From: 22 @asic.gov.au
Sent on: Thursday, January 29, 2015 7:02:51 AM
To: 22 @asic.gov.au
BCC: 22 @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: Atlas Asia Investment Partners (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Atlas Asia =Investment Partners.**<=/font> After conducting further in=quiries, ASIC confirms that Atla=s Asia Investment Partners is an= unlicensed foreign entity that has made unsolicited calls to Australia=n victims to convince them to invest in non-existent financial products=. Victims are then directed to deposit funds into an identified foreign= bank account. Details of the bank account used are listed below.

Beneficiary name Green Code Ltd
Beneficiary address 15th floor, Hillier Commercial Building, 65-67 Bonham Strand East, =Sheung Wan, Hong Kong, China&nbs=p;
Account number 652 2511 82 838
Bank name HSBC Bank
Bank address 1 Queens Road, Central, H=ong Kong, China
BIC Code HSBCHKHHHKH

ASIC has formed the view that Atlas Asia Investment Partners' operations constitute a cold-calling scam=. ASIC is aware that Australian investors have been induced to provide =funds by the convincing telephone calls and associated websites used by= the operators of these scams.

ASIC has added the details of At=las Asia Investment Partners to =its public list of "unlicensed overseas callers and fake regulator=s" located at www.moneys=mart.gov.au. You may acc=ess this list at the following specific address: http://www.moneysmart.=gov.au/scams/companies-you-should-not-deal-with =

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Atlas Asia Investment Partners/Green Code Ltd <=/font>to be part of an international sc=am and that no further funds should be forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827

Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Yours sincerely

22