

Financial Services and Credit Panel

In the matter of [REDACTED]

Reprimand under s921T(1)(b) of the Corporations Act 2001

To: [REDACTED]

Representative number [REDACTED]

A Financial Services and Credit Panel convened by the Australian Securities and Investments Commission on 14 May 2025 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of relevant provider [REDACTED] (the **Sitting Panel**) reasonably believes that Mr [REDACTED] has contravened the following financial services laws under the *Corporations Act 2001* (**Corporations Act**):

(a) s921BA(4) of the Corporations Act; and

(b) 921E(3) of the Corporations Act.

in relation to a failure to complete CPD as required for the CPD year ending 30 June 2024.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel gives Mr [REDACTED] a written reprimand.

Signed:

Signed:



Phillip Mines, Panel Chair
8 October 2025