**From:** 22 @asic.gov.au
**To:** 22 @asic.gov.au
**Bcc:** @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
**Subject:** URGENT - COLD CALLING SCAM: Option Financial Markets (Treat as In Confidence) [DLM=Sensitive]
**Date:** Wednesday, 10 June 2015 4:55:07 PM

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Dear Sir / Madam,

## URGENT - COLD CALLING SCAM: Option Financial Markets

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =nder the name of **Option Fina=cial Markets.** After co=ducting further inquiries, ASIC confirms that Option Financial Markets is an unlicensed foreign entity that has made unsolicited calls=to Australian victims to convince them to invest in non-existent finan=ial products. Victims are then directed to deposit funds into an ident=fied foreign bank account. Details of the bank account are listed belo=.

Beneficiary name: B.O. Technologies Limited
Beneficiary address: 7 Sveta Nedelya S=uare, Sofia, Bulgaria
Account number: BG18UNCR70001521628561=br> Bank name: Unicredit Bulb=nk AD
Bank address: 7 Sveta Nedelya Square,=Sofia, Bulgaria
BIC Code: UNCRBGSF

ASIC has formed the view that Option Financial Markets' operations constitute a cold-calling scam. ASIC=is aware that Australian investors have been induced to provide funds =y the convincing telephone calls and associated websites used by the o=erators of these scams.

ASIC has added the details of Op=ion Financial Markets to its pu=lic list of "unlicensed overseas callers and fake regulators"= located at www.moneysmart.g=v.au. You may access th=s list at the following specific address: http://www.moneysmart.gov.au=scams/companies-you-should-not-deal-with

**Action Required**

ASIC is seeking to prevent further transfers being made to these scams =y Australian investors. To prevent further clients from falling =ictim to these operators, ASIC recommends that your financial institut=on establish a process whereby any future fund transfer requests to th= account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t= identify any clients that may have transferred funds into the above b=nk account and contact them directly, to bring to their attention the =ssues raised above and notify them that ASIC considers Option Financial Markets and B.O. Technologies =imited to be part of an interna=ional scam and that no further funds should be forwarded to the accoun=.

In the event that your clients have further queries regarding these col= calling scams, please direct them to contact ASIC's Infoline on 1300 =00 630. Alternatively, they may wish to lodge a confidential report of=misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827

Brisbane QLD 4001

Should you have any queries or would like further information regarding=this matter, please contact me.

Yours sincerely