**From:** 22 @asic.gov.au
**Sent on:** Wednesday, July 9, 2014 11:44:55 PM
**To:** 22 @asic.gov.au
**BCC:** 22 @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
**Subject:** URGENT - COLD CALLING SCAM: Definitive Exchange Trading System Ltd and Sinclair Capital Management Limited (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

**URGENT - COLD CALLING SCA=M: Definitive Exchange Tring System Ltd and Sinclair Capital Management Limited**

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Definitive =Exchange Trading System Ltd and under Sinclair Capital Management Limití.** After conducting further inquiries, ASIC confi=rms that Definitive Exchange Tra=ding System Ltd and Sinclair Capital Management Limited are unlicensed foreign entities that have made =unsolicited calls to Australian victims to convince them to invest in n=on-existent financial products. Victims are then directed to deposit fu=nds into an identified foreign bank account. Details of the bank accoun=ts used are listed below.

Beneficiary name: Aras Enigma Sdn Bhd<=/font>
Beneficiary address: 9-1 Jalan 15/48A =Sentul Raya Off Jalan Sentul 51000 Kuala Lumper Malaysia
Account number: 3184821800
Bank name: Public Bank Berhad
Bank address: Menara Public Bank, 146= Jalan Ampang, Kuala Lumpur 50450
BIC Code: PBBEMYKL

Beneficiary name: Terra Systems Sdn Bhd=
Beneficiary address: 10 Floor Unit 10 □ Menara TJB JLN SYED MOHD MUFTI 8000 Johor Bahru Malaysia
=Account number: 500305188
Bank name: Hong Leong Bank Berhad
Bank address: Wisma Hong Leong, Level= 3, 18 Jalan Perak, Kuala Lumpur 50450
BIC Code: HLBBMYKL

Beneficiary name: Systemax Trading
Beneficiary address: No 40 Jalan Banda=r 3 Pusat Bandar Puchong 47100 Kuala Lumpur Wilayah Persekutuan Malaysi=a
Account number: 055-00-11975-7
Bank name: Hong Leong Bank Berhad
Bank address: Wisma Hong Leong, Level= 3, 18 Jalan Perak, Kuala Lumpur 50450
BIC Code: HLBBMYKL

ASIC has formed the view that the opera=tions of Definitive Exchange Tra=ding System Ltd and Sinclair Capital Management Limited constitute a cold-calling scam. ASIC is aw=are that Australian investors have been induced to provide funds by the= convincing telephone calls and associated websites used by the operato=rs of these scams.

ASIC has added the details of De=finitive Exchange Trading System Ltd and Sinclair Capital Management Li=mited to its public list of &quo=t;unlicensed overseas callers and fake regulators" located at www.moneysmart.gov.au<=/a>. You may access this list at the fo=llowing specific address: http://www.moneysmart.gov.au/scams/companies-=you-should-not-deal-with=

**Action Required**

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Definitive Exchange Trading System Ltd and Sinc=lair Capital Management Limited =to be part of an international scam and that no further funds should be= forwarded to the associated accounts.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Regards