





Commonwealth of Australia Gazette

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# **ASIC Gazette**

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#### **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: INTL FCSTONE Pty Ltd
ACN 066 580 694 ("the Licensee")
Suite 7.03 Level 7
25 Bligh Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237755 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

.....

Dated 3 May 2021

Signed

George Podaras

George Podaras

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Christopher John Ryan ABN 87 323 870 664 ("the Licensee") 1/136 Marine Parade Miami QLD 4220

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 269889 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

.....

Dated 3 May 2021

Signed

George Podaras

George Podaras

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: 888 Associates Pty Ltd ACN 150 980 171 ("the Licensee") Unit 2 43 Vanessa Boulevard Springwood QLD 4127

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489119 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 April 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: PAP AFSL Licensing Pty Ltd ACN 611 707 834 ("the Licensee") PO Box 73 Myrtleford VIC 3736

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487762 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

29 April 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: SKS Accounting & Business Pty Ltd ACN 140 061 963 ("the Licensee") PO Box 2143 Felixstow SA 5070

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486064 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: D'Monte & Associates Pty. Ltd. ACN 127 338 505 ("the Licensee") Unit 3 13 Paine Road MORLEY WA 6062

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484790 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 April 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: P. J. Privitelli & Associates Pty. Ltd. ACN 007 045 007 ("the Licensee") Unit 2, Level 1 268 Settlement Road THOMASTOWN VIC 3074

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 227617 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 April 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: MT Consulting (NSW) Pty. Ltd.
ACN 078 486 316 ("the Licensee")
Suite 211
12 Century Circuit
BAULKHAM HILLS NSW 2153

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 477002 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3

30 April 2021

Signed

Margaret Stone

Notices under Corporations Act 2001

21-0333

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration

#### Enabling legislation

The Australian Securities and Investments Commission (ASIC) makes this
instrument under paragraph 655A(1)(b) of the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC Instrument 21-0333.

#### Commencement

3. This instrument commences on the day it is signed.

#### Declaration

- Chapter 6 of the Act applies to Respiri Limited ACN 009 234 173 (the Bidder) as if Parts 6.4 and 6.6 were modified or varied as follows:
  - (a) after subsection 617(2), insert:
    - "(2A) The bid may also extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to the issue of New Securities by the Target during the offer period."; and
  - (b) after paragraph 636(1)(j), insert:
    - "(ja) if the bid is to extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to the Target's issue of New Securities during the bid period (see subsection 617(2A)) – a statement to that effect;".

#### Where this instrument applies

- This declaration applies to an offer by the Bidder under an off-market takeover bid for all of the ordinary shares in the Target, where:
  - the Bidder has lodged a bidder's statement with ASIC dated 29 April 2021 that includes a statement that the Bid extends to the New Securities, subject to ASIC relief being granted;

- the Bidder does not rely on the relief provided by this instrument other than to extend the offer under the Bid to the New Securities; and
- (c) the Bid is not subject to a defeating condition that will not be satisfied merely because securities come to be in the bid class as a result of the issue of the New Securities by the Target during the offer period.

#### Interpretation

- 6. In this instrument:
  - (a) Bid means an off-market takeover bid for all of the ordinary shares in Adherium Limited ACN 605 352 510 (the Target) announced on 29 April 2021 and pursuant to a bidder's statement dated and lodged with ASIC on the same date.
  - (b) New Securities means the following:
    - up to 1,276,238,098 fully paid ordinary shares in the capital of the Target;
    - up to 181,239,418 fully paid ordinary shares in the capital of the Target as a result of the exercise of up to 181,239,418 options granted by the Target,
  - (e) the issue of such ordinary shares in subparagraph (6)(b)(i) and the granting of options in subparagraph (6)(b)(ii) being subject to the approval of the members of the Target having been obtained prior to the end of the offer period and in accordance with the notice of meeting first announced by the Target on the ASX on 31 March 2021.

Dated this 6th day of May 2021

Signed by Baldev Dillon

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Westcourt Pty Ltd ACN 137 818 850 ("the Licensee") PO Box 3136 East Perth WA 6832

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483921 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Alea Actuarial Consulting Pty Ltd ACN 107 302 803 ("the Licensee") Suite 1002 50 Clarence Street Sydney NSW 2000

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 300184 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Vanzwan Accounting Plus Pty Ltd ACN 008 647 078 ("the Licensee") PO Box 330 Belconnen ACT 2616

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488274 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Siu Ling Wong
ABN 20 911 337 762 ("the Licensee")
Suite A305 Sky City
20 Lexington Drive
BELLA VISTA NSW 2153

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488336 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

Margaret Stone

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Allan Downs Pty Ltd
ACN 008 862 762 ("the Licensee")
Suite 11, First Floor
The Atrium
123 Collins Street
WEST PERTH WA 6005

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 235663 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 May 2021

Signed

Margaret Stone

#### NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 29 April 2021.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

#### NOTICE OF CANCELLATION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

#### To: Robert Bayntun Starky

Under section 915C[1] of the Corporations Act 2001, the Australian Securities and Investments Commission cancels Australian Financial Services Licence number 300423 held by Robert Bayntun Starky

Dated this 29th day of April 2021.

Signed:

Melanie Baxter

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s911A(1) of the Corporations Act 2001 which provides that subject to this section, a person who carries on a financial services business in this jurisdiction must hold an Australian financial services licence covering the provision of the financial services and that a failure to comply with this subsection is an offence (see s1311(1) of the Corporations Act 2001).

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 29 April 2021

#### **AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**

#### IN THE MATTER OF BRADLEY WAYNE SMITH

#### SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Bradley Wayne Smith

#### ORDER UNDER SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

**TAKE NOTICE** that, under s920A(1)(e) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Bradley Wayne Smith for a period of 18 months from providing any financial services.

Dated this 22nd day of April 2021

Signed: Melanie Baxter

Delegate of the Australian Securities

WelsnieBaxler

and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a barrning order in the terms set out below, which order took effect on 1 May 2021.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

#### IN THE MATTER OF CHRISTOPHER NADIR BETALLI

#### SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Christopher Nadir Betalli

# ORDER UNDER SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

TAKE NOTICE that, under s920A(1)(da), s920A(1)(e), s920A(1)(f) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Christopher Nadir Betalli for a period of two years from providing any financial services.

Dated this 22nd day of April 2021

Signed: Graeme Darcy Plath

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or text.)

# $\label{eq:Australian Securities and Investments Commission} \\ Corporations Act 2001 - Subsections 601QA(1), 741(1), 926A(2), \\ 992B(1) and 1020F(1) - Exemptions \\ \\$

#### Enabling legislation

The Australian Securities and Investments Commission (ASIC) makes this
instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of
the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC Instrument 21-0357.

#### Commencement

3. This instrument commences on the date it is signed.

#### Nature of this instrument

 This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

#### Disclosure relief

Offers made under an employee incentive scheme

 The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

- 6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - (a) the product was issued or otherwise granted:
    - to an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

- 7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
    - an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

#### Licensing, hawking and other incidental relief

#### General advice

8. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

#### Dealing

- 9. The Company or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
  - (a) issuing the eligible product;
  - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
    - (i) through a financial services licensee; or
    - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
  - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

#### Custodial or depository services

- 10. The Company or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
  - a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;
  - dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

#### Hawking

The Company or a related body corporate that makes an offer of an eligible product
to an eligible participant in the course of, or because of, an unsolicited meeting or
telephone call held or made in connection with an employee incentive scheme
covered by this instrument does not have to comply with section 736, 992A or
992AA of the Act.

#### Adverti sing

12. The Company or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

#### Incidental managed investment scheme

13. The Company or a related body corporate that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

#### Conditions

#### Notice of reliance

The Company or a related body corporate making an offer in connection with a
particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to

operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

#### Disclosure

 The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

Offers must be conditional on quotation

- 16A. The Company or a related body corporate that makes an offer under an employee incentive scheme in reliance on this instrument must ensure that any offers to eligible participants are:
  - (a) made on or after the day the Prospectus has been lodged with ASIC and on or before the Allotment Date; and
  - (b) conditional on the quotation of the Company's shares on the eligible financial market.

Offers of overlying eligible products

17. If the Company, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, the Company or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 17 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

#### 5% issue limit

18. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not

exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
  - an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
  - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

#### Trusts

- 19. The Company or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
  - (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of the Company or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
  - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.

- (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
- (d) if the trustee is the Company or an associate of the Company —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and

(e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company or a related body corporate in relation to which offers were covered by this instrument, ASIC Class Order [CO 14/1000] or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

#### Contribution plans

- 20. The Company or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:
  - (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
  - (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;
    - Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.
  - (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of the Company or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of the Company or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;
  - (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:
    - exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and

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21-0357

- receive income deriving from the underlying eligible products, including dividends and distributions;
- Note: The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from the Company or a related body corporate to the eligible participant in connection with the employee incentive scheme.
- (e) unless the eligible participant (or a person covered by one of the subsubparagraphs in subparagraph 23(3)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to the Company or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

#### Loans

- 21. The Company or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from the Company or a related body corporate to an eligible participant to acquire the product:
  - (a) must ensure that the loan is not provided to acquire options or incentive rights;
     and
  - (b) must ensure that under the terms of the loan:
    - (i) no fees or interest is payable; and
    - (ii) either:
      - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
      - (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible

products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

#### ASIC power to request documents

22. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

#### Interpretation

- 23. In this instrument:
  - (1) able to be traded has the meaning given by section 761A of the Act;

Allotment Date means the date of allotment and transfer of shares in the Company to successful applicants in connection with the initial public offer and listing of the Company on ASX in accordance with the Prospectus;

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

casual employee, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Trajan Group Holdings Limited ACN 152 617 706;

contractor, in relation to the Company or a related body corporate, means:

- an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- a monetary contribution as consideration for an issue, transfer or grant
  of an eligible product to the eligible participant without undue delay
  (including a monetary contribution made to exercise an eligible product
  or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

eligible financial market means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market:

eligible participant, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

eligible product, in relation to the Company, means a financial product specified in column 2 of Table A;

employee incentive scheme means an arrangement under which eligible products of the Company are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
  - the price or value at a given time of the underlying eligible product to which the right relates;
  - (ii) a change in the price or value over a given period of the

underlying eligible product to which the right relates;

- (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

**nominal monetary consideration** means monetary consideration of a token or trivial amount;

**notice of reliance**, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

(a) prominent statements to the effect that:

- any advice given by the Company in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
- eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- the Company will provide a hard copy of the Prospectus to eligible participants on request (and how eligible participants can access an electronic version of the Prospectus); and
- (iv) the offer is conditional on quotation of the Company's shares on the eligible financial market;
- (b) either:
  - a copy of the terms of the employee incentive scheme; or
  - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;
- general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (d) if a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme and those eligible participants will have the right to:
  - exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
  - receive income deriving from the underlying eligible products, including dividends or distributions,

then either — a copy of the trust deed or a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the trust deed;

- (e) if the employee incentive scheme involves a contribution plan—either:
  - a copy of the contribution plan; or
  - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the contribution plan;
- if the employee incentive scheme involves a loan from the Company or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
- (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars following quotation of the Company's shares on the eligible financial market;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 2 of Table A;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 3 of Table A;

Prospectus means the disclosure document lodged by the Company under s718 of the Act for an initial public offer and listing on ASX;

related body corporate has the meaning given in section 50 of the Act;

stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

*trustee* means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 2 of Table A;

- (2) if the Company is a registered scheme:
  - the conferral of relief on the Company is taken to be the conferral of relief on the responsible entity of the scheme;
  - (ii) a requirement imposed on the Company is taken to be a requirement imposed on the responsible entity of the scheme;
  - (iii) a reference to occupying a position or role with the Company is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (3) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
  - (i) an immediate family member of the eligible participant;
  - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
  - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the Superannuation Industry (Supervision) Act 1993) where the eligible participant is a director of the trustee;
- (4) an employee incentive scheme, employee share scheme, or like scheme, is covered by an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (5) unless specified to the contrary, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 6th day of May 2021

signed by Terence Kouts

#### Table A

Column 1 Column 2 Column 3						
Eligible financial	Eligible product					
_	Engible product	Eligible participant				
market						
(a) ASX (also known as the Australian Securities Exchange);	(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;	(a) a full-time or part- time employee (including an executive director);				
(b) an approved foreign market (see section 9 of the Act).	(b) a beneficial interest in a fully paid share of the Company where the interest is in a class of interests that	(b) a non-executive director;				
Note: the definition of approved foreign market is	is able to be traded on an eligible financial market;	(c) a contractor;				
notionally inserted by ASIC Corporations (Definition of	(c) a fully paid share of the Company in	(d) a casual employee;				
Approved Foreign Market) Instrument 2017/669.	relation to which both of the following apply:	(e) a prospective participant.				
	(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;					
	(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;					
	(d) a beneficial interest in a fully paid share of the Company in relation to which both of the following apply:					
	<ul> <li>(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;</li> </ul>					
	(ii) the beneficial interest is convertible into the share without charge or for a nominal fee;					
	(e) a fully paid stapled security of the Company that is in a class of stapled securities that is able to be traded on ASX;					
	(f) where the Company is a registered scheme—an interest in the Company					

Column 1	Column 2	Column 3
Eligible financial	Eligible product	Eligible participant
market		
	that is in a class of interests that is able to be traded on ASX;	
	(g) a unit in a financial product mentioned in paragraphs (a) to (f);	
	(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);	
	an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f).	

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21-0358

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration

#### Enabling legislation

The Australian Securities and Investments Commission (ASIC) makes this
instrument under paragraphs 655A(1)(b) and 673(1)(b) of the Corporations Act
2001 (Act).

#### Title

This instrument is ASIC Instrument 21-0358.

#### Commencement

This instrument commences on the date it is signed.

#### Declarations

4. Chapters 6 and 6C of the Act applies to the Company as if paragraph 609(13C)(b)(iv)(A) of the Act (as notionally inserted by [CO 13/520]) were modified or varied by deleting the words "the second anniversary of the date of entry into the escrow agreement" and substituting the words "30 September 2023".

#### Where this instrument applies

The modification in paragraph 4 of this instrument applies where the escrow agreement entered into by the Company with the Security Holder is substantially in the same form as the draft agreement provided to ASIC on 16 March 2021.

#### Interpretation

6. In this instrument:

[CO 13/520] means ASIC Class Order [CO 13/520];

Company means Trajan Group Holdings Limited ACN 152 617 706; and

Security Holder means Tomisich Family Pty Ltd ACN 165 534 896 as trustee of the Tomisich Family Trust ABN 38 358 008 332.

Dated this 6th day of May 2021

Signed by Terence Kouts as a delegate of the Australian Securities and Investments Commission

## Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 655A(1)(b) – Variation

# **Enabling legislation**

The Australian Securities and Investments Commission (ASIC) makes this
instrument under paragraph 655A(1)(b) of the Corporations Act 2001 (the Act).

## Title

This instrument is ASIC Instrument 21-0359.

#### Commencement

This instrument commences on the date it is signed.

#### Variation

 ASIC Instrument 21-0106 dated 5 February 2021, as amended by ASIC Instrument 21-0212 dated 23 March 2021, is further varied by, in the definition of "Scheme", omitting the words "1.08" and replacing with "1.26".

Dated this 4th day of May 2021

Signed by Teegan Winters

as a delegate of the Australian Securities and Investments Commission

## Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) - Declaration

## **Enabling legislation**

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the Corporations Act 2001 (Act).

#### Title

This instrument is ASIC Instrument 21-0371.

#### Commencement

This instrument commences on the date it is signed.

## Declaration

- 4. Chapter 6D of the Act applies to a person who holds shares in the Company as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:
  - "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
    - (a) without disclosure to investors under this Part; and
    - (b) with the purpose of the person whom they were issued:
      - (i) selling or transferring them; or
      - granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise."

# Where this instrument applies

- This instrument applies where a person who holds securities in the Company makes an offer of those securities for sale (Sale Securities) where:
  - (a) the sale offer occurs within 12 months of the issue of the Sale Securities;
  - (b) the Sale Securities were issued by the Company without disclosure under Chapter 6D of the Act in consideration for partial repayment of the Loan after

the date of the Prospectus and before the allotment of new shares under the IPO: and

(c) a Prospectus was lodged with ASIC on or around 7 May 2021 by the Company in relation to the IPO of shares that are in the same class as the Sale Securities.

## Interpretation

In this instrument:

Company means Pepper Money Limited ACN 094 317 665;

IPO means the initial public offer of fully paid ordinary shares in the Company;

Lender means Pepper Group ANZ HoldCo Limited (a company incorporated under the laws of England and Wales, with registered company 6548576);

Loan means the shareholder loan in the form of a promissory note outstanding between the Company and the Lender, which was entered into and endorsed in favour of the Lender on 31 March 2021 and accrues interest at a rate of 8% per annum from 31 March 2021; and

Prospectus means the prospectus issued by the Company to undertake the IPO.

Dated this 6th day of May 2021

Signed by Gloria Yip

as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 — Subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) — Exemptions

## Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC Instrument 21-0379.

#### Commencement

3. This instrument commences on the date it is signed.

#### Nature of this instrument

 This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

#### Disclosure relief

Offers made under an employee incentive scheme

 The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

- 5. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - (a) the product was issued or otherwise granted:
    - (i) to an eligible participant under an employee incentive scheme; or

- (ii) to a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the Plan is not covered by this instrument.
- 6. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
    - (i) an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

#### Advisers

7. A financial services licensee or an authorised representative of a financial services licensee who gives financial product advice to an eligible participant that consists of, or includes, a recommendation to acquire an eligible product in connection with an employee incentive scheme, does not have to comply with section 1012A of the Act in relation to the giving of such advice, provided the person giving the advice has no reason to believe the employee incentive scheme is not covered by this instrument.

## Licensing, hawking and other incidental relief

## General advice

8. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument, and in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

## Dealing

9. The Company or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:

- (a) issuing the eligible product;
- (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
  - (i) through a financial services licensee; or
  - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
- (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

## Custodial or depository services

- 10. The Company or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
  - a custodial or depositary service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;
  - (b) dealing in the eligible product in the course of providing a custodial or depositary service covered by paragraph (a).

#### Hawking

11. The Company or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 736, 992A or 992AA of the Act.

# Advertising

12. The Company, a related body corporate or a trustee that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Incidental managed investment scheme

13. The Company, a related body corporate or a trustee that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

#### Conditions

Disclosure

 The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

Offers must be made after lodgement of the Prospectus

- 14A. The Company or a related body corporate that makes an offer under an employee incentive scheme in reliance on this instrument must ensure that any offers to eligible participants are:
  - made on or after the day the Prospectus has been lodged with ASIC and on or before the Allotment Date; and
  - (b) conditional on the quotation of the Company's shares on the eligible financial market.

Offers of overlying eligible products

15. The Company, a related body corporate or a trustee that makes an offer of an overlying eligible product under an employee incentive scheme must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

5% issue limit

16. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
  - the employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
  - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

Trusts

- 17. The Company or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
  - the activities of the trustee of the trust in that capacity are limited to the employee incentive schemes of the Company or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
  - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
  - (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
  - (d) if the trustee is the Company or an associate of the Company the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
  - (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company or a related body corporate in relation to which offers were covered by this

instrument, ASIC Class Order [CO 14/1000] or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

#### Contribution plans

- 18. The Company or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:
  - (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
  - (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;
  - (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of the Company or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of the Company or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;
  - (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:
    - exercise, or to direct the trustee of the underlying eligible products to exercise of their behalf, any voting rights attaching to the underlying eligible products; and
    - receive income deriving from the underlying eligible products, including dividends and distributions;
  - (e) unless the eligible participant (or a person covered by one of the subsubparagraphs in subparagraph 21(c)) has already acquired the eligible products under the employee incentive scheme – must ensure that an eligible participant may, by giving a notice to the Company or a related body corporate, discontinue

- their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

#### Loans

- 19. The Company or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from the Company or a related body corporate to an eligible participant to acquire the product:
  - (a) must ensure that the loan is not provided to acquire options or incentive rights;
     and
  - (b) must ensure that under the terms of the loan:
    - (i) no fees or interest is payable; and
    - (ii) either:
      - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
      - (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

## ASIC power to request documents

20. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

## Interpretation

#### 21. In this instrument:

able to be traded has the meaning given by section 761A of the Act;

Allotment Date means the date of allotment of shares of the Company to successful applicants in connection with the initial public offer and listing of the Company on the ASX in accordance with the Prospectus;

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

ASX means the financial market operated by ASX Limited ACN 008 624 691;

casual employee, in relation to Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Pepper Money Limited ACN 094 317 665;

contractor, in relation to the Company or a related body corporate, means:

- an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) non-monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a

monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary; or
- (c) other monies;

eligible financial market means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

eligible product, in relation to the Company, means a financial product specified in column 2 of Table A;

employee incentive scheme means the arrangement under which eligible products of the Company are offered to eligible participants under the Plan;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
  - the price or value at a given time of the underlying eligible product to which the right relates;
  - a change in the price or value over a given period of the underlying eligible product to which the right relates;

- (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

nominal monetary consideration means monetary consideration of a token or trivial amount;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product,

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made;

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
  - any advice given by the Company in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs; and

- eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
  - if the offer is made electronically an electronic version of the Prospectus; or
  - (ii) if the offer is made by a hard copy invitation a hard copy of the Prospectus;
- (c) either:
  - (i) a copy of the terms of the employee incentive scheme; or
  - a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;
- (d) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (e) if:
  - a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme; and
  - (ii) those eligible participants will have the right to:
    - (A) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
    - receive income deriving from the underlying eligible products, including dividends or distributions;

either:

(iii) a copy of the trust deed; or

- (iv) a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the trust deed;
- (f) if the employee incentive scheme involves a contribution plan either:
  - (i) a copy of the contribution plan; or
  - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the contribution plan;
- if the employee incentive scheme involves a loan from the Company or a related body corporate to an eligible participant to acquire the product – a copy of the terms of the loan;
- (h) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
- an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars following quotation of the Company's shares on the eligible financial market;

overlying eligible product means an eligible product specified in paragraphs (g) to (i) in column 2 of Table A;

Plan means the Company's Tax Exempt Share Plan, the terms of which are in substantially the same form as provided to ASIC on 16 April 2021;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 3 of Table A;

Prospectus means the disclosure document lodged by the Company under section 718 of the Act for an initial public offer and admission of the Company onto the

official list of the ASX, on or around 7 May 2021;

related body corporate has the meaning given in section 50 of the Act;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 2 of Table A.

- (2) if the Company is a registered scheme:
  - the conferral of relief on the Company is taken to be the conferral of relief on the responsible entity of the scheme;
  - (ii) a requirement imposed on the Company is taken to be a requirement imposed on the responsible entity of the scheme;
  - (iii) a reference to occupying a position or role with the Company is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (3) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-paragraphs is to be treated as an offer of eligible products to the eligible participant:
  - an immediate family member of the eligible participant;
  - a company whose members comprise no persons other than the eligible participant or immediate family members of the eligible participant;
  - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the Superannuation Industry (Supervision) Act 1993) where the eligible participant is a director of the trustee;
- (4) an employee incentive scheme, employee share scheme, or like scheme, is covered by an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;

(5) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 6th day of May 2021

Signed by Gloria Yip

as a delegate of the Australian Securities and Investments Commission

# Table A

Market		
<ul> <li>(a) ASX (also known as the Australian Securities Exchange);</li> <li>(b) an approved foreign market (see section 9 of the Act).</li> <li>Note: the definition of approved foreign market is notionally inserted by ASIC Corporations (Definition of Approved Foreign Market) Instrument 2017/669.</li> </ul>	<ul> <li>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</li> <li>(b) a beneficial interest in a fully paid share of the Company where the interest is in a class of interests that is able to be traded on an eligible financial market;</li> <li>(c) a fully paid share of the Company in relation to which both of the following apply: <ol> <li>(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;</li> <li>(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;</li> <li>(d) a beneficial interest in a fully paid share of the Company in relation to which both of the following apply: <ol> <li>(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;</li> <li>(ii) the beneficial interest is convertible into the</li> </ol> </li> </ol></li></ul>	<ul> <li>(a) a full-time or part-time employee (including an executive director);</li> <li>(b) a non-executive director;</li> <li>(c) a contractor;</li> <li>(d) a casual employee;</li> <li>(e) a prospective participant.</li> </ul>

share without charge or for a nominal fee;
(e) a fully paid stapled security of the Company that is in a class of stapled securities that is able to be traded on ASX;
(f) where the Company is a registered scheme – an interest in the Company that is in a class of interests that is able to be traded on ASX;
(g) a unit in a financial product mentioned in paragraphs (a) to (f);
(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);
(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f).

## Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 741(1)(b) – Declarations

#### Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the Corporations Act 2001 (the Act).

## Title

This instrument is ASIC Instrument 21-0383.

#### Commencement

This instrument commences on the date it is signed.

#### Declarations

 Chapter 6D of the Act applies to Piedmont US as if the definition of "continuously quoted securities" in section 9 of the Act were omitted and replaced with the following:

## "continuously quoted securities are securities:

- (a) that are in a class of securities that are quoted ED securities where:
  - securities in the class were first issued following the implementation of a Part 5.1 arrangement between a company (the *subsidiary*) and its members under which the subsidiary became a wholly-owned subsidiary of the issuer (the *issuer*); and
  - securities in the class were not quoted ED securities at all times in the 3 months before the date of the prospectus or Product Disclosure Statement (as applicable); and
  - (iii) the subsidiary's securities transferred to the issuer pursuant to the Part 5.1 arrangement referred in subparagraph (a)(i) were in a class of securities that were quoted ED securities at all times in the 3 months before implementation of the Part 5.1 arrangement; and
- in relation to which the following subparagraphs are satisfied by both the issuer and the subsidiary:
  - no exemption under section 111AS or 111AT, or modification under section 111AV, covered the issuer or subsidiary, or any person as director or auditor of the issuer or subsidiary; and
  - (ii) no exemption under paragraph 741(1)(a), or declaration under paragraph 741(1)(b), relating to a provision that is a disclosing entity provision for the purposes of Division 4 of Part 1.2A covered the issuer or subsidiary, or any person as director or auditor of the issuer or subsidiary; and

 other than a technical relief instrument, no order under section 340 or 341 covered the issuer or subsidiary, or any person as director or auditor of the issuer or subsidiary;

during the following periods:

- (iv) in the case of the issuer the shorter of the period during which the class of securities were quoted, and the period of 12 months before the date of the prospectus or Product Disclosure Statement (as applicable); or
- in the case of the subsidiary the period of 12 months before the date of implementation of the Part 5.1 arrangement referred to in subparagraph (a)(i);

and, for these purposes, securities are not in different classes merely because of a temporary difference in the dividend, or distribution rights, attaching to the securities or because different amounts have been paid up on the securities."

 Chapter 6D applies to Piedmont US and each holder of Piedmont US Shares (or CHESS depository interests over such shares) as if subsection 708A(5) of the Act, as modified by ASIC Corporations (Sale Offers By Controllers) Instrument 2016/81, were omitted, and replaced with the following:

"The sale offer does not need disclosure to investors under this Part if:

- the relevant securities are in a class of securities that are quoted securities where:
  - securities in the class were first issued following the implementation of a Part 5.1 arrangement between a company (the *subsidiary*) and its members under which the subsidiary became a wholly-owned subsidiary of the body; and
  - securities in the class were not quoted securities at all times in the 3 months before the day on which the relevant securities were issued; and
  - (iii) the subsidiary's securities transferred to the body pursuant to the Part 5.1 arrangement referred in subparagraph (a)(i) were in a class of securities that were quoted securities at all times in the 3 months before implementation of the Part 5.1 arrangement; and
- (b) trading in the class of securities of the body on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 days (before the day on which the relevant securities were issued (notionally aggregating any period of suspension of quotation for the subsidiary determined for the purposes of paragraph (c) and disregarding any suspension of quotation in connection with the implementation of the Part 5.1 arrangement referred to in subparagraph (a)(i)); and
- (c) trading in the class of securities of the subsidiary on a prescribed financial market on which they were quoted before implementation of the Part 5.1

arrangement referred to in subparagraph (a)(i) was not suspended for more than a total of 5 days during the period of 12 months before implementation of the Part 5.1 arrangement (disregarding any suspension of quotation in connection with the implementation of the Part 5.1 arrangement referred to in subparagraph (a)(i)); and

- (d) no exemption under section 111AS or 111AT covered the body or subsidiary, or any person as director or auditor of the body or subsidiary, at any time during the relevant period referred to in subparagraph (b); and
- (e) other than a technical relief instrument, no order under section 340 or 341 covered the body or subsidiary, or any person as director or auditor of the body or subsidiary, at any time during the relevant period referred to in subparagraph (b); and
- (f) either:
  - if this section applies because of subsection (1), the body gives the relevant market operator for the body a notice that complies with subsection (6) before the sale offer is made; or
  - (ii) if this section applies because of subsection (1A), both the body, and the controller, give the relevant market operator for the body a notice that complies with subsection (6) before the sale offer is made."
- Chapter 6D of the Act applies to Piedmont US and each holder of Piedmont US
   Shares (or CHESS depository interests over such shares) as if subsection 708A(12A)
   of the Act, as inserted by ASIC Corporations (Non-Traditional Rights Issues)
   Instrument 2016/84, were omitted, and replaced with the following:

"The sale offer does not need disclosure to investors under this Part if:

- (a) the relevant securities were issued under a rights issue or a related issue; and
- (b) the relevant securities are in a class of quoted securities where:
  - securities in the class were first issued following the implementation of a Part 5.1 arrangement between a company (the *subsidiary*) and its members under which the subsidiary became a wholly-owned subsidiary of the issuer (the *issuer*); and
  - (ii) securities in the class were not quoted securities at all times in the 3 months before the day on which the relevant securities were issued; and
  - (iii) the subsidiary's securities transferred to the issuer pursuant to the Part 5.1 arrangement referred in subparagraph (a)(i) were in a class of securities that were quoted securities at all times in the 3 months before implementation of the Part 5.1 arrangement; and

(c) the rights issue or the related issue did not need disclosure to investors under this Part."

Note: For the meaning of 'technical relief instrument' see ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73.

#### Where this instrument applies

- This instrument applies in relation to:
  - (a) any offer of Piedmont US Shares (or CHESS depository interests over such shares) for issue in the 3 months following the quotation of Piedmont US Shares (or CHESS depository interest over such shares) issued as part of the Piedmont Scheme of Arrangement on the financial market operated by ASX Limited ACN 008 624 691; and
  - (b) any offer for sale of any Piedmont US Shares (or CHESS Depository interest over such shares) referred to in subparagraph 7(a) within 12 months after their issue;

#### where:

- (c) the Piedmont US Shares (or CHESS depository interests over such shares) being offered are in the same class of securities issued to the members of Piedmont Lithium Limited ACN 002 664 495 pursuant to the Piedmont Scheme of Arrangement; and
- (d) the Piedmont US Shares (or CHESS depository interests over such shares) issued to the members of Piedmont Lithium Limited ACN 002 664 495 pursuant to the Piedmont Scheme of Arrangement become quoted ED securities in Australia on or about the date of implementation of the Piedmont Scheme of Arrangement.

## Interpretation

CHESS means the Clearing House Electronic Subregister System operated by ASX Settlement and Transfer Corporation Pty Limited ACN 008 04 532

Piedmont Scheme of Arrangement means the arrangement under Part 5.1 of the Act between Piedmont Lithium Limited ACN 002 664 495 and its members pursuant to which all of the ordinary shares in Piedmont Lithium Limited ACN 002 664 495 are to be transferred to Piedmont US in consideration for the issue of Piedmont US Shares (or CHESS depository interests over such shares) in Piedmont US in respect of which an explanatory statement was registered by ASIC on 3 March 2021.

Piedmont US means Piedmont Lithium Inc., a company incorporated under the laws of Delaware in the United States of America, which will become the parent entity of Piedmont Lithium Limited ACN 002 664 495 upon implementation of the Piedmont Scheme of Arrangement in connection with the proposed re-domiciliation of Piedmont Lithium Limited ACN 002 664 495 (and its subsidiaries) from Australia to the United States of America.

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Piedmont US Share means a fully paid share of common stock in Piedmont US.

Dated this 7th day of May 2021

Signed by Baldev Dillon

as a delegate of the Australian Securities and Investments Commission

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CORPORATIONS ACT 2001 SECTION 601AH(1)& 601AH(2)

Company reinstatements

No. A19/21, Tuesday 11 May 2021

Notice is hereby given that the registration of the companies mentioned below have been reinstated.

Dated this fifth day of May 2021

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ACN
A.C.N. 074 634 129 PTY LTD	074 634 129
A.C.N. 163 549 737 PTY LTD	163 549 737
A.C.N. 626 228 073 PTY LTD	626 228 073
A.C.N 618 001 059 PTY. LTD.	618 001 059
A & K MOSS (NOMINEES) PTY LIMITED	131 570 655
A & L INVESTMENTS (WA) PTY LTD	613 792 500
AAA TRADING INTERNATIONAL PTY LTD	600 452 499
ABOUT TOWNSVILLE SERVICES PTY LTD	156 205 613
ABSTAR PTY. LTD.	010 588 486
ACE GROUP FORMWORK PTY LTD	625 480 015
ACE MARINE REPAIRS PTY LTD	622 877 436
ACN CONNECTIONS PTY LTD	162 368 523
ACN 061 408 599 PTY LTD	061 408 599
ACN 135 831 551 PTY LTD	135 831 551
ACN 141 538 516 PTY LTD	141 538 516
ACN 159 751 747 PTY LTD	159 751 747
ACN 161 192 212 PTY LTD	161 192 212
ACN 163 627 423 PTY LTD	163 627 423

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ACN 166 495 263 PTY LTD	166 495 263
ACN 603 092 119 PTY LTD	603 092 119
ACN 606 825 567 PTY LTD	606 825 567
ACN 614 466 965 PTY LTD	614 466 965
ACN 617 414 294 PTY LTD	617 414 294
ACN 618 237 942 PTY LTD	618 237 942
ACN 618 947 210 PTY LTD	618 947 210
ACN 622 407 603 PTY LTD	622 407 603
ACN 622 774 841 PTY LTD	622 774 841
ACN 626 524 203 PTY LTD	626 524 203
ACN 627 852 671 PTY LTD.	627 852 671
ADAM O'KEEFE PTY LTD	167 768 783
ADDED VALUE ENTERTAINMENT PTY LTD	156 418 432
AE MODERN RENOVATIONS PTY LTD	621 542 603
AERO AG SERVICES PTY LTD	608 306 592
AHEARN FAMILY SUPER PTY LTD	601 645 425
AKSHARDEEP PTY LTD	148 988 596
ALAMIN ENTERPRISE PTY LTD	627 837 683
ALANA JAYNE AESTHETICS PTY LTD	628 663 963
ALBERT STREET INVESTMENTS (QLD) PTY LTD	165 568 338
ALDEBARAN BUILDING GROUP PTY LTD	165 597 393
ALEENAH'S KITCHEN PTY LIMITED	628 095 081
ALEXA DELSA PTY LTD	628 196 350
ALFA ONE GROUP PTY LTD	150 457 686
ALISTAIR BARNES PTY LTD	612 931 094
ALLAN & ROHAN PTY LTD	622 226 500
ALLANDAR HOMES PTY LTD	111 081 077

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ALL COLOUR PAINTING PTY. LTD.	152 556 539
ALL ESTATES PTY LTD	622 863 156
ALLORA ALL PARTS PTY LTD	613 447 537
ALLRIPE PTY LTD	113 104 537
ALTAVILLA INVESTMENTS PTY LTD	601 791 977
ALTERNATIVE INNOVATION PTY LTD	166 220 677
A M MEDIA GROUP PTY. LTD.	138 770 224
ANDAY CONSULTING PTY LTD	618 709 667
ANGREJ TRANSPORT PTY LTD	625 842 006
ANTHONY & ELIZABETH FOX PTY LTD	600 625 536
ANYTIME GYMS PTY LTD	159 980 542
APLUS PTY LTD	003 190 190
APPLA INVESTMENTS PTY LTD	606 591 182
APPTRACTIVE PTY LTD	627 379 800
APRES PTY. LTD.	075 123 554
AQUARIUS FURNITURE PTY LTD	618 341 898
ARI-ANT ENTERPRISES PTY LTD	628 598 201
ARTOFPLASTER PTY LTD	603 301 140
AS ANGELS GROUP PTY LTD	628 298 957
ASEES BUSINESS SERVICES PTY LTD	620 491 723
ASSOUM RENDERING PTY LTD	166 023 632
A TO B CONSULTING PTY LTD	627 670 151
ATOM MEDIA PTY LTD	607 629 078
AUA PREMIUM PTY LTD	620 072 406
AUCA INTERNATIONAL GROUP PTY LTD	606 431 976
AUGIE MARCH PTY LTD	128 705 439
AULANYA INTERNATIONAL PTY LTD	619 821 448

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AUSTAK PTY LTD	611 793 732
AUSTRALIA KENNETH PTY LTD	169 106 263
AUSTRALIAN ASSOCIATION OF FRANCHISEES LIMITED	630 285 013
AUSTRALIAN INSTITUTE OF PET GROOMING PTY LTD	142 742 998
AUSTRALIAN NATIONAL CONTRACTORS PTY LTD	607 899 285
AUSTRALIAN RECYCLING GROUP PTY LTD	116 318 793
AUSTRALIA YELLOW RIVER PERFORMING ART PTY LTD	117 187 845
AUSUM EDUCATION PTY. LTD.	628 395 479
AUTOMOTIVE BODY SUPPLIES PTY LTD	625 704 887
AUTOMOTIVE WEALTH CREATOR PTY LTD	160 546 396
AVIASH PTY LIMITED	628 178 656
AVICOS AUSTRALIA PTY LTD	165 352 849
AWT AUSTRALIA PTY LTD	626 110 227
AXILIA CONSULTING GROUP PTY. LTD.	613 884 316
AYKAY PTY LTD	614 466 054
B.G ENVIRONMENTAL SOLUTIONS PTY LTD	628 488 764
B.H. INTERNATIONAL PTY LTD	084 145 022
B & C LOWE HOLDINGS PTY LIMITED	078 841 791
B & V TRATHEN FAMILY FINANCE PTY LTD	605 457 261
BAHJAH INVESTMENTS PTY LTD	601 901 848
BARAK FINANCIAL SERVICES PTY LTD	086 434 157
BARREL CONCRETE REMOVAL PTY LTD	628 131 466
BAUFIRMA JAEGER PTY LTD	600 821 387
BAY INSTALLATIONS PTY. LTD.	106 472 495
BB & BAM PTY LTD	608 640 606
BEKHEET SUPERANNUATION FUND PTY LTD	602 727 837
BELSTAG PTY LTD	628 873 683

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BENALLA INTERNATIONAL EDUCATION PTY LTD	622 416 960
BERCY PTY LTD	001 929 004
BERNARD K LAU PTY LTD	002 917 375
BESTWAY TILERS PTY LTD	627 822 075
BETTER FOREVER SERVICES PTY LTD	139 320 880
BIG D'S LEASING PTY LTD	626 294 273
BIG MAC INVESTMENTS PTY LTD	630 605 775
BILL HEALY TRAVEL PTY. LTD.	005 425 945
BINGLEY INVESTMENTS PTY. LIMITED	152 251 744
BIRDMAN SUPER PTY LTD	169 341 262
BIRRIGONG PTY LTD	065 580 958
BLACK ROSE FACILITY MANAGEMENT PTY LTD	614 325 843
BLACKWAXX CO PTY LTD	614 772 575
BLAIRE LAMMA PTY LTD	621 566 185
BLD COMMUNICATION PTY LTD	621 646 900
BLUEPRINT BUILDING CREATIONS PTY LTD	161 110 167
BODN AUSTRALIA PTY LTD	627 532 334
BONBONBUBBLE PTY LTD	623 422 515
BONSHAW ENERGY PTY LTD	627 451 629
BOOKS N TAXES PTY LTD	132 803 733
BOOLUBRAX PTY. LTD.	606 653 936
BOUTIQUE CELLAR IMPORTS PTY LTD	607 265 618
BOYFROMBOD PTY LTD	156 584 137
BRENKIZZ FREIGHT PTY. LTD.	151 494 932
BRETT KING PTY. LTD.	152 638 545
BRIAN MITCHELL ADVERTISING & MARKETING PTY. LTD.	614 914 402
BRICKS AND MORTOR PTY LTD	133 243 884

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BRIGHT STAR COMMUNITY CARE PTY LTD	628 078 419
BRINARA PTY LIMITED	083 616 291
BROOKGILL PTY LTD	137 731 554
BROWN GROW AUSTRALIA PTY. LTD.	167 572 394
BRUCE VEE PTY LTD	607 776 689
BTY CO PTY LTD	629 297 310
BUILT AF PTY LTD	615 110 275
BUILTWELL PTY LTD	099 513 265
BURC PTY LTD	600 941 777
BURNS BIZ PTY LTD	628 663 169
C.J. THOMAS INVESTMENTS & TRADING PTY LTD	623 817 854
C&H LOGISTICS PTY LTD	627 454 719
CAFE CALIA PTY LTD	620 978 850
CALIBER IT SOLUTIONS PTY LTD	159 891 835
CAMPBELL CONSTRUCTIONS NSW PTY LTD	165 190 901
CAMYR CORPORATE PTY LTD	158 546 402
CARITAS PTY LTD	138 728 088
CARRAWAY TIMBER & FENCING PTY. LIMITED	126 816 108
CARTEL COLLECTIVE HOLDINGS PTY LTD	615 032 614
CASATO INVESTMENTS PTY LTD	085 389 626
CASTLEVIEW HOLDINGS PTY LTD	009 312 038
C COAST TRANSPORT PTY LTD	608 069 307
CCR 184 PTY LTD	604 391 184
CENTRED IN CHOICE PTY LTD	601 690 975
CERGROUP INVESTMENTS PTY LTD	622 951 035
CERGROUP PROJECT MANAGEMENT PTY LTD	622 950 690
CERTIS PTY. LTD.	091 953 310

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CFH PROPERTY GROUP PTY LTD	614 134 619
CFH PTY LIMITED	104 458 700
CHA CREATIVE PTY LTD	600 352 332
CHATZ (VIC) PTY LTD	109 987 402
CHENEY LIU HOLDINGS PTY LTD	620 294 117
CHESTA GROUP PTY. LTD.	628 773 366
CHICKEN CHIEF 2687 PTY LTD	628 788 938
CHISSAM HOLDINGS PTY LTD	103 404 619
CITY CALM DOWN PTY LTD	613 392 304
CITYLIFT TRANSPORT PTY. LTD.	151 969 836
C L BUILT PTY LTD	622 631 663
CLCHABCB PTY LTD	626 827 110
CLEARVIEW OUTDOOR MEDIA PTY. LTD.	606 667 074
CLIFTON PEAKS PTY LTD	602 330 389
CLIVE SPEAKMAN FAMILY LAW PTY LTD	159 826 212
CLM NOMINEES PTY LIMITED	128 230 026
CLUED PTY. LTD.	620 073 083
CMT ENTERPRISES (AUST) PTY. LTD.	083 144 585
COCKY'S AUTO MART PTY. LTD.	065 560 858
COLOR PRINTS PHOTOGRAPHERS PTY LTD	002 299 694
COMMERCIAL PROPERTY SERVICES WA PTY LTD	161 187 462
COMPREHENSIVE HEALTH SOLUTIONS PTY. LTD.	607 386 247
CONSOLATTA PTY LTD	601 914 032
COREY CORP PTY. LTD.	117 956 162
COVETED EVENT MANAGEMENT PTY LTD	163 659 792
CRABTREE'S SMOKE HOUSE PTY LTD	607 429 863
CRANEKIT PTY LTD	051 086 358

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CRANETEC ENTERPRISES PTY LTD	106 299 727
CREATEUR PTY LTD	152 638 714
CREATING JULES PTY LTD	606 389 851
CREATIVE FINANCE CORPORATION PTY. LTD.	109 284 022
CREATIVE SOIL SOLUTIONS PTY. LIMITED	607 534 770
CROFT HOMES PTY LTD	086 623 609
CROSSOVER DRIVE SCHOOL PTY LTD	603 374 170
CROWN FAMILY PTY LTD	150 112 164
CRULOCH PTY LTD	169 340 514
CRUMP CONSTRUCTION & CONTRACTORS (ONSHORE /	122 829 672
OFFSHORE) PTY LTD	
CUCU3PROJECT PTY LTD	627 085 161
CUMMING PROJECT PTY LTD	607 189 766
C V EQUESTRIAN PTY LTD	614 643 435
CZECH MATE MASONRY PTY LTD	602 397 571
DADA GROUP PTY. LTD.	614 259 648
DANDIWAL PTY. LTD.	165 439 690
D AND L CONSULTING PTY LTD	621 336 025
DANLEX PROPERTY INVESTMENTS PTY LTD	125 143 848
DARK SKY STUDIO PTY LTD	625 284 586
DARLEX HOLDINGS PTY LTD	130 396 815
DARREN ABBOT ENTERPRISES PTY LTD	628 087 454
DASMESH TRUCK TRANSPORT PTY. LTD.	163 902 592
DAVE'S STONEWORK & LANDSCAPING PTY. LTD.	608 891 005
DAVID STEIN CONSERVATION PTY LTD	052 147 958
DAYBALE PTY. LIMITED	074 369 547
DECORUMPAINTING PTY LTD	609 169 968

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DEMSIM ENTERPRISES PTY LIMITED	101 212 444
DENNIS CRT NOMINEES PTY LTD	617 604 501
DESIGNER MENS RINGS PTY LTD	600 543 128
DESTINATION ROLL PTY LTD	614 523 867
DETAIL CIVIL EXCAVATIONS PTY LTD	628 073 352
DEVELOP YOUR SKILLS PTY LTD	143 210 119
DHINGRA VENTURES PTY LTD	613 344 479
DIAMOND WAY PTY LTD	620 380 583
DIGITAL REMEDY PTY LTD	614 564 966
DIVERSE BRICKLAYING SERVICES PTY LTD	613 778 493
DIVE VIC PTY LTD	105 794 116
DJED DEVELOPMENT PTY LTD	630 153 838
DJSR PTY LTD	613 932 460
DKD PROPERTY HOLDINGS PTY LTD	163 928 783
DKJR PTY LTD	623 963 324
DOG THUMBS PTY. LTD.	127 606 937
DOUG ENTERPRISES PTY LTD	124 694 051
DOWNPLAY PTY. LTD.	106 150 592
D PROPERTY CONSULTING PTY LTD	147 688 391
DREAM REGISTER PTY LTD	110 771 661
DSR PROFESSIONAL PTY LTD	620 643 316
DUCATO XPRESS PTY LTD	105 545 917
DUGG PAINTING & DECORATING SERVICES PTY LTD	611 247 771
DUOPET HOLDINGS PTY LTD	621 341 633
DWELL GROUP PTY LTD	152 931 925
EARTH IT UP CIVIL AND CONSTRUCTION PTY. LTD.	627 058 575
EAST COAST CONSTRUCTION SERVICES PTY LTD	126 575 826

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EASTERN CHANNEL PTY LTD	154 035 391
EASY CLEAN CHEMICALS PTY. LTD.	006 184 050
E C ANDERSON CUSTODIAN PTY LTD	606 132 245
E C ANDERSON PTY LTD	600 042 948
ECNK PTY LTD	601 683 916
EDEL FINANCIAL SERVICES PTY LTD	128 122 743
EJC SOLUTIONS PTY LTD	600 262 182
ELF (VIC) PTY LTD	164 359 380
ELI - SUN VEGIE PTY LTD	621 734 852
ELWOOD THREE THREE FIVE PTY LTD	628 963 011
EM. RICHARDSON PTY LTD	615 328 615
EMCAAH PTY LTD	628 607 956
EMI DEVELOPMENT SERVICES PTY LTD	164 277 383
EMSHAW PTY LIMITED	613 934 624
EMU NT PTY. LTD.	118 974 584
ENERGIZING PTY LTD	133 264 034
ENGAGENEER PTY LTD	628 577 864
ENGINEERING HOLDINGS PTY LTD	131 597 898
ENSEMBLE AUSTRALIA PTY. LTD.	602 016 268
ENSPHERE CONSULTING PTY. LTD.	608 230 235
ENTERPRISE (NSW) PTY LIMITED	105 189 133
E OPTIMISED PTY LTD	166 836 433
EPIPHANY (AUST) PTY LTD	625 962 236
EVANS ROAD PTY LTD	149 266 679
EVERLAST BRICK PTY LTD	627 732 405
EVERYTHING FOAM PTY LTD	628 161 562
EVOLV GROUP PTY LTD	611 580 913

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EVOQE PTY LTD	626 344 885
EXPRO TILING & WATERPROOFING PTY LTD	622 898 640
FAKOUA INVESTMENTS PTY LTD	150 562 146
FAMILY KITCHEN 68 PTY LTD	627 601 558
FAST BROTHERS PTY LTD	628 931 055
FAST WAY HAULAGE PTY LTD	608 337 293
FIDGE COURT PTY LTD	609 146 750
FILMN PTY LTD	609 445 632
FINE COAT SOLID PLASTERING PTY LTD	614 296 052
FINE LINE PROJECTS AUSTRALIA PTY LTD	620 818 699
FIXX DIGITAL PTY LTD	619 877 548
F K R HOLDING PTY LTD	607 658 364
FLIGHT EXPERIENCE AUSTRALIA PTY LTD	628 452 086
FONETECH PRO PTY LTD	607 489 501
FOODS OF THE REALM PTY LTD	614 627 146
FORM CRUNCHER PTY LTD	624 231 607
FOURCHILD HOLDINGS PTY LTD	069 557 900
FOURTEEN CONSULTING SERVICES PTY LTD	626 923 297
FRESHPOWER PTY LTD	165 463 543
FREVE PTY LTD	617 292 994
FRYARPHARM PTY LIMITED	086 986 629
G & A NASSIF PROPERTY PTY LTD	600 409 416
G & N STRANG PTY LTD	100 781 146
G & S BIRD PTY LTD	146 279 576
GABRIEL SHOPFITTING PTY LTD	628 035 423
GALEA SOLUTIONS PTY LTD	602 210 757
GAMUT GROUP PTY LTD	104 678 775

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GEMLEC (NSW) PTY LTD	615 476 170
GENEROSA HOSPITALITY GROUP PTY LTD	615 191 001
GENESYS ASSESSMENT INTERNATIONAL PTY LTD	088 848 908
GEN MANAGED SERVICES PTY LTD	624 464 722
GEOPAITHO PTY LTD	627 970 254
GEOTHERM AUSTASIA PTY LTD	155 761 372
GGD INVESTMENT PTY LTD	622 334 963
GH CARPENTRY PTY LTD	628 113 299
GIC MUNNO PARA HOLDINGS (1) PTY LTD	608 094 015
GIC PARAFIELD HJS PTY LTD	601 748 627
GILBER ENTERPRISE PTY LTD	161 033 192
GINSPIEL PTY LTD	125 340 909
GJ FAMILY PROPERTY INVESTMENTS PTY LTD	169 148 841
GK & KL SISELY PTY LTD	064 193 853
G K S (NSW) INDUSTRIES PTY LTD	106 393 757
GLASSKUBE PTY LTD	614 695 260
GMN & JA POOLE PTY LTD	078 204 770
GMS GOODMAN MECHANICAL SERVICES PTY LTD	612 940 655
GOLDBERG LUXURY PROPERTY INVESTMENTS PTY LTD	625 595 575
GOLDEN SWORD INVESTMENTS PTY LTD	146 094 797
GOODWILL MOTORS PTY. LTD.	164 070 713
GOODWILL TECH SA PTY LTD	628 652 184
GOURMET BEEF PTY LTD	607 921 326
GPI ENTERPRISES PTY LTD	115 018 061
GRACECON CONSTRUCTIONS PTY LTD	628 868 968
GRAFFITI FACE PTY LTD	614 910 860
GRASSLANDS PROJECTS PTY LTD	616 900 835

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GRIPS REMOVALS PTY LTD	625 252 324
GS & AJ (VIC) PTY. LTD.	005 787 502
GYRATE PTY LIMITED	121 449 214
H. & J. HELD (SPECTACLE MAKERS) PTY. LTD.	004 994 343
H.W. GLASS & GLAZING PTY LTD	621 996 843
H&M UNION INVESTMENT PTY LTD	606 922 794
HAINES INVESTMENT CUSTODIAN PTY LTD	620 745 397
HALATION AGENCY PTY LTD	146 065 018
HALL SHEARING PTY LTD	139 750 182
HAMER TRANSPORT PTY LTD	100 888 328
HANSOL MIGRATION PROFESSIONALS PTY LTD	120 689 483
HARBOUR CONSULTING (AUST) PTY LTD	078 647 257
HARCO (AUS) PTY LTD	140 211 696
HARRISON GROUP INVESTMENT PTY. LTD.	100 361 948
HARTWELL PLUMBING PTY LTD	144 695 447
HEADTAP PTY LTD	128 672 828
HEALTH DREAM PTY LTD	629 702 076
HELIX DIGITAL PTY LTD	118 269 800
HENBELLA PTY LTD	151 501 101
HERLI GROUP PTY LTD	629 388 932
HHAAA PTY LTD	614 012 690
HIKA HOLDINGS PTY LTD	071 805 395
HITECH TILING PTY LTD	627 491 614
HOMEINGTON BUILDING AND DEVELOPMENTS PTY LTD	605 572 003
HONEYB HIVE PTY. LTD.	165 570 543
HORVAT DT PTY LTD	608 339 340
HOWE ARCHITECTS PTY LTD	098 252 218

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HSSW PTY LTD	167 153 988
HUANG FAMILY GROUP PTY LTD	151 050 896
HUMAN PRINT MEDIA GROUP PTY LTD	628 643 381
HUMAZE PTY. LTD.	139 624 623
HUNTER CASCADE PTY LTD	607 433 205
IACQUIRE EDUCATION & TRAINING PTY LTD	609 262 688
IAN CAMPBELL ENGINEERING PTY LIMITED	070 912 819
ICI ELECTRICAL AND FIRE SERVICES PTY. LTD.	169 936 725
ICONIC CONSTRUCTIONS AUSTRALIA (NSW) PTY LTD	613 137 474
ICONIC FLOOR & WALL TILING PTY LTD	627 579 524
IGNITE SALES & MARKETING PTY LTD	620 182 907
ILK COMMUNICATIONS PTY LTD	124 458 046
IMMACULATE PANEL SOLUTIONS PTY LIMITED	601 302 336
INDI KIDS PHOTOGRAPHY PTY LTD	154 312 893
INFINITY GLOBAL TRADING PTY LTD	147 212 673
INFRATECH INDUSTRIES PTY LTD	141 145 913
INTELLIGENEER ELECTRICAL PTY LTD	600 308 638
INTERNATIONAL CATERING SERVICES PTY LTD	607 462 288
IPOLY PRO PTY LTD	627 373 497
IRONSTEIN METAL ROOFING SERVICES PTY LTD	623 717 171
ISHAAN TELECOM PTY LTD	619 154 380
ISLAND TIME PTY LTD	166 523 173
J & G CONSTRUCTIONS PTY LTD	166 173 628
J & M TRANSPORT AUSTRALIA PTY LTD	135 413 302
J & S DHINGRA PROPERTY PTY LTD	614 216 483
J & V MUCHEMEDZI INVESTMENTS PTY LTD	145 999 426
JACFINK PTY LTD	145 942 650

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JACINTAS CATERING SERVICES PTY. LIMITED	628 516 950
JAI SRI RADHE PTY LTD	629 165 313
JANELLE FAMILY PROPERTY ONE PTY. LTD.	154 696 590
J A PLATINUM FASCIA AND GUTTER PTY LTD	627 175 366
JASLOCHEL HOLDINGS PTY LTD	160 103 720
JASMINE INVESTMENT GROUP PTY LTD	622 441 258
JASON W PTY LTD	603 716 981
JAYAMANI PTY LTD	628 730 585
JAZANA PTY LIMITED	143 111 675
JBJH HOLDINGS PTY. LTD.	163 070 595
JDA BUILT PTY LTD	620 349 297
JEAP TRANSPORT PTY LTD	628 991 284
JESS & JOHN PTY. LTD.	606 094 517
JESSAKOM PTY LTD	165 466 320
JFK EARTH MOVING EQUIPMENT PTY LTD	621 590 949
JIANGMING PTY LTD	600 121 202
JINLIFANG PTY LTD	621 917 664
JIN TAI PTY. LTD.	169 473 394
J L LOVELL INVESTMENT PTY LTD	168 487 456
JL MANNING PLUMBING PTY LTD	601 264 448
JL NEWTEK PTY LTD	167 782 452
JMD CONSTRUCTION GROUP PTY. LTD.	607 388 223
JM DIESEL FITTING & SERVICING PTY LTD	123 659 334
JML AUSTRALIA PTY LTD	608 229 894
JM RIEGO PTY LTD	616 394 766
JOC PIPEWORK PTY LTD	627 028 111
JODABE PTY LTD	601 701 580

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JOHNNY COOL PTY LTD	067 993 677
JOSHUA CORPORATION PTY. LTD.	167 638 057
JULKA NOMINEE PTY LTD	600 572 950
JUMAWHOLESALE PTY LTD	628 668 557
JUS DO IT PTY. LTD.	160 358 734
JWISE GROUP PTY LTD	164 652 126
KABI NAIL PTY LTD	601 164 694
KALAMATA PROPERTY HOLDINGS PTY LTD	620 886 268
KAMZ DELIVERY PTY. LTD.	612 613 386
KARA PROPERTY GROUP PTY LTD	629 283 423
KARONIS NOMINEES PTY. LTD.	078 264 445
KASUNIC & CO. ARCHITECTS PTY LTD	145 082 140
KEV & CATHY JONES SUPER PROPERTY PTY LTD	606 265 454
KEYVASH SUPER PTY LTD	606 985 415
KIDS BIKE BUCKETS PTY LTD	607 011 585
KINZIE MANAGEMENT PTY LTD	627 910 383
KKV AUSTRALIA PTY LTD	628 662 626
KLEEN HOSPITALITY PTY. LTD.	615 127 092
KLEENWORLD GROUP PTY LTD	621 132 509
KMA CIVIL CONTRACTORS PTY LTD	152 803 800
KWARI JACOBS PTY LTD	619 075 044
LAETANTUR ADVISORY PTY LTD	150 524 360
LAKE HAVEN CHICKENS PTY LTD	622 605 270
LALLI FAMILY HOLDINGS PTY LTD	166 448 326
LALLI FAMILY INVESTMENTS PTY LTD	166 448 399
LA MODE WHOLESALE AND IMPORT CO. PTY LTD	145 000 764
LANA ENTERPRISES VIC. PTY LTD	116 547 098

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L AND D IMPORT AND EXPORT PTY LTD	627 750 216
LAUGHING BUDDHA INVESTMENTS PTY LIMITED	152 867 457
LEATHLEY INVESTMENTS PTY LTD	103 920 118
LEC NOMINEES PTY LTD	130 296 749
LE TRAN & SON PTY LTD	607 882 904
LIGHTKEEPER INVESTMENTS PTY LTD	084 388 607
LINDEX PTY. LIMITED	099 648 923
LIONS REAL ESTATE PTY LTD	623 420 440
LIVIA LISA NOMINEES PTY. LTD.	005 449 972
LLY YUMMY PTY LTD	627 948 065
LOADSTONE PROPERTY HOLDINGS PTY LTD	626 955 913
LOCKOUT AUSTRALIA PTY LTD	622 189 137
LOLTON INTERNATIONAL PTY. LTD.	118 022 085
LOURDES EXPRESS PTY LTD	601 861 894
LOWER NORTH SHORE PTY LTD	614 733 514
LRD TRANSPORT PTY LTD	627 024 408
LSH TRAN PTY LTD	627 074 597
LUGOR PTY LTD	609 449 032
LUMINEST GROUP PTY LTD	626 963 835
LUMLEY ENTERPRISES PTY LTD	120 924 165
LUMSUM COONO PTY LTD	601 993 748
LUSCIOUS GARDENS & GORGEOUS LANDSCAPES PTY LTI	D 101 251 754
LYNED PTY LTD	132 231 826
M.E.I BEAUTY & MASSAGE GROUP PTY LTD	621 467 007
M & D D ENTERPRISES PTY LTD	608 334 390
M&L KRENSKE PTY LTD	137 891 582
MADILL CONSULTING SERVICES PTY. LTD.	056 334 377

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MAIKOFF BATHURST PTY. LTD.	169 944 450
MAKO FORM PTY LTD	621 400 306
MAN BITES DOG PUBLIC RELATIONS PTY LTD	126 084 820
MANGAT OPERATIONS PTY LTD	629 052 740
MANHATTAN DEVELOPMENT PTY LIMITED	144 701 173
MANZENARES HOLDINGS PTY LTD	159 211 435
MAPGRAPHICS PTY. LTD.	074 584 688
MARANTON PTY LTD	127 477 632
MARDELLA DOWNS HOLDINGS PTY LTD	078 200 076
MARENFALL PTY. LIMITED	075 074 592
MARIS CONSTRUCTIONS PTY LTD	138 590 431
MARK SILVER CONSULTING PTY LTD	081 717 286
MARRA MAMBA MINING PTY LTD	159 593 692
MASTER MEATS QLD PTY LTD	142 834 160
MATTAMA HOLDINGS PTY LIMITED	145 714 174
MBAG PTY. LTD.	090 630 612
MBA RECRUITMENT PTY LTD	136 695 077
MCKELL FAMILY PTY. LTD.	158 646 050
MEDINA (QLD) PTY LTD	167 659 912
MEKA PROJECTS PTY LTD	607 751 011
MELBOURNE INTERNATIONAL ACADEMY PTY LTD	611 643 782
MELIND AGENCIES PTY LTD	111 057 633
MERCURY PROPERTY GROUP PTY LTD	615 099 726
MERLIMONT PTY. LTD.	050 158 373
MHM ROCK PTY LTD	609 750 138
MICROCAD DOORS & PANEL PRODUCTS PTY. LTD.	614 452 327
MILES OF SMILEZ HOME CARE PTY LTD	621 202 140

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MINI BEAST COMPANY PTY LTD	627 646 799
MIZALA GROUP PTY LTD	626 543 511
MK & J RONGONUI BORROWING CO PTY LTD	164 469 247
MK & K FAMILY PTY LTD	622 105 533
MLA INVESTMENTS PTY. LTD.	159 719 230
MODERN FINISHINGS PTY LTD	000 672 971
MOERAKI PTY LTD	613 049 906
MOLEDINA TRANS PTY LTD	615 314 442
MOORE DESIGN COLLECTIVE PTY LTD	160 555 831
MORTGAGE DOMAYNE PTY LTD	608 850 086
MOTORIZER CUSTOMS PTY LTD	626 813 438
M PERIKIC HOLDINGS PTY LIMITED	114 814 425
MPW SYDNEY PTY LTD	606 646 217
MR EDWARDS PHOTOGRAPHY & DESIGN PTY LIMITED	155 877 437
MSI EXPRESS PTY LTD	621 354 872
MSS VICTORIA PTY LTD	628 175 842
MTA RISING CAPITAL PTY LTD	621 355 502
MT LEWIN GROUP PTY LTD	636 765 494
MULLINS RETIREMENT PTY LTD	106 887 021
MULTIWIRE ELECTRICAL SERVICES PTY LTD	152 630 361
NAIK MEDICAL PTY LTD	605 662 897
NAMARA INVESTMENTS PTY LTD	146 482 073
NANCARROW ENTERPRISES PTY LTD	142 243 383
NATIONAL POSTAL SERVICES PTY LTD	120 880 926
NATIONWIDE RENTALS PTY LTD	628 759 062
NAUTILUS ENTERPRISES PTY LTD	118 425 719
NAV CONSULTING PTY LTD	626 824 084

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NELSON BAY GROUP PTY LTD	613 374 468
NETMASTERY PTY LIMITED	094 058 116
NETNAMES PTY LTD	136 470 838
NEW BAXA PTY LTD	610 303 967
NEWHAVEN CAPITAL AUSTRALIA PTY LTD	160 324 014
NEXT STEP TELECOM SERVICES PTY. LTD.	608 203 363
NGUYEN CAPITAL PTY. LTD.	165 410 668
NICK MAKRIS FITNESS PTY. LTD	617 554 239
NI JUNWEI HOLDINGS PTY. LTD.	614 564 019
NINE WELLS PTY LTD	619 213 286
NIP INVESTMENTS PTY LTD	603 309 931
NJ GLOBAL PTY LTD	621 303 642
NKR CONSULTING PTY LTD	116 035 057
NOONHAM PTY. LTD.	070 105 707
NORAS DISTRIBUTION SERVICES PTY LTD	142 321 757
NORWEST RENOVATION SERVICES PTY. LTD.	621 401 750
NOUH ENTERPRISES PTY LTD	611 283 991
NOUMEL PTY LTD	153 316 557
NS ELITE FITNESS PTY LTD	618 305 043
O&A PINEDA HOLDINGS PTY LTD	165 411 852
OAKMOUNT ENTERPRISES PTY LTD	080 273 785
OBI KOBI BEEF CO PTY LTD	125 044 242
OFI COM PTY LTD	628 709 731
OLIVE OIL CORPORATION PTY. LTD.	107 989 766
OMID TILING SERVICES PTY LTD	615 086 041
ON THE WALL INSTALL PTY LTD	621 949 380
ON TRACK MIGRATION PTY LTD	621 325 068

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ONVOCUS PTY LTD	612 178 104
OOH AAH PRODUCTIONS PTY. LTD.	614 928 264
OOH FLOSSY PTY LTD	614 039 799
OSCY'S BURGERS PTY LTD	607 688 611
OU INVESTMENT PTY. LTD.	606 251 094
OUR LEGACY OF 4 PTY LTD	606 952 229
OUTTOWN PTY. LTD.	097 851 155
OVERTURE CAPITAL PTY LTD	100 054 911
OXLEY UNIT INVESTMENT PTY LTD	627 223 509
OZTUFF FABRICATIONS PTY. LTD.	164 049 692
OZY CONSTRUCTION PTY LTD	627 829 725
PALU HOLDINGS PROPERTY ONE PTY LTD	600 067 936
PALZAN PTY. LIMITED	067 433 881
PAMA MANAGEMENT SERVICES PTY LTD	151 354 666
PANORAMIC FINANCIAL SOLUTIONS PTY LTD	158 633 302
PANPER PTY LTD	105 986 481
PAPAMETIS TRANSPORT PTY LTD	614 753 034
PAPENGA INVESTMENTS PTY LTD	623 311 286
PARADISE INTERCHANGE PTY. LTD.	614 084 016
PARRELLA SECURITY PTY LTD	166 508 149
PARSHU RAM PTY LTD	070 185 394
PARTRIDGE MANAGEMENT CONSULTANTS PTY LIMITED	159 361 234
PEJ SECURITIES PTY LTD	155 126 462
PEOPLE PLUS WORKFORCE PTY LTD	104 055 272
PEPDELL PRACTICE PTY. LTD.	169 762 243
PERFORMANCE ENHANCED PRODUCTS PTY LTD	624 942 203
PERSONALISED SMILES PTY LTD	606 063 923

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PET CENTER PTY LTD	627 688 108
PETDEV PTY LTD	169 439 623
PFF GROUP PTY LTD	619 654 296
PHILLES PTY LTD	156 232 674
PHOENIX DEMOLITION AND EXCAVATION PTY LTD	098 030 267
PHULL ENTERPRISE PTY LTD	628 193 957
PIER ONE TIDES PTY LTD	624 829 812
PODBUILD PTY LTD	136 819 479
POTENTIA MANAGEMENT PTY LTD	623 717 724
POWERLINE INVESTMENTS PTY LTD	145 773 646
POWERPLAN CONSULTING PTY. LTD.	106 030 675
P R BOSTON PTY LTD	601 671 578
PRESTIGE PARTIES PTY LIMITED	129 567 193
PROJECTOR FILMS PTY. LTD.	146 595 931
PROMENA MANAGEMENT CONTRACTING &	149 949 271
CONSULTING PTY LTD	
PROSPECT INVESTMENTS (NT) PTY. LTD.	076 406 427
PUBLICANI PTY LTD	149 643 354
PUJAN TECH PTY LTD	621 930 201
PURLEC PTY. LTD.	009 132 281
P4CHANGE PTY LTD	600 091 772
QRS SPATIAL PTY. LTD.	614 129 618
QUALITY CARPENTRY PROJECTS PTY LTD	621 173 500
QUARTER JOKES PTY LTD	614 234 436
QUICKWELD ENGINEERING PTY. LTD.	005 702 965
R & D HIRE PTY LTD	104 662 955
RA BEATTIE INVESTMENTS PTY LTD	100 578 336

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RADHART PICTURES PTY LTD	121 589 231
RAINY HILL PTY. LTD.	069 010 331
RAISED BRICKLAYING PTY LTD	614 162 480
RAISING EYEBROWS PTY LTD	167 434 988
RANDHAWA INVESTMENT GROUP PTY LTD	623 453 001
R AND M PAINTING AND DECORATING PTY. LTD.	145 882 426
RAPA HAULAGE PTY LTD	601 145 635
RAYBO PTY LTD	163 949 335
R B GILL PTY LTD	628 293 461
RCC VENTURES PTY LTD	163 426 275
READY GROUP PAINTING PTY LTD	614 711 812
REDEE PTY LTD	604 550 423
REDLEAF AVENUE PTY LTD	166 568 887
REDSTAR WORLDWIDE PTY LTD	612 043 366
REGEN PROPERTY SERVICES PTY LTD	600 831 347
REMINDER HEALTH HOLDINGS PTY LIMITED	628 779 402
REO NOMINEES PTY. LTD.	007 806 840
REXC AUSTRALIA PTY. LTD.	607 043 747
RHYKEN SERVICES PTY LTD	621 529 039
RICHARD GILLIES PTY. LTD.	006 802 099
RICHARD GRAHAM PTY LTD	628 151 637
RICHARDS CONTRACTING CO (MANAGEMENT) PTY LTD	000 576 143
RIGHT NOW EXPRESS PTY LTD	165 707 255
ROBICORP PTY LIMITED	603 491 005
ROBJUL PTY. LTD.	116 173 963
ROCHE INDUSTRIES PTY LTD	138 505 996
RODMARG PTY LTD	160 970 865

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RODMARGY PTY LTD	160 971 086
R TROUP PTY LIMITED	130 285 175
RUBIX AUSTRALIA GROUP PTY LTD	615 071 166
RUBYDEN PTY LTD	122 249 889
RUNNYMEDE TRUCKING COMPANY PTY LTD	622 524 341
RUSCHIN PTY LTD	608 521 544
RVR NOMINEES PTY. LTD.	083 103 039
SAANEN PTY. LTD.	010 148 660
SABAI MAINTENANCE ENGINEERING PTY LTD	149 082 520
SABTEK ELECTRICAL PTY LTD	628 864 979
SAGE SILENUS PTY LIMITED	109 844 797
SAHABAT SERVICES PTY LTD	139 238 065
SAIGON FOODIES PTY LTD	601 300 136
SAL & ARJU PTY. LTD.	161 481 643
SA LABOURHIRE PTY LTD	620 883 605
SALARIS FLEET PTY LTD	147 982 090
SAM & JOT PTY LTD	169 528 714
SANCTUARY CONSTRUCTIONS AUSTRALIA PTY LTD	605 108 610
SAR & AGUILAR HOLDINGS PTY. LTD.	626 545 686
SARAI TRANSPORTS PTY LTD	628 737 020
SASSY PEOPLE PTY LTD	628 840 719
SAVVY LOGICTICS PTY LTD	605 908 923
SAYED BILAL SADATH CONSTRUCTION COMPANY	120 502 070
(SBSCC) PTY LTD	
SB FARQUHARSON INVESTMENTS PTY LTD	160 322 878
SCAACH PTY. LTD.	136 450 943
SCAGGS HOLDINGS PTY LTD	601 939 904

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SCRAPPY BILL PTY LTD	627 752 890
SCRATCHLESS (AUS) PTY LTD	110 688 689
SEHAJDEEP JARIA TRANSPORT PTY LTD	626 010 713
SENEQUE INVESTMENTS PTY LTD	097 553 994
SENIK ENTERTAINMENT PTY LTD	627 029 350
SERES ENTERPRISES PTY. LTD.	134 459 162
SERIOUSLY SANITARY PTY LTD	625 614 502
SEVEN BEANS INDUSTRIES PTY LTD	622 747 817
SFT NSW PTY LTD	146 028 551
S GLOBAL PTY LTD	628 097 147
SHADOW GLEN STUD PTY LTD	146 992 449
SHANE KEYS SPECIALISED CONCRETING PTY. LTD.	122 676 724
SHAPIRO PROPERTIES NOMINEES PTY LTD	142 081 258
SHINE WORLD PTY LTD	627 280 826
SHOE HORNE PTY LTD	628 356 954
SHORYA PTY LTD	621 531 600
SHREEKA PTY LTD	636 463 717
SIENA DEVINE MERCHANTS PTY. LTD.	152 833 077
SIERRA LEON HAIR AND BEAUTY PTY LTD	624 454 299
SIGNWIZ (AUST) PTY LTD	620 891 321
SILVIU GREENHILL PTY. LTD.	126 932 698
SIMON SAYS YOU CAN PTY LTD	620 244 877
SJU HOTELS PTY LTD	620 155 633
SKYVIEW MIGRATION GROUP PTY. LTD.	600 490 104
SMART MOBILE ZONE PTY LTD	606 453 614
SMH (ACT) PTY LIMITED	159 373 261
SMILING TRANSPORT PTY LTD	628 664 068

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SM NEWCASTLE PTY LTD	628 075 141
SMOKE ALARM LOGISTICS PTY LIMITED	130 103 098
SNR. PLUMBING PTY LTD	628 934 770
SOHU FOCUS PROPERTY GROUP AUSTRALIA PTY LTD	618 016 818
SOMETHING MORE PROPERTY SERVICES PTY LTD	624 280 093
SOM TRANSPORT PTY LTD	628 028 651
SONG CAPITAL PTY LTD	613 430 907
SONUPINDA PTY LTD	627 515 119
SPA LIVING PTY LTD	161 502 996
SPARCRAFT PTY LTD	001 239 854
SPARROTT PTY. LTD.	109 823 001
SPEC'D PTY LTD	602 129 617
SPEEDY BRICKLAYERS PTY LTD	139 363 750
SPHINX FORMWORK PTY LTD	603 734 112
SPICE LOUNGE (AUST) PTY LTD	146 126 614
SSB TRANSPORT PTY LTD	602 747 991
SS JUICE PTY LTD	168 966 550
STEALTH BEVERAGES PTY LTD	617 979 192
STEELE 888 PTY LTD	131 911 772
STEELWAY ENGINEERING PTY LTD	127 324 645
STEVEN B WILSON PTY LTD	628 797 884
STONEWELL REAL ESTATE PTY LTD	628 125 566
STOP COLLECTION PTY LTD	604 009 654
STUDIO S & R MUSIC AUSTRALIA PTY LTD	611 352 884
SUMMER HOUSE TAPAS RESTAURANT & CAFE PTY LTD	619 809 433
SUNFLOWER GLOBAL TRADING PTY LTD	612 887 457
SUNLOVER NOMINEES PTY. LTD.	610 572 453

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SUNSHINE AVE PTY LTD	607 132 481
SWADLING PROPERTY CONSULTING PTY LTD	616 472 667
SW BRICKLAYING QLD PTY LTD	165 544 178
SYDNEY SKY SERVICES PTY LTD	618 788 239
SYDNEY WIDE TIMBER FLOORING PTY LTD	600 499 661
SYNERGY CIVIL WORKS PTY LTD	628 850 395
T.N.L. PROPERTY INVESTMENTS PTY LTD	165 267 961
T.N.L. SUPERANNUATION FUND PTY LTD	160 716 325
T & J HEATING AND COOLING (VIC) PTY LTD	156 278 376
TAJ GROUP OF SERVICES PTY LTD	621 034 320
TALYAT PTY LTD	008 709 233
TAO & TARY PTY LTD	626 539 259
TBT LE PTY LTD	601 075 763
TB TRANSPORT SERVICES PTY LTD	152 855 788
TECHNICAL GLASS ALUMINIUM PTY. LTD.	614 177 543
THAI ESSENCE DAY SPA PTY LTD	149 455 096
THALLO PTY LTD	602 804 795
THE GN HOLDING GROUP PTY LTD	621 628 331
THE LANE CREATIVE ENTERPRISES PTY LTD	127 479 412
THE ORIGINAL SMOKE AND SPICE COMPANY PTY LTD	164 877 034
THE TRAN PROPERTY COMPANY PTY LTD	141 937 395
THINK REMOVALS PTY. LTD.	611 186 791
THRONES CONSTRUCTION GROUP PTY. LTD.	621 855 043
THU BA PTY LTD	616 213 775
THYME LANE PTY LIMITED	600 440 355
TILKER CONSTRUCTIONS PTY LTD	136 850 818
TINA DESIGN PTY LTD	607 556 178

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TJ KIRBY PTY LTD	152 089 819
TOK WORKS PTY LTD	618 741 905
TOMMY DEVITO PTY LTD	638 018 836
TOM REMFRY GARDENS PTY LTD	153 265 457
TOZ HOLDINGS PTY LTD	628 952 590
TRADE GROUP PLUS PTY LTD	626 094 424
TRADE PLUS 3 PTY LTD	624 448 433
TRANSHEGER PTY LTD	613 943 972
TRANSPORTE OKLAHOMA PTY LTD	627 503 511
TRASTO PTY LTD	169 369 617
TRENTS CUSTOM KITCHENS & JOINERY PTY LTD	621 087 787
TRICKEY CORPORATION PTY LTD	623 713 913
TRINITY VANTAGE ADVISORY PTY LTD	165 417 247
TSKG FUTURES PTY LTD	169 203 418
TURKCON MANAGEMENT SERVICES PTY LTD	103 504 249
TURPIN PTY LTD	622 847 509
UP4BUSINESS ANZ PTY LTD	168 356 901
URBAN CONCEPTS DESIGN PROPRIETARY LIMITED	139 462 196
VAMSI KRISHNA ENTERPRISES PTY LTD	619 343 798
VAN HUE KHUU PTY LTD	088 356 692
VAPOR KINGS PTY. LTD.	615 112 877
VEDURA INVESTMENTS PTY LTD	607 254 419
VEER SANDHU PTY LTD	627 689 098
VERKAUFEN GROUP PTY LTD	626 810 919
VETAJ PTY. LTD.	101 455 869
VETA TRAVEL PTY LTD	622 866 764
VIC BARRIER HIRE PTY LTD	626 005 589

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VIGNALE HOLDINGS PTY LTD	115 890 812
VIP PORTFOLIO PTY LTD	130 902 920
VIRK ENTERPRISES GROUP PTY LTD	614 609 424
VISION GROUP HOLDINGS PTY LTD	627 826 671
VONNIES CAFE PTY LTD	621 812 253
W.D. NICHOLLS HOLDINGS PTY. LTD.	067 287 530
WALTONFOOT PTY LTD	618 658 398
WANTOK CROP HAVERSTERS PTY LTD	608 679 223
WAWASAN SUPER PTY LTD	137 614 889
WEBBE PTY LIMITED	107 273 307
WESTERN LINK CONSTRUCTIONS PTY LTD	620 664 520
WESTERNPORT INDUSTRIES PTY LTD	619 277 708
WEST OZ TRAVEL PTY LTD	145 695 067
WHAT A GOOD QUESTION PTY LTD	628 873 325
WHITE RABBIT PROJECTS PTY LTD	159 859 266
WIDGET PTY LTD	160 285 727
WINBOURNE 2018 PTY LTD	628 428 704
WINKI SUITS PTY LTD	628 167 108
WOODFORDE PTY LTD	632 843 442
WOODGATE TAX PORTAL PTY LTD	628 722 305
WOODWORTH SUPER PTY LTD	605 241 709
WOOFIE MONSTERS PTY LTD	169 680 844
WORKPLACE MANAGEMENT SOLUTIONS PTY LTD	135 646 436
WOTCHER GROUP PTY. LTD.	615 590 255
WRAW DISTRIBUTIONS PTY LTD	131 073 688
WYN HARD INVESTMENTS PTY LTD	161 320 690
XINYU TRADING PTY LTD	623 106 016

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Y & Y INVESTMENTS PTY LTD	600 036 146
YARRA CAPITAL HOLDINGS PTY LTD	067 787 848
YENDLES PTY LTD	607 874 840
YENWITH INVESTMENTS PTY LTD	169 198 547
YOGHI PTY LTD	158 178 897
YOJO PTY LTD	626 433 047
YSJ INTERIORS PTY LTD	626 268 773
YU & I PTY LTD	619 852 238
ZAHL HOLDINGS PTY LTD	132 211 904
ZANDRIA HOLDINGS PTY LTD	159 751 372
ZENIT PTY LTD	619 091 217
ZENOV PAINTING SERVICES PTY LTD	622 125 624
ZEST COLLEGE PTY LTD	605 668 791
ZHENG & GE FAMILY HOLDINGS PTY LTD	628 272 873
ZHOU JI PTY LTD	130 458 687
113 134 964 PTY LTD	113 134 964
126 INVESTMENTS PTY LTD	608 771 348
139256474 FABRICATIONS PTY LTD	139 256 474
154 149 149 PTY LTD	154 149 149
2 PAC POLY PTY LTD	629 063 341
3 IN 1 COATS PTY LTD	628 421 330
33 SOUTH LUXURY YACHT SOLUTIONS PTY LTD	152 440 509
4 FRIENDS PTY. LTD.	608 921 795
603 224 022 PTY. LTD.	603 224 022
69 HURLEY ST MAWSON PTY LTD	609 071 481
69 KENT ROAD PTY LTD	159 722 880

Corporations Act 2001 Sections 601CC(7), 601CC(9), 601CL(8) or 601CL(10)

Notice is hereby given that the following foreign companies and registerable Australian bodies have been restored to the register.

**ARBN** 

Dated this fifth day of May 2021

Rosanne Bell

**DELEGATE OF** 

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ULVERSTON SHIPHOLDINGS CO. LTD 076 153 903

CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this seventh day of May 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
GOODMAN US FINANCE ONE, LLC	618 948 628
GOODMAN US FINANCE TWO, LLC	618 948 655
RESPONSETEK (CANADA) INC.	618 606 536
TATA PETRODYNE LIMITED	141 713 617

CORPORATIONS ACT 2001 Subsection 164(3)

Change of company type

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

# **AUSTRALIAN LEADERS FUND LIMITED**

ACN 106 845 970 will change to a proprietary company limited by shares. The new name will be AUSTRALIAN LEADERS FUND PTY LIMITED ACN 106 845 970.

### **BW FINANCIAL ADVICE LIMITED**

ACN 097 084 878 will change to a proprietary company limited by shares. The new name will be BW FINANCIAL ADVICE PTY LIMITED ACN 097 084 878.

### **INSPIRA TECHNOLOGIES LIMITED**

ACN 633 285 364 will change to a proprietary company limited by shares. The new name will be INSPIRA TECHNOLOGIES PTY LTD ACN 633 285 364.

**NAUTI-CRAFT PTY LTD** ACN 009 341 511 will change to a public company limited by shares. The new name will be NAUTI-CRAFT LTD ACN 009 341 511.

THE COLONIAL MUTUAL LIFE ASSURANCE SOCIETY LIMITED ACN 004 021 809 will change to a proprietary company limited by shares. The new name will be THE COLONIAL MUTUAL LIFE ASSURANCE SOCIETY PTY LIMITED ACN 004 021 809.

## TRIBE SOCIAL BELONGING LTD

ACN 630 587 245 will change to a proprietary company limited by shares. The new name will be TRIBE SOCIAL BELONGING PTY LTD ACN 630 587 245.

BODD HOLDINGS PTY LTD ACN 613 290 234 will change to a public company limited by shares. The new name will be BODD HOLDINGS LIMITED ACN 613 290 234.

**FUNLAB GROUP LIMITED** ACN 615 722 113 will change to a proprietary company limited by shares. The new name will be FUNLAB GROUP PTY LTD ACN 615 722 113.

ITECH MINERALS PTY LTD ACN 648 219 050 will change to a public company limited by shares. The new name will be ITECH MINERALS LTD ACN 648 219 050.

PROMINENCE ENERGY NL ACN 009 196 810 will change to a public company limited by shares. The new name will be PROMINENCE ENERGY LIMITED ACN 009 196 810.

### TORRENS GROUP HOLDINGS PTY LTD

ACN 629 193 764 will change to a public company limited by shares. The new name will be TORRENS GROUP HOLDINGS LIMITED ACN 629 193 764.

## XPONENTIAL TECHNOLOGIES PTY LTD

ACN 635 810 258 will change to a public company limited by shares. The new name will be XPONENTIAL TECHNOLOGIES LIMITED ACN 635 810 258.