



**ASIC**  
Australian Securities &  
Investments Commission

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## **ASIC Registrable Superannuation Entities (Email Document Lodgement) Instrument 2023**

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I, Jennifer Dolphin, delegate of the Australian Securities and Investments Commission, make the following instrument

Date: 31 October 2023

Jennifer Dolphin  
Senior Executive Leader, Registry Interactions and Services

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## Part 1—Preliminary

### 1 Name of instrument

This is the *ASIC Registrable Superannuation Entities (Email Document Lodgement) Instrument 2023*.

### 2 Commencement

This instrument commences on the date it is signed.

### 3 Authority

This instrument is made under section 352 of the *Corporations Act 2001*.

### 4 Definitions

In this instrument:

*Act* means the *Corporations Act 2001*

*designated email address* means the ASIC email address specified in Schedule A for a relevant document

*relevant document* means a document that:

- (a) under the Act is required or permitted to be lodged with ASIC; and
- (b) is specified in Schedule A.

## Part 2—Approval

### 5 Approval of Email Document Lodgement

- (1) Where the Act requires or permits the lodgement of a relevant document with ASIC, I approve the electronic lodgement of the document with ASIC by submitting the document by email communication to the document's designated email address where:
  - (a) the document is in a form that complies with any submission requirements for processing by ASIC (including file format, size, resolution, and name); and
  - (b) if the document is a scan of an original paper document—the document includes a scan of any signature of a person that was affixed to the original paper document; and
  - (c) if the document is not a scan of an original paper document and a person is required to sign the document—the person has included their electronic signature on the document; and
  - (d) the person who submits the document records the method used to sign the document.

*Note: The ASIC Email Document Lodgement Use Policy will apply to persons who wish to lodge documents in accordance with this Approval.*

## Schedule A

Relevant Document	Designated email address
Interim Form 5172 – Application for consent from ASIC for resignation or removal of registrable superannuation entity (RSE) auditor	<a href="mailto:RSEaudit@asic.gov.au">RSEaudit@asic.gov.au</a>
Interim Form 5173 – Notification of resignation, removal or cessation of registrable superannuation entity (RSE) auditor	
Interim Form 5174 – Notification of address in Australia of information relating to financial records kept outside Australia – registrable superannuation entities (RSEs) only	