



ASIC
Australian Securities &
Investments Commission

**Australian Market Licence (ICAP Brokers Pty Limited)
Variation Notice 2026 (No.1)**

Corporations Act 2001

I, Alex Orgaz-Barnier, a delegate of the Australian Securities and Investments Commission, make this notice under subsections 796A(1) and 797A(1) of the *Corporations Act 2001*.

Dated 25 February 2026

A handwritten signature in blue ink, appearing to be "A. Orgaz-Barnier".

Signed

Alex Orgaz-Barnier

1. Name of notice

This is the *Australian Market Licence (ICAP Brokers Pty Limited) Variation Notice 2026 (No. 1)*.

Note: This notice should be read in conjunction with the Corporations (ICAP Brokers Pty Limited) Exemption Notice 2019 as varied by the ASIC Corporations (ICAP Brokers Pty Limited) (Exemption) Amendment Instrument 2026 (No.1).

2. Commencement

This notice commences on the day after it is signed.

3. Variation

The *Australian Market Licence (ICAP Brokers Pty Limited) 2019* is varied as described in the Schedule.

Category	Products
Credit derivatives	Index derivatives, Index Tranche derivatives, Single Name derivatives, Swaptions, Total Return Swap, Total Return Swap Index, Exotic derivatives
Foreign Exchange financial products	Spot, Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic
Interest Rate and Inflation derivatives	FRA, Interest Rate Swap, Cross Currency, Inflation Swap, Forward Debt, CapFloor, Swaption, Debt Option, Inflation CapFloor, Exotic
Interest Rate financial products other than Interest Rate derivatives	Australian Commonwealth Government debt instruments, Australian State or Local Government debt instruments, Supranational and Foreign Government debt instruments, Corporate debt instruments

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each participant, identifying each participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier.



ASIC
Australian Securities &
Investments Commission

**ASIC Corporations (ICAP Brokers Pty Limited) (Exemption)
Amendment Instrument (No.1)**

Corporations Act 2001

I, Alex Orgaz-Barnier, a delegate of the Australian Securities and Investments Commission, make this notice under subsection 791C(3) of the *Corporations Act 2001*.

Dated 25 February 2026

A handwritten signature in blue ink, appearing to be 'A. Orgaz-Barnier'.

Signed

Alex Orgaz-Barnier

1. Name of instrument

This is the *ASIC Corporations (ICAP Brokers) Pty Limited (Exemption) Amendment Instrument 2026 (No.1)*.

Note: This notice should be read in conjunction with the Australian Market Licence (ICAP Brokers Pty Limited) 2019 as varied by the Australian Market Licence (ICAP Brokers Pty Limited) Variation Notice 2026 (No. 1).

2. Commencement

This instrument commences on the day after it is signed.

3. Variation

The *Corporations (ICAP Brokers Pty Limited) Exemption Notice 2019 (Exemption Notice)* is varied as described in the Schedule.

SCHEDULE 1 **Variation**
(Section 3)

[1] The whole of the Exemption Notice

omit every occurrence of "ICAP Brokers Pty Limited", substitute "TP ICAP Australia Pty Ltd"

[2] The whole of the Exemption Notice

omit every occurrence of "Market", substitute "Markets"

[3] Section 4 (definition of Market)

repeal the definition, substitute "**Markets** means the financial markets the Licensee is authorised to operate under the *Australian Market Licence (ICAP Brokers Pty Limited 2019)* as varied by the *Australian Market Licence (ICAP Brokers Pty Limited) Variation Notice 2026 (No. 1)*."