

**Financial Services and Credit Panel**

In the matter of [REDACTED]

**Written direction pursuant to s921L(1)(a)(i) of the Corporations Act 2001**

To: [REDACTED]  
Representative number [REDACTED]

Pursuant to s921K(1) and s921L(1)(a)(i) of the *Corporations Act 2001*, a Financial Services and Credit Panel convened by the Australian Securities and Investments Commission (**ASIC**) pursuant to an instrument dated 2 October 2024 to consider the conduct of [REDACTED] Representative number [REDACTED] (the **relevant provider**) (the **Sitting Panel**) directs the relevant provider to undertake the training specified in Schedule A.

**Schedule A**

1. The relevant provider must, in the 12 months after this direction is made, undertake at least five hours of continuing professional education covering retirement planning (the **required professional education**). The required professional education must:
  - (a) be capable of being objectively verified by a competent source;
  - (b) not be provided by the relevant provider's licensee;
  - (c) be undertaken in addition to the relevant provider's existing continuing professional education obligations; and
  - (d) be approved by ASIC before it is undertaken.
2. Within 30 days of completing the required professional education, the relevant provider must provide to ASIC documentation evidencing the successful completion of the required professional education.



Signed: \_\_\_\_\_

Date: 28 January 2025

Kate Dluzniak  
Sitting Panel Chair