From: 22 @asic.gov.au
Sent on: Friday, November 15, 2013 6:04:14 AM
To: 22 @asic.gov.au>
BCC: 22 @aexp.com;22 @aexp.com; 22 @anz.com; 22 @boq.com.au; 22 @bankwest.com.au; 22 @bendigoadelaide.com.au; 22 @citi.com; 22 @cba.com.au; 22 @hsbc.com.au; 22 @hsbc.com.au; 22 @jpmorgan.com; 22 @nab.com.au; 22 @suncorp.com.au; 22 @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: IMPERIAL ACQUISITIONS (Treat as In Confidence) [DLM=Sensitive]
Attachments: 02222664.gif (5.35 KB), 02606085.jpg (7.7 KB)

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: IMPERIAL ACQUISITIONS

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Imperial Ac=quisitions.** After condu=cting further inquiries, ASIC confirms that Imperial Acquisitions is an= unlicensed foreign entity that has made unsolicited calls to Australia=n victims to convince them to invest in non-existent financial products=. Victims are then directed to deposit funds into an identified foreign= bank account. Details of the bank account are listed below.

Beneficiary: Fiduciary Trust Services I=nternato
Account number: 383010708792&nbs=p;
Bank: Bank of America, N.A., New York, USA

ASIC has formed the view that Imperi=al Acquisitions' operations constitute a cold-calling scam. ASIC is awa=re that Australian investors have been induced to provide funds by the =convincing telephone calls and associated websites used by the operator=s of these scams.

ASIC has added the details of Imperial Acquisitions to its public list =of "unlicensed overseas callers and fake regulators" located =at www.moneysmart.gov.au<=/font>. You may access this list at= the following specific address: http://www.moneysmart.gov.au/scams/com=panies-you-should-not-deal-with

Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Imperial Acquis=itions to be part of an international scam and that no further funds sh=ould be forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

Should you have any queries or would like further information regarding= this matter, please contact me.

Regards

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