

From: [James Dimitropoulos](#)
To: 47F
Subject: Classification of clients and ASIC Corporations (Product Intervention Order—Contracts for Difference) Instrument 2020/986 [SEC=OFFICIAL:Sensitive]
Date: Thursday, 10 June 2021 12:11:00 PM
Attachments: [Wholesale client categorisation letter to industry.pdf](#)
[image001.jpg](#)

Hi Michael,

As you have now been granted a licence to issue retail OTC derivative products, inc. CFDs, please find attached the letter sent to industry earlier this year about licensees obligations to comply with *ASIC Corporations (Product Intervention Order—Contracts for Difference) Instrument 2020/986* (CFD PIO) taking effect on 29 March 2021 and information about ASIC's upcoming review and expectations.

Regards

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