

ASIC FOI 044-2022
Premium Income Fund

Type	Start Date	Status			
Report of Misconduct (ROM)	07/04/2019	Regulatory Classification Complete			
Report of Misconduct (ROM)	11/08/2017	Merged with existing Case			
Report of Misconduct	06/10/2015	FINALISED			
Report of Misconduct	04/08/2015	FINALISED			
Report of Misconduct	13/02/2015	FINALISED			
Report of Misconduct	24/10/2014	FINALISED			
Report of Misconduct	14/07/2014	FINALISED			
Report of Misconduct	16/06/2014	FINALISED			
Report of Misconduct	26/05/2014	FINALISED			
Report of Misconduct	02/07/2013	FINALISED			
Report of Misconduct	28/05/2013	FINALISED			
Report of Misconduct	07/03/2013	FINALISED			
Report of Misconduct	22/11/2012	FINALISED			
Report of Misconduct	12/10/2012	FINALISED			
Report of Misconduct	02/10/2012	FINALISED			
Report of Misconduct	26/09/2012	FINALISED			
Report of Misconduct	21/09/2012	FINALISED			
Report of Misconduct	12/09/2012	FINALISED			
Report of Misconduct	10/08/2012	FINALISED			
Report of Misconduct	09/08/2012	FINALISED			
Report of Misconduct	01/08/2012	FINALISED			
Report of Misconduct	26/07/2012	FINALISED			
Report of Misconduct	27/06/2012	FINALISED			
Report of Misconduct	01/06/2012	FINALISED			
Report of Misconduct	22/03/2012	FINALISED			
Report of Misconduct	30/01/2012	FINALISED			
Report of Misconduct	18/01/2012	FINALISED			
Report of Misconduct	10/01/2012	FINALISED			
Report of Misconduct	16/12/2011	FINALISED			
Report of Misconduct	11/11/2011	FINALISED			
Report of Misconduct	25/10/2011	FINALISED			
Report of Misconduct	12/10/2011	FINALISED			
Report of Misconduct	14/09/2011	FINALISED			
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Report of Misconduct	24/06/2011	FINALISED			
Report of Misconduct	22/06/2011	FINALISED			
Report of Misconduct	17/06/2011	FINALISED			
Report of Misconduct	30/05/2011	FINALISED			
Report of Misconduct	20/05/2011	FINALISED			
Report of Misconduct	20/05/2011	FINALISED			
Report of Misconduct	19/05/2011	FINALISED			
Report of Misconduct	18/05/2011	FINALISED			
Report of Misconduct	17/05/2011	FINALISED			
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Report of Misconduct	16/05/2011	FINALISED			
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Report of Misconduct	13/05/2011	FINALISED			
Report of Misconduct	12/05/2011	FINALISED			
Report of Misconduct	12/05/2011	FINALISED			
Report of Misconduct	12/05/2011	FINALISED			
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Report of Misconduct	12/05/2011	FINALISED			
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Report of Misconduct	12/05/2011	FINALISED			
Report of Misconduct	11/05/2011	FINALISED			
Report of Misconduct	11/05/2011	FINALISED			
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Report of Misconduct	11/05/2011	FINALISED			
Report of Misconduct	11/05/2011	FINALISED			
Report of Misconduct	11/05/2011	FINALISED			
Report of Misconduct	05/04/2011	FINALISED			
Report of Misconduct	04/03/2011	FINALISED			
Report of Misconduct	15/12/2010	FINALISED			
Report of Misconduct	04/10/2010	FINALISED			
Report of Misconduct	09/08/2010	FINALISED			
Report of Misconduct	09/08/2010	FINALISED			
Report of Misconduct	22/06/2010	FINALISED			
Report of Misconduct	22/06/2010	FINALISED			
Report of Misconduct	16/06/2010	FINALISED			
Report of Misconduct	20/05/2010	FINALISED			
Report of Misconduct	07/05/2010	FINALISED			
Report of Misconduct	04/05/2010	FINALISED			
Report of Misconduct	11/01/2010	FINALISED			
Report of Misconduct	07/01/2010	FINALISED			
Report of Misconduct	07/01/2010	FINALISED			
Report of Misconduct	23/11/2009	FINALISED			
Report of Misconduct	20/11/2009	FINALISED			
Report of Misconduct	19/11/2009	FINALISED			
Report of Misconduct	18/03/2009	FINALISED			
Report of Misconduct	26/02/2009	FINALISED			
Report of Misconduct	26/02/2009	FINALISED			
Report of Misconduct	23/02/2009	FINALISED			
Report of Misconduct	18/02/2009	FINALISED			
Report of Misconduct	14/01/2009	FINALISED			
Report of Misconduct	07/01/2009	FINALISED			
Report of Misconduct	10/12/2008	FINALISED			
Report of Misconduct	08/12/2008	FINALISED			
Report of Misconduct	27/11/2008	FINALISED			
Report of Misconduct	30/10/2008	FINALISED			
Report of Misconduct	14/10/2008	FINALISED			
Report of Misconduct	14/10/2008	FINALISED			
Report of Misconduct	10/10/2008	FINALISED			
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Report of Misconduct	18/09/2008	FINALISED			
Report of Misconduct	17/09/2008	FINALISED			
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Report of Misconduct	03/09/2008	FINALISED			
Report of Misconduct	01/09/2008	FINALISED			
Report of Misconduct	28/08/2008	FINALISED			
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Report of Misconduct	25/08/2008	FINALISED			
Report of Misconduct	11/08/2008	FINALISED			
Report of Misconduct	11/08/2008	FINALISED			
Report of Misconduct	05/08/2008	FINALISED			
Report of Misconduct	29/07/2008	FINALISED			
Report of Misconduct	29/07/2008	FINALISED			
Report of Misconduct	29/07/2008	FINALISED			
Report of Misconduct	22/07/2008	FINALISED			
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Report of Misconduct	07/07/2008	FINALISED			
Report of Misconduct	04/07/2008	FINALISED			
Report of Misconduct	03/07/2008	FINALISED			
Report of Misconduct	03/07/2008	FINALISED			
Report of Misconduct	03/07/2008	FINALISED			
Report of Misconduct	03/07/2008	FINALISED			
Report of Misconduct	03/07/2008	FINALISED			
Report of Misconduct	02/07/2008	FINALISED			
Report of Misconduct	12/06/2008	FINALISED			
Report of Misconduct	02/06/2008	FINALISED			
Report of Misconduct	23/05/2008	FINALISED			
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Report of Misconduct	11/04/2008	FINALISED			
Report of Misconduct	27/03/2008	FINALISED			
Report of Misconduct	11/03/2008	FINALISED			
Report of Misconduct	08/02/2008	FINALISED			
Report of Misconduct	08/02/2008	FINALISED			
Report of Misconduct	31/01/2008	FINALISED			
Report of Misconduct	29/01/2008	FINALISED			
Report of Misconduct	11/08/2004	Finalised			