From: 22 @asic.gov.au
Sent on: Friday, October 24, 2014 3:36:05 AM
To: 22 @asic.gov.au
BCC: 22 @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: TRIPLE A OPTIONS (also known as AAA OPTIONS) (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: TRIPLE A OPTIONS (also known as AAA O=PTIONS)

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Triple A Op=tions.** After conducting= further inquiries, ASIC confirms that Triple A Options is an unlicensed foreign entity tha=t has made unsolicited calls to Australian victims to convince them to =invest in non-existent financial products. Victims are then directed to= deposit funds into an identified foreign bank account. Details of the ºnk account are listed below.

Beneficiary name: D.H. Corporation Lim=ited
Beneficiary address: Units A-C, 25/F Sêbright Plaza, 9-23 Shell Street, North Point, Hong Kong
Account number: 848 528121 838
Bank name: HSBC
Bank address: Queens Road, Central, H=ong Kong
BIC Code: HSBCHKHHHKH

ASIC has formed the view that Triple A =Options' operations constitute a cold-calling scam. ASIC is aware that =Australian investors have been induced to provide funds by the convinci=ng telephone calls and associated websites used by the operators of the=se scams.

ASIC has added the details of Triple A Options to its public list of &q=uot;unlicensed overseas callers and fake regulators" located at www.moneysmart.gov.au. You may access this list at the =following specific address: http://www.moneysmart.gov.au/scams/companie=s-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Triple A Option=s to be part of an international scam and that no further funds should ¾ forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC

GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Yours sincerely