From: 22 @asic.gov.au
Sent on: Tuesday, February 19, 2013 1:12:21 AM
To: 22 @asic.gov.au>
Subject: URGENT - COLD CALLING SCAM: STUART LYALL FUTURES (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: STUART LYALL FUTURES

The Australian Securities & Investments Commission (**ASIC**) hasreceived information concerning a cold calling scam operating under thename of **Stuart Lyall Futures.** After conducting further inquiries,ASIC confirms that Stuart Lyall Futures is an unlicensed foreign entitythat has made unsolicited calls to Australian victims to convince themto invest in non-existent financial products. Victims are then directedto deposit funds into an identified foreign bank account. Details of thebank account are listed below.

Beneficiary Name: Levins Limited
Beneficiary Address: Unit 2001, Mongkok C=ommercialCentre, 16 Argyle Street MONGKOK KOWLOON HONG KONG
Bank Account Number: 4070 0810 048
Account name: Levins Limited
Name of Bank: Standard Chartered Bank (Ho=ngKong)
Branch Address: 1A, YUE Man Square KWUN T=ONG KOWLOON HONG KONG
Swift Code: SCBLHKHH

Beneficiary Name: Shanghai Options Invest=mentsCo.
Beneficiary Address: Six Miles of JiadingDistrict Shanghai Center Road Lane 128, Room 1366 SHANGHAI CHI=NA
Bank Account Number: 1219 1025 2532 801
Account name: Shanghai Options InvestmentCo.
Name of Bank: China Merchants Bank
Branch Address: 19 F China Merchants Bank,No. 7088 Shennan Boulevard SHENZHEN CHINA
Swift: CMBCCNBS

ASIC has formed the view that Stuart Lyall Futures' operations constitutea cold-calling scam. ASIC is aware that Australian investors have beeninduced to provide funds by the convincing telephone calls and associatedwebsites used by the operators of these scams.

ASIC has added the details of Stuart Lyall Futures to its public list of"unlicensed overseas callers and fake regulators" located atwww.moneysmart.gov.au.You may access this list at the following specific address:

http://ww=w.moneysmart.gov.au/scams/companies-you-should-not-deal-with=

Action Required

ASIC is keen to prevent further transfers being made to these scams byAustralian investors. To prevent further clients from falling victimto these operators, ASIC recommends that your financial institutionestablish a process whereby any future fund transfer requests to theaccount identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches toidentify any clients that may have transferred funds into the above bankaccount and contact them directly, to bring to their attention the issuesraised above and notify them that ASIC considers Stuart Lyall Futures tobe part of an international scam and that no further funds should be forwarÞdto the accounts.

ASIC FOI 021-2024

In the event that your clients have further queries regarding these coldcalling scams, please direct them to contact ASIC's Infoline on 1300 300630. Alternatively, they may wish to lodge a confidential report of miscond=uctvia ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regardingthis matter, please contact me. <=br>
Regards