



ASIC
Australian Securities &
Investments Commission

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Contents

Notices under Corporations Act 2001

26-0360	26-0375	26-0378
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Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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Australian Securities & Investments Commission

Australian Securities and Investments Commission

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26-0360

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sigma Global Pty Ltd
ABN 46 123 659 496 ("the Licensee")
Unit 1, 4 Silva Street
Tamarama NSW 2026

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 425565 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 13 May 2026

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

26-0375

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument **26-0375**.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts CBRE Investment Management Indirect Limited (Company Registration Number 02076511) (*the body*), a body corporate formed in England and Wales and registered as a foreign company under Div 2 of Pt 5B.2 of the Act in Australia from the requirement to hold an Australian financial services (*AFS*) licence.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) the date specified in section 8 of the ASIC Corporations (Foreign Financial Services Providers) Instrument 2025/798 as may be amended from time to time;
 - (b) the body not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

26-0375

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current Part 4A Permission;
 - (aa) the body is either a body corporate incorporated in the UK or a partnership formed in the UK;
 - (b) the body:
 - i. is registered under Division 2 of Part 5B.2 of the Act; or
 - ii. has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (c) the body's primary business is the provision of financial services;
 - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - i. 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - ii. 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (f) the body has not notified ASIC that it will not rely on this instrument.

Schedule B

1. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in a financial product;in respect of any of the following financial products:

26-0375

- (c) securities;
 - (d) derivatives;
 - (e) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (f) managed investment products; and
 - (g) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Corporations Act.
2. Where the body has provided ASIC with:
- (a) a copy of the Part 4A Permission, or other evidence that paragraph 1(a) of Schedule A is satisfied, and that ASIC has stated in writing is adequate;
 - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - i. the deed is irrevocable except with the prior written consent of ASIC; and
 - ii. the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - iii. the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - iv. if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - v. the body covenants that, on written request of either the FCA or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the FCA to disclose to ASIC and ASIC to disclose to the FCA any information or document that FCA or ASIC has that relates to the body; and

26-0375

- (d) written consents to the disclosure by the FCA to ASIC and ASIC to the FCA of any information or document that the FCA or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the UK regulatory requirements if the financial service were provided in the UK in like circumstances; and
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the body obtains from the UK regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (i) significant enforcement action;
 - (ii) significant disciplinary action;
 - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (a) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (b) as applicable:

26-0375

- (i) for a dual-regulated body - the body is authorised by the PRA and regulated by the FCA and PRA under UK laws, which differ from Australian laws;
 - (ii) otherwise – the body is authorised and regulated by the FCA under the UK laws, which differ from Australian laws; and
4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

Interpretation

In this instrument:

address in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

dealing in a financial product has the meaning given by section 9 of the Act;

debenture has the meaning given by section 9 of the Act;

derivative has the meaning given by section 761D of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act;

financial product has the meaning given by section 9 of the Act;

financial product advice has the meaning given by section 9 of the Act;

financial services law has the meaning given by section 9 of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

FSM Act means the *Financial Services and Markets Act 2000* of the United Kingdom;

interest in a managed investment scheme has the meaning given by section 9 of the Act;

26-0375

managed investment products has the meaning given by section 764A(1)(ba) of the Act;

managed investment scheme has the meaning given by section 9 of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

PRA means the Prudential Regulation Authority of the United Kingdom;

securities has the meaning given by section 9 of the Act;

United Kingdom regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 9 of the Act.

Dated this 13th day of May 2026.



Signed by Kathy Zhao
as a delegate of the Australian Securities and Investments Commission

26-0378

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 655A(1) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission makes this exemption under subsection 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0378.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Atlas Arteria Limited ACN 141 075 201 (*ATLAX*) does not have to comply with section 638 of the Act to the extent that subsection 638(1A) of the Act has the effect that a target's statement must contain information that is known to Mr Ken Daley (**Mr Daley**) and Mr Danny Elia (**Mr Elia**), each of whom is a non-executive director of ATLAX.

Where this instrument applies

5. The exemption in paragraph 4 applies where:
 - (a) Diamond Infracore 1 Pty Ltd ACN 657 874 363 (**Diamond**), a wholly owned subsidiary of IFM Global Infrastructure Fund (**IFM**), lodged a bidder's statement with ASIC on 27 April 2026 to acquire all of the stapled securities that it does not already own in ATLAX and Atlas Arteria International Limited (EC43828) under an off-market takeover bid (**Offer**);
 - (b) Mr Daley is a non-executive director of ATLAX, a special adviser to IFM and also a director on IFM investee companies;
 - (c) Mr Elia is a non-executive director of ATLAX and the global head of asset management at IFM Investors Pty Ltd ACN 107 247 727;
 - (d) Mr Daley and Mr Elia were both nominated by IFM to the ATLAX board pursuant to the director representation agreement between ATLAX and Diamond as disclosed to ASX Limited ACN 008 624 691 on 6 August 2024;
 - (e) ATLAX has advised ASIC that each of Mr Daley and Mr Elia has not at any time been involved in making decisions in relation to, or the consideration of ATLAX's response to, the Offer; and

26-0378

- (f) ATLAX has advised ASIC that it will include in the target's statement information about the reasons for, and effect of, this instrument.

Dated this 14th day of May 2026



Signed by Stefan Ristic
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifteenth day of May 2026

Name of Scheme**ARSN**

COMMON FUND NO 16 (EQT RESPONSIBLE INVESTMENT AUSTRALIAN SHARE FUND)	662 934 045
HMC CAPITAL PARTNERS RETAIL FUND 1	666 525 677
IAM LISTED BOND ETF	670 479 320

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifteenth day of May 2026

Name of Company	ARBN
ARKANSAS NOMINEES LIMITED	635 839 940
SHOPIFY INTERNATIONAL LIMITED	608 705 317
SUD SCRUB INC.	684 686 486
SUNTEC BUSINESS SOLUTIONS SINGAPORE PTE. LTD.	651 286 109
THE SQ MILE ADVISORY LTD	675 792 239
UNMIND (UK) LTD	676 978 144
VITAL SOLUTIONS PTE LTD	694 879 402

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this fifteenth day of May 2026

Name of Company

ARBN

CARNE INTERNATIONAL FINANCIAL SERVICES (UK) LIMITED

648 201 610

MACHINEFOREST LIMITED

137 778 879

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ALLEGRO RESOURCES LIMITED

ACN 659 685 293 will change to a proprietary company limited by shares. The new name will be ALLEGRO RESOURCES PTY LTD ACN 659 685 293.

AXON GRAPHITE LIMITED ACN 119 438 265 will change to a proprietary company limited by shares. The new name will be AXON GRAPHITE PTY LTD ACN 119 438 265.

DACLAND CAPITAL LIMITED ACN 141 161 533 will change to a proprietary company limited by shares. The new name will be DACLAND CAPITAL PTY LTD ACN 141 161 533.

PROHIBITION LIQUOR CO LTD

ACN 606 844 919 will change to a proprietary company limited by shares. The new name will be PROHIBITION LIQUOR CO PTY LTD ACN 606 844 919.

AURUMIN LIMITED ACN 639 427 099 will change to a proprietary company limited by shares. The new name will be AURUMIN PTY LTD ACN 639 427 099.

BETA CELL TECHNOLOGIES PTY LTD

ACN 610 814 938 will change to a public company limited by shares. The new name will be BETA CELL TECHNOLOGIES LTD ACN 610 814 938.

IDENTIFAI GENETICS PTY LTD ACN 695 439 993 will change to a public company limited by shares. The new name will be IDENTIFAI GENETICS LIMITED ACN 695 439 993.