

Commonwealth of Australia Gazette No. A15/25, Tuesday 15 April 2025

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Contents

Notices under Corporations Act 2001

25-0106	25-0177	25-0214	25-0215	25-0219	25-0222
25-0223	25-0226				

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the Corporations Act 2001 and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet ASIC decisions – your rights (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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Page 2 of 20



Australian Securities and Investments Commission

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25-0106

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: American Express International Inc ABN: 15 000 618 208 ("the Licensee") American Express House Level 1 12 Shelley Street SYDNEY NSW 2000

> Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237996 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 April 2025

Signed

lej

Jedo Charles A delegate of the Australian Securities and Investments

Commission

Page 3 of 20

25-0177

Australian Securities and Investments Commission Corporations Act 2001 — s926A(2)(a) — Exemption

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the Act).

Title

2. This instrument is ASIC Instrument 25-0177.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Shikhara Capital (Hong Kong) Private Limited (*the body*), a body incorporated under the laws of Hong Kong and registered under number BUQ155 in the Securities and Futures Commission of Hong Kong Register (*SFC*), from the requirement to hold an Australian financial services (*AFS*) licence in the case specified in Schedule A.

Cessation

- 5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) Subparagraph 1(1) of Schedule 2 of the ASIC Corporations (Repeal and Transitional) Instrument 2016/396 ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1103] Hong Kong SFC regulated financial service providers; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

25-0177

Schedule A

- 1. The exemption in paragraph 4 applies where all the following apply:
 - the body has a current licence or certificate of registration granted by the Securities and Futures Commission Hong Kong (SFC);
 - (aa) the body is either a body corporate incorporated in Hong Kong or a partnership formed in Hong Kong;
 - (b) the body:
 - i. is registered under Division 2 of Part 5B.2 of the Act; or
 - ii. has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (c) the body's primary business is the provision of financial services;
 - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (f) the body has not notified ASIC that it will not rely on this instrument.
- Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice;
 - (b) dealing in a financial product; or
 - (c) making a market for a financial product;

Page 5 of 20

25-0177

In respect of any of the following financial products;

- (d) derivatives;
- (e) foreign exchange contracts;
- (f) securities;
- (g) debentures, stocks or bonds issued by a government;
- (h) managed investment products; or
- (i) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
- 3. Where the body has provided ASIC with:
 - (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
 - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(l) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - i. the deed is irrevocable except with the prior written consent of ASIC;
 - the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(l) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
 - iii. the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
 - iv. if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(l) of the Act and whether

ASIC GAZETTE No. A15/25, Tuesday 15 April 2025 ASIC instruments **Commonwealth of Australia Gazette**

Page 6 of 20

25-0177

brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and

- v. the body covenants that, on written request of either the SFC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SFC to disclose to ASIC and ASIC to disclose to the SFC any information or document that the SFC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by the SFC to ASIC and ASIC to the SFC of any information or document that the SFC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule B

The body must:

- 1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Hong Kong regulatory requirements if the financial service were provided in Hong Kong in like circumstances.
- 2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, the licence or registration applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the body obtains from the Hong Kong regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the SFC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (i) significant enforcement action;
 - (ii) significant disciplinary action;
 - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and

Page 7 of 20

25-0177

- 3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
 - (ii) the body is regulated by the SFC under Hong Kong laws, which differ from Australian laws.
- 4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

Interpretation

In this instrument:

Act means the Corporations Act 2001;

Address, in relation to a company, means the address of the registered office of the company

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the Australian Securities and Investments Commission Act 2001;

Authorised financial institution has the meaning given in Schedule 1 to the SFO;

Certificate of registration means the certificate of registration granted to authorised financial institutions under section 119 of the SFO;

Dealing has the meaning given by s9 of the Act;

Derivatives has the meaning given by s9 of the Act;

Financial product has the meaning given by s9 of the Act;

Financial product advice has the meaning given by s9 of the Act;

Financial services law has the meaning given by s9 of the Act;

Page 8 of 20

25-0177

Foreign exchange contract has the meaning given by s9 of the Act;

Hong Kong regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SFC;

Licence means a licence granted under section 116 of the SFO or deemed to be granted under sections 22 or 25(b) of Schedule 10 to the SFO;

Interest in a managed investment scheme has the meaning given by s9 of the Act;

Making a market has the meaning given by s9 of the Act;

Managed investment product has the meaning given by s9 of the Act;

Managed investment scheme has the meaning given by s9 of the Act;

Notice and notified mean, respectively, written notice and notified in writing;

Overseas regulatory authority means a foreign regulatory authority (other than the SFC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Registration means registration granted under section 119 of the SFO or deemed to be granted under sections 25(a) or 32 of Schedule 10 to the SFO;

Representative has the meaning given by s9 of the Act;

Securities has the meaning given by s9 of the Act;

SFC means the Securities and Futures Commission of Hong Kong;

SFO means the Securities and Futures Ordinance 2002 of Hong Kong;

Wholesale client has the meaning given in section s9 of the Act.

Dated this 2nd day of April 2025

Keith Tong

Signed by Keith Tong as a delegate of the Australian Securities and Investments Commission

Page 9 of 20

25-0214

Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) – Exemption and Declarations

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a) and 741(1)(b) of the *Corporations Act 2001 (Act*).

Title

2. This instrument is ASIC Instrument 25-0214.

Commencement

3. This instrument commences on the date it is signed.

Declarations

- 4. Chapter 6D of the Act applies to Sigma Healthcare Limited ACN 088 417 403 (*Company*) and its securityholders as if the following provisions were modified or varied:
 - (a) in section 9 in the definition of *continuously quoted securities* (as modified by Legislative Instrument 2016/73):
 - at the beginning of subparagraph (b)(ii), insert after the words "other than a technical relief instrument,", "or ASIC Instrument 25-0214,"; and
 - at the beginning of subparagraph (b)(iii), insert after the words "other than a technical relief instrument,", "ASIC Instrument 25-0076, ASIC Instrument 25-0077 or ASIC Instrument 25-0078";
 - (b) in paragraphs 708AA(2)(e) and 708A(5)(d) (as modified by Legislative Instrument 2016/73), at the beginning of each respective paragraph, insert after the words "other than a technical relief instrument,", "ASIC Instrument 25-0076, ASIC Instrument 25-0077 or ASIC Instrument 25-0078".

Exemption

5. The Company does not have to comply with Part 6D.2 or 6D.3 of the Act for an offer of shares for issue under a purchase plan within the meaning of ASIC Instrument 2019/547.

Where this instrument applies

6. The exemption in paragraph 5 applies where the Company meets the requirements and conditions of ASIC Instrument 2019/547 except for paragraph 7(d) of Legislative

Page 10 of 20

25-0214

Instrument 2019/547, and would meet the requirement in paragraph 7(d) of Legislative Instrument 2019/547 if that paragraph were omitted and substituted with:

"(d) no order under section 340 or 341 of the Act (other than ASIC Instrument 25-0076, ASIC Instrument 25-0077, ASIC Instrument 25-0078 or an excluded order) covered the issuer, or any person as director or auditor of the issuer, at any time in the shorter of the period during which the class was quoted, and the period of 12 months before the day on which the offer is made;".

Interpretation

7. In this instrument:

Legislative Instrument 2016/73 means ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73.

Legislative Instrument 2019/547 means ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547.

Dated this 7th day of April 2025

Marieba

Signed by Mario Brce as a delegate of the Australian Securities and Investments Commission

25-0215

Australian Securities and Investments Commission Corporations Act 2001 - Paragraphs 741(1)(a), 741(1)(b) and subsection 926A(2) -Exemptions and Declaration

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a), 741(1)(b) and subsection 926A(2) of the *Corporations Act* 2001 (*Act*).

Title

2. This instrument is ASIC Instrument 25-0215.

Commencement

3. This instrument commences on the date it is signed.

Exemptions

- 4. Anglo is exempt from complying with Parts 6D.2 and 6D.3 of the Act.
- 5. Anglo and Platinum are exempt from the requirement of subsection 911A(1) of the Act to hold an Australian financial services licence.

Declaration

6. Chapter 6D of the Act applies to each holder of Platinum Shares as if subsections 707(3), (4), (5) and (6) were omitted.

Where this instrument applies

- 7. The exemption in paragraph 4 applies in relation to the Offer.
- 8. The exemption in paragraph 5 applies to the provision of General Advice contained in the Shareholder Circular prepared pursuant to any applicable rules of the Financial Conduct Authority of the United Kingdom for the purpose of considering the resolution to approve the Distribution in Specie at the general meeting of Anglo.
- 9. The declaration in paragraph 6 applies where:
 - (a) a Platinum shareholder makes an offer for sale of some or all of their Platinum Shares;
 - (b) the Platinum Shares offered for sale were issued or transferred to the Platinum shareholder by way of a Distribution in Specie by Anglo; and
 - (c) the offer for sale is not made within 12 months of sale or transfer of the Platinum Shares by a person, other than Anglo or Anglo International, who:
 - (i) controls Platinum;

Page 12 of 20

2

- (ii) would have been required by subsection 707(2) of the Act to give disclosure to investors under Part 6D.2 of the Act but for section 708 or 708A of the Act; and
- (iii) did not give disclosure to investors under Part 6D.2 of the Act as a result of section 708 or 708A of the Act.

Interpretation

10. In this instrument:

Anglo means Anglo American plc, a company limited by shares incorporated under the laws of England and Wales.

Anglo International means Anglo American International Limited, a company limited by shares incorporated under the laws of the Republic of Mauritius.

Distribution in Specie means the distribution in specie to be effected by Anglo on the terms set out in the Shareholder Circular, as a result of which Anglo shareholders are to ultimately receive shares in Platinum in proportion to their shareholdings in Anglo.

General Advice has the meaning given by subsection 766B(4) of the Act.

Offer means the offer for Anglo shareholders to receive, by way of issue or transfer, Platinum Shares as a result of the Distribution in Specie.

Platinum means Anglo American Platinum Limited, a company limited by shares incorporated under the laws of the Republic of South Africa.

Platinum Shares means fully paid ordinary shares in Platinum.

Shareholder Circular means the shareholder circular (and the accompanying notice of meeting) issued by Anglo relating to the Distribution in Specie, and which is substantially the same as the draft shareholder circular provided to ASIC on 31 March 2025.

Dated this 8th day of April 2025



Signed by Samuel Wong as a delegate of the Australian Securities and Investments Commission

Page 13 of 20



Australian Securities and Investments Commission

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25-0219

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Epoch Energy Solutions Pty Ltd ACN 621 651 321 ("the Licensee") L2, 88 George Street THE ROCKS NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 502920 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2025

Signed

Dimitropoulos

James Dimitropoulos A delegate of the Australian Securities and Investments Commission

Page 14 of 20



Australian Securities and Investments Commission

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25-0222

Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: SMSF Specific Pty Ltd ACN 607 137 360 ("the Licensee") Unit 5 35-37 Macaree Street BERSERKER QLD 4701

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488810 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2025

Signed

Jedo Charles

A delegate of the Australian Securities and Investments Commission

Page 15 of 20

25-0223

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 8 April 2025.

Australian Securities & Investments Commission

Notice of Suspension of Australian Financial Services Licence

To: Beacon Wealth Pty Ltd

TAKE NOTICE that under and s915B(3)(a) of the Corporations Act 2001, the Australian Securities and Investments Commission suspends the Australian financial services licence number 239946 held by **Beacon Wealth Pty Ltd ACN** 104 843 370 until 7 April 2026.

Dated 7 April 2025.

Signed

Andrew Stecher Delegate of the Australian Securities & Investments Commission

Page 16 of 20

25-0226

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (Act).

Title

2. This instrument is ASIC Instrument 25-0226.

Commencement

3. This instrument commences on the date it is signed.

Exemptions

4. Wyloo Consolidated Investments Pty Ltd ACN 646 337 439 (**Wyloo**) does not have to comply with subsection 606(1) of the Act.

Where this instrument applies

- 5. The exemption in paragraph 4 applies where Wyloo acquires a relevant interest in the capital of Greatland Resources arising from:
 - (a) the Call Option Deed (including in respect of any Shares acquired by Wyloo on exercise of the Call Option and/or ROFR); and
 - (b) any Shares issued to Wyloo on the exercise of the Warrants.
- 6. Wyloo can only rely on the exemption in paragraph 4 of this instrument on the condition that:
 - (a) the material details of the Call Option, ROFR and Warrants and Wyloo's maximum potential voting power in Greatland Resources if it exercises its rights under those arrangements, is disclosed in the Prospectus; and
 - (b) Wyloo's relevant interest in Greatland Resources does not exceed 30.72%.

Interpretation

7. In this instrument:

ASX Listing means the listing of the Shares on the Australian Securities Exchange.

Page 17 of 20

25-0226

Call Option means the call option over half of Newmont's Shares, granted under the Call Option Deed.

Call Option Deed means the call option deed entered into between Wyloo and Newmont dated 10 September 2024 (as amended or replaced from time to time).

Greatland means Greatland Gold plc (company number 05625107), a company incorporated in England and Wales.

Greatland Resources means the new Australian public company, to be named Greatland Resources Limited, to be introduced as the holding company of Greatland under the Scheme of Arrangement.

Newmont means Newmont NOL Pty Limited ACN 009 221 505.

Prospectus means the offer document prepared by Greatland Resources in connection with the ASX Listing.

Reorganisation means the proposed corporate reorganisation pursuant to the Scheme of Arrangement, under which it is proposed that Greatland Resources will become the holding company of Greatland and its subsidiaries.

ROFR means the right of first refusal in respect of Newmont's Shares granted under the Call Option Deed.

Scheme of Arrangement means the proposed court-sanctioned scheme of arrangement between Greatland and its shareholders pursuant to the Companies A et 2006 (UK) which is intended to effect the Reorganisation.

Share means an ordinary share in the capital of Greatland Resources.

Warrants means the warrants exercisable into Shares which will be issued in replacement of the 352,620,000 warrants issued by Greatland.

Dated this 10th day of April 2025

Signed by Kaihan Abdul Qadar as a delegate of the Australian Securities and Investments Commission

Page 18 of 20

CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of April 2025

Name of Company	ARBN
FEVERTREE ROW LIMITED	634 484 094
GAZANIA INVESTMENTS NINE (PROPRIETARY) LTD	161 853 032
SANKYO SEKIYU HANBAI CO LTD	680 603 972
WEST JAPAN MARKETING COMMUNICATIONS, INC.	608 045 745
3322293 NOVA SCOTIA LIMITED	674 797 589

Page 19 of 20

CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this eleventh day of April 2025

Name of Company

DIAMOND OFFSHORE (SINGAPORE) PTE LTD

ARBN

630 707 363

CORPORATIONS ACT 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

AUSTRALIAN TENNIS PROFESSIONAL COACHES

ASSOCIATION LTD ACN 000 531 082 will change to a proprietary company limited by shares. The new name will be AUSTRALIAN TENNIS PROFESSIONAL COACHES ASSOCIATION PTY LTD ACN 000 531 082.

FCIA TRAINING ACADEMY LIMITED

ACN 643 793 435 will change to a proprietary company limited by shares. The new name will be FCIA TRAINING ACADEMY PTY LTD ACN 643 793 435.

MIDWAY LIMITED ACN 005 616 044 will change to a proprietary company limited by shares. The new name will be MIDWAY PTY LTD ACN 005 616 044.

TESSERENT LIMITED ACN 605 672 928 will change to a proprietary company limited by shares. The new name will be THALES CPS AUSTRALIA PTY LTD ACN 605 672 928. **EKOSOLVE LTD** ACN 657 738 120 will change to a proprietary company limited by shares. The new name will be EKOSOLVE PTY LTD ACN 657 738 120.

ITL HEALTH GROUP LIMITED ACN 088 212 088 will change to a proprietary company limited by shares. The new name will be A.C.N. 088 212 088 PTY LTD ACN 088 212 088.

TALI RESOURCES WA PTY LTD ACN 673 333 189 will change to a public company limited by shares. The new name will be TALI RESOURCES LTD ACN 673 333 189.