



ASIC
Australian Securities &
Investments Commission

INFORMATION SHEET 30

Fees for commonly lodged documents

This information sheet summarises the statutory fees payable for the most commonly lodged documents. It also advises the lodgement periods, if applicable. Fees are also shown on the guide that accompanies printed forms.

Fees are determined by:

- the Corporations (Fees) Regulations 2001;
- the Corporations (Review Fees) Regulations 2003;
- the National Consumer Credit Protection (Fees) Regulations 2010;
- the Business Names Registration (Fees) Regulations 2022; and
- the Superannuation Auditor Registration Imposition Regulation 2022.

These fees are not subject to GST.

Some forms can be lodged electronically.—See our [forms web page](#) for details.

Important notice

Please note that this is a summary giving you the basic information you need. It does not cover the whole of the relevant law and is not a substitute for professional advice.

Please note also that because this information sheet avoids legal language wherever possible there may be some generalisations about the application of the law. Some provisions of the law referred to have exceptions or important qualifications. In most cases your particular circumstances need to be taken into account when determining how the law applies to you.

[Contact us](#) for further information.

Information sheets provide concise guidance on a specific process or compliance issue or an overview of detailed guidance.

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Starting a company

Applications relating to foreign companies appear in a separate section.

Choosing a company name

Note: No late fees apply to these applications. Item numbers refer to Sch 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 2 Item Number
Reserve a company name	410		\$59		Item 8
Extend a reservation of a company name	410		\$59		Item 8
Apply to Minister for consent to use a company name			\$1,433		Item 7
Apply to change a company name to omit the word 'limited'	432		\$474		Item 9(a)

Registering your company, registrable Australian body or scheme

Note: No late fees apply to these applications. Item numbers refer to Sch 1 and 2 of the Corporations (Fees) Regulations 2001.

What do you want to register as?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
An Australian (Pt 2A.1) company having share capital	201		\$576		Item 1 (a) (i)
An Australian (Pt 2A.1) company not having share capital	201		\$474		Item 1 (a) (ii)

What do you want to register as?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
An Australian company under Pt 5B.1 by a body corporate that is already registered as a foreign company under Pt 5B.2 Div 2	202		\$474		Item 1(c)(i)
An Australian company under Pt 5B.1 by a body corporate that is not registered under the Corporations Act 2001	202		\$576		Item 1(c)(ii)
A registered Australian body by a body incorporated or registered under a law dealing with the incorporation of associations	401		\$474		Item 2(a)(i)
A registered Australian body by a body registered under another law of Australia	401		\$576		Item 2(a)(ii)
A managed investment scheme	5100		\$3,029	Fee for service - not indexed annually	Item 18

Keeping the register up to date

Late lodgement fee

Documents notifying changes must be lodged within the time prescribed for notifying that change.

Note: Late lodgement fees are payable **in addition to** any prescribed fee. Item numbers refer to Sch 2 of the Corporations (Fees) Regulations 2001.

How late is the document?		From 1 July 2023	Notes	Schedule 2 Item Number
If document is received within 1 month after the prescribed time		\$93		Item 14(a)
If document is received more than 1 month after the prescribed time		\$387		Item 14(b)

See '**Late fees for annual review**' (page 10) for information about late payment and late review fees.

Updating the register generally

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form.

What do you want to do?	Use form		From 1 July 2023
Request correction of previously advised changes	492		No fee, except in circumstances where the correction highlights a fee that would have been charged if the original document had been lodged and processed correctly
Notify supplementary information	902		No fee
Verify or certify a document being lodged	911		No fee

Shares

Note: No fees apply for lodging these forms, however late fees will be charged if lodged outside the relevant time limit for notifying the change. See also 'Fundraising'.

What do you want to note on the register?	Use form		From 1 July 2023	Notes	
Cancellation of shares	484		No fee	Late fee applies if not notified within 28 days after cancellation	
Issue of shares	484		No fee	However late fee applies if not notified within 28 days after issue	
If shares issued for non-cash consideration and under written contract Form 207Z <i>Certification of compliance with stamp duty</i> must also be lodged	207Z		No fee		
A public company must also lodge a Form 208 <i>Notification of details of share issued other than for cash</i> . If shares are issued under written contract either the Form 208 or a copy of the written contract should be lodged.	208		No fee		
Change to share structure	484		No fee	Late fee applies if not notified within 28 days after change	
Notify of members' resolution to alter rights of shares	2205		No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify of members' resolution to convert shares into smaller or larger number	2205		No fee	Late fee applies if not notified within 1 month after passing resolution	

What do you want to note on the register?	Use form		From 1 July 2023	Notes	
Notify of members' resolution to reduce share capital	2205		No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify of special resolution that unpaid share capital is not called on unless company goes under external administration	2205		No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify a division or conversion of a share class or classes	211		No fee	Late fee applies if not notified within 14 days after division or conversion	

Registered office

Note: No fees apply for lodging these forms, however late fees will be charged if lodged outside the relevant time limit for notifying the change. The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form.

What do you want to note on the register?	Use form		From 1 July 2023	Notes	
Change of address of registered office or principal place of business of an Australian company	484		No fee	A late fee applies if not notified within 28 days of change	
Change to company office hours	487		No fee	A late fee applies if notified on or after date of change of hours	
Contact address of an Australian company	486		No fee		
Change of address of registered office of a registered body in Australia	489		No fee	A late fee applies if not notified within 7 days after change	
Change of office hours for registered office of a registered body in Australia	489		No fee	A late fee applies if not notified within 7 days after change	
Change of address of a registered body in its place of origin	489		No fee	A late fee applies if not notified within 1 month after change	
Change directors or directors' details of a registered body in Australia	490		No fee	A late fee applies if not notified within 1 month after change	

Financial reports and accounts

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 and 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
Lodge a copy of annual financial reports of a company	388		No fee	Late fee applies for company that is not a disclosing entity after 4 months after end of financial year	
Lodge a copy of annual financial reports for a registered scheme or company that is a disclosing entity	388		No fee	Late fee applies after 3 months after end of financial year	
Lodge a copy of annual financial report by disclosing entity that is not a company or registered scheme	388		\$1,433	Late fee applies after 3 months after the end of financial year	Item 5
Lodge amended annual financial report by company registered scheme or disclosing entity	388		No fee	Late fee applies after 14 days after date of amendment	
Apply for relief from requirements relating to accounts and reports (Use Form CF02 <i>Applications for relief – financial reporting</i>)	336		\$3,487	Fee for service - not indexed annually	Item 80

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
Lodge the half yearly accounts of a disclosing entity	7051 (1001)		No fee	Late fee applies if lodged after 75 days after end of accounting period	Item 19

Annual review

Note: Item number refers to Sch 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 2 Item Number
Apply to change annual review date by a company, the responsible entity or a registered scheme or the operator of a notified passport fund to which item 4 does not apply	488		\$47		Item 3
Apply to change annual review date by one or more companies having the same ultimate holding company, director or company, the responsible entity of one or more registered schemes or the operator of one or more notified foreign passport funds	488		<p>For fewer than 10 companies, registered schemes or notified foreign passport funds - \$47 for each company, scheme or fund</p> <p>For at least 10 companies, registered schemes or notified foreign passport funds - \$468 per application</p>		Item 4

FEES FOR COMMONLY LODGED DOCUMENTS

Change company details in response to a company statement	484		No fee	Late review fee applies if received more than 28 days after date of issue of company statement. Note – if change is also outside 28-day lodging period for notifying a change a late lodgement fee will also apply.	
Change scheme details in response to a scheme statement	491		No fee	Late review fee applies if received more than 28 days after date of issue of scheme statement	

Annual review fees

For most companies and schemes, their annual review date is the anniversary of their date of registration or incorporation.

Note: Item numbers refer to Sch 1 Pt 1 of the Corporations (Review Fees) Regulations 2003. There are no forms prescribed.

What are you registered as?		From 1 July 2023	Notes	Schedule 1 Part 1 Item Number
A public company (except a special purpose company or a small transferring financial institution)		\$1,440	Late payment fee applies if not paid within 2 months after review date	Item 101
A proprietary company (except a special purpose company)		\$310	Late payment fee applies if not paid within 2 months after review date	Item 102
A special purpose company (proprietary)*		\$63	Late payment fee applies if not paid within 2 months after review date	Item 103
A special purpose company (public)*		\$59	Late payment fee applies if not paid within 2 months after review date	Item 103
A registered scheme or notified foreign passport		\$1,440	Late payment fee applies if not paid within 2 months after review date	Item 104
A small transferring financial institution (except a special purpose company)		\$288	Late payment fee applies if not paid within 2 months after review date	Item 106
A registered scheme that is being wound up		No fee		Item 105
A company in liquidation		No fee		Item 107
A registered entity under the Australian Charities and Not-for-profits Commission Act 2012		No fee		Item 108

Upfront annual review fees

A company or registered scheme may elect to pay the fee specified above as an upfront or advance payment for fees in relation to review dates for a period of 10 years.

Note: Item numbers refer to Sch 1 Pt 1A of the Corporations (Review Fees) Regulations 2003. There are no forms prescribed. No late fees apply.

What are you registered as?	From 1 July 2023	Notes	Schedule 1 Part 1A Item Number
A public company (except a special purpose company or a small transferring financial institution)	\$10,000	Maximum fee amount reached	Item 101
A proprietary company (except a special purpose company)	\$2,298		Item 102
A special purpose company (proprietary) *	\$436	See note below	Item 103
A special purpose company (public)*	\$430	See note below	Item 103
A registered scheme or notified foreign passport fund	\$10,000	Maximum fee amount reached	Item 104

* A special purpose company is defined in Regulation 3 of the Corporations (Review Fees) Regulations 2003 as:

- a) a company holding a licence that is continued in force by section 151 of the Act and allows the company to omit 'Limited' from its name; or
- b) in the case of a company limited by guarantee, or by both shares and guarantee, that was registered immediately before the commencement of this paragraph — if the constitution of the company states that the company:

- (i) is formed for the purpose of providing recreation or amusement or promoting commerce, industry, art, science, religion, charity, patriotism, pension or superannuation schemes or any other object useful to the community; and
- (ii) applies its profits (if any) or other income in promoting its purpose; and
- (iii) prohibits the distribution of its income or property to its members; or

- c) a company that, under subsection 150(1) of the Act, is registered without 'Limited' in its name; or
- d) a company the constitution of which:
 - (i) requires the company to pursue charitable purposes only and to apply its income in promoting those purposes; and
 - (ii) prohibits the company making distributions to its members and paying fees to its directors; and
 - (iii) requires its directors to approve all other payments the company makes to them; or
- e) a proprietary company, if:
 - (i) the company is the proprietor of land on which a building divided into separate residential areas and areas for common use is erected; and
 - (ii) the members of the company are entitled, because of the shares they hold in the company, to the exclusive occupation of 1 or more of the residential areas and to the use of the common areas; and
- (iii) the company only operates to facilitate and enforce the rights of company members in relation to the exclusive occupation of the residential areas and to maintain the common areas; or
- f) a company, other than a company mentioned in paragraph (a), (b), (c), (d) or (e), if:
 - (i) the constitution of the company prohibits distribution of the company's income or property to its members; and
 - (ii) the sole purpose of the company is to act as the trustee of a regulated superannuation fund within the meaning of section 19 of the Superannuation Industry (Supervision) Act 1993.

Late fees for annual review

Note: These fees are payable **in addition to** any prescribed fee.

		From 1 July 2023	Notes	Schedule 1 Part 2 Item Number
Late payment fee Annual review fees must be paid within 2 months after review date (except upfront fees)			Item numbers refer to Sch 1 Pt 2 of the Corporations (Review Fees) Regulations 2003.	
If payment is received within 1 month after due date		\$93		Item 201
If payment is received more than 1 month after due date		\$387		Item 202

Late lodgement fee Documents notifying changes must be lodged within the time prescribed for notifying that change			Item numbers refer to Sch 2 of the Corporations (Fees) Regulations 2001	Schedule 2 Item Number
If document is received within 1 month after the prescribed time		\$93		Item 14(a)
If document is received more than 1 month after the prescribed time		\$387		Item 14(b)

<p>Late review fee Changes to information in annual statement must be notified within 28 days after issue date of statement</p>			<p>Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.</p>	<p>Schedule 1 Item Number</p>
<p>If document is received within 1 month after the prescribed time</p>		<p>\$93</p>		<p>Item 14(a)</p>
<p>If document is received more than 1 month after the prescribed time</p>		<p>\$387</p>		<p>Item 14(b)</p>

Note: A document can be charged **both** a late lodgement fee **and** a late review fee.

Changing the set up of your company

Note: Item numbers refer to Sch 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 2 Item Number
Change the company name Notify a members' resolution to change the company name (see also 'Choosing a company name')	205		\$474	Late fee applies to Form 205 if lodged more than 14 days after passing resolution	Item 9(b)
Change the company type Notify of members' resolution to change the company type (e.g. from Public to Pty) and apply for change company type Form 206 <i>Application for change of company type</i> must also be lodged	205		\$93	Late fee applies to Form 205 if lodged more than 14 days after passing resolution	Item 10
Transfer place of registration Apply to change the State or Territory of registration of company	2213		\$576		Item 1(b)
Alter company constitution Notify of members' resolution to change the company constitution	205		No fee	Late fee applies if lodged more than 14 days after passing resolution	
Section 150 company Notify non-compliance with s150 requirements or request licence revocation	433		No fee		

Fundraising/disclosure

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Lodge a prospectus	<i>764B</i> or <i>764C</i>		\$3,206	Fee for service - not indexed annually	Item 22(a)
Lodge an offer information statement	<i>764E</i>		\$1,924	Fee for service - not indexed annually	Item 22(c)
Lodge a profile statement	<i>764F</i>		\$1,924	Fee for service - not indexed annually	Item 22(b)
Lodge a product disclosure statement	<i>764K</i>		\$1,993	Fee for service - not indexed annually	Item 146(a)
Lodge a 'PDS in use' notice	FS88		No fee	Late fee applies 5 business days after PDS first given	Item 147
Lodge a supplementary or replacement prospectus	<i>766B</i> or <i>766C</i>		\$802	Fee for service - not indexed annually	Item 23(a)
Lodge a supplementary profile statement	<i>754F</i>		\$321	Fee for service - not indexed annually	Item 23(b)
Lodge a supplementary offer information statement	<i>754E</i>		\$321	Fee for service - not indexed annually	Item 23(c)
Lodge a supplementary or replacement product disclosure statement			\$1,993	Fee for service - not indexed annually	Item 146

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply for exemption from all or part of Ch 2L (provisions regulating debentures) Use Form CF04 <i>Applications for relief – debentures</i>	2000 or 2002		\$3,487	Fee for service - not indexed annually	Item 80
Apply for exemption from all or part of Ch 5C (provisions regulating managed investment schemes)	5119 or 5121		\$3,487	Fee for service - not indexed annually	Item 80
Apply for exemption from all or part of Ch 6D (provisions regulating fundraising) Use Form CF01 <i>Applications for relief – fundraising/equities</i> for Form 7110	7110 or 7112		\$3,487	Fee for service - not indexed annually	Item 80

Acquisition of shares

Financial assistance for acquiring shares

What do you want to do?	Use form		From 1 July 2023	Notes	
Notify of resolution by shareholders in company, listed public company or holding company to approve financial assistance for acquiring shares	2205		No fee	Late fee applies if not notified within 14 days after passing resolution	

In a takeover bid

Note: The form numbers appearing in italics refer to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Lodge a supplementary bidder's statement	675A		\$802	Fee for service - not indexed annually	Item 30
Lodge a supplementary target's statement	675B		\$802	Fee for service - not indexed annually	Item 30
Apply for an exemption from all or parts of the requirements under Ch 6, 6A, 6B or 6C (Use Form CF03 <i>Applications for relief – mergers & acquisitions</i>)	647 &/or 648		\$3,487	Fee for service - not indexed annually	Item 80

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Make any other application under Ch 6, 6A, 6B or 6C which does not have a fee prescribed elsewhere (Use Form CF03)	667 or 6062		\$3,487	Fee for service - not indexed annually	Item 80
Lodge a bidder's statement and any other documents for an off-market bid	6181		\$5,264	Fee for service - not indexed annually	Item 25
Lodge a bidder's statement and other documents sent to the Securities Exchange for a market bid	6291		\$5,130	Late fee applies 1 day after documents sent to exchange Fee for service - not indexed annually	Item 28
Lodge a notice of variation of offer under an on or off market bid	6321B		\$802	Fee for service - not indexed annually	Item 32
Lodge a target statement and report for an off-market bid	6211		\$2,565	Late fee applies 1 day after statement is sent to bidder Fee for service - not indexed annually	Item 26
Lodge a target statement and report for on-market bid	6331		\$2,565	Late fee applies 1 day after statement sent to relevant exchange Fee for service - not indexed annually	Item 27

Closing a company or scheme

Note: Item numbers refer to Sch 1 and 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
Notify of members' resolution to enter members' voluntary winding up	205		No fee	Late fee applies 7 days after passing resolution	
Notify of members' resolution as to the powers and duties of a liquidator in voluntary winding up	205		No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution about company arrangements with liquidator in voluntary winding up	205		No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution binding company to arrangements with creditors in voluntary winding up	205		No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution to have the company wound up by a court	205		No fee	Late fee applies 14 days after passing resolution	
Apply for voluntary deregistration of a company	6010		\$47		Item 11
Apply for ASIC to exercise its powers to deal with property of a defunct company			\$934	Fee for service - not indexed annually	Item 92

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
Apply for ASIC to exercise its powers to fulfil the obligations of a deregistered company			\$934	Fee for service - not indexed annually	Item 92
Apply for voluntary deregistration of a scheme	6010A		No fee		Item 12
Notify commencement or completion of winding up of a scheme	5138		No fee	Late fee applies 14 days after commencement or completion date	Item 162

Registered Australian bodies

What do you want to do?	Use form		From 1 July 2023	Notes	
Notify of ceasing business as registered Australian body	407		No fee	Late fee applies if not notified within 7 days after cessation	
Notify of dissolution, deregistration or winding up of registered Australian body	407		No fee	Late fee applies 1 month after dissolution, deregistration or winding up	
Notify change of name of registered Australian body	409		No fee	Late fee applies 14 days after the change of name	
Notify change in constitution of registered Australian body	409		No fee	Late fee applies 1 month after the change in constitution	

Foreign companies

Note: Item numbers refer to Sch 2 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 2 Item Number
Apply for registration as a foreign company	402		\$576		Item 2(b)
Notify change of local agent of foreign company	404		No fee	Late fee applies 1 month after change	
Lodge statement to verify financial statements	405		\$1,433	<p>A Form 405 may attract a late fee in either of these situations:</p> <ul style="list-style-type: none"> It is not lodged within a calendar year and is lodged more than 15 months after the last Form 405 a notifiable change is advised outside of the lodgement period (for multiple changes, only one late fee will apply). <p>Therefore, it is possible for a Form 405 to receive two late fees.</p>	Item 6
Lodge annual return	406		\$1,433	<p>A Form 406 may attract late fees in either of these situations:</p> <ul style="list-style-type: none"> it is not lodged within a calendar year and within 1 month after the AGM. a notifiable change is advised outside the lodgement period (multiple changes, only one late fee will apply). <p>Therefore, it is possible for a Form 406 to receive two late fees.</p>	Item 6
Notify that foreign company is ceasing business	407		No fee	Late fee applies if not notified within 7 days after cessation	
Notify dissolution, deregistration or winding up of foreign company	407		No fee	Late fee applies 1 month after dissolution, deregistration or winding up	
Notify change of name of foreign company	409		No fee	Late fee applies 14 days after change of name	
Notify change constitution of foreign company	409		No fee	Late fee applies 1 month after change	

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 2 Item Number
Notify change in powers of directors' resident in Australia	409		No fee	Late fee applies 1 month after change	
Change of registered office address in Australia	489		No fee	Late fee applies if not notified within 7 days after change	
Change of office hours for registered office	489		No fee	Late fee applies if not notified within 7 days after change	
Change of address in place of origin	489		No fee	Late fee applies if not notified within 1 month after change	
Change directors or directors' details	490		No fee	Late fee applies if not notified within 1 month after change	

Business names

Note: These fees are levied under Schedule 1 of the Business Names Registration (Fees) Regulations 2011.

What do you want to do?		From 1 July 2023	Notes	Schedule 1 Item number
A new application for registration of a business name to an entity for 1 year		\$42		Item 1
A new application for registration of a business name to an entity for 3 years		\$98		Item 3

Auditors

Individual auditors

Note: These fees are levied under items in Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply for registration as an individual auditor	903		\$338	Fee for service - not indexed annually	Item 9
Notify that you are ceasing to practise	905		No fee	Late fee applies 21 days after date of cessation	Item 159
Change your details on the register	905		No fee	Late fee applies 21 days after date of change	Item 159
Apply for consent to resign as auditor of a specific company	342		\$40	Fee for service - not indexed annually	Item 82A
Apply for consent to resign as auditor of AFS licensee	FS08		No fee		Item 145
Apply for consent to resign as auditor of registered scheme	5132		No fee		Item 84
Apply for consent to resign as auditor of compliance plan	5113		No fee		Item 94
Lodge your annual statement	912A		No fee	Late fee applies 1 month after anniversary of registration	Item 10

Authorised audit company (AAC)

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply for registration as an AAC	903		\$3,429	Fee for service - not indexed annually	Item 164
Notify that your AAC is ceasing to practise	905		No fee	Late fee applies 28 days after date of cessation	Item 159
Change details of your AAC on the register	905		No fee	Late fee applies 28 days after date of change	Item 159
Apply for consent to resign as auditor of a specific company	342		\$40	Fee for service - not indexed annually	Item 82A
Apply for consent to resign as auditor of AFS licensee	FS08		No fee		Item 145
Apply for consent to resign as auditor of registered scheme	5132		No fee		Item 84
Apply for consent to resign as auditor of compliance plan	5113		No fee		Item 94
Lodge your AAC annual statement	912B		No fee	Late fee applies 1 month after anniversary of registration	Item 165

Self-managed superannuation fund auditors

Note: Item numbers refer to the Superannuation Auditor Registration Imposition Regulation 2022.

What do you want to do?	From 1 July 2023	Notes	Section 4 Item Number
Applying for registration as an approved SMSF auditor	\$2,191	Fee for service - not indexed annually Fee was updated due to new Regulation	Item 1
Applying for conditions imposed on registration as an approved SMSF auditor to be varied or revoked under section 128D of the SIS Act	\$678	Fee for service - not indexed annually Row was added due to new Regulation	Item 2
Applying for registration as an approved SMSF auditor to be cancelled under section 128E of the SIS Act	\$193	There is no fee for late lodgement under Items 4-7 Fee for service - not indexed annually Fee, detail and item number were updated due to new Regulation	Item 3
Undertaking a competency examination in accordance with section 128C of the SIS Act	\$107	Fee not indexed	Item 4
Giving the Regulator a statement under section 128G of the SIS Act	No fee		Item 5

Liquidators

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply for registration as a liquidator	903B		\$2,200	Fee for service - not indexed annually	Item 12
If the liquidator application is approved, a registration fee is also required	RL50		\$1,300	Fee for service - not indexed annually	Item 13
Request ASIC to cancel or suspend your registration as a liquidator	RL08		\$234	Late fee applies 21 days after date of cessation Fee for service - not indexed annually	Item 16
Notify ASIC of a prescribed event (e.g. change of address)	905A		No fee	Late fee applies 10 business days after date of change	
Apply for registered liquidator renewal	RL05		\$1,720	If application is lodged more than one month before the renewal date Fee for service - not indexed annually	Item 15(a)
Apply for registered liquidator renewal	RL05		\$1,920	If application is lodged within one month of the renewal date Fee for service - not indexed annually	Item 15(b)
Lodge your annual statement for return years beginning before 1 March 2017	908		No fee	Late fee applies if not lodged within one month after the anniversary of the date of registration	
Lodge your annual liquidator return for return years beginning on or after 1 March 2017	908		No fee	Late fee applies if not lodged within one month after the anniversary of the date of registration	

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Notify ASIC of a significant event	RL30		No fee	Late fee applies after 5 business days after the date of becoming aware of the event	
Apply to remove or vary conditions or lift or shorten suspension	RL06		\$1,018	Fee for service - not indexed annually	Item 14
Notify ASIC of other event – inaccuracy in a return	RL31		No fee	Late fee applies if lodged outside of prescribed time	
Notify ASIC of a court application for leave not to use former name on public documents	526		No fee		

Financial services

AFS licence

Note: The form numbers appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply online for an individual AFS licence					
For retail clients and low complexity products	<i>FSL1A</i>		\$2,233	Fee for service - not indexed annually	Item 1(a)(i)
For retail clients and high complexity products	<i>FSL1C</i>		\$5,025	Fee for service - not indexed annually	Item 1(a)(ii)
For wholesale clients and low complexity products	<i>FSL1J</i>		\$1,488	Fee for service - not indexed annually	Item 1(c)(i)
For wholesale clients and high complexity products	<i>FSL1L</i>		\$3,350	Fee for service - not indexed annually	Item 1(c)(ii)
Apply online for a body corporate AFS licence					
For retail clients and low complexity products	<i>FSL1E</i>		\$3,721	Fee for service - not indexed annually	Item 1(b)(i)
For retail clients and high complexity products	<i>FSL1G</i>		\$7,537	Fee for service - not indexed annually	Item 1(b)(ii)
For wholesale clients and low complexity products	<i>FSL1N</i>		\$2,233	Fee for service - not indexed annually	Item 1(d)(i)
For wholesale clients and high complexity products	<i>FSL1P</i>		\$5,025	Fee for service - not indexed annually	Item 1(d)(ii)
Apply via paper lodgement for an individual AFS licence					

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
For retail clients and low complexity products	FSL1B		\$3,349	Fee for service - not indexed annually	Item 2(a)(i)
For retail clients and high complexity products	FSL1D		\$7,537	Fee for service - not indexed annually	Item 2(a)(ii)
For wholesale clients and low complexity products	FSL1K		\$2,233	Fee for service - not indexed annually	Item 2(c)(i)
For wholesale clients and high complexity products	FSL1M		\$5,025	Fee for service - not indexed annually	Item 2(c)(ii)
Apply via paper lodgement for a body corporate AFS licence					
For retail clients and low complexity products	FSL1F		\$5,582	Fee for service - not indexed annually	Item 2(b)(i)
For retail clients and high complexity products	FSL1H		\$11,305	Fee for service - not indexed annually	Item 2(b)(ii)
For wholesale clients and low complexity products	FSL1O		\$3,349	Fee for service - not indexed annually	Item 2(d)(i)
For wholesale clients and high complexity products	FSL1Q		\$7,537	Fee for service - not indexed annually	Item 2(d)(ii)
Vary AFS licence conditions for an individual online	FS03		\$2,214	Fee for service - not indexed annually	Item 3(a)
Vary AFS licence conditions for an individual by paper	FS03		\$3,328	Fee for service - not indexed annually	Item 4(a)
Vary AFS licence conditions for a body corporate online	FS03		\$2,470	Fee for service - not indexed annually	Item 3(b)

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Vary AFS licence conditions for a body corporate by paper	FS03		\$3,704	Fee for service - not indexed annually	Item 4(b)
Request for voluntary suspension of an AFS licence	FS64		\$899	Fee for service - not indexed annually	Item 7
Request to cancel AFS licence	FS65		\$899	Fee for service - not indexed annually	Item 7
Application to vary or revoke AFS licence suspension	FS68		\$899	Fee for service - not indexed annually	Item 8
Notify change of details of AFS licensee	FS20		No fee	Late fee applies after 10 business days after change	
Apply to ASIC to declare person regulated by approved foreign regulatory body exempt from the requirement to hold an AFS licence	FS10 FS10B		\$3,487	Fee for service - not indexed annually	Item 80
Apply to ASIC to declare body corporate, partnership or non-corporate trustee exempt from the requirement to hold an AFS licence	FS10 FS10C		\$3,487	Fee for service - not indexed annually	Item 80
Apply to ASIC to declare an individual exempt from the requirement to hold an AFS licence	FS10 FS10D		\$3,487	Fee for service - not indexed annually	Item 80

Financial reporting and audit of AFS licensee

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Lodge a profit and loss statement and balance sheet for an AFS licensee that is a body corporate, partnership or non-corporate trustee	FS70		No fee	Late fee applies more than 3 months after end of financial year for disclosing entities and 4 months for non-disclosing entities	Item 20
Lodge a profit and loss statement and balance sheet for an AFS licensee that is an individual	FS70		No fee	Late fee applies more than 2 months after end of financial year	Item 20
Lodge an AFS licensee audit report (lodged with Form FS70)	FS71		No fee		
Notify appointment of an auditor to AFS licensee	FS06		No fee	Late fee applies more than 14 days after appointment	Item 158
Notify cessation of an auditor of AFS licensee	FS09		No fee		Item 145
Apply for consent to remove an auditor of AFS licensee	FS07		No fee		Item 104

Other financial services matters

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 Item Number
Apply to ASIC that it declares a product is not a 'financial product'	FS10 FS10A		\$3,487	Fee for service - not indexed annually	Item 80
Apply, as responsible entity, for consent to remove auditor of a registered scheme	5132		No fee		Item 84
Apply, as responsible entity, for consent to remove auditor of scheme's compliance plan	5112		\$791	Fee for service - not indexed annually	Item 93
Request to cancel AFS licence	FS65		\$899	Fee for service - not indexed annually	Item 7
Request for voluntary suspension of an AFS licence	FS64		\$899	Fee for service - not indexed annually	Item 7

Credit services

Credit licence

Fees are determined by Parts 1 and 2 of Schedule 1 of the National Consumer Credit Protection (Fees) Regulations 2010.

What do you want to do?	Use form		From 1 July 2023	Notes	Part 1 or 2 Item Number
Apply for an individual Australian credit licence including a credit provider authorisation	CL01A		\$3,468	The licence application fees are increased by \$25 if lodged in paper Fee for service - not indexed annually	Item 1(b)
Apply for an individual Australian credit licence not including a credit provider authorisation	CL01B		\$1,798	The licence application fees are increased by \$25 if lodged in paper Fee for service - not indexed annually	Item 1(a)
Apply for a body corporate Australian credit licence including a credit provider authorisation	CL01C		\$4,624	The licence application fees are increased by \$25 if lodged in paper Fee for service - not indexed annually	Item 1(d)
Apply for a body corporate Australian credit licence not including a credit provider authorisation	CL01D		\$2,055	The licence application fees are increased by \$25 if lodged in paper Fee for service - not indexed annually	Item 1(c)
Vary authorisations or conditions of an Australian credit licence	CL03		Fee on application		Item 4

What do you want to do?	Use form		From 1 July 2023	Notes	Part 1 or 2 Item Number
Notify changes to credit licence details	CL20		Online – No fee	If lodged in paper, a \$25 fee applies. Late fees apply if lodged more than 14 days after changes to alternative address, or more than 10 business days after changes to other details of a licensee.	Item 27
Request for no action letter	CL11		\$3,487	Fee for service - not indexed annually	Item 34
Application for relief	CL11		\$3,487	Fee for service - not indexed annually	Items 13, 14, 15, 16, 17, 18, 19, 20

Late lodgement fee

Documents notifying changes must be lodged within the time prescribed for notifying that change.

Note: Late lodgement fees are payable **in addition to** any prescribed fee. Item numbers refer to Part 3 of Sch 1 of the National Consumer Credit Protection (Fees) Regulations 2010.

How late is the document?		From 1 July 2023	Notes	Schedule 1 Item Number
If document is received within 1 month after the prescribed time		\$67	Fee not indexed	Item 2
If document is received more than 1 month after the prescribed time		\$278	Fee not indexed	Item 3

Miscellaneous applications and notifications

Note: Item numbers refer to Sch 1 & 2 to the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form		From 1 July 2023	Notes	Schedule 1 & 2 Item Number
Apply for extension of time to hold annual general meeting – unlisted entity	2501		\$3,487	Fee for service - not indexed annually	Item 80
For the production of a document by ASIC pursuant to a subpoena			\$47		Item 25