



ASIC

Australian Securities & Investments Commission

GPO Box 9827 in your Capital City

Our Reference: 38011/19
Direct Phone: ([REDACTED])
Email: adam.meyer@asic.gov.au

By email: 47F [REDACTED]

9 June 2021

The Directors
Rosherville Investment Group Pty Ltd
Level 25
88 Phillip Street
Sydney NSW 2000

Dear Directors,

APPLICATION TO VARY AN AUSTRALIAN FINANCIAL SERVICES LICENCE

ROSHERVILLE INVESTMENT GROUP PTY LTD

I refer to the application dated 6 December 2019 by Rosherville Investment Group Pty Ltd (the Licensee) to vary its Australian financial services (AFS) licence.

I am pleased to advise that the application was granted on 9 June 2021.

I have attached the electronic version of the Licensee's varied AFS licence certificate, which specifies the date that the variation to the AFS licence takes effect. Please note that the Australian Securities and Investments Commission (ASIC) will not be issuing a paper copy of the certificate. The Licensee must remove from display the prior AFS licence certificate that was issued by ASIC.

The Licensee is reminded that it must comply with the obligations that resulted from the Future of Financial Advice (FOFA) reforms - i.e. the licensee must comply with Pt 7.7A of the *Corporations Act 2001*, which includes the best interests obligations and provisions regarding conflicted remuneration and enhanced disclosure. Further information regarding the FOFA reforms can be obtained from ASIC's website (<http://www.asic.gov.au/asic/ASIC.NSF/byHeadline/Future%20of%20financial%20advice>).

If you require further information about the Licensee's ongoing obligations or any other related matter, refer to ASIC's Financial Services homepage (www.asic.gov.au/fs) or telephone ASIC's Client Contact Centre on 1300 300 630.

Yours sincerely,

Adam Meyer
Analyst
Licensing

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