Australian Market Licence (Tradeweb Australia Pty Ltd) Variation Notice 2026 (No. 1)

Corporations Act 2001

I, Vivienne Tannock, delegate of the Australian Securities and Investments Commission, give notice to *Tradeweb Australia Pty Ltd.* ACN 092250345 under subsection 796A(1) of the *Corporations Act 2001* (***Act***) that the *Australian Market Licence (Tradeweb Australia Limited) 2019* (***Licence***) is varied as set out in this notice.

Date 21 July 2026

Vivienne Tannock

1 Name of notice

This is the *Australian Market Licence (Tradeweb Australia Pty Ltd) Variation Notice 2026 (No. 1).*

2 Commencement

The variation to the Licence made by this notice commences on the day after this notice is signed.

3 Variation

The *Australian Market Licence (Yieldbroker Pty Limited) 2004 (as varied by Australian Market Licence (Yieldbroker Pty Limited) Variation Notice 2010 (No. 1), Australian Market Licence (Yieldbroker Pty Limited) Variation Notice 2016 (No. 1), Australian Market Licence (Yieldbroker Pty Limited) Variation Notice 2023 (No. 1) and Australian Market Licence (Yieldbroker Pty Limited) Variation Notice 2024 (No. 1))* is varied as specified in the Schedule to this notice.

Note: The variations to the Licence involve the imposition of additional conditions on, and the variation or revocation of conditions imposed on, the Licence: see subsection 796A(1) of the Act.

SCHEDULE 1—Variation

1 Section 3

Insert:

Block Trade has the meaning given by Rule 6.2.1 of the market integrity rules.

Clearing and Settlement Facility has the meaning given by section 9 of the Act.

exchange traded fund product means a financial product of the following kinds:

(a) securities; or

(b) financial products mentioned in paragraph 764A(1)(b) of the Act; or

(c) financial products mentioned in paragraph 764A(1)(ba) of the Act that relate to a managed investment scheme that is not required to be registered under Chapter 5C of the Act;

to the extent that they constitute an interest in an exchange traded fund.

market integrity rules means the *ASIC Market Integrity Rules (Securities Markets)* 2017.

securities has the meaning given by section 92 of the Act.

2 Section 5

Repeal the section and substitute:

5. Classes of financial products

(1) The classes of financial products that can be dealt with on a market are:

(a) debentures; and

(b) stocks and bonds issued or proposed to be issued by a government; and

(c) derivatives:

(i) the terms of which are documented in an agreement between the parties to the arrangement that constitutes the derivative that is substantially in the form of a commonly used master agreement, such as a master agreement published:

a. by the International Swaps and Derivatives Association Inc.; or

b. by the Australian Securities Lending Association; or

c. jointly by the Public Securities Association and the International Securities Market Association; or

d. by the International Capital Markets Association; or

(ii) that are commodity derivatives, the sub-classes of which are documented in the operating rules; and

(d) deposit-taking facilities made available by an ADI (within the meaning of the Banking Act 1959) in the course of its banking business (within the meaning of that Act), other than an RSA (retirement savings account) (within the meaning of the Retirement Savings Accounts Act 1997); and

(e) exchange traded fund products.

(2) It is a condition of this Licence that, in relation to a transaction on the Market in exchange traded fund products that are admitted to quotation on a declared financial market, the Licensee must ensure that:

(a) the consideration for the transaction is not less than the amount specified for a Block Trade, having regard to the different tiers specified for Block Trades in the market integrity rules; and

(b) the operating rules of the Market have provisions requiring that participants ensure that if the transaction will be settled through a clearing and settlement facility, that the post-trade information is reported as if the trade was a Block Trade under the market integrity rules."

3 Subsection 9(1)

At the end of the table, insert:

Equity financial products	Exchange traded fund products

4 Paragraph 9(1)(a)

After "participants", insert "in the market".

5 Paragraph 9(1)(b)

Omit "Australian".

6 Paragraph 9(1)(b)

Omit "; and", substitute ".".

7 Paragraph 9(1)(c)

Repeal the paragraph.

8 Paragraph 9(1)(d)

Repeal the paragraph.