



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A42/26, Tuesday 20 October 2026

Published by ASIC

ASIC Gazette

Contents

Notices under Corporations Act 2001

26-0827	26-0844	26-0846	26-0848
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Company/scheme deregistrations

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

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26-0827

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b)– Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0827.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 6 of the Act applies to Chilwa Minerals Ltd ACN 656 965 589 (*Company*) and Maxim Group LLC, a limited liability company incorporated under the laws of New York, United States of America (*Underwriter*), as if section 609B were modified or varied by, omitting subsection 609B(2)(a)(i), and inserting:

“the body corporate enters into the escrow agreement in connection with an offer of securities in the body corporate that are in a class of securities that are to be quoted on a declared financial market or the NASDAQ Capital Market (the initial public offer);”

Where this instrument applies

5. The declaration in paragraph 4 applies in relation to relevant interests the Company and the Underwriter have in securities of the Company (*Escrowed Securities*) merely because the Company has, and the Underwriter has, in the ordinary course of its business as an underwriter, lead manager or joint lead manager, entered into one or more lock-up or escrow agreements or deeds (each an *Escrow Arrangement*) with each Security Holder in connection with the proposed admission of the Company's securities to the NASDAQ Capital Market and the related initial public offer where each Escrow Arrangement:
 - (a) does not restrict the exercise of voting rights attaching to, the Escrowed Securities;
 - (b) in the case of a takeover bid (including a proportional takeover bid):
 - (i) allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and

26-0827

- (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
- (d) terminates no later than one year from the date the Company and/or the Underwriter and the Security Holder entered into the Escrow Arrangement;
- (e) does not permit the Security Holder to create a security interest in some or all of the Escrowed Securities in favour of a person who does not have a relevant interest in the Escrowed Securities because of subsection 609(1) of the Act unless that person has agreed in writing to take or acquire the security interest in the Escrowed Securities subject to the terms of the Escrow Arrangement; and
- (f) requires that the Security Holder must not transfer its interests in the Escrowed Securities to another person if:
 - (i) the transfer would result in a change in the beneficial ownership of the Escrowed Securities;
 - (ii) the transfer would result in an extension in the period of the Escrow Arrangement; or
 - (iii) the transferee does not agree to be subject to the same restrictions on disposal of the Escrowed Securities under the Escrow Arrangement.

26-0827

Interpretation

6. In this instrument:

- (a) ***Security Holder*** means any of the following persons who holds shares in the Company:
- (i) Luso Global Mining BV (KvK number 51188872);
 - (ii) Cadell Bns;
 - (iii) Manuel Mota;
 - (iv) Alexander Shaw; and
 - (v) José Martins.

Dated this 29th day of September 2026



Signed by Stefan Curcio
as a delegate of the Australian Securities and Investments Commission

26-0844

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 601QA(1)(a), 926A(2)(a) and 1020F(1)(a) –
Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 601QA(1)(a), 926A(2)(a) and 1020F(1)(a) of the *Corporations Act 2001* (the Act).

Title

2. This instrument is ASIC Instrument 26-0844.

Commencement

3. This instrument commences on the day it is signed.

Exemptions

4. Austral Resources Australia Limited ACN 142 485 470 (Austral) does not have to comply with:
 - (a) subsection 911A(1) of the Act for the provision of the following financial services:
 - (i) dealing in an interest in the Sale Facility;
 - (ii) the provision of general advice in relation to an interest in the Sale Facility;
 - (b) subsection 601ED(5) of the Act in relation to the Sale Facility;
 - (c) Divisions 2 to 5 of Part 7.9 of the Act in relation to an interest in the Sale Facility; and
 - (d) Division 5A of Part 7.9 of the Act in relation to an invitation to participate in the Sale Facility.

Where this instrument applies

5. The exemptions in paragraph 4 apply in relation to the Sale Facility where that facility satisfies all of the following:
 - (a) the financial products that may be disposed of through the Sale Facility are New Austral Shares that will be admitted to trading on ASX; and
 - (b) under the terms of the Sale Facility all of the following apply:
 - (i) the New Austral Shares of participating holders to be disposed through the Sale Facility are pooled;
 - (ii) a Sale Agent disposes of the New Austral Shares in the ordinary course of trading on ASX; and
 - (iii) each participating holder is paid their proportion of the proceeds of the sale, net of expenses (to the extent, if any, that they are not met by Austral) as soon as practicable and in any event within eight weeks after the Trading Commencement Date.

26-0844

Conditions

6. In order to rely on the exemptions in paragraph 4, Austral must do all the following:

- (a) use reasonable endeavours to ensure that HMX gives or sends the Scheme Booklet to participating holders in accordance with the orders sought by HMX from the Supreme Court of Western Australia under section 411(1) of the Act directing HMX to convene the Scheme Meeting; and
- (b) ensure the Sale Facility does not remain open for longer than 12 months.

7. The Scheme Booklet:

- (a) must contain all of the following:
 - (i) a statement that the Sale Facility is open until the expiry date set out in the Scheme Booklet;
 - (ii) information about the minimum and maximum number of financial products a participating holder can sell through the Sale Facility;
 - (iii) a statement that the market price of New Austral Shares is subject to change from time to time;
 - (iv) information about how to obtain up-to-date information on the market price of the New Austral Shares;
 - (v) information about any expenses relating to the disposal of New Austral Shares that will be paid by the participating holders;
 - (vi) information about how the proceeds of sale of New Austral Shares disposed of through the Sale Facility will be allocated between the participating holders;
 - (vii) information as to whether the amount of money received by the participating holder for New Austral Shares disposed of through the Sale Facility may be more or less than the actual price that is received by the Sale Agent for the New Austral Shares;
 - (viii) information about any other significant characteristics or features of the Sale Facility or of the rights and obligations of participating holders who elect to participate in the facility;
 - (ix) information about any alternatives that the holder may have to participating in the Sale Facility; and
- (b) must be presented in a clear, concise and effective manner; and
- (c) may be made up of two or more separate documents that are given at the same time and by the same means.

Exclusion

8. Austral cannot rely on this instrument if ASIC has given a notice to Austral which states that Austral cannot rely on this instrument and ASIC has not withdrawn that notice.

Interpretation

9. In this instrument:

ASX means the Australian Securities Exchange.

26-0844

Austral Share means an ordinary share in the capital of Austral.

HMX means Hammer Metals Limited (ACN 095 092 158).

facility has the definition of Sale Facility, a meaning affected by section 762C of the Act.

Ineligible Holder has the same meaning given to the term in the Scheme Booklet

New Austral Share means a Austral Share to be issued to Scheme Shareholders under the terms of the Scheme.

participating holder means a person who:

- (a) is entitled to Austral Shares should the Scheme be implemented; and
- (b) has not elected to opt-out of participating in the Sale Facility; or
- (c) is an Ineligible Holder.

Sale Agent means a participate of a licensed market operated by the ASX with whom Austral, or a related body corporate of Austral, has entered into arrangements for the operation of the Sale Facility in accordance with the terms set out in the Scheme Booklet to sell the New Austral Shares to which Participating Shareholders would otherwise be entitled, and remit the proceeds to the Participating Shareholders

Sale Facility means the facility operated by Austral through which a participating holder can sell their New Austral Shares in accordance with the terms set out in the Scheme Booklet.

Scheme means a scheme of arrangement under Part 5.1 of the Act between HMX and the Scheme Shareholders substantially in the form annexed to the Scheme Booklet, or in such other form as Austral and HMX may agree in writing.

Scheme Booklet means the explanatory statement under section 411 of the Act dated on or about 2 October 2026 that is:

- (a) sent to shareholders of HMX in connection with the proposed acquisition, by the Scheme, of all of the shares in HMX (other than shares in HMX held by, or held by any person on behalf of or for the benefit of, any of Austral or its controlled entities); and
- (b) in substantially the same form as that provided to ASIC on 16 September 2026.

26-0844

Scheme Shareholder has the meaning given to that term in the Scheme Booklet.

Trading Commencement Date means the day on which the New Austral Shares commence trading on the ASX.

Dated this 8th day of October 2026.



Signed by Stefan Curcio
as a delegate of the Australian Securities and Investments Commission

26-0846

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

Enabling legislation

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (Cth) (the *Act*).

Title

- 2 This instrument is ASIC Instrument 26-0846.

Commencement

- 3 This instrument commences on the date it is signed.

Declaration

- 4 Chapter 6D of the Act (as modified by ASIC Instrument 2025/180) applies to Sigma Lithium as if the following provisions were modified or varied:

- (a) omit paragraph 708AA(2)(c), substitute:

“trading in that class of securities on ASX, Nasdaq and TSXV was not suspended for more than a total of 5 days during the shorter of the period during which the class of securities were quoted on Nasdaq and TSXV (as applicable), and the period of 12 months before the day on which the relevant securities were issued; and”;

- (b) omit paragraph 708AA(7)(c), substitute:

“(c) states that, as at the date of the notice, the body has complied with:

- (i) section 601CK; and
- (ii) the disclosure obligations contained in Rule 5250(b)(1) of the Nasdaq Listing Rules and its applicable SEC disclosure obligations as a foreign private issuer as in force on 9 October 2026; and
- (iii) the disclosure obligations contained in Policy 3.3 of the TSXV Corporate Finance Manual and applicable Canadian securities law as in force on 9 October 2026; and
- (iv) its obligations under rule 1.15.2 of the listing rules of ASX as in force on 9 October 2026; and”;

- (c) omit paragraph 708AA(8)(a), substitute:

“(a) that has been excluded from disclosure under the disclosure obligations contained in:

26-0846

- (i) Rule 5250(b)(1) of the Nasdaq Listing Rules and its applicable SEC disclosure obligations as a foreign private issuer as in force on 9 October 2026; and
 - (ii) Policy 3.3 of the TSXV Corporate Finance Manual as in force on 9 October 2026 and”;
- (d) omit paragraph 708A(5)(a), substitute:
 - “(a) the relevant securities are in a class of securities that were quoted on the Nasdaq and TSXV at all times in the 3 months before the day on which the relevant securities were issued; and”;
- (e) omit paragraph 708A(5)(b), substitute:
 - “(b) trading in that class of securities on ASX, Nasdaq and TSXV was not suspended for more than a total of 5 days during the shorter of the period during which the class of securities were quoted on Nasdaq and TSXV (as applicable), and the period of 12 months before the day on which the relevant securities were issued; and”;
- (f) omit paragraph 708A(6)(d), substitute:
 - “(d) states that, as at the date of the notice, the body has complied with:
 - (i) section 601CK; and
 - (ii) the disclosure obligations contained in Rule 5250(b)(1) of the Nasdaq Listing Rules and its applicable SEC disclosure obligations as a foreign private issuer as in force on 9 October 2026; and
 - (iii) the disclosure obligations contained in Policy 3.3 of the TSXV Corporate Finance Manual and applicable Canadian securities law as in force on 9 October 2026; and
 - (iv) its obligations under rule 1.15.2 of the listing rules of ASX as in force on 9 October 2026; and”;
- (g) omit paragraph 708A(7)(a), substitute:
 - “(a) that has been excluded from disclosure under the disclosure obligations contained in:
 - (i) Rule 5250(b)(1) of the Nasdaq Listing Rules and its applicable SEC disclosure obligations as a foreign private issuer as in force on 9 October 2026; and
 - (ii) Policy 3.3 of the TSXV Corporate Finance Manual and applicable Canadian securities law as in force on 9 October 2026; and”;

26-0846

- (h) omit subparagraph 708A(12C)(b)(i) (as notionally inserted by ASIC Instrument 2026/96), substitute:
 - “(i) securities in the same class as the relevant securities were quoted on Nasdaq and TSXV; and”;
- (i) omit paragraph 708A(12C)(c) (as notionally inserted by ASIC Instrument 2026/96), substitute:
 - “(c) trading in securities in the class of relevant securities on ASX, Nasdaq and TSXV was not suspended for more than a total of 5 days during the shorter of the period during which the class of relevant securities were quoted on Nasdaq and TSXV (as applicable), and the period of 12 months before the first day on which the convertible notes were issued; and”;
- (j) in section 708A(12E) (as notionally inserted by ASIC Instrument 2026/96) omit the words “each financial report or directors’ report of the body required under section 298 in relation to a financial year of the body”, substitute “each financial report of the body required under the financial reporting laws of the kind set out in Chapter 2M applicable to the body’s place of origin that it gives to ASX under rule 1.15.2 of the listing rules of ASX as in force on 9 October 2026”;
- (k) omit subparagraph 708A(12E)(g) (as notionally inserted by ASIC Instrument 2026/96), substitute:
 - “(g) any other matters relating to the convertible notes that holders of securities of the body that are quoted on Nasdaq and TSXV would reasonably require to make an informed assessment of the financial position of the body and its prospects for future financial years.”;
- (l) omit subsection 713(1) (as notionally modified by ASIC Instrument 2026/97), and substitute:
 - “(1) A prospectus for an offer of:
 - (aa) convertible or converting notes or convertible or converting preference shares of a body that are convertible, or may convert, into a class of securities that are quoted on the Nasdaq and TSXV; or
 - (a) securities in a body that are in a class of securities that are quoted on the Nasdaq and TSXV; or
 - (b) options to acquire securities referred to in paragraph (a),
 - satisfies section 710 if it complies with subsections (2), (3) and (4) of this section.”;
- (m) omit paragraph 713(3)(a), substitute:

26-0846

- “(a) the body is subject to the regulatory reporting and disclosure obligations under the Nasdaq Listing Rules and the TSXV Corporate Finance Manual; and
- (ba) the body is subject to section 601CK; and”;
- (n) omit paragraph 713(4)(a), substitute:
 - “(a) inform people of their right to obtain a copy of any of the following documents:
 - (i) the most recent balance sheet and other documents lodged with ASIC under section 601CK; and
 - (ii) any notices given by the body in accordance with its obligations under rule 1.15.2 of the listing rules of ASX, as in force on 9 October 2026, after the lodgement of the most recent balance sheet and other documents lodged with ASIC under section 601CK and before the lodgement of the copy of the prospectus with ASIC; or”;
- (o) omit paragraph 713(5)(a), substitute:
 - “(a) has been excluded from disclosure under:
 - (i) the disclosure obligations contained in Rule 5250(b)(1) of the Nasdaq Listing Rules and its applicable SEC disclosure obligations as a foreign private issuer as in force on 9 October 2026; and
 - (ii) the disclosure obligations contained in Policy 3.3 of the TSXV Corporate Finance Manual and applicable Canadian securities law as in force on 9 October 2026”.

Where this declaration applies

- 5 The declaration in paragraph 4 applies to offers of securities in Sigma Lithium where Sigma Lithium is:
 - (a) listed on both Nasdaq and TSXV; and
 - (b) listed on the ASX as an ASX foreign exempt listing.

Interpretation

- 6 In this instrument:

ASIC Instrument 2025/180 means *ASIC Corporations (Offers of CHESS Depository Interests) Instrument 2025/180*.

26-0846

ASIC Instrument 2026/96 means *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2026/96*.

ASIC Instrument 2026/97 means *ASIC Corporations (Offers of Convertibles) Instrument 2026/97*.

ASX means ASX Limited ACN 008 624 691 or the financial market operated by the ASX.

Nasdaq means Nasdaq Capital Market, being the financial market operated by Nasdaq Stock Market LLC.

Nasdaq Listing Rules means the listing rules of Nasdaq.

SEC means the U.S. Securities and Exchange Commission.

Sigma Lithium means Sigma Lithium Corporation ARBN 700 090 302, a foreign company incorporated in Ontario, Canada and governed by the Business Corporations Act, RSO 1990, c B.16.

TSXV means the TSX Venture Exchange, being the financial market operated by TMX Group Limited.

TSXV Corporate Finance Manual means the Corporate Finance Manual of TSXV.

Dated this 9th day of October 2026



Signed by Penelope Reid
as a delegate for the Australian Securities and Investments Commission

26-0848

Australian Securities and Investments Commission**In the matter of Katharine Emily Beever****Banning order under sections 920A and 920B
of the *Corporations Act 2001***

To: Katharine Emily Beever

Take notice that under s920A and 920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Katharine Emily Beever permanently from:

- (a) providing any financial services;
- (b) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity); and
- (c) controlling an entity that carries on a financial services business.

Dated this 20th day of August 2026.

Signed:



Caroline Jefferies
Delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of October 2026

Name of Scheme**ARSN**

DAINTREE HYBRID OPPORTUNITIES ACTIVE ETF

651 905 314

IMPAX GLOBAL OPPORTUNITIES FUND

664 065 141

MAINSTREAM SEPARATELY MANAGED ACCOUNT

631 635 473

NOVAPORT SMALLER COMPANIES FUND

094 601 475

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of October 2026

Name of Company

ARBN

APEX AUSTRALIA CHARITABLE FUND INCORPORATED

057 197 314

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixteenth day of October 2026

Name of Company

ARBN

ADVANCED MICROBIAL SOLUTIONS, L.L.C.

621 789 062

CEGELEC OIL & GAS

609 973 800

TRADEMAX GLOBAL MARKETS (INTERNATIONAL) PTY LTD

668 323 411

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this sixteenth day of October 2026

Name of Company

ARBN

HCL SOFTWARE PRODUCTS LIMITED

151 578 808

INDUSTRIAL GROWTH PLATFORM PTE. LTD.

638 633 208

INTERRUPT LABS (AUSTRALIA) LIMITED

663 160 807