From: 22 @asic.gov.au
Sent on: Tuesday, October 4, 2016 2:44:42 AM
To: 22 @asic.gov.au
BCC: @aexp.com; @aexp.com; @anz.com; 22 @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; nab.com.au; @suncorp.com.au; @westpac.com.au; @anz.com; @anz.com; @anz.com
Subject: URGENT - COLD CALLING SCAM: ASSET CASTLE INVESTMENTS LTD (Treat as InConfidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: ASSET CASTLE INVESTMENTS LTD

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating under the name of Asset Castle Investments Ltd. After conducting further inquiries, ASIC confirms that Asset Castle Investments Ltd is an unlicensed foreign entity that has made unsolicited calls to Australian victims to convince them to invest in non-existent financial products. Victims are then directed to deposit funds into an identified foreign bank account. Details of the bank accounts are listed below.

Beneficiary Name:	Asset Castle Investments
Beneficiary Address:	110 Wall Street, Suite 10093, New York, NY, 10005, USA
Account Number:	005 327 0971
Beneficiary Bank Name:	MUFG Union Bank National Association
Bank Address:	1980 Saturn Streen Monterey Park CA 91755 USA
BIC Code:	BOFCUS33MPK

Beneficiary Name:	Asset Castle Investments
Beneficiary Address:	110 Wall Street, Suite 10093, New York, NY, 10005, USA
Account Number:	1028049045
Beneficiary Bank Name:	Wells Fargo NA
Bank Address:	420 Montgomery Street, San Francisco CA 94163 USA

ASIC FOI 021-2024

BIC Code:	WFBIUS6WFFX

ASIC has formed the view that Asset Castle Investments Ltd's operations constitute a cold-calling scam. ASIC is aware that Australian investors have been induced to provide funds by the convincing telephone calls and associated websites used by the operators of these scams.

ASIC has added the details of Asset Castle Investments Ltd to its public list of "unlicensed overseas callers and fake regulators" located at www.moneysmart.gov.au. You may access this list at the following address: http://www.moneysmart.gov.au/scams/companies-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams by Australian investors. To prevent further clients from falling victim to these operators, ASIC recommends that your financial institution establish a process whereby any future fund transfer requests to the account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches to identify any clients that may have transferred funds into the above bank account and contact them directly, to bring to their attention the issues raised above and notify them that ASIC considers Asset Castle Investments Ltd to be part of an international scam and that no further funds should be forwarded to the account.

In the event that your clients have further queries regarding these cold calling scams, please direct them to contact ASIC's Infoline on 1300 300 630. Alternatively, they may wish to lodge a confidential report of misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regarding this matter, please contact me.

Yours sincerely

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