From: 22 @asic.gov.au
Sent on: Friday, July 5, 2013 6:17:39 AM
To: 22 @asic.gov.au>
BCC: 22 @aexp.com; 22 @anz.com; 22 @boq.com.au; 22 @boq.com.au; 22 @boq.com.au; 22 @citi.com; 22 @hsbc.com.au; 22 @jpmorgan.com; 22 @suncorp.com.au; 22 @westpac.com.au; 22 @westpac.com.au; 22 @cba.com.au; 22 @nab.com.au; 22 @bendigobank.com.au; 22 @acsl.com.au; 22 @auspost.com.au; 22 @bankwest.com.au; 22 @anz.com
Subject: URGENT - COLD CALLING SCAM: DEPARTMENT OF FOREIGN INVESTMENT CONTROL (Treat as In Confidence) [DLM=Sensitive]

Dear Sir/Madam,

I refer to the Alert issued below.=

I advise the bank account referred= to below is associated with a cold calling scam being operated by a fa=ke regulator **Department =of Foreign Investment Control.** The bank account is not associated with Madison Private Equity =LLC. The Department of Foreign Investment Control has been added to ASI=C's MoneySmart list of "unlicensed overseas callers and fake regul=ators" located at www.moneysma=rt.gov.au. <=br>
I would appreciate it if you could= please amend your records and I apologise for any inconvenience and co=nfusion this may have caused.

Please do not hesitate to contact =me if you have any questions.

Regards

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----- Forwarded =by 22 /ASIC on 05/07/2013 04:10 PM -----

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From: 22

To: 22 @asic=.gov.au>

Date: 17/06/2013 03:59 PM

Subject: URGENT - COLD CALLING SCAM: MADISO=N PRIVATE EQUITY LLC (Treat as In Confidence) [DLM=Sensitive]<=/td>

Dear Sir / Madam, =;

URGENT - COLD CALLING SCAM: MADISON PRIVATE EQUITY LLC

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operat=ing under the name of **Madis=on Private Equity LLC.**&nbs=p;After conducting further inquiries, ASIC confirms that Madison Privat=e Equity LLC is an unlicensed foreign entity that has made unsolicited Êlls to Australian victims to convince them to invest in non-existent =financial products. Victims are then directed to deposit funds into an =identified foreign bank account. Details of the bank account are listed= below.

Beneficiary Name: Bank Confidence
Beneficiary Address: 222 Broadway, 10038 New York
Bank Account Number: 6550221001
Account name: Bank Confidence
Name of Bank: Bank of America NA
Branch Address: 222 Broadway, 10038 New York
Swift Code: BOFAUS3N

ASIC has formed the view that Madison Private Equity LLC's operations c=onstitute a cold-calling scam. ASIC is aware that Australian investors =have been induced to provide funds by the convincing telephone calls an=d associated websites used by the operators of these scams.

ASIC has added the details of Madison Private Equity LLC to its public =list of "unlicensed overseas callers and fake regulators" loc=ated at www.moneysmart.gov.au. You may access this list at t=he following specific address:

http://www.moneysmart.gov.au/scams/companies-you-should-not-deal-=with

Action Required

ASIC is keen to prevent further transfers being made to these scams by =Australian investors. To prevent further clients from falling vic=tim to these operators, ASIC recommends that your financial institution= establish a process whereby any future fund tra=nsfer requests to the account identified above are immediately detected= and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Madison Private= Equity LLC to be part of an international scam and that no further fun=ds should be forwarded to the accounts.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001 =;

Should you have any queries or would like further information regarding= this matter, please contact me.

Regards

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