From: 22 @asic.gov.au
Sent on: Wednesday, June 10, 2015 6:54:27 AM
To: 22 @asic.gov.au
BCC: 22 @aexp.com; @aexp.com; @anz.com; @boq.com.au; @bankwest.com.au; @bendigoadelaide.com.au; @citi.com; @cba.com.au; @hsbc.com.au; @hsbc.com.au; @jpmorgan.com; @nab.com.au; @suncorp.com.au; @westpac.com.au
Subject: URGENT - COLD CALLING SCAM: Sure Mergers and Acquisitions (Treat as In Confidence) [DLM=Sensitive]

Dear Sir / Madam,

URGENT - COLD CALLING SCAM: Sure Mergers and Acquisitions

The Australian Securities & Investments Commission (**ASIC**) has received information concerning a cold calling scam operating =under the name of **Sure Merger=s and Acquisitions.** After conducting further inq=uiries, ASIC confirms that Sure =Mergers and Acquisitions is an u=nlicensed foreign entity that has made unsolicited calls to Australian =victims to convince them to invest in non-existent financial products. =Victims are then directed to deposit funds into an identified foreign b=ank account. Details of the bank account are listed below.

Beneficiary name: Locksheath Inte=rnational Pte Ltd
Beneficiary address: 10 Anson Roa=d #30-11, International Plaza, Singapore 079903
Account number: 503-256604-301Bank name: OCBC Bank
Bank address: 63 Chulia Street, #□-01 OCBC Center East Singapore 049514
BIC Code: OCBCSGSG

ASIC has formed the view that Sure Mergers and Acquisitions' operations constitute a cold-calling scam.= ASIC is aware that Australian investors have been induced to provide f=unds by the convincing telephone calls and associated websites used by =the operators of these scams.

ASIC has added the details of Su=re Mergers and Acquisitions to i=ts public list of "unlicensed overseas callers and fake regulators=" located at www.moneysm=art.gov.au. You may acce=ss this list at the following specific address: http://www.moneysmart.g=ov.au/scams/companies-you-should-not-deal-with **<=br>**
Action Required

ASIC is seeking to prevent further transfers being made to these scams =by Australian investors. To prevent further clients from falling =victim to these operators, ASIC recommends that your financial institut=ion establish a process whereby any future fund transfer requests to th=e account identified above are immediately detected and blocked.

Further, and importantly, you may wish to undertake internal searches t=o identify any clients that may have transferred funds into the above b=ank account and contact them directly, to bring to their attention the =issues raised above and notify them that ASIC considers Sure Mergers and Acquisitions and Locksheath International Pte Ltd<=font size="2" face="Arial"> to be part of an international scam and that no further funds shou=ld be forwarded to the account.

In the event that your clients have further queries regarding these col=d calling scams, please direct them to contact ASIC's Infoline on 1300 00 630. Alternatively, they may wish to lodge a confidential report of= misconduct via ASIC's website or in writing to:

Misconduct & Breach Reporting
ASIC
GPO Box 9827
Brisbane QLD 4001

ASIC FOI 021-2024

Should you have any queries or would like further information regarding this matter, please contact me.

Yours sincerely