

Jessica Zhang

From: s 22
Sent: Wednesday, 6 December 2023 10:10 AM
To: s 22
Subject: Fwd: Group General Counsel and Company Secretary to leave IAG [SEC=OFFICIAL]
Attachments: Dec 6 - Group General Counsel and Company Secretary to leave IAG.pdf

s 22

FYI - we did get a further note from Nick

s 22

s 22

Deputy Chair

Australian Securities and Investments Commission

s 22



Executive Assistant: s 22

Tel: s 22

ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.

From: Nick Hawkins s 47F
Sent: Wednesday, December 6, 2023 8:49:43 AM
To: s 22
Subject: Group General Counsel and Company Secretary to leave IAG

EXTERNAL EMAIL: Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Dear s 22

Please see attached an ASX announcement advising that Peter Horton, Group General Counsel and Company Secretary of IAG, has left the company effective immediately.

If you have any questions, please let me know.

Nick

NICK HAWKINS
MANAGING DIRECTOR & CHIEF EXECUTIVE OFFICER
Email: s 47F



www.iag.com.au

We make your world a safer place.

Information Security Classification - INTERNAL

Information Security Classification - INTERNAL



News Release

6 December 2023

Group General Counsel and Company Secretary to leave IAG

IAG announced today that Group General Counsel and Company Secretary, Peter Horton, is leaving the company and will cease as Company Secretary, effective immediately.

IAG Managing Director and CEO Nick Hawkins said: "The company has concluded that Mr Horton's behaviour has fallen short of the expectations in IAG's employee Code of Ethics and Conduct. This includes the importance of being inclusive and respectful, and we will hold people to account if they fail to meet these expectations."

A search for a replacement for Mr Horton, who joined IAG in 2019, will commence shortly.

This release has been authorised by IAG's Managing Director and CEO.

About IAG

IAG is the parent company of a general insurance group with operations in Australia and New Zealand. IAG's main businesses underwrite over \$14 billion of insurance premium per annum under many leading brands, including: NRMA Insurance, RACV (under a distribution agreement with RACV), CGU, SGIO, SGIC and WFI (Australia); and NZI, State, AMI and Lumley (New Zealand). For further information, please visit www.iag.com.au.

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NSW 2000 Australia
Telephone. +61 (0)2 9292 9222

Jessica Zhang

From: s 22
Sent: Wednesday, 6 December 2023 10:03 AM
To: s 22
Subject: RE: CONFIDENTIAL: IAG CEO Call [SEC=OFFICIAL]

Thanks s 22, this is now live on the ASX announcements platform. Not sure if you can access this link, but it is a short announcement that the GC is leaving with a quote from Nick concerning the firm's code of conduct. I have also spoken with staff at IAG but nothing else to report at this stage. Kind regards

[05y7nwyv6jczrh.pdf \(asx.com.au\)](#)

s 22
Senior Executive Leader, Credit, Banking and General Insurance
Regulation and Supervision Group
Australian Securities and Investments Commission

s 22



EA: s 22

ASIC acknowledges the Traditional Owners of the lands and waters on which we live and work. We pay respect to Elders past and present as the custodians of the world's oldest continuing cultures.

ASIC is committed to [diversity and inclusion](#). ASIC is a place of belonging regardless of difference, where all individuals are accepted, safe and affirmed.

From: s 22
Sent: Tuesday, 5 December 2023 5:16 PM
To: s 22
Subject: CONFIDENTIAL: IAG CEO Call [SEC=OFFICIAL]

s 22
I just took a call from s 47F. They will announce tomorrow morning that their Group General Counsel (Peter Horton) is leaving. He has been terminated for breaching their staff code of conduct. The breach in no way relates to ASIC related regulatory matters. The announcement will reference the COC breach but not the termination s 47F

s 22, please remind me to chat to you re CEO/Chair calls like this, when I'm in Sydney later this week.

For your information.

Kind regards

s 22

s 22
Deputy Chair

Australian Securities and Investments Commission

s 22
[redacted]
[redacted] | she/her pronouns



Executive Assistant: s 22

s 22

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Jessica Zhang

From: Alfred X Wong s 47F
Sent: Wednesday, 6 December 2023 10:01 AM
To: s 22
Cc: s 22; Andrea Petrocco; Vince Do
Subject: For information: IAG GGC and Company Secretary to leave IAG
Attachments: 20231206 - Group General Counsel and Company Secretary to leave IAG.pdf

EXTERNAL EMAIL: Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Good morning s 22

Thanks for your time just now, please see linked and attached an announcement that Group General Counsel and Company Secretary, Peter Horton, is leaving IAG and will cease as Company Secretary, effective immediately.

<https://company-announcements.afr.com/asx/iag/402e7542-93b7-11ee-b8a9-beac838d6746.pdf>

We are working through the matter and considering any regulatory aspects.

Please don't hesitate to contact me if you have any questions.

Kind regards
Alfred

ALFRED WONG
EXECUTIVE MANAGER
REGULATORY AFFAIRS
GROUP RISK

Phone: s 47F
Email: s 47F
Address: Level 12, Tower 2, 201 Sussex Street Sydney NSW 2000



We make your world a safer place.

At iag we work flexibly - so whilst it suits me to email now, I do not expect a response or action outside of your own working hours.

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Media

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