From: 22 r@asic.gov.au
Sent on: Wednesday, May 7, 2014 11:06:29 PM
To: 22 @asic.gov.au
BCC: 22 @aexp.com; 22 @aexp.com; 22 @anz.com; 22 @boq.com.au; 22 @bankwest.com.au; 22 @bendigoadelaide.com.au;22 @citi.com; 22 @cba.com.au; 22 @hsbc.com.au;22 @hsbc.com.au; 22 @jpmorgan.com; 22 @nab.com.au; 22 @suncorp.com.au; 22 @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: RICH FUTURES LIMITED (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: RICH FUTURES LIMITED

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Rich Future=s Limited.** After conduc=ting further inquiries, ASIC confirms that Rich Futures Limited is an u=nlicensed foreign entity that has made unsolicited calls to Australian =victims to convince them to invest in non-existent financial products. =Victims are then directed to deposit funds into an identified foreign b=ank account. Details of the bank account are listed below.

Beneficiary name: DH Corporation Limití
Beneficiary address: Units A-C 25F Sea=bright Plaza 9-23 Shell Street, North Point, Hong Kong
Account number: 848528121838
Bank name: HSBC Hong Kong
Bank address: Hong Kong Bank Building=, Suite 123, 1 Queen's Road, Central Hong Kong, Hong Kong
BIC Code: HSBCHKHHKH

ASIC has formed the view that Rich Futu=res Limited's operations constitute a cold-calling scam. ASIC is aware =that Australian investors have been induced to provide funds by the con=vincing telephone calls and associated websites used by the operators o=f these scams.

ASIC has added the details of Rich Futures Limited to its public list o=f "unlicensed overseas callers and fake regulators" located a=t www.moneysmart.gov.au. You may access this list at =the following specific address: http://www.moneysmart.gov.au/scams/comp=anies-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Rich Futures Li=mited to be part of an international scam and that no further funds sho=uld be forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827

Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Yours sincerely