Haydar Tuncer

s 22 [redacted]

Attachments: 2022-018 AMS - Retail client, wholesale client and sophisticated investor definitions - 17 August 2022.pdf

S 22 [redacted]

From: s 22 [redacted]
Sent: Wednesday, August 17, 2022 5:44 PM
To: s 22 [redacted]
Cc: s 22 [redacted] Jones DLO <JonesDLO@TREASURY.GOV.AU>; s 22 [redacted]
Subject: AMS 2022-018 | Retail client, wholesale client and sophisticated investor definitions [SEC=OFFICIAL]

Good afternoon

Following a meeting with the ASIC Chair on 13 July 2022, attached is a briefing to update the Assistant Treasurer on ASIC's views in respect of the 'retail client', 'wholesale client' and 'sophisticated investor' definitions in the Corporations Act 2001.

The contacts for this briefing are Deputy Chair Karen Chester, Commissioner Danielle Press and Executive Directors Joanna Bird and Greg Kirk.

Regards
s 22 [redacted]

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