From: 22 @asic.gov.au
Sent on: Wednesday, July 9, 2014 6:41:23 AM
To: 22
BCC: 22 @aexp.com; 22 @aexp.com; 22 @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: Stellar Worldwide LLC (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: Stellar Worldwide LLC

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Stellar Wor=ldwide LLC**. After conducting further inquiries, ASIC co=nfirms that Stellar Worldwide LL=C is an unlicensed foreign =entity that has made unsolicited calls to Australian victims to convinc=e them to invest in non-existent financial products. Victims are then d=irected to deposit funds into an identified foreign bank account. Detai=ls of the bank account are listed below.

Beneficiary name: Berkshire Capital Li=mited
Beneficiary address: Unit 13B Hang Lun=g House, 184-192 Queen's Road, Central, Hong Kong
Account number: 641-001-655-838
Bank name: HSBC
Bank address: 1 Queens Road, Central,= Hong Kong
BIC Code: HSBCHKHHHKH

ASIC has formed the view that Stellar W=orldwide LLC's operations constitute a cold-calling scam. ASIC is aware= that Australian investors have been induced to provide funds by the co=nvincing telephone calls and associated websites used by the operators =of these scams.

ASIC has added the details of Stellar Worldwide LLC to its public list =of "unlicensed overseas callers and fake regulators" located =at www.moneysmart.gov.au<=/font>. You may access this list at= the following specific address: http://www.moneysmart.gov.au/scams/com=panies-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Stellar WorldwiÞ LLC (Berkshire Capital Limited) to be part of an international scam =and that no further funds should be forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827

Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Yours sincerely

22