Financial Services and Credit Panel

In the matter of [redacted]

Reprimand under s921T(1)(b) of the *Corporations Act 2001*

To: [redacted]
Representative number [redacted]

A Financial Services and Credit Panel convened by the Australian Securities and Investments Commission on 4 June 2026 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of [redacted] (the **relevant provider**) (the **Sitting Panel**) reasonably believes that the relevant provider has contravened a financial services law under the *Corporations Act 2001* (**Corporations Act**), being s921E(3) of the Corporations Act, in relation to fees for no service charged to a retail client in the period April 2024 to April 2025.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel gives the relevant provider a written reprimand.

Signed:____________________________________ Date: 19 August 2026
Kate Dluzniak, Chair of the Sitting Panel