries@asic.gov.au](mailto:M&BR.Media.Queries@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Cc:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi all, just a heads-up there has been a lot of slow burn media interest in Adani, including a few today on the back of the SMH article today.

Just double checking there hasn't been any developments we should be aware of?

Thanks,

s 22

s 22  
s 22, Media Unit

Corporate Affairs

**Australian Securities and Investments Commission**

120 Collins Street, Melbourne, VICTORIA, 3000

Tel: +s 22 Mob: +s 22

s 22 @asic.gov.au



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**From:** s 22  
**Sent:** Friday, 27 January 2023 5:08 PM  
**To:** s 22 @asic.gov.au>  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Thanks for formalising it.

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**From:** s 22 @asic.gov.au>  
**Sent:** Friday, 27 January 2023 5:01 PM  
**To:** s 22 @asic.gov.au>  
**Cc:** M&BR EMG <[M&BR.EMG@asic.gov.au](mailto:M&BR.EMG@asic.gov.au)>  
**Subject:** FW: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi s 22

Just FYI we have lodged a ROM to consider the matters raised in the Hindenburg report regarding Adani. Thank you for sending through the media request.

Kind regards

s 22

Lawyer, Escalated Matters & Government

Misconduct & Breach Reporting

**Australian Securities and Investments Commission**

120 Collins Street, Melbourne, 3000



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**From:** M&BR Registration Requests <[M&BR.Registration.Requests@asic.gov.au](mailto:M&BR.Registration.Requests@asic.gov.au)>  
**Sent:** Friday, 27 January 2023 3:52 PM  
**To:** s 22 @asic.gov.au; M&BR Registration Requests <[M&BR.Registration.Requests@asic.gov.au](mailto:M&BR.Registration.Requests@asic.gov.au)>  
**Cc:** M&BR EMG <[M&BR.EMG@asic.gov.au](mailto:M&BR.EMG@asic.gov.au)>; s 22 @asic.gov.au  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Good Afternoon s 22

The below has been registered as

Transaction / ROM - Report of Misconduct (ROM) **CAS-116628-X3R6F5**  
 <<https://crmapp.asic.gov.au/ASIC/main.aspx?etc=112&extraqs=formid%3deb21117e-84ca-41f7-a24e-7656d4b0c31c&id=%7b630CD074-FB9D-ED11-8151-0050569FBF28%7d&pagetype=entityrecord>>

Kind Regards

s 22  
 Registration Officer | Misconduct & Breach Reporting  
**Australian Securities and Investments Commission**  
 Level 5, 100 Market St Sydney NSW 2001  
 s 22 @asic.gov.au



**ASIC**

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**From:** s 22 @asic.gov.au  
**Sent:** Friday, 27 January 2023 3:19 PM  
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**Cc:** M&BR EMG <[M&BR.EMG@asic.gov.au](mailto:M&BR.EMG@asic.gov.au)>; s 22 @asic.gov.au  
**Subject:** FW: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hello

Can we please have the below matter registered as a ROM?

s 37(2)(b), s 47C, s 47E (d)

s 37(2)(b), s 47C, s 47E (d)

Kind regards

s 22

Lawyer, Escalated Matters & Government  
Misconduct & Breach Reporting

**Australian Securities and Investments Commission**

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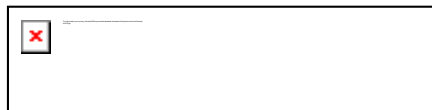
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**From:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Sent:** Friday, 27 January 2023 2:25 PM  
**To:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>;  
s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; M&BR Media Queries <[M&BR.Media.Queries@asic.gov.au](mailto:M&BR.Media.Queries@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>;  
s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Cc:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Subject:** Re: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Nothing from me

s 22  
s 22  
s 22  
, Registered Liquidators  
, Financial Reporting & Audit  
**Australian Securities and Investments Commission**

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**From:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Sent:** Friday, January 27, 2023 1:21:50 PM  
**To:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; M&BR Media Queries  
<[M&BR.Media.Queries@asic.gov.au](mailto:M&BR.Media.Queries@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>;  
s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Cc:** s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>; s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
s 22 <[s 22@asic.gov.au](mailto:s 22@asic.gov.au)>  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]



Nothing further from me. I agree with your proposed response.

s 22  
s 22, Corporations and Corporate Governance  
Markets Enforcement Group  
**Australian Securities and Investments Commission**  
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**From:** s 22 @asic.gov.au>  
**Sent:** Friday, 27 January 2023 12:50 PM  
**To:** s 22 @asic.gov.au>; M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>  
**Cc:** s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>  
**Subject:** RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

That's very helpful, thanks, s 22

s 22, s 22, s 22, do you have any comments or suggestions?

Otherwise, I would propose declining to comment on record but noting as background that as with all reports of misconduct that come to our attention, we will assess the available information to determine if further inquiries are warranted.

Thanks,

s 22  
s 22  
s 22, Media Unit  
Corporate Affairs  
**Australian Securities and Investments Commission**  
120 Collins Street, Melbourne, VICTORIA, 3000  
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Cc: s 22 <[REDACTED]@asic.gov.au>; s 22 <[REDACTED]@asic.gov.au>; s 22 <[REDACTED]@asic.gov.au>  
Subject: RE: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi s 22

I hope you're well.

**CONFIDENTIAL**

s 37(2)(b), s 47C, s 47E (d)

s 37(1)(b)

Please let me know if there is more that you need.

Kind regards

s 22

Lawyer, Escalated Matters & Government  
Misconduct & Breach Reporting

**Australian Securities and Investments Commission**

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Sent: Friday, 27 January 2023 11:09 AM  
To: M&BR Media Queries <M&BR.Media.Queries@asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>  
Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>  
Subject: ASIC interest in Adani allegations? [SEC=OFFICIAL]

Hi everyone, I wasn't sure where best to direct this, but you'll have no doubt seen media reports (see attached article in The Oz, also [SMH](#) and [The Guardian](#)) that US activist Hindenburg, an investment research firm that takes short positions and publishes reports detailing its views on alleged wrongdoing by the companies it targets, has made some allegations in relation to Adani's Australian operations that it may have allowed Adani to avoid disclosing large asset impairments to investors.

We've had some media follow up and while we'll unlikely comment, s 37(2)(b), s 47C, s 47E (d)

s 22 thought there may be some interest from Government on this so he is also copied here.

Thanks,

s 22

s 22  
s 22, Media Unit  
Corporate Affairs  
**Australian Securities and Investments Commission**  
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