From: 22 @asic.gov.au
Sent on: Friday, October 24, 2014 3:36:19 AM
To: 22 @asic.gov.au
BCC: 22 @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: EVAN THOMPSON ADVISORY (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: EVAN THOMPSON ADVISORY

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Evan Thomps=on Advisory.** After cond=ucting further inquiries, ASIC confirms that Evan Thompson Advisory is an unlicensed foreign entity that has made unsolicited calls to =Australian victims to convince them to invest in non-existent financial= products. Victims are then directed to deposit funds into identified f=oreign bank accounts. Details of the bank accounts are listed below.

Beneficiary name: **Avantgarde Consultants**
Beneficiary address: Suite 1008, Prosp=erity Millennia Plaza, 663 Kings Road, North Point, Hong KongAccount number: 7135 0600 2116
Bank name: ICBC (Asia) Limited
Bank address: 468 Hennessey Road, Cau=seway Bay, Hong Kong
BIC Code: UBHKHKHHXXX

Beneficiary name: **Beluvue Worldwide**
Beneficiary address: 15F, 80 Glouceste=r Road, Wan Chai, Hong Kong
Account number: 7195 3000 6739
Bank name: ICBC (Asia) Limited=
Bank address: G/F 436-438 Kings Road,= North Point, Hong Kong
BIC Code: UBHJHJHHXXX

ASIC has formed the view that Evan Thom=pson Advisory's operations constitute a cold-calling scam. ASIC is awar=e that Australian investors have been induced to provide funds by the c=onvincing telephone calls and associated websites used by the operators= of these scams.

ASIC has added the details of Evan Thompson Advisory to its public list= of "unlicensed overseas callers and fake regulators" located= at www.moneysmart.gov.au=. You may access this list a=t the following specific address: http://www.moneysmart.gov.au/scams/co=mpanies-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e accounts identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank accounts and contact them directly, to bring to their attention the= issues raised above and notify them that ASIC considers Evan Thompson visory to be part of an international scam and that no further funds

=should be forwarded to the associated accounts. ASIC FOI 021-2024

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Yours sincerely